

Abstract Interpretation

Semantics and applications to verification

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Program of this lecture

Studied so far:

- **semantics:** behaviors of programs
- **properties:** safety, liveness, security...
- **approaches to verification:** typing, use of proof assistants, model checking

Today's lecture: introduction to abstract interpretation

a **general framework for comparing semantics**

introduced by Patrick Cousot and Radhia Cousot (1977)

- **abstraction:** use of a lattice of predicates
- **computing abstract over-approximations**, while preserving soundness
- **computing abstract over-approximations for loops**

Outline

- 1 Abstraction
 - Notion of abstraction
 - Abstraction and concretization functions
 - Galois connections
- 2 Abstract interpretation
- 3 Application of abstract interpretation
- 4 Conclusion

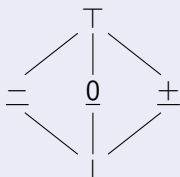
Abstraction example 1: signs

Abstraction: defined by a family of properties to use in proofs

Example:

- objects under study: sets of mathematical integers
- abstract elements: signs

Lattice of signs



- \perp denotes only \emptyset
- \pm denotes any set of positive integers
- $\underline{0}$ denotes any subset of $\{0\}$
- $\underline{-}$ denotes any set of negative integers
- \top denotes any set of integers

Note: the order in the abstract lattice corresponds to inclusion...

Abstraction example 1: signs

Definition: abstraction relation

- **concrete elements:** elements of the original lattice ($c \in \mathcal{P}(\mathbb{Z})$)
- **abstract elements:** predicate ($a: "\cdot \in \{\pm, \underline{0}, \dots\}"$)
- **abstraction relation:** $c \vdash_S a$ when a describes c

Examples:

- $\{1, 2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\} \vdash_S \pm$
- $\{1, 2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\} \vdash_S \top$

We use abstract elements **to reason about operations:**

- if $c_0 \vdash_S \pm$ and $c_1 \vdash_S \pm$, then $\{x_0 + x_1 \mid x_i \in c_i\} \vdash_S \pm$
- if $c_0 \vdash_S \pm$ and $c_1 \vdash_S \pm$, then $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_S \pm$
- if $c_0 \vdash_S \pm$ and $c_1 \vdash_S \underline{0}$, then $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_S \underline{0}$
- if $c_0 \vdash_S \pm$ and $c_1 \vdash_S \perp$, then $\{x_0 \cdot x_1 \mid x_i \in c_i\} \vdash_S \perp$

Abstraction example 1: signs

We can also consider the **union operation**:

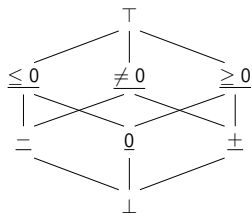
- if $c_0 \vdash_S \underline{\pm}$ and $c_1 \vdash_S \underline{\pm}$, then $c_0 \cup c_1 \vdash_S \underline{\pm}$
- if $c_0 \vdash_S \underline{\pm}$ and $c_1 \vdash_S \perp$, then $c_0 \cup c_1 \vdash_S \underline{\pm}$

But, what can we say about $c_0 \cup c_1$, when $c_0 \vdash_S \underline{0}$ and $c_1 \vdash_S \underline{\pm}$?

- clearly, $c_0 \cup c_1 \vdash_S \top$...
- but **no other relation holds**
- in the abstract, **we do not rule out negative values**

We can **extend the initial lattice**:

- $\underline{\geq 0}$ denotes any set of positive or null integers
- $\underline{\leq 0}$ denotes any set of negative or null integers
- $\underline{\neq 0}$ denotes any set of non null integers
- if $c_0 \vdash_S \underline{\pm}$ and $c_1 \vdash_S \underline{0}$, then $c_0 \cup c_1 \vdash_S \underline{\geq 0}$

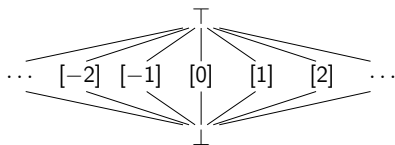


Abstraction example 2: constants

Definition: abstraction based on constants

- **concrete elements:** $\mathcal{P}(\mathbb{Z})$
- **abstract elements:** $\perp, \top, \underline{n}$ where $n \in \mathbb{Z}$
 $(D_C^\# = \{\perp, \top\} \cup \{\underline{n} \mid n \in \mathbb{Z}\})$
- **abstraction relation:** $c \vdash_c \underline{n} \iff c \subseteq \{n\}$

We obtain a **flat lattice**:



Abstract reasoning:

- if $c_0 \vdash_c \underline{n_0}$ and $c_1 \vdash_c \underline{n_1}$, then $\{k_0 + k_1 \mid k_i \in c_i\} \vdash_c \underline{n_0 + n_1}$

Abstraction example 3: Parikh vector

Definition: Parikh vector abstraction

- **concrete elements:** $\mathcal{P}(\mathcal{A}^*)$ (sets of words over alphabet \mathcal{A})
- **abstract elements:** $\{\perp, \top\} \cup (\mathcal{A} \rightarrow \mathbb{N})$
- **abstraction relation:** $c \vdash_{\mathfrak{P}} \phi : \mathcal{A} \rightarrow \mathbb{N}$ if and only if:

$$\forall w \in c, \forall a \in \mathcal{A}, a \text{ appears } \phi(a) \text{ times in } w$$

Abstract reasoning:

- **concatenation:**

if $\phi_0, \phi_1 : \mathcal{A} \rightarrow \mathbb{N}$ and c_0, c_1 are such that $c_i \vdash_{\mathfrak{P}} \phi_i$,

$$\{w_0 \cdot w_1 \mid w_i \in c_i\} \vdash_{\mathfrak{P}} \phi_0 + \phi_1$$

Information preserved, information deleted:

- **very precise** information about the **number of occurrences**
- the **order of letters** is **totally abstracted away (lost)**

Abstraction example 4: interval abstraction

Definition: abstraction based on intervals

- **concrete elements:** $\mathcal{P}(\mathbb{Z})$
- **abstract elements:** $\perp, \top, (a, b)$ where $a \in \{-\infty\} \cup \mathbb{Z}$,
 $b \in \mathbb{Z} \cup \{+\infty\}$ and $a \leq b$
- **abstraction relation:**

$$\emptyset \vdash_{\mathcal{I}} \perp$$

$$S \vdash_{\mathcal{I}} \top$$

$$S \vdash_{\mathcal{I}} (a, b) \iff \forall x \in S, a \leq x \leq b$$

Operations: TD

Abstraction example 5: non relational abstraction

Definition: non relational abstraction

- **concrete elements:** $\mathcal{P}(X \rightarrow Y)$, inclusion ordering
- **abstract elements:** $X \rightarrow \mathcal{P}(Y)$, pointwise inclusion ordering
- **abstraction relation:** $c \vdash_{\mathcal{NR}} a \iff \forall \phi \in c, \forall x \in X, \phi(x) \in a(x)$

Information preserved, information deleted:

- **very precise** information about the **image** of the functions in c
- **relations** such as (for given $x_0, x_1 \in X, y_0, y_1 \in Y$) the following are **lost**:

$$\forall \phi \in c, \phi(x_0) = \phi(x_1)$$

$$\forall \phi \in c, \forall x, x' \in X, \phi(x) \neq y_0 \vee \phi(x') \neq y_1$$

Notion of abstraction relation

Concrete order: so far, always inclusion

- the tighter the concrete set, the fewer behaviors
- **smaller concrete** sets correspond to **more precise** properties

Abstraction relation: $c \vdash a$ when c satisfies a

- if $c_0 \subseteq c_1$ and c_1 satisfies a , in all our examples, c_0 **also satisfies** a

Abstract order: in all our examples,

- it matches the abstraction relation as well:
if $a_0 \sqsubseteq a_1$ and c satisfies a_0 , then c **also satisfies** a_1
- **great advantage: we can reason about implication in the abstract, without looking back at the concrete properties**

We will now formalize this in detail...

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Towards adjoint functions

We consider a **concrete lattice** (C, \subseteq) and an **abstract lattice** (A, \sqsubseteq) .

So far, we used **abstraction relations**, that are consistent with orderings:

Abstraction relation compatibility

- $\forall c_0, c_1 \in C, \forall a \in A, c_0 \subseteq c_1 \wedge c_1 \vdash a \implies c_0 \vdash a$
- $\forall c \in C, \forall a_0, a_1 \in A, c \vdash a_0 \wedge a_0 \sqsubseteq a_1 \implies c \vdash a_1$

When we have a c (resp., a) and try to map it into a compatible a (resp. a c), **the abstraction relation is not a convenient tool**.

Hence, we shall use **adjoint functions** between C and A .

- from concrete to abstract: **abstraction**
- from abstract to concrete: **concretization**

Concretization function

Our **first adjoint function**:

Definition: concretization function

Concretization function $\gamma : A \rightarrow C$ (if it exists) maps abstract a into the weakest (i.e., most general) concrete c that satisfies a (i.e., $c \vdash a$).

Note: in common cases, there exists a γ .

- $c \vdash a$ if and only if $c \subseteq \gamma(a)$

Concretization function: a few examples

Signs abstraction:

$$\begin{aligned} \gamma_S : \top &\longmapsto \mathbb{Z} \\ \underline{+} &\longmapsto \mathbb{Z}_+^* \\ \underline{0} &\longmapsto \{0\} \\ \underline{-} &\longmapsto \mathbb{Z}_-^* \\ \perp &\longmapsto \emptyset \end{aligned}$$

Constants abstraction:

$$\begin{aligned} \gamma_C : \top &\longmapsto \mathbb{Z} \\ \underline{n} &\longmapsto \{n\} \\ \perp &\longmapsto \emptyset \end{aligned}$$

Non relational abstraction:

$$\begin{aligned} \gamma_{NR} : (X \rightarrow \mathcal{P}(Y)) &\longrightarrow \mathcal{P}(X \rightarrow Y) \\ \Phi &\longmapsto \{\phi : X \rightarrow Y \mid \forall x \in X, \phi(x) \in \Phi(x)\} \end{aligned}$$

Parikh vector abstraction: exercise!

Abstraction function

Our **second adjoint function**:

Definition: abstraction function

Abstraction function $\alpha : C \rightarrow A$ (if it exists) maps concrete c into the most precise abstract a that soundly describes c (i.e., $c \vdash a$).

Note: in quite a few cases (including some in this course), there is no α .

Summary on adjoint functions:

- α returns the **most precise abstract predicate** that holds true for its argument
this is called the **best abstraction**
- γ returns the **most general concrete meaning** of its argument
hence, is called the **concretization**

Abstraction: a few examples

Constants abstraction:

$$\alpha_{\mathcal{C}} : (c \subseteq \mathbb{Z}) \mapsto \begin{cases} \perp & \text{if } c = \emptyset \\ \underline{n} & \text{if } c = \{n\} \\ \top & \text{otherwise} \end{cases}$$

Non relational abstraction:

$$\alpha_{\mathcal{NR}} : (c \subseteq (X \rightarrow Y)) \mapsto (x \in X) \mapsto \{\phi(x) \mid \phi \in c\}$$

Signs abstraction and Parikh vector abstraction: exercises

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Definition

So far, we have:

- **abstraction** $\alpha : C \rightarrow A$
- **concretization** $\gamma : A \rightarrow C$

How to tie them together ?

They should agree on a same abstraction relation \vdash !

Definition: Galois connection

A **Galois connection** is defined by a **concrete lattice** (C, \subseteq) , an **abstract lattice** (A, \sqsubseteq) , an **abstraction function** $\alpha : C \rightarrow A$ and a **concretization function** $\gamma : A \rightarrow C$ such that:

$$\forall c \in C, \forall a \in A, \alpha(c) \sqsubseteq a \iff c \subseteq \gamma(a) \quad (\iff c \vdash a)$$

Notation: $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$

Note: in practice, we shall rarely use \vdash ; we use α, γ instead

Example: constants abstraction and Galois connection

Constants lattice $D_C^\# = \{\perp, \top\} \uplus \{\underline{n} \mid n \in \mathbb{Z}\}$

$$\begin{array}{lll} \alpha_C(c) = \perp & \text{if } c = \emptyset & \gamma_C(\top) \mapsto \mathbb{Z} \\ \alpha_C(c) = \underline{n} & \text{if } c = \{n\} & \gamma_C(\underline{n}) \mapsto \{n\} \\ \alpha_C(c) = \top & \text{otherwise} & \gamma_C(\perp) \mapsto \emptyset \end{array}$$

Thus:

- if $c = \emptyset$, $\forall a, c \subseteq \gamma_C(a)$, i.e., $c \subseteq \gamma_C(a) \iff \alpha_C(c) = \perp \sqsubseteq a$
- if $c = \{n\}$,
 $\alpha_C(\{n\}) = \underline{n} \sqsubseteq c \iff c = \underline{n} \vee c = \top \iff c = \{n\} \subseteq \gamma_C(a)$
- if c has at least two distinct elements n_0, n_1 , $\alpha_C(c) = \top$ and
 $c \subseteq \gamma_C(a) \Rightarrow a = \top$, i.e., $c \subseteq \gamma_C(a) \iff \alpha_C(c) = \perp \sqsubseteq a$

Constant abstraction: Galois connection

$$c \subseteq \gamma_C(a) \iff \alpha_C(c) \sqsubseteq a, \text{ therefore, } (\mathcal{P}(\mathbb{Z}), \subseteq) \xleftrightarrow[\alpha_C]{\gamma_C} (D_C^\#, \sqsubseteq)$$

Example: non relational abstraction Galois connection

We have defined:

$$\alpha_{NR} : (c \subseteq (X \rightarrow Y)) \quad \mapsto \quad (x \in X) \mapsto \{f(x) \mid f \in c\}$$

$$\gamma_{NR} : (\Phi \in (X \rightarrow \mathcal{P}(Y))) \quad \mapsto \quad \{f : X \rightarrow Y \mid \forall x \in X, f(x) \in \Phi(x)\}$$

Let $c \in \mathcal{P}(X \rightarrow Y)$ and $\Phi \in (X \rightarrow \mathcal{P}(Y))$; then:

$$\begin{aligned} \alpha_{NR}(c) \sqsubseteq \Phi &\iff \forall x \in X, \alpha_{NR}(c)(x) \subseteq \Phi(x) \\ &\iff \forall x \in X, \{f(x) \mid f \in c\} \subseteq \Phi(x) \\ &\iff \forall f \in c, \forall x \in X, f(x) \in \Phi(x) \\ &\iff \forall f \in c, f \in \gamma_{NR}(\Phi) \\ &\iff c \subseteq \gamma_{NR}(\Phi) \end{aligned}$$

Non relational abstraction: Galois connection

$c \subseteq \gamma_{NR}(a) \iff \alpha_{NR}(c) \sqsubseteq a$, therefore,

$$(\mathcal{P}(X \rightarrow Y), \subseteq) \xleftrightarrow[\alpha_{NR}]{\gamma_{NR}} (X \rightarrow \mathcal{P}(Y), \sqsubseteq)$$

Galois connection properties

Galois connections have **many useful properties**.

In the next few slides, we consider a Galois connection $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$ and establish a few interesting properties.

Extensivity, contractivity

- $\alpha \circ \gamma$ is **contractive**: $\forall a \in A, \alpha \circ \gamma(a) \sqsubseteq a$
- $\gamma \circ \alpha$ is **extensive**: $\forall c \in C, c \subseteq \gamma \circ \alpha(c)$

Proof:

- let $a \in A$; then, $\gamma(a) \subseteq \gamma(a)$, thus $\alpha(\gamma(a)) \sqsubseteq a$
- let $c \in C$; then, $\alpha(c) \sqsubseteq \alpha(c)$, thus $c \subseteq \gamma(\alpha(a))$

Galois connection properties

Monotonicity of adjoints

- α is **monotone**
- γ is **monotone**

Proof:

- **monotonicity of α** : let $c_0, c_1 \in C$ such that $c_0 \subseteq c_1$;
by extensivity of $\gamma \circ \alpha$, $c_1 \subseteq \gamma(\alpha(c_1))$, so by transitivity, $c_0 \subseteq \gamma(\alpha(c_1))$
by definition of the Galois connection, $\alpha(c_0) \sqsubseteq \alpha(c_1)$
- **monotonicity of γ** : same principle

Note: many proofs can be derived by **duality**

Duality principle applied for Galois connections

$$\text{If } (C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq), \text{ then } (A, \sqsupseteq) \xleftrightarrow[\gamma]{\alpha} (C, \supseteq)$$

Galois connection properties

Iteration of adjoints

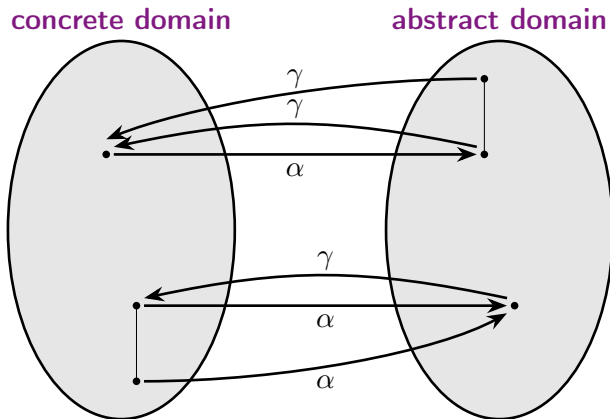
- $\alpha \circ \gamma \circ \alpha = \alpha$
- $\gamma \circ \alpha \circ \gamma = \gamma$
- $\alpha \circ \gamma$ (resp., $\gamma \circ \alpha$) is idempotent, hence a lower (resp., upper) closure operator

Proof:

- $\alpha \circ \gamma \circ \alpha = \alpha$:
let $c \in C$, then $\gamma \circ \alpha(c) \subseteq \gamma \circ \alpha(c)$
hence, by the Galois connection property, $\alpha \circ \gamma \circ \alpha(c) \sqsubseteq \alpha(c)$
moreover, $\gamma \circ \alpha$ is extensive and α monotone, so $\alpha(c) \sqsubseteq \alpha \circ \gamma \circ \alpha(c)$
thus, $\alpha \circ \gamma \circ \alpha(c) = \alpha(c)$
- the second point can be proved similarly (duality); the others follow

Galois connection properties

Properties on iterations of adjoint functions:



Galois connection properties

α preserves least upper bounds

$$\forall c_0, c_1 \in C, \alpha(c_0 \cup c_1) = \alpha(c_0) \sqcup \alpha(c_1)$$

By duality:

$$\forall a_0, a_1 \in A, \gamma(c_0 \sqcap c_1) = \gamma(c_0) \sqcap \gamma(c_1)$$

Proof:

First, we observe that $\alpha(c_0) \sqcup \alpha(c_1) \sqsubseteq \alpha(c_0 \cup c_1)$, i.e. $\alpha(c_0 \cup c_1)$ is an upper bound of $\{\alpha(c_0), \alpha(c_1)\}$.

We now prove it is the *least* upper bound. For all $a \in A$:

$$\begin{aligned} \alpha(c_0 \cup c_1) \sqsubseteq a &\iff c_0 \cup c_1 \subseteq \gamma(a) \\ &\iff c_0 \subseteq \gamma(a) \wedge c_1 \subseteq \gamma(a) \\ &\iff \alpha(c_0) \sqsubseteq a \wedge \alpha(c_1) \sqsubseteq a \\ &\iff \alpha(c_0) \sqcup \alpha(c_1) \sqsubseteq a \end{aligned}$$

Note: when C, A are complete lattices, this extends to families of elements

Galois connection properties

Uniqueness of adjoints

- given $\gamma : C \rightarrow A$, there exists **at most one** $\alpha : A \rightarrow C$ such that $(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$, and, if it exists, $\alpha(c) = \sqcap\{a \in A \mid c \subseteq \gamma(a)\}$
- similarly, given $\alpha : A \rightarrow C$, there exists at most one $\gamma : C \rightarrow A$ such that $(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$, and it is defined dually

Proof of the first point (the other follows by duality):

we assume that there exists an α so that we have a Galois connection and prove that, $\alpha(c) = \sqcap\{a \in A \mid c \subseteq \gamma(a)\}$ for a given $c \in C$.

- if $a \in A$ is such that $c \subseteq \gamma(a)$, then $\alpha(a) \sqsubseteq c$ thus, $\alpha(a)$ is a lower bound of $\{a \in A \mid c \subseteq \gamma(a)\}$.
- let $a_0 \in A$ be a lower bound of $\{a \in A \mid c \subseteq \gamma(a)\}$.
since $\gamma \circ \alpha$ is extensive, $c \subseteq \gamma(\alpha(c))$ and $\alpha(c) \in \{a \in A \mid c \subseteq \gamma(a)\}$.
hence, $a_0 \sqsubseteq \alpha(c)$

Thus, $\alpha(c)$ is the least upper bound of $\{a \in A \mid c \subseteq \gamma(a)\}$

Construction of adjoint functions

The adjoint uniqueness property is actually a very strong property:

- it allows to construct an abstraction from a concretization
- ... or to understand why no abstraction can be constructed :-)

Turning an adjoint into a Galois connection (1)

Let (C, \subseteq) and (A, \sqsubseteq) be two lattices, such that any subset of A has a greatest lower bound and let $\gamma : (A, \sqsubseteq) \rightarrow (C, \subseteq)$ be a monotone function.

Then, the function below defines a Galois connection:

$$\alpha(c) = \bigsqcap \{a \in A \mid c \subseteq \gamma(a)\}$$

Example of abstraction with no α : when \bigsqcap is not defined on all families, e.g., lattice of convex polyhedra, abstracting sets of points in \mathbb{R}^2 .

Exercise: state the dual property and apply the same principle to the concretization

Galois connection characterization

A characterization of Galois connections

Let (C, \subseteq) and (A, \sqsubseteq) be two lattices, and $\alpha : C \rightarrow A$ and $\gamma : A \rightarrow C$ be two monotone functions, such that:

- $\alpha \circ \gamma$ is contractive
- $\gamma \circ \alpha$ is extensive

Then, we have a Galois connection

$$(C, \subseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$$

Proof:

- let $c \in C$ and $a \in A$ such that $\alpha(c) \sqsubseteq a$.
 then: $\gamma(\alpha(c)) \subseteq \gamma(a)$ (as γ is monotone)
 $c \subseteq \gamma(\alpha(c))$ (as $\gamma \circ \alpha$ is extensive)
 thus, $c \subseteq \gamma(a)$, by transitivity
- the other implication can be proved by duality

Outline

- 1 Abstraction
- 2 **Abstract interpretation**
 - Abstract computation
 - Fixpoint transfer
- 3 Application of abstract interpretation
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Constructing a static analysis

We have set up a notion of **abstraction**:

- it describes **sound** approximations of **concrete properties** with **abstract predicates**
- there are several ways to formalize it (abstraction, concretization...)
- we now wish to **compute sound abstract predicates**

In the following, we assume

- a **Galois connection**

$$(C, \sqsubseteq) \xleftrightarrow[\alpha]{\gamma} (A, \sqsubseteq)$$

- a **concrete semantics** $\llbracket \cdot \rrbracket$, with a **constructive definition** i.e., $\llbracket P \rrbracket$ is defined by constructive equations ($\llbracket P \rrbracket = f(\dots)$), least fixpoint formula ($\llbracket P \rrbracket = \mathbf{lfp}_{\emptyset} f$)...

Abstract transformer

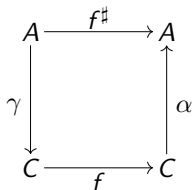
A fixed concrete element c_0 can be **abstracted by** $\alpha(c_0)$.

We now consider **a monotone concrete function**

$f : C \rightarrow C$

- given $c \in C$, $\alpha \circ f(c)$ abstracts the image of c by f
- if $c \in C$ is abstracted by $a \in A$, then $f(c)$ is **abstracted by** $\alpha \circ f \circ \gamma(a)$:

$$\begin{array}{ll}
 c \subseteq \gamma(a) & \text{by assumption} \\
 f(c) \subseteq f(\gamma(a)) & \text{by monotonicity of } f \\
 \alpha(f(c)) \subseteq \alpha(f(\gamma(a))) & \text{by monotonicity of } \alpha
 \end{array}$$



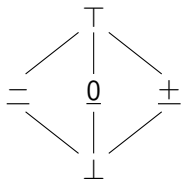
Definition: best and sound abstract transformers

- the **best abstract transformer** approximating f is $f^\# = \alpha \circ f \circ \gamma$
- a **sound abstract transformer** approximating f is any operator $f^\# : A \rightarrow A$, such that $\alpha \circ f \circ \gamma \subseteq f^\#$ (or equivalently, $f \circ \gamma \subseteq \gamma \circ f^\#$)

Example: lattice of signs

- $f : D_C^\# \rightarrow D_C^\#, c \mapsto \{-n \mid n \in c\}$
- $f^\# = \alpha \circ f \circ \gamma$

Lattice of signs:



Abstract negation operator:

a	$\ominus^\#(a)$
\perp	\perp
$=$	\pm
<u>0</u>	<u>0</u>
<u>±</u>	<u>=</u>
\top	\top

- here, the best abstract transformer is very easy to compute
- no need to use an approximate one

Abstract n -ary operators

We can generalize this to n -ary operators, such as **boolean operators** and **arithmetic operators**

Definition: sound and exact abstract operators

Let $g : C^n \rightarrow C$ be a monotone n -ary operator.

Then:

- the **best abstract operator** approximating g is defined by:

$$\begin{aligned} g^\sharp : A^n &\quad \mapsto \quad A \\ (a_0, \dots, a_{n-1}) &\quad \mapsto \quad \alpha \circ g(\gamma(a_0), \dots, \gamma(a_{n-1})) \end{aligned}$$

- a **sound abstract transformer** approximating g is any operator $g^\sharp : A^n \rightarrow A$, such that

$$\forall (a_0, \dots, a_{n-1}) \in A^n, \alpha \circ g(\gamma(a_0), \dots, \gamma(a_{n-1})) \sqsubseteq g^\sharp(a_0, \dots, a_{n-1})$$

Example: lattice of signs arithmetic operators

Application:

- $\oplus : C^2 \rightarrow C, (c_0, c_1) \mapsto \{n_0 + n_1 \mid n_i \in c_i\}$
- $\otimes : C^2 \rightarrow C, (c_0, c_1) \mapsto \{n_0 \cdot n_1 \mid n_i \in c_i\}$

Best abstract operators:

\oplus^\sharp	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
\perp	\perp	\perp	\perp	\perp	\perp
$\underline{-}$	\perp	$\underline{-}$	$\underline{-}$	\top	\top
$\underline{0}$	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
$\underline{+}$	\perp	\top	$\underline{+}$	$\underline{+}$	\top
\top	\perp	\top	\top	\top	\top

\otimes^\sharp	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
\perp	\perp	\perp	\perp	\perp	\perp
$\underline{-}$	\perp	$\underline{+}$	$\underline{0}$	$\underline{-}$	\top
$\underline{0}$	\perp	$\underline{0}$	$\underline{0}$	$\underline{0}$	$\underline{0}$
$\underline{+}$	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
\top	\perp	\top	$\underline{0}$	\top	\top

Example of loss in precision:

- $\{8\} \in \gamma_S(\underline{+})$ and $\{-2\} \in \gamma_S(\underline{-})$
- $\oplus^\sharp(\underline{+}, \underline{-}) = \top$ is **a lot worse than** $\alpha_S(\oplus(\{8\}, \{-2\})) = \underline{+}$

Example: lattice of signs set operators

Best abstract operators approximating \cup and \cap :

$\cup^\#$	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
\perp	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
$\underline{-}$	$\underline{-}$	$\underline{-}$	\top	\top	\top
$\underline{0}$	$\underline{0}$	\top	$\underline{0}$	\top	\top
$\underline{+}$	$\underline{+}$	\top	\top	$\underline{+}$	\top
\top	\top	\top	\top	\top	\top

$\cap^\#$	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top
\perp	\perp	\perp	\perp	\perp	\perp
$\underline{-}$	\perp	$\underline{-}$	\perp	\perp	$\underline{-}$
$\underline{0}$	\perp	\perp	$\underline{0}$	\perp	$\underline{0}$
$\underline{+}$	\perp	\perp	\perp	$\underline{+}$	$\underline{+}$
\top	\perp	$\underline{-}$	$\underline{0}$	$\underline{+}$	\top

Example of loss in precision:

- $\gamma(\underline{-}) \cup \gamma(\underline{+}) = \{n \in \mathbb{Z} \mid n \neq 0\} \subset \gamma(\top)$

Outline

- 1 Abstraction
- 2 **Abstract interpretation**
 - Abstract computation
 - Fixpoint transfer
- 3 Application of abstract interpretation
- 4 Conclusion

Fixpoint transfer

What about **loops** ? semantic functions defined by **fixpoints** ?

Theorem: exact fixpoint transfer

We consider a Galois connection $(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (A, \sqsubseteq)$, two functions $f : C \rightarrow C$ and $f^\# : A \rightarrow A$ and two elements $c_0 \in C, a_0 \in A$ such that:

- f is continuous
- $f^\#$ is monotone
- $\alpha \circ f = f^\# \circ \alpha$
- $\alpha(c_0) = a_0$

Then:

- **both f and $f^\#$ have a least-fixpoint** (Tarski's fixpoint theorem)
- $\alpha(\text{lfp}_{c_0} f) = \text{lfp}_{a_0} f^\#$

Fixpoint transfer: proof

- $\alpha(\text{lfp}_{c_0} f)$ is a fixpoint of f^\sharp since:

$$\begin{aligned} f^\sharp(\alpha(\text{lfp}_{c_0} f)) &= \alpha(f(\text{lfp}_{c_0} f)) && \text{since } \alpha \circ f = f^\sharp \circ \alpha \\ &= \alpha(\text{lfp}_{c_0} f) && \text{by definition of the fixpoints} \end{aligned}$$

- To show that $\alpha(\text{lfp}_{c_0} f)$ is the least-fixpoint of f^\sharp ,

we assume that X is another fixpoint of f^\sharp greater than a_0 and we show that $\alpha(\text{lfp}_{c_0} f) \sqsubseteq X$, i.e., that $\text{lfp}_{c_0} f \subseteq \gamma(X)$.

As $\text{lfp}_{c_0} f = \bigcup_{n \in \mathbb{N}} f_0^n(c_0)$, it amounts to proving that

$\forall n \in \mathbb{N}, f_0^n(c_0) \subseteq \gamma(X)$.

By induction over n :

- ▶ $f^0(c_0) = c_0$, thus $\alpha(f^0(c_0)) = a_0 \sqsubseteq X$; thus, $f^0(c_0) \subseteq \gamma(X)$.
- ▶ let us assume that $f^n(c_0) \subseteq \gamma(X)$, and let us show that $f^{n+1}(c_0) \subseteq \gamma(X)$, i.e. that $\alpha(f^{n+1}(c_0)) \sqsubseteq X$:

$$\alpha(f^{n+1}(c_0)) = \alpha \circ f(f^n(c_0)) = f^\sharp \circ \alpha(f^n(c_0)) \sqsubseteq f^\sharp(X) = X$$

as $\alpha(f^n(c_0)) \sqsubseteq X$ and f^\sharp is monotone.

Constructive analysis of loops

How to get a constructive fixpoint transfer theorem ?

Theorem: fixpoint abstraction

Under the assumptions of the previous theorem, and with the following additional hypothesis:

- lattice A is of finite height

We compute the sequence $(a_n)_{n \in \mathbb{N}}$ defined by $a_{n+1} = a_n \sqcup f^\#(a_n)$.

Then, $(a_n)_{n \in \mathbb{N}}$ **converges and its limit a_∞ is such that $\alpha(\text{lfp}_{c_0} f) = a_\infty$** .

Proof: exercise.

Note:

- the assumptions we have made are **too restrictive** in practice
- more general fixpoint abstraction methods in the next lectures

Outline

- 1 Abstraction
- 2 Abstract interpretation
- 3 Application of abstract interpretation**
- 4 Conclusion

Comparing existing semantics

- 1 A **concrete semantics** $\llbracket P \rrbracket$ is given: e.g., big steps operational semantics
- 2 An **abstract semantics** $\llbracket P \rrbracket^\sharp$ is given: e.g., denotational semantics
- 3 **Search for an abstraction relation between them**
e.g., $\llbracket P \rrbracket^\sharp = \alpha(\llbracket P \rrbracket)$, or $\llbracket P \rrbracket \subseteq \gamma(\llbracket P \rrbracket^\sharp)$

Examples:

- finite traces semantics as an abstraction of bi-finitary trace semantics
- denotational semantics as an abstraction of trace semantics
- types as an abstraction of denotational semantics
- ...

Payoff:

- better understanding of ties across semantics
- chance to generalize existing definitions

Derivation of a static analysis

- 1 Start from a **concrete semantics** $\llbracket P \rrbracket$
- 2 **Choose an abstraction** defined by a Galois connection or a concretization function (usually)
- 3 **Derive an abstract semantics** $\llbracket P \rrbracket^\#$ such that $\llbracket P \rrbracket \subseteq \gamma(\llbracket P \rrbracket^\#)$

Examples:

- derivation of an analysis with a numerical lattice (constants, intervals...)
- construction of an analysis for a complex programming language

Payoff:

- the derivation of the abstract semantics is quite systematic
- this process offers good opportunities for a modular analysis design

There are many ways to apply abstract interpretation.

A very simple language and its semantics

We now apply this to a very simple language, and **derive a static analysis step by step**, from **a concrete semantics** and **an abstraction**.

- we assume **a fixed set of n integer variables** x_0, \dots, x_{n-1}
- we consider the language defined by the grammar below:

$P ::=$	$x_i = n$	where $n \in \mathbb{Z}$
	$x_i = x_j + x_k$	basic, three-addresses arithmetics
	$x_i = x_j - x_k$	basic, three-addresses arithmetics
	$x_i = x_j \cdot x_k$	basic, three-addresses arithmetics
	$P; P$	concatenation
	input (x_i)	reading of a positive input
	if ($x_i > 0$) P else P	
	while ($x_i > 0$) P	

- a state is a vector $\sigma = (\sigma_0, \dots, \sigma_{n-1}) \in \mathbb{Z}^n$
- a single initial state $\sigma_{\text{init}} = (0, \dots, 0)$

Concrete semantics

Concrete semantics

We let $\llbracket P \rrbracket : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathcal{P}(\mathbb{Z}^n)$ be defined by:

$$\begin{aligned}
 \llbracket x_i = n \rrbracket(S) &= \{\sigma[i \leftarrow n] \mid \sigma \in S\} \\
 \llbracket x_i = x_j + x_k \rrbracket(S) &= \{\sigma[i \leftarrow \sigma_j + \sigma_k] \mid \sigma \in S\} \\
 \llbracket x_i = x_j - x_k \rrbracket(S) &= \{\sigma[i \leftarrow \sigma_j - \sigma_k] \mid \sigma \in S\} \\
 \llbracket x_i = x_j \cdot x_k \rrbracket(S) &= \{\sigma[i \leftarrow \sigma_j \cdot \sigma_k] \mid \sigma \in S\} \\
 \llbracket \text{input}(x_i) \rrbracket(S) &= \{\sigma[i \leftarrow n] \mid \sigma \in S \wedge n > 0\} \\
 \llbracket \text{if}(x_i > 0) P_0 \text{ else } P_1 \rrbracket(S) &= \llbracket P_0 \rrbracket(\{\sigma \in S \mid \sigma_i > 0\}) \\
 &\quad \cup \llbracket P_1 \rrbracket(\{\sigma \in S \mid \sigma_i \leq 0\}) \\
 \llbracket \text{while}(x_i > 0) P \rrbracket(S) &= \{\sigma \in \text{lfp}_S f \mid \sigma_i \leq 0\} \text{ where} \\
 &\quad f : S' \mapsto S' \cup \llbracket P \rrbracket(\{\sigma \in S' \mid \sigma_i > 0\})
 \end{aligned}$$

- given a complete program P , the **reachable states** are defined by $\llbracket P \rrbracket(\{\sigma_{\text{init}}\})$

Abstraction

We compose two abstractions:

- **non relational abstraction:** the values a variable may take is abstracted separately from the other variables
- **sign abstraction:** the set of values observed for each variable is abstracted into the lattice of signs

Abstraction

- **concrete domain:** $(\mathcal{P}(\mathbb{Z}^n), \subseteq)$
- **abstract domain:** $(D^\#, \sqsubseteq)$, where $D^\# = (D_S^\#)^n$ and \sqsubseteq is the pointwise ordering
- **Galois connection** $(\mathcal{P}(\mathbb{Z}), \subseteq) \xleftrightarrow[\alpha]{\gamma} (D^\#, \sqsubseteq)$, defined by

$$\alpha : S \mapsto (\alpha_S(\{\sigma_0 \mid \sigma \in S\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in S\}))$$

$$\gamma : S^\# \mapsto \{\sigma \in \mathbb{Z}^n \mid \forall i, \sigma_i \in \gamma_S(S_i^\#)\}$$

Example

Factorial function:

```

input( $x_0$ );
 $x_1 = 1$ ;
 $x_2 = 1$ ;
while( $x_0 > 0$ ){
     $x_1 = x_0 \cdot x_1$ ;
     $x_0 = x_0 - x_2$ ;
}

```

Abstraction of the semantics:

- abstract pre-condition: (\top, \top, \top)
- abstract state before the loop: $(\underline{+}, \underline{+}, \underline{+})$
- abstract post-condition (after the loop): $(\top, \underline{+}, \underline{+})$

Computation of the abstract semantics

We search for an abstract semantics $\llbracket P \rrbracket^\# : D^\# \rightarrow D^\#$ such that:

$$\alpha \circ \llbracket P \rrbracket = \llbracket P \rrbracket^\# \circ \alpha$$

We observe that:

$$\begin{aligned} \alpha(S) &= (\alpha_S(\{\sigma_0 \mid \sigma \in S\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in S\})) \\ \alpha \circ \llbracket P \rrbracket(S) &= (\alpha_S(\{\sigma_0 \mid \sigma \in \llbracket P \rrbracket(S)\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in \llbracket P \rrbracket(S)\})) \end{aligned}$$

We start with $\mathbf{x}_i = n$:

$$\begin{aligned} \alpha \circ \llbracket \mathbf{x}_i = n \rrbracket(S) &= (\alpha_S(\{\sigma_0 \mid \sigma \in \llbracket P \rrbracket(\{\sigma[i \leftarrow n] \mid \sigma \in S\})\}), \dots, \\ &\quad \alpha_S(\{\sigma_{n-1} \mid \sigma \in \llbracket P \rrbracket(\{\sigma[i \leftarrow n] \mid \sigma \in S\})\})) \\ &= (\alpha_S(\{\sigma_0 \mid \sigma \in S\}), \dots, \alpha_S(\{\sigma_{n-1} \mid \sigma \in S\})) [i \leftarrow \alpha_S(\{n\})] \\ &= \alpha(S) [i \leftarrow \alpha_S(\{n\})] \\ &= \llbracket \mathbf{x}_i = n \rrbracket^\#(\alpha(S)) \end{aligned}$$

where $\llbracket \mathbf{x}_i = n \rrbracket^\#(S^\#) = S^\#[i \leftarrow \alpha_S(\{n\})]$

Computation of the abstract semantics

Other assignments are treated in a similar manner:

$$\begin{aligned}
 \llbracket \mathbf{x}_i = \mathbf{x}_j + \mathbf{x}_k \rrbracket^\#(S^\#) &= S^\#[i \leftarrow S_j^\# \oplus^\# S_k^\#] \\
 \llbracket \mathbf{x}_i = \mathbf{x}_j - \mathbf{x}_k \rrbracket^\#(S^\#) &= S^\#[i \leftarrow S_j^\# \ominus^\# S_k^\#] \\
 \llbracket \mathbf{x}_i = \mathbf{x}_j \cdot \mathbf{x}_k \rrbracket^\#(S^\#) &= S^\#[i \leftarrow S_j^\# \otimes^\# S_k^\#] \\
 \llbracket \mathbf{input}(\mathbf{x}_i) \rrbracket^\#(S^\#) &= S^\#[i \leftarrow \underline{\quad}]
 \end{aligned}$$

Proofs are left as exercises

Computation of the abstract semantics

We now consider the case of **tests**:

$$\begin{aligned}
 & \alpha \circ \llbracket \text{if}(x_i > 0) P_0 \text{ else } P_1 \rrbracket(S) \\
 &= \alpha(\llbracket P_0 \rrbracket(\{\sigma \in S \mid \sigma_i > 0\}) \sqcup \llbracket P_1 \rrbracket(\{\sigma \in S \mid \sigma_i \leq 0\})) \\
 &= \alpha(\llbracket P_0 \rrbracket(\{\sigma \in S \mid \sigma_i > 0\})) \sqcup \alpha(\llbracket P_1 \rrbracket(\{\sigma \in S \mid \sigma_i \leq 0\})) \\
 &\quad \text{as } \alpha \text{ preserves least upper bounds} \\
 &= \llbracket P_0 \rrbracket^\sharp(\alpha(\{\sigma \in S \mid \sigma_i > 0\})) \sqcup \llbracket P_1 \rrbracket^\sharp(\alpha(\{\sigma \in S \mid \sigma_i \leq 0\})) \\
 &= \llbracket P_0 \rrbracket^\sharp(\alpha(S) \sqcap \top[i \leftarrow \underline{\pm}]) \sqcup \llbracket P_1 \rrbracket^\sharp(\alpha(S)) \\
 &= \llbracket \text{if}(x_i > 0) P_0 \text{ else } P_1 \rrbracket^\sharp(\alpha(S))
 \end{aligned}$$

where $\llbracket \text{if}(x_i > 0) P_0 \text{ else } P_1 \rrbracket^\sharp(S^\sharp) = \llbracket P_0 \rrbracket^\sharp(S^\sharp \sqcap \top[i \leftarrow \underline{\pm}]) \sqcup \llbracket P_1 \rrbracket^\sharp(S^\sharp)$

In the case of **loops**:

$$\begin{aligned}
 & \llbracket \text{while}(x_i > 0) P \rrbracket^\sharp(S^\sharp) = \text{lfp}_{S^\sharp} f^\sharp \\
 & \text{where } f^\sharp : S^\sharp \mapsto S^\sharp \sqcup \llbracket P \rrbracket^\sharp(S^\sharp \sqcap \top[i \leftarrow \underline{\pm}])
 \end{aligned}$$

Proof: exercise

Abstract semantics

Abstract semantics and soundness

We have derived the following definition of $\llbracket P \rrbracket^\sharp$:

$$\begin{aligned}
 \llbracket x_i = n \rrbracket^\sharp(S^\sharp) &= S^\sharp[i \leftarrow \alpha_S(\{n\})] \\
 \llbracket x_i = x_j + x_k \rrbracket^\sharp(S^\sharp) &= S^\sharp[i \leftarrow S_j^\sharp \oplus^\sharp S_k^\sharp] \\
 \llbracket x_i = x_j - x_k \rrbracket^\sharp(S^\sharp) &= S^\sharp[i \leftarrow S_j^\sharp \ominus^\sharp S_k^\sharp] \\
 \llbracket x_i = x_j \cdot x_k \rrbracket^\sharp(S^\sharp) &= S^\sharp[i \leftarrow S_j^\sharp \otimes^\sharp S_k^\sharp] \\
 \llbracket \text{input}(x_i) \rrbracket^\sharp(S^\sharp) &= S^\sharp[i \leftarrow \perp] \\
 \llbracket \text{if}(x_i > 0) P_0 \text{ else } P_1 \rrbracket^\sharp(S^\sharp) &= \llbracket P_0 \rrbracket^\sharp(S^\sharp \sqcap \top[i \leftarrow \perp]) \sqcup \llbracket P_1 \rrbracket^\sharp(S^\sharp) \\
 \llbracket \text{while}(x_i > 0) P \rrbracket^\sharp(S^\sharp) &= \text{lfp}_{S^\sharp} f^\sharp \text{ where} \\
 & f^\sharp : S^\sharp \mapsto S^\sharp \sqcup \llbracket P \rrbracket^\sharp(S^\sharp \sqcap \top[i \leftarrow \perp])
 \end{aligned}$$

Furthermore, for all program P : $\alpha \circ \llbracket P \rrbracket = \llbracket P \rrbracket^\sharp \circ \alpha$

An **over-approximation of the final states** is computed by $\llbracket P \rrbracket^\sharp(\top)$.

Example

Factorial function:

```

input(x0);
x1 = 1;
x2 = 1;
while(x0 > 0){
    x1 = x0 · x1;
    x0 = x0 - x2;
}

```

Abstract state **before the loop:**

$(\underline{+}, \underline{+}, \underline{+})$

Iterates on the loop:

iterate	0	1	2
x ₀	$\underline{+}$	\top	\top
x ₁	$\underline{+}$	$\underline{+}$	$\underline{+}$
x ₂	$\underline{+}$	$\underline{+}$	$\underline{+}$

Abstract state **after the loop:** $(\top, \underline{+}, \underline{+})$

Outline

- 1 Abstraction
- 2 Abstract interpretation
- 3 Application of abstract interpretation
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Summary

This lecture:

- **abstraction** and its formalization
- **computation of an abstract semantics** in a very simplified case

Next lectures:

- **construction** of a few **non trivial abstractions**
- **more general** ways to **compute sound abstract properties**

Update on projects...