

Abstract Interpretation

Semantics and applications to verification

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Program of this lecture

Towards a more realistic abstract interpreter

Today:

- **more general soundness proof:**
using γ , and requiring no monotonicity in the abstract level
- **more general abstract domain:**
signs is good for introduction only, we want to see constants, intervals...
- **extended language** with **expressions**
i.e., not only three address arithmetic
- **more general abstract iteration technique:**
convergence guaranteed even with **infinite height domain**

Outline

- 1 Another Soundness Relation
- 2 Revisiting Abstract Iteration
- 3 Conclusion

About soundness relations

Several formalisms available:

- **abstraction function** $\alpha : C \rightarrow A$, returns the **best** approximation
- **concretization function** $\gamma : A \rightarrow C$, returns the meaning of an abstract element
- **Galois connection** $(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$

Limitations of our previous abstract interpreter:

- uses the best abstraction function α all the time
- tries to establish equality $\llbracket P \rrbracket^\# \circ \alpha = \alpha \circ \llbracket P \rrbracket$ but fails...
indeed, some operators may only compute an over-approximation
- proves $\alpha \circ \llbracket P \rrbracket \sqsubseteq \llbracket P \rrbracket^\# \circ \alpha$
at the cost of proving monotonicity of $\llbracket P \rrbracket^\#$

Alternate approach

Use γ only and prove $\llbracket P \rrbracket \circ \gamma \subseteq \gamma \circ \llbracket P \rrbracket^\#$

A language with expressions

We now consider the denotational semantics of our **imperative language**:

- **variables** \mathbb{X} : finite, predefined set of variables
- **values** \mathbb{V} : $\mathbb{V}_{\text{int}} \cup \mathbb{V}_{\text{float}} \cup \dots$
- **expressions** are allowed (not just three address instructions)
- **conditions** are **simplified** compared to initial language

Syntax

e	$::= v \ (v \in \mathbb{V}) \mid x \ (x \in \mathbb{X}) \mid e + e \mid e * e \mid \dots$	expressions
c	$::= x < v \mid x = v \mid \dots$	basic conditions
i	$::= x := e$	assignment
	$\mid \mathbf{input}(x)$	random value input
	$\mid \mathbf{if}(c) \ b \ \mathbf{else} \ b$	condition
	$\mid \mathbf{while}(c) \ b$	loop
b	$::= \{i; \dots; i;\}$	block, program(\mathbb{P})

Semantics of expressions and conditions (refresher)

We have defined a few lectures ago:

- a **semantics for expressions**, defined **by induction over the syntax**:

$$\begin{aligned}
 \llbracket e \rrbracket &: \mathbb{M} \longrightarrow \mathbb{V} \uplus \{\Omega\} \\
 \llbracket v \rrbracket(m) &= v \\
 \llbracket x \rrbracket(m) &= m(x) \\
 \llbracket e_0 + e_1 \rrbracket(m) &= \llbracket e_0 \rrbracket(m) \pm \llbracket e_1 \rrbracket(m) \\
 \llbracket e_0 / e_1 \rrbracket(m) &= \begin{cases} \Omega & \text{if } \llbracket e_1 \rrbracket(m) = 0 \\ \llbracket e_0 \rrbracket(m) \underline{\quad} \llbracket e_1 \rrbracket(m) & \text{otherwise} \end{cases}
 \end{aligned}$$

- a **semantics for conditions**, following the same principle:

$$\llbracket c \rrbracket : \mathbb{M} \longrightarrow \mathbb{V}_{\text{bool}} \uplus \{\Omega\}$$

Semantics of statements (refresher)

We have also defined:

Denotational semantics of programs

We use the **denotational semantics** $\llbracket i \rrbracket_{\mathcal{D}} : \mathcal{P}(\mathbb{M}) \rightarrow \mathcal{P}(\mathbb{M})$ by:

$$\llbracket x := e \rrbracket_{\mathcal{D}}(\mathcal{M}) = \{m[x \leftarrow \llbracket e \rrbracket(m)] \mid m \in \mathcal{M}\}$$

$$\llbracket \text{input}(x) \rrbracket_{\mathcal{D}}(\mathcal{M}) = \{m[x \leftarrow v] \mid v \in \mathbb{V} \wedge m \in \mathcal{M}\}$$

$$\begin{aligned} \llbracket \text{if}(c) b_0 \text{ else } b_1 \rrbracket_{\mathcal{D}}(\mathcal{M}) &= \llbracket b_0 \rrbracket_{\mathcal{D}}(\{m \in \mathcal{M} \mid \llbracket c \rrbracket(m) = \text{TRUE}\}) \\ &\quad \cup \llbracket b_1 \rrbracket_{\mathcal{D}}(\{m \in \mathcal{M} \mid \llbracket c \rrbracket(m) = \text{FALSE}\}) \end{aligned}$$

$$\llbracket \text{while}(c) b \rrbracket_{\mathcal{D}}(\mathcal{M}) = \{m \in \text{lfp } F_{\mathcal{D}} \mid \llbracket c \rrbracket(m) = \text{FALSE}\}$$

where $F_{\mathcal{D}} : \mathcal{M}' \mapsto \mathcal{M} \cup \llbracket b \rrbracket_{\mathcal{D}}(\{m \in \mathcal{M}' \mid \llbracket c \rrbracket(m) = \text{TRUE}\})$

$$\llbracket i_0; i_1 \rrbracket_{\mathcal{D}}(\mathcal{M}) = \llbracket i_1 \rrbracket_{\mathcal{D}} \circ \llbracket i_0 \rrbracket_{\mathcal{D}}(\mathcal{M})$$

- As before, we seek for **an abstract interpretation of $\llbracket i \rrbracket_{\mathcal{D}}$**
- We first need to set up **the abstraction relation**

Towards a more general abstraction

We compose two abstractions:

- **non relational abstraction:** the values a variable may take is abstracted separately from the other variables
- **parameter value abstraction:** an **abstract value** describes a set of concrete values (not necessarily the lattice of sign anymore) defined by

$$(\mathcal{P}(\mathbb{Z}), \subseteq) \xleftrightarrow[\alpha_{\mathcal{V}}]{\gamma_{\mathcal{V}}} (D^{\sharp}, \sqsubseteq)$$

Definitions are quite similar:

Abstraction

- **concrete domain:** $(\mathcal{P}(\mathbb{X} \rightarrow \mathbb{Z}), \subseteq)$
- **abstract domain:** $(D^{\sharp}, \sqsubseteq)$, where $D^{\sharp} = \mathbb{X} \rightarrow D_{\mathcal{V}}^{\sharp}$, and \sqsubseteq is the pointwise ordering

- **Galois connection** $(\mathcal{P}(\mathbb{Z}), \subseteq) \xleftrightarrow[\alpha]{\gamma} (D^{\sharp}, \sqsubseteq)$, defined by

$$\alpha : \mathcal{M} \mapsto (\alpha_{\mathcal{V}}(\{\sigma_0 \mid \sigma \in \mathcal{M}\}), \dots, \alpha_{\mathcal{V}}(\{\sigma_{n-1} \mid \sigma \in \mathcal{M}\}))$$

$$\gamma : M^{\sharp} \mapsto \{\sigma \in \mathbb{Z}^n \mid \forall i, \sigma_i \in \gamma_{\mathcal{V}}(M_i^{\sharp})\}$$

Abstract semantics of sequences (revised)

We search for an abstract semantics $\llbracket P \rrbracket^\# : D^\# \rightarrow D^\#$ such that:

$$\llbracket P \rrbracket \circ \gamma \subseteq \gamma \circ \llbracket P \rrbracket^\#$$

We still aim for a **proof by induction over the syntax of programs**

Sequences / composition forced us to require **monotonicity** last time:

- we assume $\llbracket P_0 \rrbracket \circ \gamma \subseteq \gamma \circ \llbracket P_0 \rrbracket^\#$
- we assume $\llbracket P_1 \rrbracket \circ \gamma \subseteq \gamma \circ \llbracket P_1 \rrbracket^\#$
- since $\llbracket P_0; P_1 \rrbracket = \llbracket P_1 \rrbracket \circ \llbracket P_0 \rrbracket$, we search for something similar in the abstract level

$$\begin{aligned} \llbracket P_1 \rrbracket \circ \llbracket P_0 \rrbracket \circ \gamma &\subseteq \llbracket P_1 \rrbracket \circ \gamma \circ \llbracket P_0 \rrbracket^\# && \text{(by induction)} \\ &\subseteq \gamma \circ \llbracket P_1 \rrbracket^\# \circ \llbracket P_0 \rrbracket^\# && \text{(by induction)} \end{aligned}$$

No more requirement that $\llbracket P \rrbracket^\#$ be monotone (much better!)

Abstract semantics of expressions

Analysis of an expression

- the semantics $\llbracket e \rrbracket : \mathbb{M} \rightarrow \mathbb{V}$ of an expression evaluates it into a value
- thus, the abstract semantics **should evaluate it into an abstract value**:

$$\llbracket e \rrbracket^\# : D^\# \rightarrow D_V^\#$$

Since we use the concrete semantics as a guide, we need:

- abstraction for constants:**

i.e., a function $\phi_V : \mathbb{V} \rightarrow D_V^\#$ such that $\forall v \in \mathbb{V}, v \in \gamma_V(\phi_V(v))$

note: if α_V exists, then we may take $v \mapsto \alpha_V(\{v\})$ note: if it is too hard to compute, we may take something coarser

- abstract operators:**

i.e., for each binary operator \oplus , an abstract operator $\oplus^\#$ such that:

$$\forall v_0^\#, v_1^\# \in D_V^\#, \{v_0 \oplus v_1 \mid \forall i, v_i \in \gamma_V(v_i^\#)\} \subseteq \gamma_V(v_0^\# \oplus^\# v_1^\#)$$

Abstract semantics of expressions

Analysis of expressions: definition

We define $\llbracket e \rrbracket^\# : D^\# \rightarrow D_V^\#$ by:

$$\begin{aligned} \llbracket v \rrbracket^\#(M^\#) &= \phi_V(v) \\ \llbracket x \rrbracket^\#(M^\#) &= M^\#(x) \\ \llbracket e_0 \oplus e_1 \rrbracket^\#(M^\#) &= \llbracket e_0 \rrbracket^\#(M^\#) \oplus^\# \llbracket e_1 \rrbracket^\#(M^\#) \end{aligned}$$

Analysis of expressions: soundness

For all expression e and for all abstract memory state $M^\# \in D^\#$, we have:

$$\forall m \in \gamma(M^\#), \llbracket e \rrbracket(m) \text{ returns no error} \implies \llbracket e \rrbracket(m) \in \gamma_V(\llbracket e \rrbracket^\#(M^\#))$$

Proof:

- basic **induction over the syntax**
- relies on the soundness of each operation

Analysis of an assignment

We now rely on the abstract semantics of expressions:

$$\llbracket x = e \rrbracket^\sharp(M^\sharp) = M^\sharp[x \leftarrow \llbracket e \rrbracket^\sharp(M^\sharp)]$$

- soundness proof is very similar
- but now, is given in terms of γ

Abstract semantics of conditions

Analysis of a condition

- the semantics $\llbracket c \rrbracket : \mathbb{M} \longrightarrow \mathbb{V}_{\text{bool}}$ of a condition evaluates it into a boolean value (or an error)
- **but** the semantics relies on its functional inverse:
e.g., $\{m \in \mathbb{M} \mid \llbracket c \rrbracket(m) = \text{TRUE}\}$ or $\{m \in \mathbb{M} \mid \llbracket c \rrbracket(m) = \text{FALSE}\}$
- thus, the abstract semantics **should tell which memories satisfy a condition**:

$$\begin{aligned} & \llbracket c \rrbracket^\# : \mathbb{V}_{\text{bool}} \times D^\# \longrightarrow D^\# \\ & \forall b \in \mathbb{V}_{\text{bool}}, \forall m \in \gamma(M^\#), \llbracket c \rrbracket(m) = b \implies m \in \gamma(\llbracket c \rrbracket^\#(b, M^\#)) \end{aligned}$$

- we assume that the abstract domain provides such a function
 $\llbracket c \rrbracket^\# : \mathbb{V}_{\text{bool}} \times D^\# \longrightarrow D^\#$
- we will implement some when considering specific domains

We will see more general principles soon

Analysis of a condition statement

Abstraction of concrete union:

- we assume a **sound abstract union operation** $\mathbf{join}_{\mathcal{V}}^{\#}$, over the value abstract domain:

$$\forall v_0^{\#}, v_1^{\#}, \gamma(v_0^{\#}) \cup \gamma(v_1^{\#}) \subseteq \gamma(\mathbf{join}_{\mathcal{V}}^{\#}(v_0^{\#}, v_1^{\#}))$$

it may be $\sqcup_{\mathcal{V}}$ if it exists, but could over-approximate it

- we let $\mathbf{join}^{\#}$ be the pointwise extension of $\mathbf{join}_{\mathcal{V}}^{\#}$,
- it is also sound: $\forall M_0^{\#}, M_1^{\#}, \gamma(M_0^{\#}) \cup \gamma(M_1^{\#}) \subseteq \gamma(\mathbf{join}^{\#}(M_0^{\#}, M_1^{\#}))$

We derive:

$$\begin{aligned} \llbracket \mathbf{if}(c) P_0 \mathbf{else} P_1 \rrbracket^{\#}(M^{\#}) = \\ \mathbf{join}^{\#}(\llbracket P_0 \rrbracket^{\#}(\llbracket c \rrbracket^{\#}(\mathbf{TRUE}, M^{\#})), \llbracket P_1 \rrbracket^{\#}(\llbracket c \rrbracket^{\#}(\mathbf{FALSE}, M^{\#}))) \end{aligned}$$

Proof of soundness:

- similar as in the previous course
- relies on the soundness of $\llbracket c \rrbracket^{\#}$, $\llbracket P_0 \rrbracket^{\#}$, $\llbracket P_1 \rrbracket^{\#}$ and $\mathbf{join}^{\#}$

Analysis of a loop

Again, quite similar to the previous course:

- statement **while**(c) P , with abstract pre-condition M^\sharp
- we assume $\llbracket c \rrbracket^\sharp$ and $\llbracket P \rrbracket^\sharp$ sound abstract semantics for the condition and the loop body
- we derive, using a **new version of the fixpoint transfer theorem** (exercise):

$$\llbracket \text{while}(c) P \rrbracket^\sharp(M^\sharp) = \llbracket c \rrbracket^\sharp(\text{FALSE}, \text{lfp}_{M^\sharp} F^\sharp)$$

where $F^\sharp : M_0^\sharp \mapsto \text{join}^\sharp(M_0^\sharp, \llbracket P \rrbracket^\sharp(\llbracket c \rrbracket^\sharp(\text{TRUE}, M_0^\sharp)))$

Computation of abstract iterates:

$$\begin{cases} M_0^\sharp & = M^\sharp \\ M_{n+1}^\sharp & = \text{join}^\sharp(M_n^\sharp, \llbracket P \rrbracket^\sharp(\llbracket c \rrbracket^\sharp(\text{TRUE}, M_n^\sharp))) \end{cases}$$

Exit condition: when successive iterates are equal

Static analysis

We can now summarize the definition of our static analysis:

Definition

$$\begin{aligned}
 \llbracket P_0; P_1 \rrbracket^\#(M^\#) &= \llbracket P_1 \rrbracket^\# \circ \llbracket P_0 \rrbracket^\#(M^\#) \\
 \llbracket x = e \rrbracket^\#(M^\#) &= M^\#[x \leftarrow \llbracket e \rrbracket^\#(M^\#)] \\
 \llbracket \text{input}() \rrbracket^\#(M^\#) &= M^\#[x \leftarrow \top] \\
 \llbracket \text{if}(c) P_0 \text{ else } P_1 \rrbracket^\#(M^\#) &= \text{join}^\#(\llbracket P_0 \rrbracket^\#(\llbracket c \rrbracket^\#(\text{TRUE}, M^\#)), \\
 &\quad \llbracket P_1 \rrbracket^\#(\llbracket c \rrbracket^\#(\text{FALSE}, M^\#))) \\
 \llbracket \text{while}(c) P \rrbracket^\#(M^\#) &= \llbracket c \rrbracket^\#(\text{FALSE}, \text{lfp}_{M^\#} F^\#) \\
 \text{where } F^\# : M_0^\# &\longmapsto \text{join}^\#(M_0^\#, \llbracket P \rrbracket^\#(\llbracket c \rrbracket^\#(\text{TRUE}, M_0^\#)))
 \end{aligned}$$

And, by induction over the syntax, we can prove:

Soundness

For all program P , $\forall M^\# \in D^\#, \llbracket P \rrbracket \circ \gamma(M^\#) \subseteq \gamma \circ \llbracket P \rrbracket^\#(M^\#)$

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Limitations related to abstract iteration

We need a finite height lattice:

- otherwise the computation of $\text{lfp } F^\#$ may not converge as was the case when we discussed WLP calculus
- **consequence 1**: so far, the abstract domain of intervals is out...
- **consequence 2**: if the number of variables is not fixed or bounded, we cannot prove convergence at this point

Even when the abstract domain $D_V^\#$ is of finite height, this height may be huge: then abstract computations are very costly!

We now need a more general abstract iteration technique

Intuition from search for an unknown inductive property:

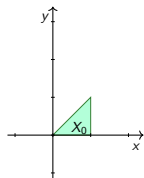
- 1 look at the base case and following cases
- 2 try to generalize them

Widening iteration: search for inductive abstract properties

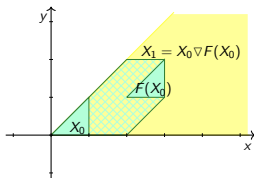
Computing invariants about infinite executions with widening ∇

- **Widening** ∇ over-approximates \cup : **soundness guarantee**
- **Widening** ∇ guarantees the **termination of the analyses**
- Typical choice of ∇ : **remove unstable constraints**

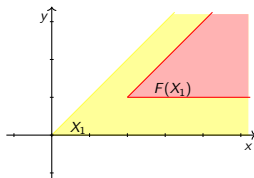
Example: iteration of the translation $(2, 1)$, with **octagonal polyhedra** (i.e., convex polyhedra the axes of which are either at a 0° or 45° angle)



initial



iteration 1

iteration 2: **stable !**

- Initially: **3 constraints**
- After one iteration: **2 constraints**, then stable

Widening operator

Widening operator: Definition

A **widening operator** over an abstract domain D^\sharp is a binary operator ∇ such that:

- $\forall M_0^\sharp, M_1^\sharp, \gamma(M_0^\sharp) \cup \gamma(M_1^\sharp) \subseteq \gamma(M_0^\sharp \nabla M_1^\sharp)$
- if $(N_k^\sharp)_{k \in \mathbb{N}}$ is a sequence of elements of D^\sharp the **sequence** $(M_k^\sharp)_{k \in \mathbb{N}}$ defined below is **stationary**:

$$\begin{aligned} M_0^\sharp &= N_0^\sharp \\ M_{k+1}^\sharp &= M_k^\sharp \nabla N_{k+1}^\sharp \end{aligned}$$

- **Intuition:**
 - point 1 expresses **over-approximation** of concrete union
 - point 2 enforces **termination**
- **Alternate definitions** exist:
 - e.g., using \sqsubseteq instead of \subseteq over concretizations

Widening operator in a finite height domain

Theorem

We assume that $(D^\#, \sqsubseteq)$ is a **finite height domain** and that \sqcup is the **least upper bound over $D^\#$** .

Then \sqcup **defines a widening over $D^\#$** .

Proof:

- ① since $M_0^\# \sqsubseteq M_0^\# \sqcup M_1^\#$, we have $\gamma(M_0^\#) \sqsubseteq \gamma(M_0^\# \sqcup M_1^\#)$
- ② a sequence of iterates $(M_k^\#)_{k \in \mathbb{N}}$ is an **increasing chain**, so if every increasing chain is finite, it will eventually stabilize

Applications:

- obvious widening operators for the lattices of constants, signs...
- abstract iteration algorithms are also the same

A widening operator in an infinite height domain

We consider the **value lattice of semi intervals with left bound 0**:

- $D_V^\# = \{\perp\} \uplus \mathbb{Z}_+^* \uplus \{+\infty\}$; $\gamma_V(v) = \{0, 1, \dots, v\}$
- $\forall v^\#, \perp \sqsubseteq v^\#$ and if $v_0^\# \leq v_1^\#$, then $v_0^\# \sqsubseteq v_1^\#$

We define **the widening operator** below:

Widening operator

$$\begin{aligned} \perp \nabla v^\# &= v^\# \\ v^\# \nabla \perp &= v^\# \\ v_0^\# \nabla v_1^\# &= \begin{cases} v_0^\# & \text{if } v_0^\# \geq v_1^\# \\ +\infty & \text{if } v_0^\# < v_1^\# \end{cases} \end{aligned}$$

Examples: $[0, 8] \nabla [0, 6] = [0, 8]$ $[0, 8] \nabla [0, 9] = [0, +\infty[$

Widening for intervals

Exercise: generalize this definition for both bounds

Fixpoint approximation using a widening operator

Theorem: widening based fixpoint approximation

We assume (C, \subseteq) is a complete lattice and that (A, \sqsubseteq) is an abstract domain with a concretization function $\gamma : A \rightarrow C$ and a widening operator ∇ . Moreover, we assume that:

- f is continuous (so it has a least fixpoint $\mathbf{lfp} f = \bigcup_{n \in \mathbb{N}} f^n(\emptyset)$)
- $f \circ \gamma \subseteq \gamma \circ f^\#$

We let the sequence $(M_k^\#)_{k \in \mathbb{N}}$ be defined by:

$$\begin{aligned} M_0^\# &= \perp \\ M_{k+1}^\# &= M_k^\# \nabla f^\#(M_k^\#) \end{aligned}$$

Then:

- 1 $(M_k^\#)_{k \in \mathbb{N}}$ is stationary and we write $M_{\text{lim}}^\#$ for its limit
- 2 $\mathbf{lfp} f \subseteq \gamma(M_{\text{lim}}^\#)$

Fixpoint approximation using a widening operator, proof

We assume all the assumptions of the theorem, and prove the two points:

- ① **Sequence convergence:** We let
$$\begin{cases} N_0^\# &= \perp \\ N_{k+1}^\# &= f^\#(M_k^\#) \end{cases}$$

Then, convergence follows directly from the definition of widening.
There exists a rank K from which all iterates are stable.

- ② **Soundness of the limit:**

We prove by induction over k that $\forall l \geq k, f^k(\emptyset) \subseteq \gamma(M_l^\#)$:

- ▶ the result clearly holds for $k = 0$;
- ▶ if the result holds at rank k and $l \geq k$ then:

$$\begin{aligned} f^{k+1}(\emptyset) &= f(f^k(\emptyset)) \\ &\subseteq f(\gamma(M_l^\#)) && \text{by induction} \\ &\subseteq \gamma(f^\#(M_l^\#)) && \text{since } f \circ \gamma \subseteq \gamma \circ f^\# \\ &\subseteq \gamma(M_l^\# \nabla f^\#(M_l^\#)) && \text{by definition of } \nabla \\ &= \gamma(M_{l+1}^\#) \end{aligned}$$

When $(M_k^\#)_{k \in \mathbb{N}}$ converges, $\forall l \geq K, M_l^\# = M_K^\# = M_\infty^\#$, thus
 $\forall k, f^k(\emptyset) \subseteq \gamma(M_\infty^\#)$ thus $\mathbf{lfp} f \subseteq \gamma(M_\infty^\#)$

Example widening iteration

```
int x = 0;

while(TRUE){

    if(x < 10 000){

        x = x + 1;

    } else {

        x = -x;

    }

}
```

Example widening iteration

```
int x = 0;  
     $x \in [0, 0]$   
while(TRUE){  
    if(x < 10 000){  
        x = x + 1;  
    } else {  
        x = -x;  
    }  
}
```

Example widening iteration

```
int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, 0]
  if(x < 10 000){

    x = x + 1;

  } else {

    x = -x;

  }
}
```

Entry into the loop

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, 0]
      if(x < 10 000){
      x ∈ [0, 0]
      x = x + 1;

      } else {
      x ∈ ∅
      x = -x;

      }

}

```

Only the “true” branch may be taken

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, 0]
      if(x < 10 000){
      x ∈ [0, 0]
      x = x + 1;
      x ∈ [1, 1]
      } else {
      x ∈ ∅
      x = -x;
      x ∈ ∅
      }
    }
  }

```

Incrementation

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, 0]
      if(x < 10 000){
      x ∈ [0, 0]
      x = x + 1;
      x ∈ [1, 1]
      } else {
      x ∈ ∅
      x = -x;
      x ∈ ∅
      }
      x ∈ [1, 1]
}

```

Abstract union at the end of the condition

Example widening iteration

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, +∞[
    if(x < 10 000){
        x ∈ [0, 0]
        x = x + 1;
        x ∈ [1, 1]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 1]
}

```

Widening at loop head

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, +∞[
      if(x < 10 000){
      x ∈ [0, 9999]
      x = x + 1;
      x ∈ [1, 1]
      } else {
      x ∈ [10000, +∞[
      x = -x;
      x ∈ ∅
      }
      x ∈ [1, 1]
}

```

Now both branches may be taken

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, +∞[
      if(x < 10 000){
      x ∈ [0, 9999]
      x = x + 1;
      x ∈ [1, 10000]
      } else {
      x ∈ [10000, +∞[
      x = -x;
      x ∈ ] - ∞, -10000]
      }
      x ∈ [1, 1]
}

```

Numerical assignments

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, +∞[
      if(x < 10 000){
      x ∈ [0, 9999]
      x = x + 1;
      x ∈ [1, 10000]
      } else {
      x ∈ [10000, +∞[
      x = -x;
      x ∈ ] - ∞, -10000]
      }
      x ∈ ] - ∞, 10000]
}

```

Abstract union at the end of the condition

Example widening iteration

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ ] - ∞, +∞[
    if(x < 10 000){
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, +∞[
        x = -x;
        x ∈ ] - ∞, -10000]
    }
    x ∈ ] - ∞, 10000]
}

```

Widening at loop head

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ ] - ∞, +∞[
      if(x < 10 000){
      x ∈ ] - ∞, 9999]
      x = x + 1;
      x ∈ [1, 10000]
      } else {
      x ∈ [10000, +∞[
      x = -x;
      x ∈ ] - ∞, -10000]
      }
      x ∈ ] - ∞, 10000]
}

```

Both branches may be taken

Example widening iteration

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ ] - ∞, +∞[
      if(x < 10 000){
      x ∈ ] - ∞, 9999]
      x = x + 1;
      x ∈ ] - ∞, 10000]
      } else {
      x ∈ [10000, +∞[
      x = -x;
      x ∈ ] - ∞, -10000]
      }
      x ∈ ] - ∞, 10000]
    }
  
```

Numerical assignments

Example widening iteration

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ ] - ∞, +∞[
    if(x < 10 000){
        x ∈ ] - ∞, 9999]
        x = x + 1;
        x ∈ ] - ∞, 10000]
    } else {
        x ∈ [10000, +∞[
        x = -x;
        x ∈ ] - ∞, -10000]
    }
    x ∈ ] - ∞, 10000]
}

```

**Stable! No information at loop head,
but still, some interesting information inside the loop**

Loop unrolling

From the example, we observe that **intervals widening is imprecise**:

- quickly **goes to $-\infty$ or $+\infty$**
- **ignores possible stable bounds**

Can we do better ?

Yes, we can... many techniques improve standard widening

Loop unrolling: postpone widening

We fix an index l , and postpone widening until after l

$$\begin{aligned}
 M_0^\# &= \perp \\
 M_{k+1}^\# &= \mathbf{join}^\#(M_k^\#, f^\#(M_k^\#)) && \text{if } k < l \\
 M_{k+1}^\# &= M_k^\# \nabla f^\#(M_k^\#) && \text{otherwise}
 \end{aligned}$$

- Typically, k is set to 1 or 2...
- **Proof** of a new fixpoint approximation theorem: very similar

Widening with threshold

Now, let us improve the widening itself:

- the standard ∇ operator of intervals goes straight to ∞
- we can **slow down the process**

Threshold widening

Let \mathcal{T} be a **finite set of integers**, called **thresholds**. We let the **threshold widening** be defined by:

$$\perp \nabla v^\# = v^\#$$

$$v^\# \nabla \perp = v^\#$$

$$v_0^\# \nabla v_1^\# = \begin{cases} v_0^\# & \text{if } v_0^\# \geq v_1^\# \\ \min\{v^\# \in \mathcal{T} \mid \forall i, v_i^\# \leq v^\#\} & \text{if } \{v^\# \in \mathcal{T} \mid \forall i, v_i^\# \leq v^\#\} \neq \emptyset \\ +\infty & \text{otherwise} \end{cases}$$

- **Proof** of the widening property: exercise
- **Example** with $\mathcal{L} = \{10\}$:

$$[0, 8] \nabla [0, 9] = [0, 10] \quad [0, 8] \nabla [0, 15] = [0, +\infty[$$

Techniques related to iterations

No widening after visiting a branch for the first time:

- loop unrolling **postpones** widening for a **finite number of times**
- there are **finitely many branches** in any block of code
branch: condition block entry or inner loop entry

Principle

Mark program branches and **apply widening** only **when no new branch was visited during the previous iteration**

Post-fixpoint iteration:

- **observation**: if $f \circ \gamma \subseteq \gamma \circ f^\#$ and $\text{lfp } f \subseteq \gamma(M^\#)$, then:
 $\text{lfp } f = f(\text{lfp } f) \subseteq f \circ \gamma(M^\#) \subseteq \gamma \circ f^\#(M^\#)$
- so $f^\#(M^\#)$ **also approximates lfp f** , and may be better

Principle

After an abstract invariant is found, perform additional iterations

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```
int x = 0;
```

```
while(TRUE){
```

```
    if(x < 10000){      9999 will be a threshold value at loop head
```

```
        x = x + 1;
```

```
    } else {
```

```
        x = -x;
```

```
    }
```

```
}
```

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){

    if(x < 10 000){      9999 will be a threshold value at loop head

        x = x + 1;

    } else {

        x = -x;

    }

}

```

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
      x ∈ [0, 0]
while(TRUE){
      x ∈ [0, 0]
  if(x < 10 000){      9999 will be a threshold value at loop head

      x = x + 1;

  } else {

      x = -x;

  }
}

```

Entering the loop

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 0]
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 0]
        x = x + 1;

    } else {
        x ∈ ∅
        x = -x;

    }

}

```

Only true branch possible

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 0]
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 0]
        x = x + 1;
        x ∈ [1, 1]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
}

```

Incrementation of interval

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 0]
    if(x < 10000){
        x = x + 1;
        x ∈ [1, 1]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 1]
}

```

9999 will be a threshold value at loop head

Propagation

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 1]
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 0]
        x = x + 1;
        x ∈ [1, 1]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 1]
}

```

Join at loop head

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 1]
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 1]
        x = x + 1;
        x ∈ [1, 1]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 1]
}

```

Still only the true branch is possible

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 1]
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 1]
        x = x + 1;
        x ∈ [1, 2]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 1]
}

```

Incrementation of interval

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 1]
    if(x < 10000){
        x ∈ [0, 1]
        x = x + 1;
        x ∈ [1, 2]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 2]
}

```

9999 will be a threshold value at loop head

Propagation

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 9999]  instead of [0, +∞[
    if(x < 10 000){  9999 will be a threshold value at loop head
        x ∈ [0, 1]
        x = x + 1;
        x ∈ [1, 2]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 2]
}

```

Widening at the loop head, + threshold

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 9999]  instead of [0, +∞[
    if(x < 10 000){ 9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 2]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 2]
}

```

Now both branches are possible...

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 9999]  instead of [0, +∞[
    if(x < 10000){ 9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 2]
}

```

Numerical assignments

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 9999]  instead of [0, +∞[
    if(x < 10000){ 9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 10000]
}

```

Join at the end of the loop

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){  9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ ∅
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 10000]
}

```

Join after widening

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){  9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ ∅
    }
    x ∈ [1, 10000]
}

```

True branch stable, false branch visited for the first time

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){  9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [1, 10000]
}

```

True branch stable, false branch visited for the first time

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [0, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){  9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [-10000, 10000]
}

```

Join at the end of the loop

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [-10000, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [0, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [-10000, 10000]
}

```

Join again: no widening after visiting a new branch

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [-10000, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [-10000, 9999]
        x = x + 1;
        x ∈ [1, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [-10000, 10000]
}

```

Branches

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [-10000, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [-10000, 9999]
        x = x + 1;
        x ∈ [-9999, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [-10000, 10000]
}

```

Incrementation of interval in true branch; false branch stable

Example widening iteration, more precise

Classical techniques:

- add test values and neighbors as thresholds
- alternate join and widening
- no widening after visiting a new branch

```

int x = 0;
    x ∈ [0, 0]
while(TRUE){
    x ∈ [-10000, 10000]  instead of ] - ∞, +∞[
    if(x < 10000){      9999 will be a threshold value at loop head
        x ∈ [-10000, 9999]
        x = x + 1;
        x ∈ [-9999, 10000]
    } else {
        x ∈ [10000, 10000]  instead of [10000, +∞[
        x = -x;
        x ∈ [-10000, -10000]
    }
    x ∈ [-10000, 10000]
}

```

Everything is stable; exact ranges inferred

Widening and monotonicity

Remarks about the widening over intervals:

- it is **monotone** in its second argument,
- but it is **not monotone in its first argument!**

In fact, interesting widenings **are not monotone in their first argument:**

Let $(D^\#, \sqsubseteq)$ be an infinite height domain, with a widening ∇ that is stable ($\forall v^\#, v^\# \nabla v^\# = v^\#$) and such that $\forall v_0^\#, v_1^\#, \forall i, v_i^\# \sqsubseteq v_0^\# \nabla v_1^\#$. Then, ∇ **is not monotone in its first argument** (proof: Patrick Cousot).

Proof: we assume it is, let $w_0^\# \sqsubset w_1^\# \sqsubset \dots$ be an infinite chain over $D^\#$ and define $v_0^\# = w_0^\#, v_{k+1}^\# = v_k^\# \nabla w_{k+1}^\#$; we prove by induction that $v_k^\# = w_k^\#$:

- clear at rank 0
- we assume that $v_k^\# = w_k^\#$: then $v_{k+1}^\# = v_k^\# \nabla w_{k+1}^\#$, so $w_{k+1}^\# \sqsubseteq v_{k+1}^\#$;
moreover, $v_{k+1}^\# = v_k^\# \nabla w_{k+1}^\# = w_k^\# \nabla w_{k+1}^\# \sqsubseteq w_{k+1}^\# \nabla w_{k+1}^\# = w_{k+1}^\#$

This contradicts the widening definition: the sequence should be stationary.

Outline

- 1 Another Soundness Relation
- 2 Revisiting Abstract Iteration
- 3 Conclusion**

Summary

This lecture:

- **abstraction** and its formalization
- **computation of an abstract semantics** in a very simplified case

Next lectures:

- **construction** of a few **non trivial abstractions**
- **more general** ways to **compute sound abstract properties**

Update on projects...