# Type-based Clock Calculi

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Different interpretations of the words "synchronous" and "clocks" exist.

#### Kahn Process Networks

A set of processes running independently and synchronizing through FIFOs [5].

In this context, what does it mean for two streams to be "synchronous" or two processes to run "synchronously"?

- ► The Synchronous Data-flow Model (SDF) model of Messerschmitt & Lee [6] defines relative ratio between input reads and output writes of a process.
- ► E.g., "Every time f read 2 input on channel x and 3 inputs on channel y, it produces 5 outputs on channel z".
- Express constraints of relative input reads and write as balanced equations.
- ▶ A SDF network is correct (alive = deadlock free and bounded) when balanced equations have a solution.
- ▶ It ensures that a static schedule exists and a run with bounded buffer whose maximum size can be computed [7].
- ▶ Since [6], various SDF extensions have been considered.



## Periodically sampled systems

Consider that parallel processes run in lock-step — when one process make a step, the others makes a step.

There exist a global time scale shared by all processes, e.g. 1ms.

"synchrony" can be interpreted as:

Two periodically sampled signals can be synchronized on the gcd of their period.

E.g., if x is sampled every 10ms and y every 15ms, the signal z = x + y needs to be computed every 5ms. z is sampled every 5ms.

In both interpretations, "synchrony" is a matter of relative speed (or rate) of production or change of value.

## Synchronous Kahn Networks

synchrony of synchronous languages has a more general and powerful meaning.

That is, the two previous situations are particular cases.

A clock is a set of totally ordered instants. There exist a global clock so that every signal is defined according to this clock.

A Kahn network is synchronous if it can be executed without any buffering mechanism.

### **Example: Synchronous Circuits**

A synchronous circuit behave as if it all operator were running in lock-step, reading one input, producing one output.

In a synchronous circuit, it is possible to mimic the absence of a value by adding an enable bit: every wire s is paired with a boolean b, true when s is valid.

#### What is a Clock

The clock of a signal defines the instants where the signal is defined. It is its time domain as opposed to the domain of values. If D is a set of instants, equation z = x + y means:

$$\forall t \in D.z(t) = x(t) + y(t)$$

D is equiped with a total order. If D is a set of instants, or clock, and  $D' \subseteq D$ , D' is called a sub-clock of D.

Some operators can read or produce values at a subset of instants.

E.g., x when c returns a signal which is defined on a subclock  $D' \subset D$  if x and c have clock D. It produces a signal which is defined on time  $t \in D'$  if x(t) is defined, c(t) is defined and is true.

whereas merge takes two signals defined at complementary instants.

#### What is a Clock

The clock calculus is a type system on these time domains. It associates a clock type to an expression *e* which indicates when *e* produces a value.

When the program type checks, it can be executed synchronously without any buffering.

- Clocks are useful to mix slow and fast processes;
- while ensuring the absence of buffering.

From the programming language point-of-view:

- Clocks help specifying and reasonning about reactive processes.
- Clocks are useful to generate good code.
- In a way similar to types in programming languages.
  - A strong constraint on the programmer but increases safety.
  - It helps undestanding what the program is doing.

### Clocks, in practice

The problem is not "easy" in the general case. E.g.,:

$$(e_1 \text{ when } c_1) + (e_2 \text{ when } c_2)$$

is synchronous iff  $c_1$  and  $c_2$  are equal. If the language contains boolean expressions, it is *NP*-complete. If it contains boolean expressions with registers, it is *PSPACE*-complete. If it contains unbounded arithmetics, it is undecidable. In practice:

- Give sufficient conditions to insure that a program can be executed synchronously.
- Clock equality: structural equality (Lucid Synchrone); boolean equivalence (Signal).
- ► Clock inference: **Signal**, **Lucid Synchrone**.
- Clock verification: Lustre.

#### Remark:

This is a very general problem and tools like Simulink also provides a static checking of rates/clocks of block diagrams.

# A small stream language

```
f,e ::= ee \mid \text{let } x = e \text{ in } e \mid x \mid i
\mid e \text{ fby } e \mid \text{merge } e \text{ } e \text{ } e
\mid e \rightarrow e \mid e \text{ when } e
\mid \text{rec } x.e \mid \lambda x.e
```

- Streams and stream function.
- ▶ Regular typing is not addressed here, causality neither.
- Check only the operations are executed on their proper clock.

### Finite and Infinite Streams

Let T be a set of value.

- ▶ If  $n \in \mathbb{N}$ ,  $T^n$  is the set of sequences of length n.
- ▶ If  $x \in T^n$ , and  $1 \le i \le n$ , x(i) is the *i*-th element of  $T^n$ . It is not defined otherwise.
- ▶ If  $v \in T$  and  $s \in T^n$ ,  $v.s \in T^{n+1}$  is the stream with (v.s)(1) = v and (v.s)(i) = s(i-1), for  $1 \le i \le n+1$ .
- $ightharpoonup T^0$  contains the empty sequence noted  $\epsilon$ .
- ▶ The Kleene set  $T^* = \bigcup_{n \in \mathbb{N}} T^n$  is the set of finite sequences.
- $T^{\omega} = \lim_{n \to \infty} T^n$  is the set of infinite sequences.
- The set of finite and infinite streams is:

$$T^{\infty} = T^* \cup T^{\omega}$$

#### The Prefix Order

The binary relation  $\leq_p \subseteq T^{\infty} \times T^{\infty}$  is the smallest such that:

- ▶ For all  $s \in T^{\infty}$ ,  $\epsilon \leq_p s$ .
- ▶ For all  $s_1, s_2$ , if  $s_1 \leq_p s_2$  then forall  $v \in T$ ,  $v.s_1 \leq_p v.s_2$



### Clocked Streams

Let  $T_{abs} = T + \{abs\}$ , the set T complemented with a "absent" value.

#### Clocks

Let  $x \in T^{\infty}_{abs}$ . The clock  $Clock(x) \in Bool^{\infty}$  of x is a boolean stream:

$$Clock(\epsilon) = \epsilon$$
  
 $Clock(abs.s) = false.Clock(s)$   
 $Clock(v.s) = true.Clock(s)$ 

#### Clocked Stream

The set of clocked streams whose clock is s is defined:

$$ClockedStream(T, c) = \{s \in T^{\infty} \mid Clock(s) \leq_{p} c\}$$

$$s \in ClockedStream(T, C)$$
 means  $\forall i \in Dom(s), (s(i) = abs) \Leftrightarrow (c(i) = false)$ 

The set is prefix closed, i.e., if c is of length n, we allow ClockedStream(T,c) to contains all shorter streams.

### Static Checking

Intuition: associate a type to every expression. For a stream expression e, this type is interpreted as a boolean expression s whose value if true when e produce a present value.

### The clock type language:

```
 \sigma \qquad ::= \quad \forall \alpha_1, ..., \alpha_n.cl \\ cl \qquad ::= \quad \forall x: cl.cl \mid cl \times cl \mid s \\ s \qquad ::= \quad s \text{ on } e \mid \alpha \\ H \qquad ::= \quad [x_1:\sigma_1, ..., x_n:\sigma_n] \\ \qquad \text{where for all } i,j \text{ st } j \leq i, x_i \not\in FV(cl_j) \\ \text{judgment} \qquad ::= \quad H \vdash e:cl
```

Programs are considered modulo  $\alpha$ -conversion (renaming)

- A dependent type system.
- $\forall x : cl_1.cl_2$  is written  $cl_1 \rightarrow cl_2$  when  $x \notin FV(cl_2)$



#### **Initial Conditions**

```
\begin{array}{lll} \textit{H}_0 &=& [\texttt{pre} & : \forall \alpha.\alpha \rightarrow \alpha, \\ & - > & : \forall \alpha.\alpha \rightarrow \alpha \rightarrow \alpha, \\ & \texttt{when} & : \forall \alpha.\alpha \rightarrow \forall x : \alpha.\alpha \; \texttt{on} \; x \\ & \texttt{merge} & : \forall \alpha.\forall x : \alpha.\alpha \; \texttt{on} \; x \rightarrow \alpha \; \texttt{on} \; \texttt{not} \; x \rightarrow \alpha \end{array}
```

### Instantiation, generalisation:

- ▶ Free clock variables: FV(cl). Lifted to environments: FV(H).
- Free expression variables: fv(cl). Lifted to environments: fv(H).

$$cl[s_1/\alpha_1,...,s_n/\alpha_n] \in Instanciate(\forall \alpha_1,...,\alpha_n.cl)$$

Generalize(
$$H$$
,  $cl$ ) =  $\forall \alpha_1, ..., \alpha_m.cl$   
where  $\{\alpha_1, ..., \alpha_n\} = FV(cl) \setminus FV(H)$ 

Polymorphism is limited: a clock variable can be instantiated by a clock type *s* which concerns signals only.

### The system

$$(Const) \atop H \vdash i : s \qquad \frac{cl \in Instanciate(\sigma)}{H, x : \sigma \vdash x : cl} \qquad \frac{H \vdash e_1 : s \qquad H \vdash e_2 : s}{H \vdash op(e_1, e_2) : s}$$

$$\frac{(ABST)}{H, x : cl \vdash e : cl' \qquad x \notin fv(H)}{H \vdash \lambda x.e : \forall x : cl.cl'}$$

$$\frac{(APP)}{H \vdash f : \forall x : cl.cl'} \qquad H \vdash e : cl$$

$$\frac{H \vdash f : \forall x : cl.cl'}{H \vdash f : cl.cl'[e/x]}$$

$$\frac{H,x:cl\vdash e:cl \qquad x\not\in fv(H)}{H\vdash rec\ x.e:cl}$$

$$\frac{(\text{LET})}{H\vdash e_1:cl_1} \frac{H,x:Generalize(H,cl_1)\vdash e_2:cl_2}{H\vdash let\ x=e_1\ in\ e_2:cl_2}$$

#### **Pairs**

$$(\text{FST}) \\ H \vdash \text{fst} : \forall \alpha_1, \alpha_2.\alpha_1 \times \alpha_2 \rightarrow \alpha_1$$
 
$$(\text{SND}) \\ H \vdash \text{snd} : \forall \alpha_1, \alpha_2.\alpha_1 \times \alpha_2 \rightarrow \alpha_2 \qquad \frac{(\text{PRODUCT})}{H \vdash e_1 : cl_1} \qquad H \vdash e_2 : cl_2}{H \vdash (e_1, e_2) : cl_1 \times cl_2}$$

### Polymorphism

- Polymorphism is limited: fst takes two streams and returns a stream since  $\alpha$  denotes a variable which can only be instantiated by a clock expression of the form s on e.
- Pairs can be treated in a more general manner by extending the type language.

$$\sigma ::= \forall \beta_1, ..., \beta_n, \forall \alpha_1, ..., \alpha_n, cl 
cl ::= \forall x : cl. cl | cl \times cl | s | \beta 
s ::= s on e | \alpha$$

Then, fst and snd get clock signatures:

$$\begin{split} & \text{(FST)} \\ & \textit{H} \vdash \texttt{fst} \ : \forall \beta_1, \beta_2.\beta_1 \times \beta_2 \rightarrow \beta_1 \\ & \text{(SND)} \\ & \textit{H} \vdash \texttt{snd} \ : \forall \beta_1, \beta_2.\beta_1 \times \beta_2 \rightarrow \beta_2 \end{split}$$

# Polymorphism

An alternative solution is to keep a simpler clock type language.

$$\sigma ::= \forall \beta_1, ..., \beta_n.cl 
cl ::= \forall x : cl.cl | cl \times cl | \beta | cl \text{ on } e$$

Yet, the meaning of some combinations must be defined (and is, at least unclear). E.g.,

- $ightharpoonup (cl_1 \times cl_2)$  on e;
- $(\forall x : cl_1.cl_2) \text{ on } e;$
- **.**..

These situations can be rejected by the regular type system or taken into account by merging the type system and the clock calculus.

#### Extension: clock abstraction

How can we write a function (node) that returns a stream sampled on a condition c computed locally?

In Lustre, the condition must be returned as an output of the function.

```
node hide(x: int) returns (o: bool; (y:int) when o);
let o = x >= 0;
    y = x when o;
tel;
```

This corresponds to an existential quantification:

```
hide: \forall \alpha.\alpha \rightarrow \Sigma(o:\alpha).\alpha on o
```

$$\begin{array}{l} \text{(RETURN)} \\ \underline{H \vdash e_1 : \mathit{cl}_1} \\ \underline{H \vdash (e_1, e_2) : \Sigma(x : \mathit{cl}_1).\mathit{cl}_2} \end{array} \qquad \begin{array}{l} \text{(FST)} \\ \underline{H \vdash e : \Sigma(x : \mathit{cl}_1).\mathit{cl}_2} \\ \underline{H \vdash e : \Sigma(x : \mathit{cl}_1).\mathit{cl}_2} \\ \\ \underline{H \vdash e : \Sigma(x : \mathit{cl}_1).\mathit{cl}_2} \\ \underline{H \vdash e : \Sigma(x : \mathit{cl}_1).\mathit{cl}_2} \\ \underline{H \vdash \text{snd } e : \mathit{cl}_2[\text{fst } e/x]} \end{array}$$

# The Valued Signals of Esterel

The language Esterel provides pure and valued signals. A pure signal is nothing but a boolean. A valued signal carries both a value and a presence bit. Using clocks, it can be encoded by a dependent pair:

$$\alpha \operatorname{sig} = \Sigma(c:\alpha).\alpha \operatorname{on} c$$

made of:

- ► An enable bit c;
- ▶ and a stream present when c is true.

Add two operations: one to abstract the enable bit; one to open it.

#### Clock abstraction

The equation:

$$emit x = e$$

defines the valued signal x by abstracting the clock of e.

(EMIT)
$$\frac{H \vdash e : s \text{ on } c}{H \vdash \text{emit } x = e : [x : s \text{ sig}]}$$

## Accessing an abstract clock

let x on  $c = e_1$  in  $e_2$  access the signal  $e_1$ .

$$(Let-Sig) \\ c \not\in fv(H) \quad c \not\in fv(cI) \\ \frac{H \vdash e_1 : s \text{ sig} \quad H, c : s, x : s \text{ on } c \vdash e_2 : cI}{H \vdash \text{let } x \text{ on } c = e_1 \text{ in } e_2 : cI}$$

The rule ensures that no hypothesis on c can be made and it must not escape from the block.

Historical note: The idea of "clocks as (dependent) types" was introduced xsin ICFP'96 (Caspi & Pouzet). It was implemented in Lucid Synchrone V1 (1996-1998).

## Oversampling

In **Lucid Synchrone**, Version 1.0 (1998), it was possible to write an oversampling function whose input clock could depend only its output, provided there was no instantaneous dependence.

E.g., take f and terminated two length preserving functions.

This program mimics an internal loop that reads an input from time to time but produce an output at every instant.

### Oversampling

The type  $\Pi x : cl_1.cl_2$  expresses that the clock of output depends on the value of the input.

The type  $\Sigma x$ :  $cl_1.cl_2$  expresses that the clock of the output depends on the value of the first component of the pair.

How to express the clock signature of a function where the clock of an input depends on previous values of itself?

Introduce a type which replaces  $\Pi x : cl_1.cl_2$  and  $\Sigma x : cl_1.cl_2$ .

# Causaly correct clock signatures

The type of a function f with n inputs and m output can be given the signature:

$$(x_1:cl_1)\times...\times(x_n:cl_n)\rightarrow(x_{n+1}:cl_{n+1})\times...\times(x_{n+m}:cl_{n+m})$$

where  $x_i$  (for  $i \in [1..n]$ ) is quantified universally;  $(x_i)$  (for  $i \in [n+1..n+m]$ ) are quantified existentially.

The signature must be syntactically causal:

- $ightharpoonup x_i$  can only appear in  $cl_j$  for j > i.
- unless it appears under a pre or fby.

that is, the clock of an input can depend on the previous value of an output.

# A funny example: sorting two input streams

An example due to Ben Lippmeier (Gost motion, https://www.gh.st).

Consider two sorted integer input streams left and right.

Define a node sort which, given left and right returns a sorted stream which merge the two input streams.

```
(* Lucid Synchrone V1.1 *)
let current c default x = where
  rec o = merge c x ((default fby o) when not c)

let sort(left, right) = (c, o) where
  rec mleft = current (true fby c) left 0
  and mright = current (true fby (not c)) right 0
  and c = mleft < mright
  and o = if c then mleft else mright</pre>
```

The Lucid Synchrone V1 compiler computes:

# Properties of clock calculus

### Theorem (Correctness)

Well clocked programs can be executed in a synchronous manner.

The precise formulation and proof was obtained in a very elegant manner by Boulme and Hamon [Boulme & Hamon, LPAR'01] by making a shallow embedding in Coq.

- For well clocked programs, annotate constants with their clock, e.g.,: H ⊢ 42 : b becomes 42[b] where b will be a boolean stream.
- ► Annotated expressions can now be given a synchronous semantics, that is, operations are applied to clocked streams.
- ► The clock typing rules are a direct consequence of the clocked semantics.
- ▶ If expressions are represented as Coq terms, clock rules are enforced by the typing rules of Coq.

### Use of clocks

For code generation, clocks are used for control optimization. An expression with clock type s is only executed with s is true.

The explicit representation of the absent value can be removed.

Transform programs that manage streams into programs that manage streams with clocks annotations:

$$H \vdash e : cI \Rightarrow e'$$

expression e with clock cl is transformed into an expression e'

### Annotating Expressions with their Clock

The basic language is extended with explicit annotations. pres is an enable bit. This bit is associated to every operation and register.

```
e \qquad ::= \quad i_{pres} \mid \operatorname{op}_{pres}(e,e) \mid x \\ \mid \operatorname{pre}_{pres}e \mid e \rightarrow_{pres} e \\ \mid \operatorname{rec} x.e \\ \mid (e,e) \\ \mid \lambda \alpha_1,...,\alpha_n.e \\ \mid \lambda x.e \mid e(e) \\ \mid \operatorname{fst} e \mid \operatorname{snd} e \\ \mid \operatorname{pres} \end{cases}
pres \quad ::= \quad \operatorname{pres} \text{ on } e \mid \alpha \mid \operatorname{true}
```

#### **Transformation**

To produce a program where expressions are annotated with their clock.

$$\lambda x.(0 \text{ fby } x) + 2 : \forall \alpha.\alpha \rightarrow \alpha$$

is translated into:

$$\lambda \alpha . \lambda x . (0_{\alpha} \text{ fby } x) + 2_{\alpha})$$

- An abstraction at every generalization point.
- An application at every instantiation point.
- ► This mechanism is necessary because several clock variables can be present in a clock scheme.
- ▶ In practice, the clock is only useful for stateful operations (pre, -> and fby).



# The Program Transformation

$$(CONST) \qquad (VAR) \\ H \vdash s \Rightarrow c_{e} \qquad cl, (c_{1}, ..., c_{n}) \in Instanciate(\sigma) \\ H \vdash i : s \Rightarrow i[c_{e}] \qquad H \vdash e_{1} : s \Rightarrow c_{1} \qquad H \vdash e_{2} : s \Rightarrow c_{2} \\ H \vdash op(e_{1}, e_{2}) : s \Rightarrow op_{c_{e}}(c_{1}, c_{2}) \\ \qquad (ABST) \\ H \vdash \lambda x.e : \forall x : cl.cl' \Rightarrow \lambda x.c$$

$$\frac{(\text{APP})}{H \vdash f : \forall x : cl.cl' \Rightarrow f_c \qquad H \vdash e : cl \Rightarrow e_c}{H \vdash fe : cl'[e/x] \Rightarrow f_c e_c}$$

$$\frac{(\text{REC})}{H, x : cl \vdash e : cl \Rightarrow c \qquad x \notin fv(H)}{H \vdash \text{rec } x.e : cl \Rightarrow \text{rec } x.c}$$

### Instanciation, Generalization:

$$cl[s_1/\alpha_1,...,s_n/\alpha_n],(s_1,...,s_n) \in Instanciate(\forall \alpha_1,...,\alpha_n.cl)$$

$$Generalize(H,cl) = \forall \alpha_1,...,\alpha_m.cl,(\alpha_1,...,\alpha_n)$$

$$\text{where } \{\alpha_1,...,\alpha_n\} = FV(cl) \setminus FV(H)$$

$$(LET)$$

$$\sigma,(\alpha_1,...,\alpha_n) = Generalize(H,cl_1)$$

$$H \vdash e_1 : cl_1 \Rightarrow c_1 \quad H,x : \sigma \vdash e_2 : cl_2 \Rightarrow c_2$$

$$H \vdash \text{let } x = e_1 \text{ in } e_2 : cl_2 \Rightarrow \text{let } x = \lambda\alpha_1,...,\alpha_n.c_1 \text{ in } c_2$$

# What is the operator On?

If s is a clock expression and e is a boolean expression, s on e is called a sub-clock of s.

s on e is true whenever e is present and true. e must be on clock s. Thus, if s on e is true, then is s.

$$\frac{(\text{ON})}{H \vdash s \Rightarrow c_s} \quad H \vdash e : s \Rightarrow c_e \\ H \vdash s \text{ on } e \Rightarrow c_s \text{ on } c_e$$
 (CLOCK-VAR)  
$$H \vdash \alpha \Rightarrow \alpha$$

## Algorithm and implementation choices

The very first description of this clock type system was presented at ICFP'96 [2].

- ► Clock type inference based on the algorithm *W* of ML.
- First order unification between clock, structural.
  - $ightharpoonup cl_1$  on  $e_1 \equiv cl_2$  on  $e_2$  if  $cl_1 \equiv cl_2$
  - $ightharpoonup e_1$  and  $e_2$  syntactically equal. The following is rejected:

```
let f x =
let z = x = 0 in
(1 \text{ when } z) + (2 \text{ when } (x = 0)
```

▶ Dependences for functions  $(\forall x : cl_1.cl_2)$  must be in prenex form. Only the first signature is possible:

```
let f x g = (g x) + (1 when x)

f : (x:a) \rightarrow (a \rightarrow a \text{ on } x) \rightarrow a \text{ on } x

f : (x:a) \rightarrow ((y:a) \rightarrow a \text{ on } y) \rightarrow a \text{ on } x
```

### Comparison with the Lustre Clock Calculus

The system was implemented in **Lucid Synchrone** Version 1 (1996). It was kept upto Version 2 (2002).

- ► The ReLuC compiler of SCADE/Lustre (Esterel-Technologies) implemented a clock calculus close to the presented one.
- Clock verification instead of inference.
- A restriction in the clock type language. Clock scheme of the form  $\forall \alpha.cl$  with a single clock variable.
- ▶ This is the base clock of the node.

```
let f (x,y) = (x+1, y+2)

f: ('a * 'b) \rightarrow ('a * 'b) \leftarrow in Lucid Synchrone

f: ('a * 'a) \rightarrow ('a * 'a) \leftarrow in Lustre
```

no oversampling in Lustre

```
let rec half = true -> not (pre half)
let stuttering x = o where
    rec o = merge half x ((0 -> pre o) when not half)
f :: 'a on half -> 'a
```

no polymorphic constant (they are all on the base clock of the node). The following program is rejected:

```
let rec half = true -> pre (not (half))
let f x = x when half when half
f : 'a -> 'a on half on half
```

## Clock polymorphism (constants)

Un stream defined at top level can be seen as a constant process (with no input).

```
let rec half = true -> pre (not half)
is a short-cut for (i.e, it is compiled into):
let process_half () = half where
    rec half = true -> pre (not half) in half
```

every instance of half has its own clock, thus:

#### Conclusion

- A dependent-type system. In practice, restrict boolean expressions that appear in clock types (in s on e).
- ► The first version of the ReLuC compiler (at Esterel-Technologies) was based on this type system.
- ▶ It is possible to do a shalow embedding in Coq [Boulme & Hamon, LPAR'01]
- In 2003, we found a way to get something even simpler with a clock calculus that is amost the ML type system [Colaco and Pouzet, EMSOFT'03].
- This system was the basis of the clock calculus of Scade 6.
- ► This simpler system was reused and extended in two directions: the modeling and checking of periodic clocks [Julien Forget's PhD. thesis], the theory of N-synchrony [Florence Plateau's PhD. thesis, POPL'06 [3], etc.]



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