

# Abstract Interpretation

## Semantics and applications to verification

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April 4th, 2025

# Program of this lecture

## Studied so far:

- **semantics:** behaviors of programs
- **properties:** safety, liveness, security...
- **approaches to verification:** typing, use of proof assistants, model checking

## Today's lecture: introduction to abstract interpretation

a **general framework for comparing semantics**

introduced by Patrick Cousot and Radhia Cousot (1977)

- **abstraction:** use of a lattice of predicates
- **computing abstract over-approximations**, while preserving soundness
- **computing abstract over-approximations for loops** using fixpoints as a guide

# Outline

- 1 Abstraction
  - Notion of abstraction
  - Abstraction and concretization functions
  - Galois connections
- 2 Abstract interpretation
- 3 Application of abstract interpretation
- 4 Conclusion

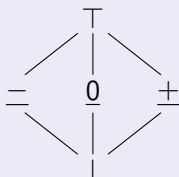
# Abstraction example 1: signs

**Abstraction: defined by a family of properties to use in proofs**

## Example:

- objects under study: sets of mathematical integers
- abstract elements: signs

### Lattice of signs



- $\perp$  denotes only  $\emptyset$
- $\pm$  denotes any set of positive integers
- $0$  denotes any subset of  $\{0\}$
- $=$  denotes any set of negative integers
- $\top$  denotes any set of integers

**Note:** the order in the abstract lattice corresponds to inclusion...

# Abstraction example 1: signs

## Definition: abstraction relation

- **concrete elements:** elements of the original lattice ( $c \in \mathcal{P}(\mathbb{Z})$ )
- **abstract elements:** predicate ( $a$ : “ $\cdot \in \{\pm, \underline{0}, \dots\}$ ”)
- **abstraction relation:**  $c \vdash_{\mathcal{S}} a$  when  $a$  describes  $c$

## Examples:

- $\{1, 2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\} \vdash_{\mathcal{S}} \pm$
- $\{1, 2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\} \vdash_{\mathcal{S}} \top$

We use abstract elements **to reason about operations**:

- if  $c_0 \vdash_{\mathcal{S}} \pm$  and  $c_1 \vdash_{\mathcal{S}} \pm$ , then  $\{x_0 + x_1 \mid x_i \in c_i\} \vdash_{\mathcal{S}} \pm$
- if  $c_0 \vdash_{\mathcal{S}} \pm$  and  $c_1 \vdash_{\mathcal{S}} \pm$ , then  $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_{\mathcal{S}} \pm$
- if  $c_0 \vdash_{\mathcal{S}} \pm$  and  $c_1 \vdash_{\mathcal{S}} \underline{0}$ , then  $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_{\mathcal{S}} \underline{0}$
- if  $c_0 \vdash_{\mathcal{S}} \pm$  and  $c_1 \vdash_{\mathcal{S}} \perp$ , then  $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_{\mathcal{S}} \perp$

# Abstraction example 1: signs

We can also consider the **union operation**:

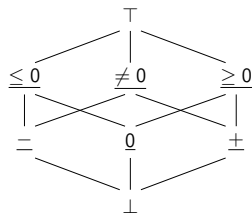
- if  $c_0 \vdash_S \pm$  and  $c_1 \vdash_S \pm$ , then  $c_0 \cup c_1 \vdash_S \pm$
- if  $c_0 \vdash_S \pm$  and  $c_1 \vdash_S \perp$ , then  $c_0 \cup c_1 \vdash_S \pm$

But, what can we say about  $c_0 \cup c_1$ , when  $c_0 \vdash_S \underline{0}$  and  $c_1 \vdash_S \pm$ ?

- clearly,  $c_0 \cup c_1 \vdash_S \top$ ...
- but **no other relation holds**
- in the abstract, **we do not rule out negative values**

We can **extend the initial lattice**:

- $\underline{\geq 0}$  denotes any set of positive or null integers
- $\underline{\leq 0}$  denotes any set of negative or null integers
- $\neq 0$  denotes any set of non null integers
- if  $c_0 \vdash_S \pm$  and  $c_1 \vdash_S \underline{0}$ , then  $c_0 \cup c_1 \vdash_S \underline{\geq 0}$

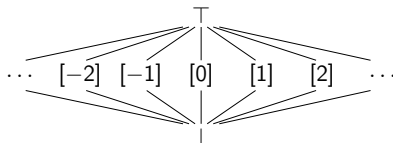


## Abstraction example 2: constants

### Definition: abstraction based on constants

- **concrete elements:**  $\mathcal{P}(\mathbb{Z})$
- **abstract elements:**  $\perp, \top, \underline{n}$  where  $n \in \mathbb{Z}$   
 $(D_C^\# = \{\perp, \top\} \cup \{\underline{n} \mid n \in \mathbb{Z}\})$
- **abstraction relation:**  $c \vdash_C \underline{n} \iff c \subseteq \{n\}$

We obtain a **flat lattice**:



### Abstract reasoning:

- if  $c_0 \vdash_C \underline{n_0}$  and  $c_1 \vdash_C \underline{n_1}$ , then  $\{k_0 + k_1 \mid k_i \in c_i\} \vdash_C \underline{n_0 + n_1}$

## Abstraction example 3: Parikh vector

### Definition: Parikh vector abstraction

- **concrete elements:**  $\mathcal{P}(\mathcal{A}^*)$  (sets of words over alphabet  $\mathcal{A}$ )
- **abstract elements:**  $\{\perp, \top\} \cup (\mathcal{A} \rightarrow \mathbb{N})$
- **abstraction relation:**  $c \vdash_{\mathfrak{P}} \phi : \mathcal{A} \rightarrow \mathbb{N}$  if and only if:

$$\forall w \in c, \forall a \in \mathcal{A}, a \text{ appears } \phi(a) \text{ times in } w$$

### Abstract reasoning:

- **concatenation:**

if  $\phi_0, \phi_1 : \mathcal{A} \rightarrow \mathbb{N}$  and  $c_0, c_1$  are such that  $c_i \vdash_{\mathfrak{P}} \phi_i$ ,

$$\{w_0 \cdot w_1 \mid w_i \in c_i\} \vdash_{\mathfrak{P}} \phi_0 + \phi_1$$

### Information preserved, information deleted:

- **very precise** information about the **number of occurrences**
- the **order of letters** is **totally abstracted away (lost)**



## Abstraction example 4: interval abstraction

### Definition: abstraction based on intervals

- **concrete elements:**  $\mathcal{P}(\mathbb{Z})$
- **abstract elements:**  $\perp, (a, b)$  where  $a \in \{-\infty\} \cup \mathbb{Z}$ ,  $b \in \mathbb{Z} \cup \{+\infty\}$  and  $a \leq b$
- **abstraction relation:**

$$\emptyset \vdash_{\mathcal{I}} \perp$$

$$S \vdash_{\mathcal{I}} \top$$

$$S \vdash_{\mathcal{I}} (a, b) \iff \forall x \in S, a \leq x \leq b$$

### Operations: TD

## Abstraction example 5: non relational abstraction

### Definition: non relational abstraction

- **concrete elements:**  $\mathcal{P}(X \rightarrow Y)$ , inclusion ordering
- **abstract elements:**  $X \rightarrow \mathcal{P}(Y)$ , pointwise inclusion ordering
- **abstraction relation:**  $c \vdash_{\mathcal{NR}} a \iff \forall \phi \in c, \forall x \in X, \phi(x) \in a(x)$

### Information preserved, information deleted:

- **very precise** information about the **image** of the functions in  $c$
- **relations** such as (for given  $x_0, x_1 \in X, y_0, y_1 \in Y$ ) the following are **lost**:

$$\forall \phi \in c, \phi(x_0) = \phi(x_1)$$

$$\forall \phi \in c, \forall x, x' \in X, \phi(x) \neq y_0 \vee \phi(x') \neq y_1$$

# Notion of abstraction relation

**Concrete order:** so far, always inclusion

- the tighter the concrete set, the fewer behaviors
- **smaller concrete** sets correspond to **more precise** properties

## Abstraction relation

Intuitively, the abstraction relation also describes implication:

$c \vdash a$  **effectively means “the property described by  $c$  implies that described by  $a$ ”**

**Advantage on static analysis** (hint about the following lectures):

- abstract predicates are **a lot easier** to manipulate than sets of concrete states or logical formulas
- we can still **derive concrete facts from abstract predicates**

# Abstraction relation and monotonicity

## Order relations, abstraction relation and monotonicity

- both orders and the abstraction relation describe ordering
- we derive from **transitivity** there **monotonicity properties**  
i.e., chains of implications compose

**Abstraction relation:**  $c \vdash a$  when  $c$  satisfies  $a$

- if  $c_0 \sqsubseteq c_1$  and  $c_1$  satisfies  $a$ , in all our examples,  $c_0$  **also satisfies**  $a$

**Abstract order:** in all our examples,

- it matches the abstraction relation as well:  
if  $a_0 \sqsubseteq a_1$  and  $c$  satisfies  $a_0$ , then  $c$  **also satisfies**  $a_1$
- **great advantage: we can reason about implication in the abstract, without looking back at the concrete properties**

We will now formalize this in detail...

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# Towards adjoint functions

We consider a **concrete lattice**  $(C, \subseteq)$  and an **abstract lattice**  $(A, \sqsubseteq)$ .

So far, we used **abstraction relations**, that are consistent with orderings:

## Abstraction relation compatibility

- $\forall c_0, c_1 \in C, \forall a \in A, c_0 \subseteq c_1 \wedge c_1 \vdash a \implies c_0 \vdash a$
- $\forall c \in C, \forall a_0, a_1 \in A, c \vdash a_0 \wedge a_0 \sqsubseteq a_1 \implies c \vdash a_1$

When we have a  $c$  (resp.,  $a$ ) and try to map it into a compatible  $a$  (resp., into a compatible  $c$ ), **the abstraction relation is convenient**.

Hence, we shall use **adjoint functions** between  $C$  and  $A$ .

- from concrete to abstract: **abstraction**
- from abstract to concrete: **concretization**

# Concretization function

Our **first adjoint function**:

**Definition:** concretization function

**Concretization function**  $\gamma : A \rightarrow C$  (if it exists) is a monotone function that maps abstract  $a$  into the weakest (i.e., most general) concrete  $c$  that satisfies  $a$  (i.e.,  $c \vdash a$ ).

Notes:

- in common cases, there exists a  $\gamma$
- $c \vdash a$  if and only if  $c \subseteq \gamma(a)$
- a concretization that is not monotone with respect to the “logical ordering” would not make sense
- in fact, in some cases, we will even define  $\gamma$  before we define an ordering, and let  $\gamma$  define the ordering!

# Concretization function: a few examples

## Signs abstraction:

$$\begin{aligned}\gamma_S : \quad \top &\longmapsto \mathbb{Z} \\ \underline{+} &\longmapsto \mathbb{Z}_+^* \\ \underline{0} &\longmapsto \{0\} \\ \underline{-} &\longmapsto \mathbb{Z}_-^* \\ \perp &\longmapsto \emptyset\end{aligned}$$

## Constants abstraction:

$$\begin{aligned}\gamma_C : \quad \top &\longmapsto \mathbb{Z} \\ \underline{n} &\longmapsto \{n\} \\ \perp &\longmapsto \emptyset\end{aligned}$$

## Non relational abstraction:

$$\begin{aligned}\gamma_{NR} : \quad (X \rightarrow \mathcal{P}(Y)) &\longrightarrow \mathcal{P}(X \rightarrow Y) \\ \Phi &\longmapsto \{\phi : X \rightarrow Y \mid \forall x \in X, \phi(x) \in \Phi(x)\}\end{aligned}$$

## Parikh vector abstraction: exercise!



# Abstraction function

Our **second adjoint function**:

## Definition: abstraction function

An **abstraction function**  $\alpha : C \rightarrow A$  (if it exists) is a monotone function that maps concrete  $c$  **into the most precise abstract  $a$  that soundly describes  $c$**  (i.e.,  $c \vdash a$ ).

Note:

- in quite a few cases (including some in this course), there is no  $\alpha$
- for the same reason as  $\gamma$  a non monotone  $\alpha$  (with respect to logical ordering) would not make sense

## Summary on adjoint functions:

- $\alpha$  (**called abstraction**) maps any concrete element **to the most precise abstract predicate** that holds true for it
- $\gamma$  (**called concretisation**) returns the **most general concrete meaning** of its argument

# Abstraction: a few examples

## Constants abstraction:

$$\alpha_{\mathcal{C}} : (c \subseteq \mathbb{Z}) \longmapsto \begin{cases} \perp & \text{if } c = \emptyset \\ \underline{n} & \text{if } c = \{n\} \\ \top & \text{otherwise} \end{cases}$$

## Non relational abstraction:

$$\begin{aligned} \alpha_{\mathcal{NR}} : \mathcal{P}(X \rightarrow Y) &\longrightarrow X \rightarrow \mathcal{P}(Y) \\ c &\longmapsto (x \in X) \mapsto \{\phi(x) \mid \phi \in c\} \end{aligned}$$

## Signs abstraction and Parikh vector abstraction: exercises

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# Tying definitions of abstraction relation

So far, we have:

- **abstraction**  $\alpha : C \rightarrow A$
- **concretization**  $\gamma : A \rightarrow C$

How to tie them together ?

**They should agree on a same abstraction relation  $\vdash$  !**

This means:

$$\begin{aligned} \forall c \in C, \forall a \in A, \\ c \vdash a \\ \iff c \subseteq \gamma(a) \\ \iff \alpha(c) \sqsubseteq a \end{aligned}$$

This observation is at the basis of the definition of **Galois connections**

# Galois connection

## Definition: Galois connection

A **Galois connection** is defined by a:

- a **concrete lattice**  $(C, \subseteq)$
- an **abstract lattice**  $(A, \sqsubseteq)$
- an **abstraction function**  $\alpha : C \rightarrow A$
- and a **concretization function**  $\gamma : A \rightarrow C$

such that:

$$\forall c \in C, \forall a \in A, \alpha(c) \sqsubseteq a \iff c \subseteq \gamma(a) \quad (\iff c \vdash a)$$

**Notation:**  $(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$

Note: in practice, we shall rarely use  $\vdash$ ; we use  $\alpha, \gamma$  instead

# Example: constants abstraction and Galois connection

**Constants lattice**  $D_C^\# = \{\perp, \top\} \uplus \{\underline{n} \mid n \in \mathbb{Z}\}$

$$\begin{array}{lll}
 \alpha_C(c) & = & \perp \quad \text{if } c = \emptyset \\
 \alpha_C(c) & = & \underline{n} \quad \text{if } c = \{n\} \\
 \alpha_C(c) & = & \top \quad \text{otherwise}
 \end{array}
 \qquad
 \begin{array}{ll}
 \gamma_C(\top) & \longmapsto \mathbb{Z} \\
 \gamma_C(\underline{n}) & \longmapsto \{n\} \\
 \gamma_C(\perp) & \longmapsto \emptyset
 \end{array}$$

**Thus:**

- if  $c = \emptyset$ ,  $\forall a, c \subseteq \gamma_C(a)$ , i.e.,  $c \subseteq \gamma_C(a) \iff \alpha_C(c) = \perp \sqsubseteq a$
- if  $c = \{n\}$ ,  
 $\alpha_C(\{n\}) = \underline{n} \sqsubseteq a \iff a = \underline{n} \vee a = \top \iff c = \{n\} \subseteq \gamma_C(a)$
- if  $c$  has at least two distinct elements  $n_0, n_1$ ,  $\alpha_C(c) = \top$  and  
 $c \subseteq \gamma_C(a) \Rightarrow a = \top$ , i.e.,  $c \subseteq \gamma_C(a) \iff \alpha_C(c) = \top \sqsubseteq a$

**Constant abstraction: Galois connection**

$$c \subseteq \gamma_C(a) \iff \alpha_C(c) \sqsubseteq a, \text{ therefore, } (\mathcal{P}(\mathbb{Z}), \subseteq) \xrightleftharpoons[\alpha_C]{\gamma_C} (D_C^\#, \sqsubseteq)$$

# Example: non relational abstraction Galois connection

We have defined:

$$\alpha_{\mathcal{NR}} : (c \subseteq (X \rightarrow Y)) \longmapsto (x \in X) \mapsto \{f(x) \mid f \in c\}$$

$$\gamma_{\mathcal{NR}} : (\Phi \in (X \rightarrow \mathcal{P}(Y))) \longmapsto \{f : X \rightarrow Y \mid \forall x \in X, f(x) \in \Phi(x)\}$$

Let  $c \in \mathcal{P}(X \rightarrow Y)$  and  $\Phi \in (X \rightarrow \mathcal{P}(Y))$ ; then:

$$\begin{aligned} \alpha_{\mathcal{NR}}(c) \sqsubseteq \Phi &\iff \forall x \in X, \alpha_{\mathcal{NR}}(c)(x) \subseteq \Phi(x) \\ &\iff \forall x \in X, \{f(x) \mid f \in c\} \subseteq \Phi(x) \\ &\iff \forall f \in c, \forall x \in X, f(x) \in \Phi(x) \\ &\iff \forall f \in c, f \in \gamma_{\mathcal{NR}}(\Phi) \\ &\iff c \subseteq \gamma_{\mathcal{NR}}(\Phi) \end{aligned}$$

## Non relational abstraction: Galois connection

$c \subseteq \gamma_{\mathcal{NR}}(a) \iff \alpha_{\mathcal{NR}}(c) \sqsubseteq a$ , therefore,

$$(\mathcal{P}(X \rightarrow Y), \subseteq) \xleftrightarrow[\alpha_{\mathcal{NR}}]{\gamma_{\mathcal{NR}}} (X \rightarrow \mathcal{P}(Y), \sqsubseteq)$$

# Galois connection properties

Galois connections have **many useful properties**.

In the next few slides, we consider a Galois connection  $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$  and establish a few interesting properties.

## Extensivity, contractivity

- $\alpha \circ \gamma$  is **contractive**:  $\forall a \in A, \alpha \circ \gamma(a) \sqsubseteq a$
- $\gamma \circ \alpha$  is **extensive**:  $\forall c \in C, c \subseteq \gamma \circ \alpha(c)$

## Proof:

- let  $a \in A$ ; then,  $\gamma(a) \subseteq \gamma(a)$ , thus  $\alpha(\gamma(a)) \sqsubseteq a$
- let  $c \in C$ ; then,  $\alpha(c) \sqsubseteq \alpha(c)$ , thus  $c \subseteq \gamma(\alpha(c))$



# Galois connection properties

## Monotonicity of adjoints

- $\alpha$  is **monotone**
- $\gamma$  is **monotone**

### Proof:

- **monotonicity of  $\alpha$** : let  $c_0, c_1 \in C$  such that  $c_0 \subseteq c_1$ ;  
by extensivity of  $\gamma \circ \alpha$ ,  $c_1 \subseteq \gamma(\alpha(c_1))$ , so by transitivity,  $c_0 \subseteq \gamma(\alpha(c_1))$   
by definition of the Galois connection,  $\alpha(c_0) \sqsubseteq \alpha(c_1)$
- **monotonicity of  $\gamma$** : same principle

**Note:** many proofs can be derived by **duality**

## Duality principle applied for Galois connections

$$\text{If } (C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq), \text{ then } (A, \sqsupseteq) \xleftrightarrow[\gamma]{\alpha} (C, \supseteq)$$

# Galois connection properties

## Iteration of adjoints

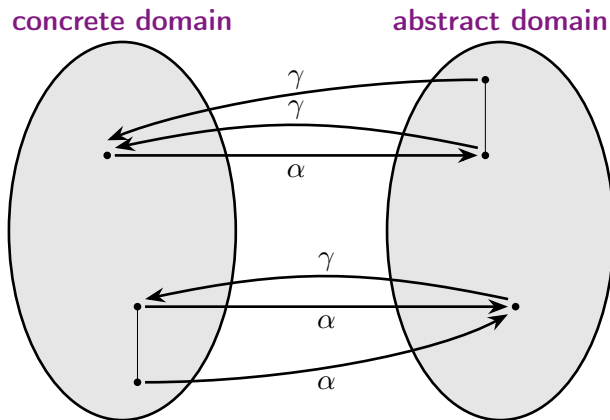
- $\alpha \circ \gamma \circ \alpha = \alpha$
- $\gamma \circ \alpha \circ \gamma = \gamma$
- $\alpha \circ \gamma$  (resp.,  $\gamma \circ \alpha$ ) is idempotent, hence a lower (resp., upper) closure operator

## Proof:

- $\alpha \circ \gamma \circ \alpha = \alpha$ :  
let  $c \in C$ , then  $\gamma \circ \alpha(c) \subseteq \gamma \circ \alpha(c)$   
hence, by the Galois connection property,  $\alpha \circ \gamma \circ \alpha(c) \sqsubseteq \alpha(c)$   
moreover,  $\gamma \circ \alpha$  is extensive and  $\alpha$  monotone, so  $\alpha(c) \sqsubseteq \alpha \circ \gamma \circ \alpha(c)$   
thus,  $\alpha \circ \gamma \circ \alpha(c) = \alpha(c)$
- the second point can be proved similarly (duality); the others follow

# Galois connection properties

## Properties on iterations of adjoint functions:



# Galois connection properties

## $\alpha$ preserves least upper bounds

$$\forall c_0, c_1 \in C, \alpha(c_0 \cup c_1) = \alpha(c_0) \sqcup \alpha(c_1)$$

By duality:

$$\forall a_0, a_1 \in A, \gamma(c_0 \sqcap c_1) = \gamma(c_0) \sqcap \gamma(c_1)$$

## Proof:

First, we observe that  $\alpha(c_0) \sqcup \alpha(c_1) \sqsubseteq \alpha(c_0 \cup c_1)$ , i.e.  $\alpha(c_0 \cup c_1)$  is an upper bound of  $\{\alpha(c_0), \alpha(c_1)\}$ .

We now prove it is the *least* upper bound. For all  $a \in A$ :

$$\begin{aligned} \alpha(c_0 \cup c_1) \sqsubseteq a &\iff c_0 \cup c_1 \subseteq \gamma(a) \\ &\iff c_0 \subseteq \gamma(a) \wedge c_1 \subseteq \gamma(a) \\ &\iff \alpha(c_0) \sqsubseteq a \wedge \alpha(c_1) \sqsubseteq a \\ &\iff \alpha(c_0) \sqcup \alpha(c_1) \sqsubseteq a \end{aligned}$$

**Note:** when  $C, A$  are complete lattices, this extends to families of elements

# Galois connection properties

## Uniqueness of adjoints

- given  $\gamma : A \rightarrow C$ , there exists **at most one**  $\alpha : C \rightarrow A$  such that  $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$ , and, if it exists,  $\alpha(c) = \sqcap \{a \in A \mid c \subseteq \gamma(a)\}$
- similarly, given  $\alpha : C \rightarrow A$ , there exists at most one  $\gamma : A \rightarrow C$  such that  $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$ , and it is defined dually

**Proof of the first point** (the other follows by duality):

we assume that there exists an  $\alpha$  so that we have a Galois connection and prove that,  $\alpha(c) = \sqcap \{a \in A \mid c \subseteq \gamma(a)\}$  for a given  $c \in C$ .

- if  $a \in A$  is such that  $c \subseteq \gamma(a)$ , then  $\alpha(c) \sqsubseteq a$   
thus,  $\alpha(c)$  is a lower bound of  $\{a \in A \mid c \subseteq \gamma(a)\}$ .
- since  $c \subseteq \gamma(\alpha(c))$ ,  $\alpha(c) \in \{a \in A \mid c \subseteq \gamma(a)\}$ , so  $\alpha(c)$  is the greatest lower bound of  $\{a \in A \mid c \subseteq \gamma(a)\}$ .

Thus,  $\alpha(c)$  is the least upper bound of  $\{a \in A \mid c \subseteq \gamma(a)\}$

# Construction of adjoint functions

The adjoint uniqueness property is actually a very strong property:

- it allows to construct an abstraction from a concretization
- ... or to understand when no abstraction can be constructed :-)

## Turning an adjoint into a Galois connection

Let  $(C, \subseteq)$  and  $(A, \sqsubseteq)$  be two lattices, such that any subset of  $A$  has a greatest lower bound and let  $\gamma : (A, \sqsubseteq) \rightarrow (C, \subseteq)$  be a monotone function.

Then, the function below defines a Galois connection:

$$\alpha(c) = \sqcap \{a \in A \mid c \subseteq \gamma(a)\}$$

**Example of abstraction with no  $\alpha$ :** when  $\sqcap$  is not defined on all families, e.g., lattice of convex polyhedra, abstracting sets of points in  $\mathbb{R}^2$ .

**Exercise:** state the dual property and apply the same principle to the concretization

# Galois connection characterization

## A characterization of Galois connections

Let  $(C, \subseteq)$  and  $(A, \sqsubseteq)$  be two lattices, and  $\alpha : C \rightarrow A$  and  $\gamma : A \rightarrow C$  be two monotone functions, such that:

- $\alpha \circ \gamma$  is contractive
- $\gamma \circ \alpha$  is extensive

Then, we have a Galois connection

$$(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$$

### Proof:

- let  $c \in C$  and  $a \in A$  such that  $\alpha(c) \sqsubseteq a$ .  
then:  $\gamma(\alpha(c)) \subseteq \gamma(a)$  (as  $\gamma$  is monotone)  
 $c \subseteq \gamma(\alpha(c))$  (as  $\gamma \circ \alpha$  is extensive)  
thus,  $c \subseteq \gamma(a)$ , by transitivity
- the other implication can be proved by duality

# Outline

- 1 Abstraction
- 2 Abstract interpretation
  - Abstract computation
  - Fixpoint transfer
- 3 Application of abstract interpretation
- 4 Conclusion



# Constructing a static analysis

We have set up a notion of **abstraction**:

- it describes **sound** approximations of **concrete properties** with **abstract predicates**
- there are several ways to formalize it (abstraction, concretization...)
- we now wish to **compute sound abstract predicates**

In the following, we assume

- a **Galois connection**

$$(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$$

- a **concrete semantics**  $\llbracket . \rrbracket$ , with a **constructive definition**  
i.e.,  $\llbracket P \rrbracket$  is defined by constructive equations ( $\llbracket P \rrbracket = f(\dots)$ ), least  
fixpoint formula ( $\llbracket P \rrbracket = \text{lfp}_{\emptyset} f$ )...

We need **several lectures** to cover this.

# Towards a notion of abstract transformer...

## The problem

We assume:

- a **monotone concrete function**  $f : C \rightarrow C$ , known on paper but possibly not computable (intuitively the semantics of a program),
- a **concrete element**  $c \in C$  (intuitively an initial state),
- and an **abstract element**  $a \in A$  that **abstracts**  $c$

**Question:** how to derive an abstraction of  $f(c)$  ?

①  $\alpha \circ f(c)$  abstracts the image of  $c$  by  $f$

②  $f(c)$  is abstracted by  $\alpha \circ f \circ \gamma(a)$ :

$$c \subseteq \gamma(a)$$

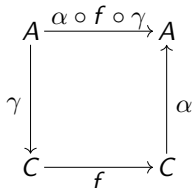
by assumption

$$f(c) \subseteq f(\gamma(a))$$

by monotonicity of  $f$

$$\alpha(f(c)) \subseteq \alpha(f(\gamma(a)))$$

by monotonicity of  $\alpha$



# Towards a notion of abstract transformer...

We consider a variant of the previous problem:

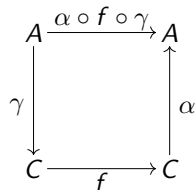
## The problem

We assume:

- a **monotone concrete function**  $f : C \rightarrow C$ , known on paper (but not computable) (e.g., the semantics of a program)
- and an **abstract element**  $a \in A$  that **abstracts initial states**

**Question:** how to derive an abstraction of final states?

- 1 if  $c$  is an initial state, it is abstracted by  $a$ , **thus**  
 $c \in \gamma(a)$
- 2 the same reasoning applies  
so  $f(c)$  is **abstracted by**  $\alpha \circ f \circ \gamma(a)$



# Soundness and completeness

Assumptions:

- a Galois connection, same notation as above
- concrete function  $f : C \rightarrow C$

**Definition: Best abstract transformer**

The **best abstract transformer** approximating  $f$  is  $f^\# = \alpha \circ f \circ \gamma$

In some cases, the best abstract transformer may be *too expensive*, hence we also consider:

**Definition: Sound abstract transformers**

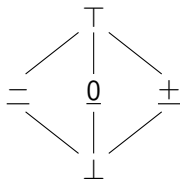
A **sound abstract transformer** approximating  $f$  is any operator  $f^\# : A \rightarrow A$ , such that  $\alpha \circ f \circ \gamma \sqsubseteq f^\#$  (or equivalently,  $f \circ \gamma \subseteq \gamma \circ f^\#$ ).

- Clearly, the best abstract transformer is sound
- Other transformers give up completeness

# Example: lattice of signs

- $f : D_C^\# \rightarrow D_C^\#, c \mapsto \{-n \mid n \in c\}$
- $f^\# = \alpha \circ f \circ \gamma$

Lattice of signs:



Abstract negation operator:

$a$	$\ominus^\#(a)$
$\perp$	$\perp$
$\equiv$	$\pm$
$\underline{0}$	$\underline{0}$
$\underline{\pm}$	$\underline{\equiv}$
$\top$	$\top$

- here, the best abstract transformer is very easy to compute
- no need to use an approximate one

# Abstract $n$ -ary operators

We can generalize this to  $n$ -ary operators, such as **boolean operators** and **arithmetic operators**

## Definition: best and sound abstract operators

Let  $g : C^n \rightarrow C$  be an  $n$ -ary operator, monotone in each component.  
Then:

- the **best abstract operator** approximating  $g$  is defined by:

$$\begin{aligned} g^\sharp : A^n &\longrightarrow A \\ (a_0, \dots, a_{n-1}) &\longmapsto \alpha \circ g(\gamma(a_0), \dots, \gamma(a_{n-1})) \end{aligned}$$

- a **sound abstract transformer** approximating  $g$  is any operator  $g^\sharp : A^n \rightarrow A$ , such that

$$\forall (a_0, \dots, a_{n-1}) \in A^n, \alpha \circ g(\gamma(a_0), \dots, \gamma(a_{n-1})) \sqsubseteq g^\sharp(a_0, \dots, a_{n-1})$$

(i.e., equivalently,  $g(\gamma(a_0), \dots, \gamma(a_{n-1})) \subseteq \gamma \circ g^\sharp(a_0, \dots, a_{n-1})$ )

# Example: lattice of signs arithmetic operators

## Application:

- $\oplus : C^2 \rightarrow C, (c_0, c_1) \mapsto \{n_0 + n_1 \mid n_i \in c_i\}$
- $\otimes : C^2 \rightarrow C, (c_0, c_1) \mapsto \{n_0 \cdot n_1 \mid n_i \in c_i\}$

## Best abstract operators:

$\oplus^\sharp$	$\perp$	$\underline{-}$	$\underline{0}$	$\underline{+}$	$\top$
$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$
$\underline{-}$	$\perp$	$\underline{-}$	$\underline{-}$	$\top$	$\top$
$\underline{0}$	$\perp$	$\underline{-}$	$\underline{0}$	$\underline{+}$	$\top$
$\underline{+}$	$\perp$	$\top$	$\underline{+}$	$\underline{+}$	$\top$
$\top$	$\perp$	$\top$	$\top$	$\top$	$\top$

$\otimes^\sharp$	$\perp$	$\underline{-}$	$\underline{0}$	$\underline{+}$	$\top$
$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$
$\underline{-}$	$\perp$	$\underline{+}$	$\underline{0}$	$\underline{-}$	$\top$
$\underline{0}$	$\perp$	$\underline{0}$	$\underline{0}$	$\underline{0}$	$\underline{0}$
$\underline{+}$	$\perp$	$\underline{-}$	$\underline{0}$	$\underline{+}$	$\top$
$\top$	$\perp$	$\top$	$\underline{0}$	$\top$	$\top$

## Example of loss in precision:

- $\{8\} \in \gamma_S(\underline{+})$  and  $\{-2\} \in \gamma_S(\underline{-})$
- $\oplus^\sharp(\underline{+}, \underline{-}) = \top$  is **a lot worse than**  $\alpha_S(\oplus(\{8\}, \{-2\})) = \underline{+}$

# Example: lattice of signs set operators

**Best abstract operators** approximating  $\cup$  and  $\cap$  defined over pairs of sets (thus, as binary operators):

$\cup^\#$	$\perp$	$\underline{=}$	$\underline{0}$	$\underline{+}$	$\top$
$\perp$	$\perp$	$\underline{=}$	$\underline{0}$	$\underline{+}$	$\top$
$\underline{=}$	$\underline{=}$	$\underline{=}$	$\top$	$\top$	$\top$
$\underline{0}$	$\underline{0}$	$\top$	$\underline{0}$	$\top$	$\top$
$\underline{+}$	$\underline{+}$	$\top$	$\top$	$\underline{+}$	$\top$
$\top$	$\top$	$\top$	$\top$	$\top$	$\top$

$\cap^\#$	$\perp$	$\underline{=}$	$\underline{0}$	$\underline{+}$	$\top$
$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$
$\underline{=}$	$\perp$	$\underline{=}$	$\perp$	$\perp$	$\underline{=}$
$\underline{0}$	$\perp$	$\perp$	$\underline{0}$	$\perp$	$\underline{0}$
$\underline{+}$	$\perp$	$\perp$	$\perp$	$\underline{+}$	$\underline{+}$
$\top$	$\perp$	$\underline{=}$	$\underline{0}$	$\underline{+}$	$\top$

**Soundness:** 
$$\begin{cases} \gamma(a_0) \cup \gamma(a_1) \subseteq \gamma(c_0 \cup^\# c_1) \\ \gamma(a_0) \cap \gamma(a_1) \subseteq \gamma(c_0 \cap^\# c_1) \end{cases}$$

**Example of loss in precision:**

- $\gamma(\underline{=}) \cup \gamma(\underline{+}) = \{n \in \mathbb{Z} \mid n \neq 0\} \subset \gamma(\top)$



# Outline

- 1 Abstraction
- 2 **Abstract interpretation**
  - Abstract computation
  - Fixpoint transfer
- 3 Application of abstract interpretation
- 4 Conclusion

# Fixpoint transfer

What about **loops** ? semantic functions defined by **fixpoints** ?

## Theorem: exact fixpoint transfer

We assume  $(C, \subseteq)$  and  $(A, \sqsubseteq)$  are complete lattices. We consider a Galois connection  $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$ , two functions  $f : C \rightarrow C$  and  $f^\sharp : A \rightarrow A$  and two elements  $c_0 \in C, a_0 \in A$  such that:

- $f$  is continuous
- $f^\sharp$  is monotone
- $\alpha \circ f = f^\sharp \circ \alpha$
- $\alpha(c_0) = a_0$

Then:

- **both  $f$  and  $f^\sharp$  have a least-fixpoint** (by Tarski's fixpoint theorem)
- $\alpha(\text{lfp}_{c_0} f) = \text{lfp}_{a_0} f^\sharp$

# Fixpoint transfer: proof

- $\alpha(\text{lfp}_{c_0} f)$  is a fixpoint of  $f^\sharp$  since:

$$\begin{aligned} f^\sharp(\alpha(\text{lfp}_{c_0} f)) &= \alpha(f(\text{lfp}_{c_0} f)) && \text{since } \alpha \circ f = f^\sharp \circ \alpha \\ &= \alpha(\text{lfp}_{c_0} f) && \text{by definition of the fixpoints} \end{aligned}$$

- To show that  $\alpha(\text{lfp}_{c_0} f)$  is the least-fixpoint of  $f^\sharp$ ,

we assume that  $X$  is another fixpoint of  $f^\sharp$  greater than  $a_0$  and we show that  $\alpha(\text{lfp}_{c_0} f) \sqsubseteq X$ , i.e., that  $\text{lfp}_{c_0} f \subseteq \gamma(X)$ .

As  $\text{lfp}_{c_0} f = \bigcup_{n \in \mathbb{N}} f^n(c_0)$  (by Kleene's fixpoint theorem), it amounts to proving that  $\forall n \in \mathbb{N}, f^n(c_0) \subseteq \gamma(X)$ .

By induction over  $n$ :

- ▶  $f^0(c_0) = c_0$ , thus  $\alpha(f^0(c_0)) = a_0 \sqsubseteq X$ ; thus,  $f^0(c_0) \subseteq \gamma(X)$ .
- ▶ let us assume that  $f^n(c_0) \subseteq \gamma(X)$ , and let us show that  $f^{n+1}(c_0) \subseteq \gamma(X)$ , i.e. that  $\alpha(f^{n+1}(c_0)) \sqsubseteq X$ :

$$\alpha(f^{n+1}(c_0)) = \alpha \circ f(f^n(c_0)) = f^\sharp \circ \alpha(f^n(c_0)) \sqsubseteq f^\sharp(X) = X$$

as  $\alpha(f^n(c_0)) \sqsubseteq X$  and  $f^\sharp$  is monotone.

# Constructive analysis of loops

## How to get a constructive fixpoint transfer theorem ?

### Theorem: fixpoint abstraction

Under the assumptions of the previous theorem, and with the following additional hypothesis:

- lattice  $A$  is of finite height

We compute the sequence  $(a_n)_{n \in \mathbb{N}}$  defined by  $a_{n+1} = a_n \sqcup f^\#(a_n)$ .

Then,  $(a_n)_{n \in \mathbb{N}}$  **converges and its limit  $a_\infty$  is such that  $\alpha(\text{lfp}_{c_0} f) = a_\infty$** .

**Proof:** exercise.

**Note:**

- the assumptions we have made are **too restrictive** in practice
- more general fixpoint abstraction methods in the next lecture

# Outline

- 1 Abstraction
- 2 Abstract interpretation
- 3 Application of abstract interpretation**
- 4 Conclusion

# Comparing existing semantics

- 1 A **concrete semantics**  $\llbracket P \rrbracket$  is given: e.g., big steps operational semantics
- 2 An **abstract semantics**  $\llbracket P \rrbracket^\#$  is given: e.g., denotational semantics
- 3 **Search for an abstraction relation between them**  
e.g.,  $\llbracket P \rrbracket^\# = \alpha(\llbracket P \rrbracket)$ , or  $\llbracket P \rrbracket \subseteq \gamma(\llbracket P \rrbracket^\#)$

## Examples:

- finite traces semantics as an abstraction of bi-finitary trace semantics
- denotational semantics as an abstraction of trace semantics
- types as an abstraction of denotational semantics

## Payoff:

- better understanding of ties across semantics
- chance to generalize existing definitions

Example: connection between reachable states and denotational semantics

# Derivation of a static analysis

- 1 Start from a **concrete semantics**  $\llbracket P \rrbracket$
- 2 **Choose an abstraction** defined by a Galois connection or a concretization function (usually)
- 3 **Derive an abstract semantics**  $\llbracket P \rrbracket^\#$  such that  $\llbracket P \rrbracket \subseteq \gamma(\llbracket P \rrbracket^\#)$

## Examples:

- derivation of an analysis with a numerical lattice (constants, intervals...)
- construction of an analysis for a complex programming language

## Payoff:

- the derivation of the abstract semantics is quite systematic
- this process offers good opportunities for a modular analysis design

There are many ways to apply abstract interpretation.

# A very simple language and its semantics

We now apply this to a very simple language, and **derive a static analysis step by step**, from **a concrete semantics** and **an abstraction**.

- we assume **a fixed set of  $n$  integer variables**  $x_0, \dots, x_{n-1}$
- we consider the language defined by the grammar below:

$P ::=$	$x_i = n$	where $n \in \mathbb{Z}$
	$x_i = x_j + x_k$	basic, three-addresses arithmetics
	$x_i = x_j - x_k$	basic, three-addresses arithmetics
	$x_i = x_j \cdot x_k$	basic, three-addresses arithmetics
	$P; P$	concatenation
	$\text{while}() P$	loop, non-deterministic iteration count

- a state is a vector  $\sigma = (\sigma_0, \dots, \sigma_{n-1}) \in \mathbb{Z}^n$
- a single initial state  $\sigma_{\text{init}} = (0, \dots, 0)$



# Concrete semantics

## Concrete semantics

We let  $\llbracket P \rrbracket : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathcal{P}(\mathbb{Z}^n)$  be defined by:

$$\begin{aligned}
 \llbracket x_i = n \rrbracket(\mathcal{M}) &= \{\sigma[i \leftarrow n] \mid \sigma \in \mathcal{M}\} \\
 \llbracket x_i = x_j + x_k \rrbracket(\mathcal{M}) &= \{\sigma[i \leftarrow \sigma_j + \sigma_k] \mid \sigma \in \mathcal{M}\} \\
 \llbracket x_i = x_j - x_k \rrbracket(\mathcal{M}) &= \{\sigma[i \leftarrow \sigma_j - \sigma_k] \mid \sigma \in \mathcal{M}\} \\
 \llbracket x_i = x_j * x_k \rrbracket(\mathcal{M}) &= \{\sigma[i \leftarrow \sigma_j * \sigma_k] \mid \sigma \in \mathcal{M}\} \\
 \llbracket P_0; P_1 \rrbracket(\mathcal{M}) &= \llbracket P_1 \rrbracket \circ \llbracket P_0 \rrbracket(\mathcal{M}) \\
 \llbracket \text{while}() P \rrbracket(\mathcal{M}) &= \text{lfp } f \\
 &\quad f : \mathcal{M}' \mapsto \mathcal{M} \cup \mathcal{M}' \cup \llbracket P \rrbracket(\mathcal{M}')
 \end{aligned}$$

- given a complete program  $P$ , the **reachable states** are defined by  $\llbracket P \rrbracket(\{\sigma_{\text{init}}\})$

# Example

## A couple of contrived examples

enough to show the behavior of the analysis...

### Factorial function:

```
x0 = 0;  
x1 = 1;  
x2 = 1;  
while(){  
    x1 = x0 * x1;  
    x0 = x0 + x2;  
}
```

- loops exit at some (non deterministic) point
- at the end  $x_1$  is equal to  $x_0!$
- outputs  $x_0, x_1, x_2$  should be **positive**

# Abstraction

We compose two abstractions:

- **non relational abstraction:** the values a variable may take is abstracted separately from the other variables
- **sign abstraction:** the set of values observed for each variable is abstracted into the lattice of signs

## Abstraction

- **concrete domain:**  $(\mathcal{P}(\mathbb{Z}^n), \subseteq)$
- **abstract domain:**  $(D^\sharp, \sqsubseteq)$ , where  $D^\sharp = (D_S^\sharp)^n$  and  $\sqsubseteq$  is the pointwise ordering
- **Galois connection**  $(\mathcal{P}(\mathbb{Z}), \subseteq) \xleftrightarrow[\alpha]{\gamma} (D^\sharp, \sqsubseteq)$ , defined by

$$\begin{aligned} \alpha : S &\longmapsto (\alpha_S(\{\sigma_0 \mid \sigma \in S\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in S\})) \\ \gamma : M^\sharp &\longmapsto \{\sigma \in \mathbb{Z}^n \mid \forall i, \sigma_i \in \gamma_S(M_i^\sharp)\} \end{aligned}$$

# Towards an abstraction for our small language

## Basic intuitions for our abstraction:

- ① a memory state is a **vector of scalars**
- ② the concrete semantics is a **function**, that maps a concrete pre-condition to an abstract post-condition
- ③ sign lattice abstract elements abstract sets of values
- ④ an **abstract state** should thus consist of a vector of abstract values
- ⑤ moreover, the **abstract semantics** should consist of a **function** that maps an **abstract pre-condition** into an **abstract post-condition**

# Abstract semantics: sequences

We search **for an abstract semantics**  $\llbracket P \rrbracket^\# : D^\# \rightarrow D^\#$  such that:

$$\alpha \circ \llbracket P \rrbracket \subseteq \llbracket P \rrbracket^\# \circ \alpha$$

We aim for a **proof by induction over the syntax of programs**

So, **let us start with sequences / composition**, under the assumption that the property holds for  $P_0, P_1$ :

- $\alpha \circ \llbracket P_0 \rrbracket \subseteq \llbracket P_0 \rrbracket^\# \circ \alpha$
- $\alpha \circ \llbracket P_1 \rrbracket \subseteq \llbracket P_1 \rrbracket^\# \circ \alpha$

Since  $\llbracket P_0; P_1 \rrbracket = \llbracket P_1 \rrbracket \circ \llbracket P_0 \rrbracket$ , we expect  $\llbracket P_0; P_1 \rrbracket^\# = \llbracket P_1 \rrbracket^\# \circ \llbracket P_0 \rrbracket^\#$ :

$$\begin{aligned} \alpha \circ \llbracket P_1 \rrbracket \circ \llbracket P_0 \rrbracket &\subseteq \llbracket P_1 \rrbracket^\# \circ \alpha \circ \llbracket P_0 \rrbracket && \text{(by induction)} \\ &\subseteq \llbracket P_1 \rrbracket^\# \circ \llbracket P_0 \rrbracket^\# \circ \alpha && \text{by induction...} \\ &&& \text{and if } \llbracket P_1 \rrbracket^\# \text{ monotone)!} \end{aligned}$$

**Big additional constraint (only today):  $\llbracket P \rrbracket^\#$  monotone**

# Abstract semantics: assignment command

We now consider the analysis of **assignment statements**

We observe that:

$$\begin{aligned}\alpha(\mathcal{M}) &= (\alpha_S(\{\sigma_0 \mid \sigma \in \mathcal{M}\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in \mathcal{M}\})) \\ \alpha \circ \llbracket P \rrbracket(\mathcal{M}) &= (\alpha_S(\{\sigma_0 \mid \sigma \in \llbracket P \rrbracket(\mathcal{M})\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in \llbracket P \rrbracket(\mathcal{M})\}))\end{aligned}$$

**We start with  $x_i = n$ :**

$$\begin{aligned}\alpha \circ \llbracket x_i = n \rrbracket(\mathcal{M}) &= (\alpha_S(\{\sigma_0 \mid \sigma \in \llbracket P \rrbracket(\{\sigma[i \leftarrow n] \mid \sigma \in \mathcal{M}\})\}), \dots, \\ &\quad \alpha_S(\{\sigma_{n-1} \mid \sigma \in \llbracket P \rrbracket(\{\sigma[i \leftarrow n] \mid \sigma \in \mathcal{M}\})\})) \\ &= (\alpha_S(\{\sigma_0 \mid \sigma \in \mathcal{M}\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in \mathcal{M}\})) [i \leftarrow \alpha_S(\{n\})] \\ &= \alpha(\mathcal{M}) [i \leftarrow \alpha_S(\{n\})] \\ &= \llbracket x_i = n \rrbracket^\#(\alpha(\mathcal{M}))\end{aligned}$$

where:

$$\llbracket x_i = n \rrbracket^\#(M^\#) = M^\#[i \leftarrow \alpha_S(\{n\})]$$

# Computation of the abstract semantics

Other assignments are treated in a similar manner:

$$\begin{aligned}
 \llbracket x_i = n \rrbracket^\#(M^\#) &= M^\#[i \leftarrow \alpha_S(\{n\})] \\
 \llbracket x_i = x_j + x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \oplus^\# M_k^\#] \\
 \llbracket x_i = x_j - x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \ominus^\# M_k^\#] \\
 \llbracket x_i = x_j * x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \otimes^\# M_k^\#]
 \end{aligned}$$

- Proofs are left as exercises
- As remarked before, we only get  $\alpha \circ \llbracket P \rrbracket \sqsubseteq \llbracket P \rrbracket^\# \circ \alpha$   
i.e., equality is too hard to derive
- On the other hand, monotonicity is good so far (exercise)

# Analysis of a loop

We have seen that:

$$\begin{aligned} \llbracket \text{while}() P \rrbracket(\mathcal{M}) &= \text{lfp } f \\ \text{where } f(\mathcal{M}') &= \mathcal{M} \cup \mathcal{M}' \cup \llbracket P \rrbracket(\mathcal{M}') \end{aligned}$$

Thus, **we look for a fixpoint transfer**, but our fixpoint transfer theorem **requires equality**, so **it does not apply**...

We will use a variant of the previous theorem:

If:

- $f$  is continuous
- $f^\#$  is monotone
- $\alpha \circ f \sqsubseteq f^\# \circ \alpha$
- $\alpha(\emptyset) = \perp$

Then,  $\alpha(\text{lfp } f) \sqsubseteq \text{lfp } f^\#$



# Analysis of a loop

## Application:

- we consider the analysis of the loop with pre-condition  $M^\sharp$
- we take

$$f^\sharp(M_0^\sharp) = M^\sharp \cup M_0^\sharp \cup \llbracket P \rrbracket^\sharp(M_0^\sharp)$$

- then,  $\alpha \circ f \sqsubseteq f^\sharp \circ \alpha$
- we can apply **the new fixpoint transfer theorem...**

$$\begin{aligned} \llbracket \text{while}() P \rrbracket^\sharp(M^\sharp) &= \text{lfp}_{M^\sharp} f^\sharp \\ \text{where } f^\sharp(M_0^\sharp) &= M^\sharp \cup M_0^\sharp \cup \llbracket P \rrbracket^\sharp(M_0^\sharp) \end{aligned}$$

## One more thing:

- we need to prove **monotonicity** of the fixpoint image since the whole abstract semantics soundness relies on it!

# Abstract semantics

## Abstract semantics and soundness

We have derived the following definition of  $\llbracket P \rrbracket^\#$ :

$$\begin{aligned}
 \llbracket x_i = n \rrbracket^\#(M^\#) &= M^\#[i \leftarrow \alpha_S(\{n\})] \\
 \llbracket x_i = x_j + x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \oplus^\# M_k^\#] \\
 \llbracket x_i = x_j - x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \ominus^\# M_k^\#] \\
 \llbracket x_i = x_j \cdot x_k \rrbracket^\#(M^\#) &= M^\#[i \leftarrow M_j^\# \otimes^\# M_k^\#] \\
 \llbracket \text{while}() P \rrbracket^\#(M^\#) &= \text{lfp}_{M^\#} f^\# \text{ where} \\
 &\quad f^\# : M^\# \mapsto M^\# \sqcup \llbracket P \rrbracket^\#(M^\#)
 \end{aligned}$$

Furthermore, for all program  $P$ :  $\alpha \circ \llbracket P \rrbracket \subseteq \llbracket P \rrbracket^\# \circ \alpha$

Last,  $\llbracket P \rrbracket^\#$  is **monotone**

An **over-approximation of the final states** is computed by  $\llbracket P \rrbracket^\#(\top)$ .

# Example

## Factorial function:

```

x0 = 1;
x1 = 1;
x2 = 1;
while(){
    x1 = x0 * x1;
    x0 = x0 + x2;
}

```

Abstract state **before the loop:**

$(\underline{+}, \underline{+}, \underline{+})$

**Iterates on the loop:**

iterate	0	1
x <sub>0</sub>	<u>+</u>	<u>+</u>
x <sub>1</sub>	<u>+</u>	<u>+</u>
x <sub>2</sub>	<u>+</u>	<u>+</u>

Abstract state **after the loop:**  $(\underline{+}, \underline{+}, \underline{+})$

# Outline

- 1 Abstraction
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# Summary

## This lecture:

- **abstraction** and its formalization
- **computation of an abstract semantics** in a very simplified case

## Next lectures:

- **construction** of a few **non trivial abstractions**
- **more general** ways to **compute sound abstract properties**