

# Manipulating Data while It Is Encrypted

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IBM Watson

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# The Goal

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A way to delegate processing of my data,  
without giving away access to it.

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# Application: Private Google Search

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I want to delegate processing of my data, without giving away access to it.

- ❑ Do a private Google search
    - You encrypt your query, so that Google cannot “see” it
  - ❑ Somehow Google processes your encrypted query
    - You get an encrypted response, and decrypt it
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# Application: Cloud Computing

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I want to delegate processing of my data, without giving away access to it.

- ❑ You store your files on the cloud
  - Encrypt them to protect your information
- ❑ Later, you want to retrieve files containing “cloud” within 5 words of “computing”.
  - Cloud should return only these (encrypted) files, without knowing the key
- ❑ Privacy combo: Encrypted query on encrypted data

# Outline

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- ❑ Fully homomorphic encryption (FHE) at a high level
  - ❑ A construction
  - ❑ Known Attacks
  - ❑ Performance / Implementation
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# Can we separate processing from access?

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Actually, separating processing from access  
even makes sense in the physical world...

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# An Analogy: Alice's Jewelry Store

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❑ Workers assemble raw materials into jewelry

❑ But Alice is worried about theft

How can she  
protect her raw  
materials?



How can workers process the raw materials without having access to them?



# An Analogy: Alice's Jewelry Store

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- ❑ Alice puts materials in locked glovebox
  - For which only she has the key
- ❑ Workers assemble jewelry in the box
- ❑ Alice unlocks box to get "results"





# An Encryption Glovebox?

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- ❑ Alice delegated processing without giving away access.
  - ❑ But does this work for encryption?
    - Can we create an “encryption glovebox” that would allow the cloud to process data while it remains encrypted?
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# Public-key Encryption

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□ Three procedures: **KeyGen**, **Enc**, **Dec**

■  $(sk, pk) \leftarrow \text{KeyGen}(\lambda)$

➤ Generate random public/secret key-pair

■  $c \leftarrow \text{Enc}(pk, m)$

➤ Encrypt a message with the public key

■  $m \leftarrow \text{Dec}(sk, c)$

➤ Decrypt a ciphertext with the secret key

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# Homomorphic Public-key Encryption

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□ Another procedure: **Eval** (for Evaluate)

■  $c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t)$

function

Encryption of  $f(m_1, \dots, m_t)$ .  
I.e.,  $\text{Dec}(\text{sk}, c) = f(m_1, \dots, m_t)$

Encryptions of  
inputs  $m_1, \dots, m_t$  to  $f$

- No info about  $m_1, \dots, m_t, f(m_1, \dots, m_t)$  is leaked
  - $f(m_1, \dots, m_t)$  is the “ring” made from raw materials  $m_1, \dots, m_t$  inside the encryption box
-

Concept due to Rivest,  
Adleman, Dertouzos (1978)

# Fully Homomorphic Public-key Encryption

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□ Another procedure: **Eval** (for Evaluate)

■  $c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t)$

function

Encryptions of  
inputs  $m_1, \dots, m_t$  to  $f$

Encryption of  $f(m_1, \dots, m_t)$ .  
I.e.,  $\text{Dec}(\text{sk}, c) = f(m_1, \dots, m_t)$

■ FHE scheme should:

- Work for *any* well-defined function  $f$
  - Be *efficient*
-

# Back to Our Applications

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$$c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t), \\ \text{Dec}(\text{sk}, c) = f(m_1, \dots, m_t)$$

## □ Private Google search

- Encrypt bits of my query:  $c_i \leftarrow \text{Enc}(\text{pk}, m_i)$
  - Send  $\text{pk}$  and the  $c_i$ 's to Google
  - Google expresses its search algorithm as a boolean function  $f$  of a user query
  - Google sends  $c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t)$
  - I decrypt to obtain my result  $f(m_1, \dots, m_t)$
-

# Back to Our Applications

---

$$c \leftarrow \text{Eval}(pk, f, c_1, \dots, c_t), \\ \text{Dec}(sk, c) = f(m_1, \dots, m_t)$$

## □ Cloud Computing with Privacy

- Encrypt bits of my files  $c_i \leftarrow \text{Enc}(pk, m_i)$
  - Store  $pk$  and the  $c_i$ 's on the cloud
  - Later, I send query : "cloud" within 5 words of "computing"
  - Let  $f$  be the boolean function representing the cloud's response if data was unencrypted
  - Cloud sends  $c \leftarrow \text{Eval}(pk, f, c_1, \dots, c_t)$
  - I decrypt to obtain my result  $f(m_1, \dots, m_t)$
-

# FHE: What does “Efficient” Mean?

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- $c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t)$  is efficient:
    - runs in time  $g(\lambda) \cdot T_f$ , where  $g$  is a polynomial and  $T_f$  is the Turing complexity of  $f$
  
  - **KeyGen**, **Enc**, and **Dec** are efficient:
    - Run in time polynomial in  $\lambda$ 
      - Alice’s work should be *independent* of the complexity of  $f$ 
        - In particular, ciphertexts output by Eval should look “normal”
      - The point is to *delegate* processing!!
-

# We had “somewhat homomorphic” schemes in the past

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- **Eval** only works for some functions  $f$ 
    - RSA works for MULT gates (mod  $N$ )
    - Paillier, GM, work for ADD, XOR
    - BGN05 works for quadratic formulas
    - MGH08 works for low-degree polynomials
      - size of  $c \leftarrow \text{Eval}(\text{pk}, f, c_1, \dots, c_t)$  grows exponentially with degree of polynomial  $f$ .
    - Before 2009, no efficient FHE scheme
-



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# A Construction of FHE...

Not my original STOC09 scheme.  
Rather, a simpler scheme by  
Marten van Dijk, me, Shai Halevi,  
and Vinod Vaikuntanathan

Smart and  
Vercauteren  
described an  
optimization of the  
STOC09 scheme in  
PKC10.

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**Step 1:** Construct a Useful  
“Somewhat Homomorphic”  
Scheme

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# Why a somewhat homomorphic scheme?

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□ Can't we construct a FHE scheme directly?

■ If I knew how, I would tell you.

■ Later...

somewhat hom. + bootstrappable → FHE

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# A homomorphic symmetric encryption

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❑ Shared secret key: odd number  $p$

❑ To encrypt a bit  $m$  in  $\{0,1\}$ :

■ Choose at random small  $r$ , large  $q$

■ Output  $c = m + 2r + pq$

The "noise"

Noise much smaller than  $p$

➤ Ciphertext is close to a multiple of  $p$

➤  $m = \text{LSB}$  of distance to nearest multiple of  $p$

❑ To decrypt  $c$ :

■ Output  $m = (c \bmod p) \bmod 2$

➤  $m = c - p \cdot [c/p] \bmod 2$

$= c - [c/p] \bmod 2$

$= \text{LSB}(c) \text{ XOR } \text{LSB}([c/p])$

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# A homomorphic symmetric encryption

---

❑ Shared secret key: odd number **101**

❑ To encrypt a bit **m** in  $\{0,1\}$ :

■ Choose at random small **r**, large **q**

■ Output  $c = m + 2r + pq$

The "noise"

Noise much smaller than p

➤ Ciphertext is close to a multiple of p

➤  $m = \text{LSB}$  of distance to nearest multiple of p

❑ To decrypt **c**:

■ Output  $m = (c \bmod p) \bmod 2$

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# A homomorphic symmetric encryption

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- ❑ Shared secret key: odd number 101
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# A homomorphic symmetric encryption

---

- ❑ Shared secret key: odd number 101
- ❑ To encrypt a bit  $m$  in  $\{0,1\}$ : (say,  $m=1$ )
  - Choose at random small  $r (=5)$ , large  $q (=9)$
  - Output  $c = m + 2r + pq$ 
    - Ciphertext is close to a multiple of  $p$
    - $m = \text{LSB}$  of distance to nearest multiple of  $p$
- ❑ To decrypt  $c$ :
  - Output  $m = (c \bmod p) \bmod 2$ 
    - $m = c - p \cdot [c/p] \bmod 2$   
 $= c - [c/p] \bmod 2$   
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The "noise"

Noise much smaller than  $p$

# A homomorphic symmetric encryption

---

- ❑ Shared secret key: odd number 101
- ❑ To encrypt a bit  $m$  in  $\{0,1\}$ : (say,  $m=1$ )
  - Choose at random small  $r (=5)$ , large  $q (=9)$
  - Output  $c = m + 2r + pq = 11 + 909 = 920$ 
    - Ciphertext is close to a multiple of  $p$
    - $m = \text{LSB of distance to nearest multiple of } p$
- ❑ To decrypt  $c$ :
  - Output  $m = (c \bmod p) \bmod 2$ 
    - $m = c - p \cdot [c/p] \bmod 2$
    - $= c - [c/p] \bmod 2$
    - $= \text{LSB}(c) \text{ XOR } \text{LSB}([c/p])$



# A homomorphic symmetric encryption

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- ❑ Shared secret key: odd number 101
- ❑ To encrypt a bit  $m$  in  $\{0,1\}$ : (say,  $m=1$ )
  - Choose at random small  $r (=5)$ , large  $q (=9)$
  - Output  $c = m + 2r + pq = 11 + 909 = 920$ 
    - Ciphertext is close to a multiple of  $p$
    - $m = \text{LSB of distance to nearest multiple of } p$
- ❑ To decrypt  $c$ :
  - Output  $m = (c \bmod p) \bmod 2 = 11 \bmod 2 = 1$ 
    - $m = c - p \cdot [c/p] \bmod 2$   
 $= c - [c/p] \bmod 2$   
 $= \text{LSB}(c) \text{ XOR } \text{LSB}([c/p])$

# Homomorphic Public-Key Encryption

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- ❑ Secret key is an odd  $p$  as before
- ❑ Public key is many “encryptions of 0”
  - $x_i = [q_i p + 2r_i]_{x_0}$  for  $i=1,2,\dots,n$
- ❑  $Enc_{pk}(m) = [subset-sum(x_i's) + m + 2r]_{x_0}$
- ❑  $Dec_{sk}(c) = (c \bmod p) \bmod 2$

Quite similar to Regev's '04 scheme. Main difference: we use much more aggressive parameters...

# Security of E

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- Approximate GCD (approx-gcd) Problem:
    - Given many  $x_i = s_i + q_i p$ , output  $p$
    - Example params:  $s_i \sim 2^\lambda$ ,  $p \sim 2^{\lambda^2}$ ,  $q_i \sim 2^{\lambda^5}$ , where  $\lambda$  is security parameter
      - Best known attacks (lattices) require  $2^\lambda$  time
  - I'll discuss attacks on approx-gcd later
  - Reduction:
    - if approx-gcd is hard, E is semantically secure
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# Why is E homomorphic?

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□ Basically because:

- If you add or multiply two near-multiples of  $p$ , you get another near multiple of  $p$ ...

# Why is E homomorphic?

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$$\square c_1 = m_1 + 2r_1 + q_1p, \quad c_2 = m_2 + 2r_2 + q_2p$$

Noise: Distance to nearest multiple of  $p$

$$\square c_1 + c_2 = (m_1 + m_2) + 2(r_1 + r_2) + (q_1 + q_2)p$$

- $(m_1 + m_2) + 2(r_1 + r_2)$  still much smaller than  $p$
- $c_1 + c_2 \bmod p = (m_1 + m_2) + 2(r_1 + r_2)$
- $(c_1 + c_2 \bmod p) \bmod 2 = m_1 + m_2 \bmod 2$

$$\square c_1 \times c_2 = (m_1 + 2r_1)(m_2 + 2r_2) + (c_1q_2 + q_1c_2 - q_1q_2)p$$

- $(m_1 + 2r_1)(m_2 + 2r_2)$  still much smaller than  $p$
- $c_1 \times c_2 \bmod p = (m_1 + 2r_1)(m_2 + 2r_2)$
- $(c_1 \times c_2 \bmod p) \bmod 2 = m_1 \times m_2 \bmod 2$

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# Why is E homomorphic?

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- $c_1 = m_1 + 2r_1 + q_1p, \dots, c_t = m_t + 2r_t + q_tp$
- Let  $f$  be a multivariate poly with integer coefficients (sequence of +’s and x’s)
- Let  $c = \text{Eval}_E(pk, f, c_1, \dots, c_t) = f(c_1, \dots, c_t)$ 
  - Suppose this noise is much smaller than  $p$   
 $f(c_1, \dots, c_t) = f(m_1 + 2r_1, \dots, m_t + 2r_t) + qp$
  - Then  $(c \bmod p) \bmod 2 = f(m_1, \dots, m_t) \bmod 2$

That’s what we want!

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# Why is $E$ *somewhat* homomorphic?

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□ What if  $|f(m_1+2r_1, \dots, m_t+2r_t)| > p/2$ ?

- $c = f(c_1, \dots, c_t) = f(m_1+2r_1, \dots, m_t+2r_t) + qp$

- Nearest  $p$ -multiple to  $c$  is  $q'p$  for  $q' \neq q$

- $(c \bmod p) = f(m_1+2r_1, \dots, m_t+2r_t) + (q-q')p$

- $(c \bmod p) \bmod 2$

$$= f(m_1, \dots, m_t) + (q-q') \bmod 2$$

$$= ???$$

□ We say  $E$  can handle  $f$  if:

- $|f(x_1, \dots, x_t)| < p/4$

- whenever all  $|x_i| < B$ , where  $B$  is a bound on the noise of a fresh ciphertext output by  $\text{Enc}_E$

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# Example of a Function that E Handle

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- Elementary symmetric poly of degree  $d$ :

$$f(x_1, \dots, x_t) = x_1 \cdot x_2 \cdot x_d + \dots + x_{t-d+1} \cdot x_{t-d+2} \cdot x_t$$

- Has  $\binom{t}{d} < t^d$  monomials: a lot!!
  - If  $|x_i| < B$ , then  $|f(x_1, \dots, x_t)| < t^d \cdot B^d$
  - E can handle  $f$  if:
    - $t^d \cdot B^d < p/4 \rightarrow$  basically if:  $d < (\log p)/(\log tB)$
  - Example params:  $B \sim 2^\lambda$ ,  $p \sim 2^{\lambda^2}$ 
    - $\text{Eval}_E$  can handle an elem symm poly of degree approximately  $\lambda$ .
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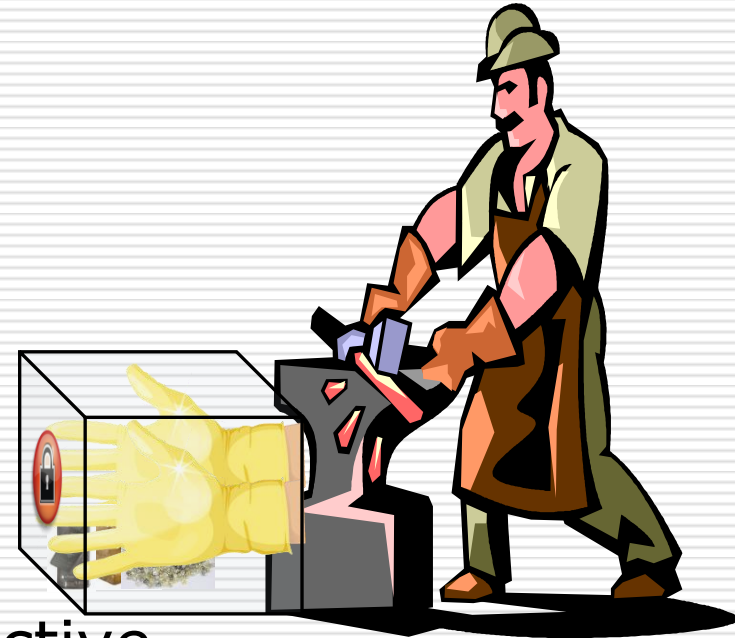
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**Step 2:** Somewhat Homomorphic +  
Bootstrappable  $\rightarrow$  FHE

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# Back to Alice's Jewelry Store

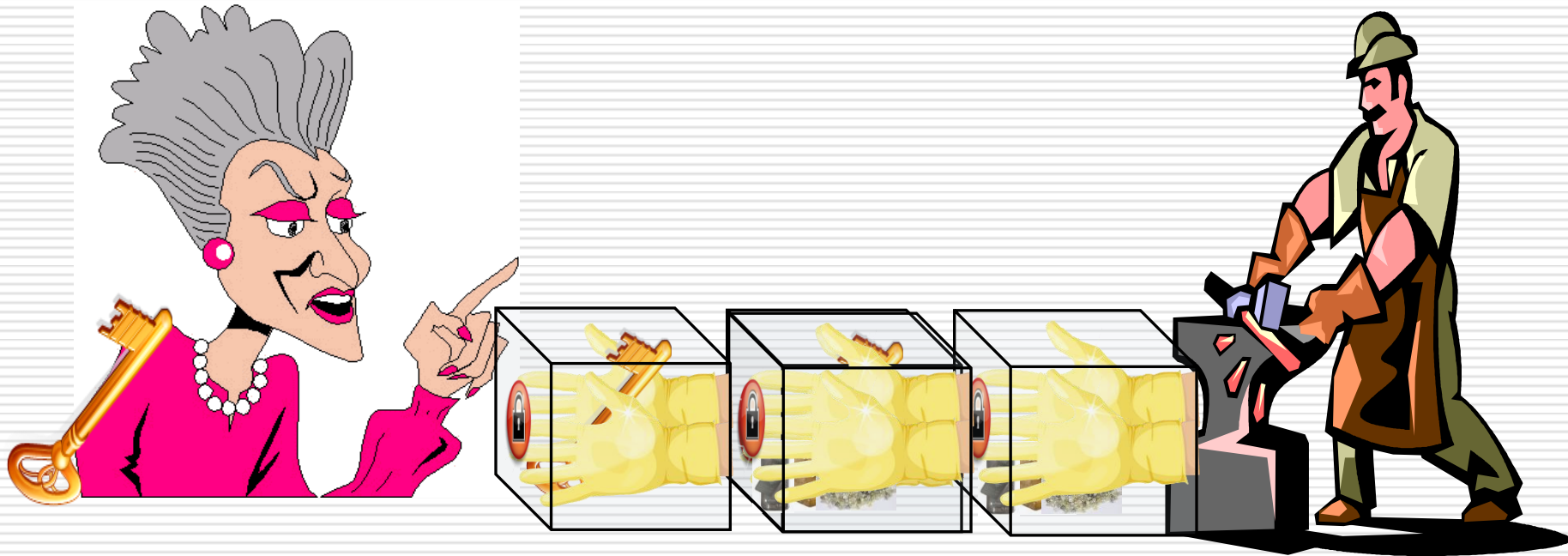
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- Suppose Alice's boxes are defective.
  - After the worker works on the jewel for 1 minute, **the gloves stiffen!**
- Some complicated pieces take 10 minutes to make.
- Can Alice still use her boxes?
- Hint: you can put one box inside another.

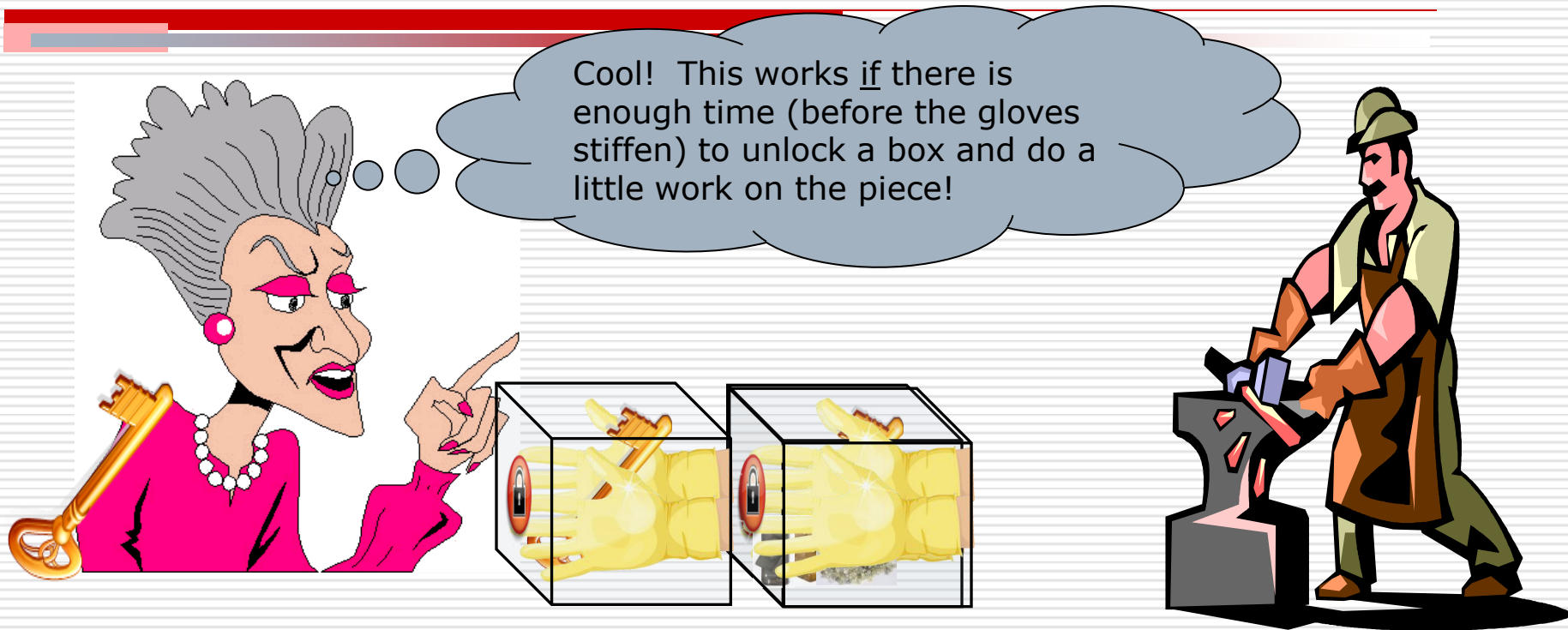
# Back to Alice's Jewelry Store

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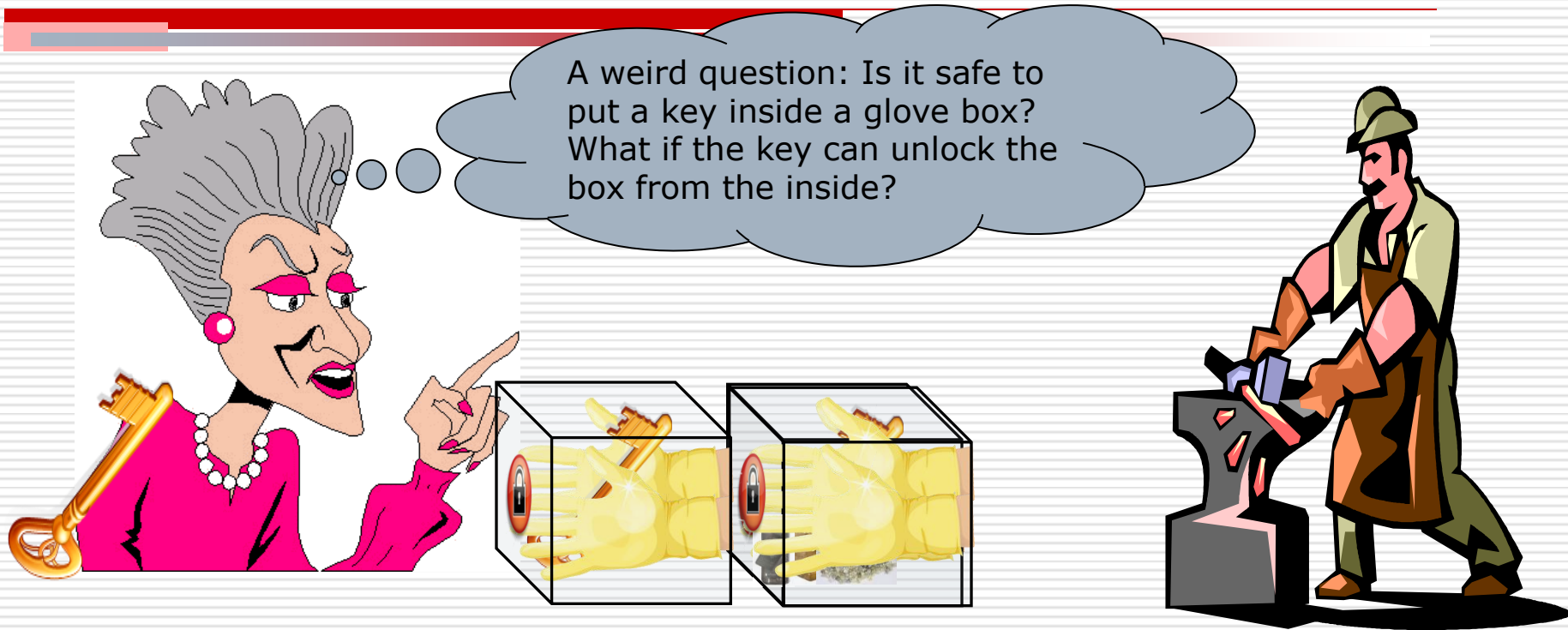
- Yes! Alice gives worker more boxes with a copy of her key
- Worker assembles jewel inside box #1 for 1 minute.
- Then, worker puts box #1 inside box #2!
- With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.
- And so on...

# Back to Alice's Jewelry Store



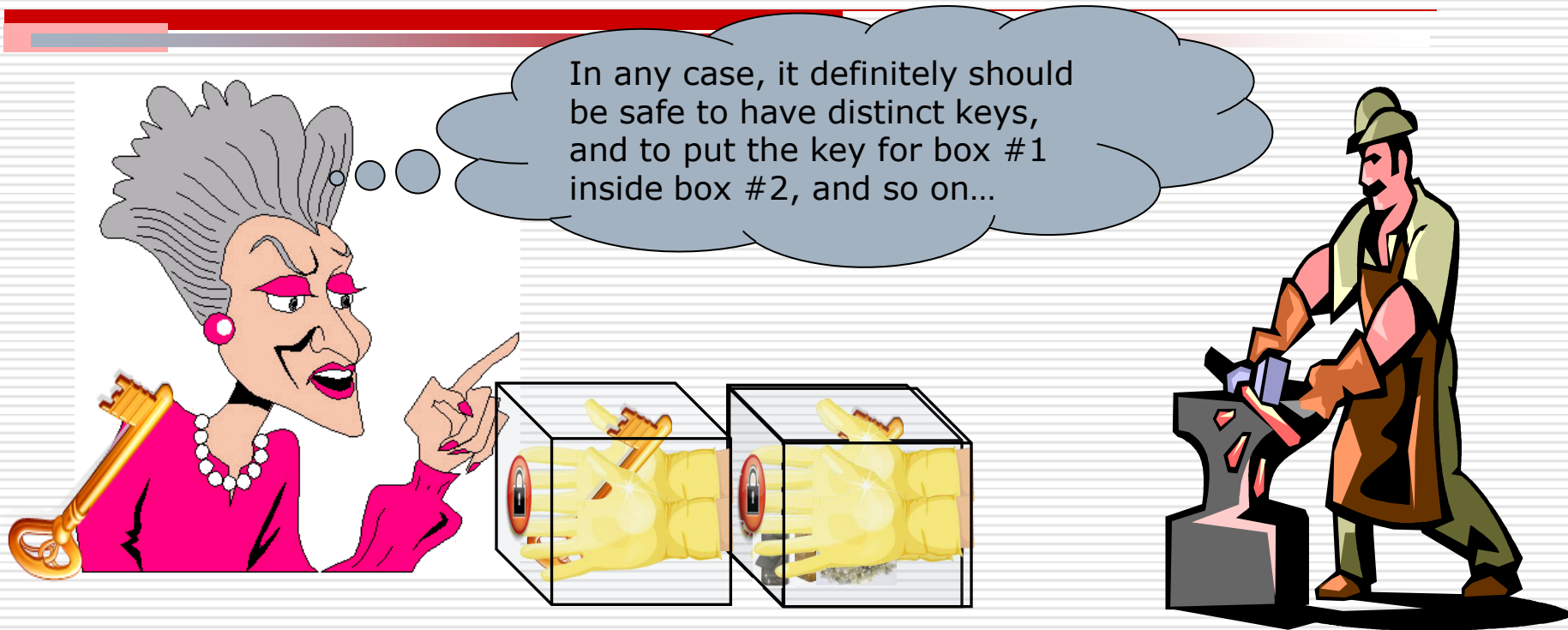
- ❑ Yes! Alice gives worker a boxes with a copy of her key
- ❑ Worker assembles jewel inside box #1 for 1
- ❑ Then, worker puts box #1 inside box #2!
- ❑ With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.

# Back to Alice's Jewelry Store



- ❑ Yes! Alice gives worker a boxes with a copy of her key
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# Back to Alice's Jewelry Store



- ❑ Yes! Alice gives worker a boxes with a copy of her key
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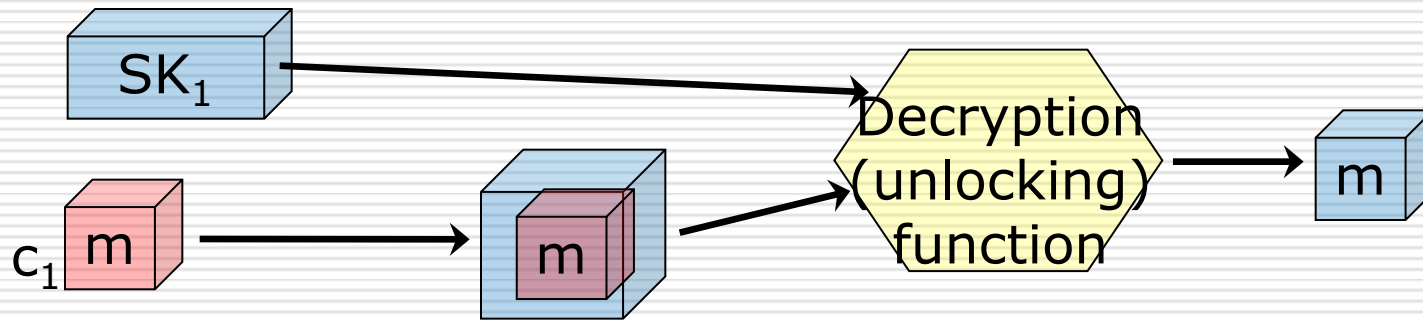
# How is it Analogous?

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- ❑ Alice's jewelry store: Worker can assemble any piece if gloves can "handle" unlocking a box (plus a bit) before they stiffen
  - ❑ Encryption:
    - If  $E$  can handle  $\text{Dec}_E$  (plus a bit), then we can use  $E$  to construct a FHE scheme  $E^{\text{FHE}}$
-

# Warm-up: Applying $\text{Eval}$ to $\text{Dec}_E$

Blue means box #2.  
It also means encrypted  
under key  $\text{PK}_2$ .



Red means box #1.  
It also means encrypted  
under key  $\text{PK}_1$ .





## Warm-up: Applying $\text{Eval}$ to $\text{Dec}_E$

---

- Suppose  $c = \text{Enc}(pk, m)$
- $\text{Dec}_E(sk_1^{(1)}, \dots, sk_1^{(t)}, c_1^{(1)}, \dots, c_1^{(u)}) = m$ ,  
where I have split  $sk$  and  $c$  into bits
- Let  $sk_1^{(1)}$  and  $c_1^{(1)}$ , be ciphertexts that encrypt  $sk_1^{(1)}$  and  $c_1^{(1)}$ , and so on, under  $pk_2$ .
- Then,

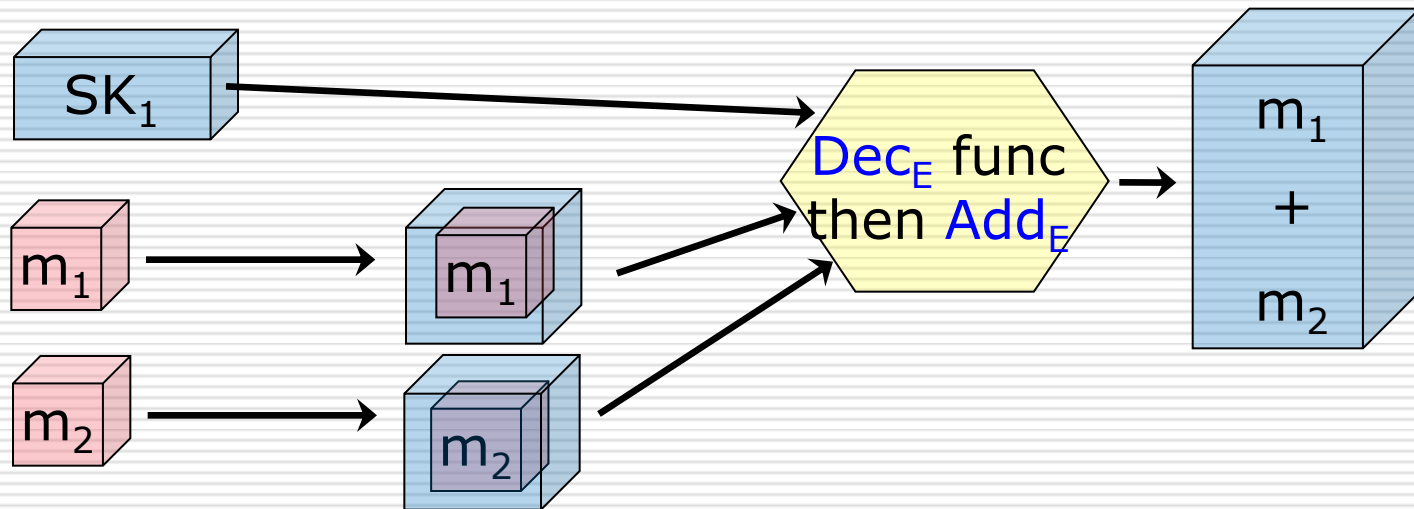
$$\text{Eval}(pk_2, \text{Dec}_E, sk_1^{(1)}, \dots, sk_1^{(t)}, c_1^{(1)}, \dots, c_1^{(u)}) = m$$

i.e., a ciphertext that encrypts  $m$  under  $pk_2$ .

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# Applying $Eval$ to ( $Dec_E$ then $Add_E$ )

Blue means box #2.  
It also means encrypted  
under key  $PK_2$ .

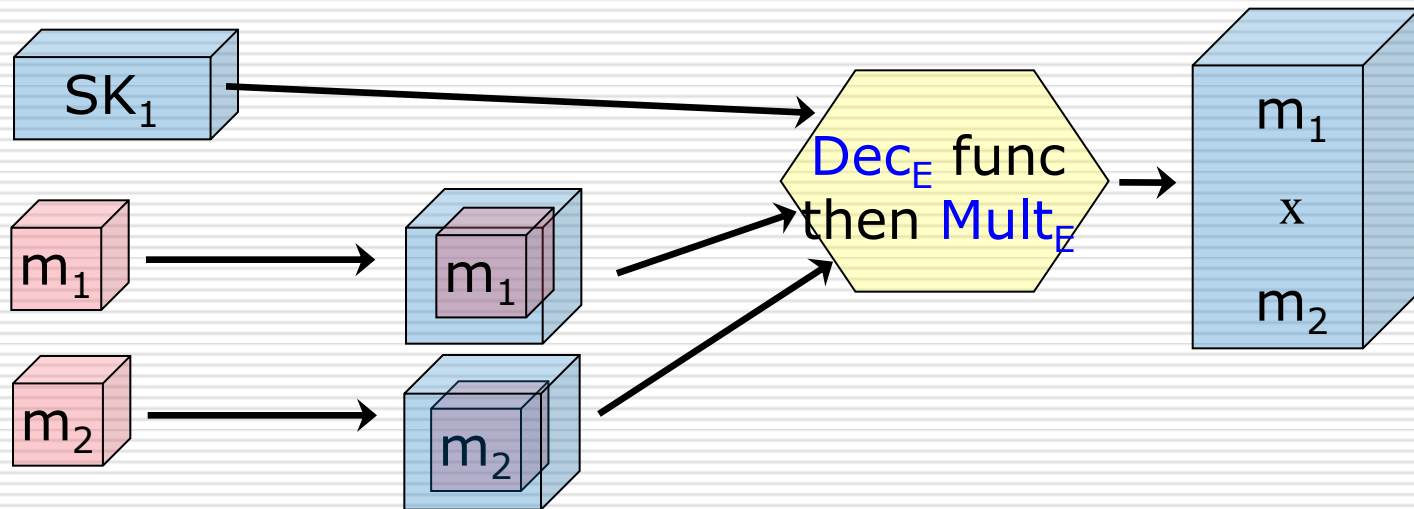


Red means box #1.  
It also means encrypted  
under key  $PK_1$ .

# Applying $Eval$ to $(Dec_E \text{ then } Mult_E)$

Blue means box #2.  
It also means encrypted  
under key  $PK_2$ .

If  $E$  can evaluate  $(Dec_E \text{ then } Add_E)$   
and  $(Dec_E \text{ then } Mult_E)$ , then we call  
 $E$  "bootstrappable" (a self-  
referential property).



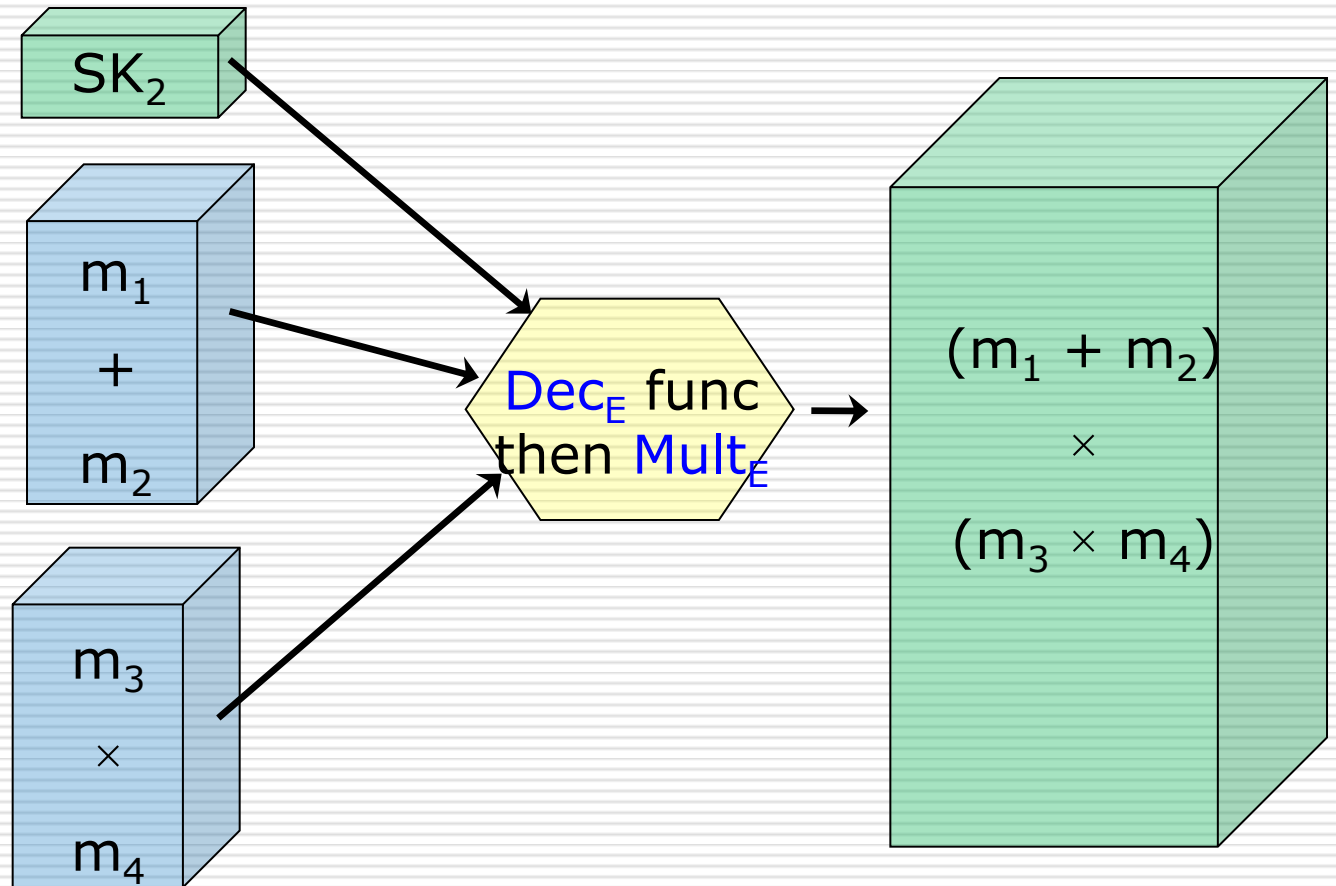
Red means box #1.  
It also means encrypted  
under key  $PK_1$ .

# And now the recursion...

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Green means encrypted under  $PK_3$ .

Blue means encrypted under  $PK_2$ .



And so on...

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# Arbitrary Functions

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- ❑ Suppose  $E$  is **bootstrappable** – i.e., it can handle  $\text{Dec}_E$  augmented by  $\text{Add}_E$  and  $\text{Mult}_E$  efficiently.
  - ❑ Then, there is a scheme  $E_d$  that evaluates arbitrary functions with  $d$  “levels”.
  - ❑ Ciphertexts: Same size in  $E_d$  as in  $E$ .
  - ❑ Public key:
    - Consists of  $(d+1)$   $E$  pub keys:  $pk_0, \dots, pk_d$
    - and encrypted secret keys:  $\{\text{Enc}(pk_i, sk_{(i-1)})\}$
    - Size: linear in  $d$ . Constant in  $d$ , if you assume encryption is “**circular secure.**”
      - The question of circular security is like whether it is “safe” to put a key for box  $i$  inside box  $i$ .
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**Step 2b:** Is our Somewhat Homomorphic Scheme Already Bootstrappable?



No.

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# Why not?

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- The boolean function  $\text{Dec}_E(p,c)$  sets:

$$m = \text{LSB}(c) \text{ XOR } \text{LSB}([c/p])$$

- Unfortunately,  $f(c,p^{-1}) = \text{LSB}([c \times p^{-1}])$  is a high degree formula in the bits of  $c$  and  $p^{-1}$ .
  - If  $c$  and  $p$  each have  $t > \log p$  bits, the degree is more than  $t$ .
  - But if  $f$  has degree  $> \log p$ , then  $|f(x_1, \dots, x_t)|$  could definitely be bigger than  $p$ 
    - And  $E$  can handle  $f$  only with guarantee that  $|f(x_1, \dots, x_t)| < p/4$
- $E$  is not bootstrappable. ☹

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**Step 3 (Final Step):** Modify our  
Somewhat Homomorphic Scheme to  
Make it Bootstrappable

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# The Goal

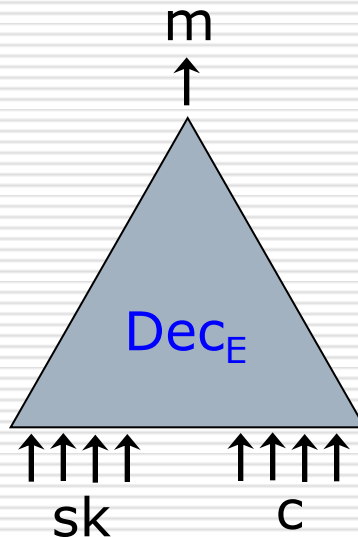
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- ❑ Modify  $E \rightarrow$  get  $E^*$  that is bootstrappable.
  - ❑ Properties of  $E^*$ 
    - $E^*$  can handle any function that  $E$  can
    - $\text{Dec}_{E^*}$  is a lower-degree poly than  $\text{Dec}_E$ , so that  $E^*$  can handle it
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# How do we “simplify” decryption?

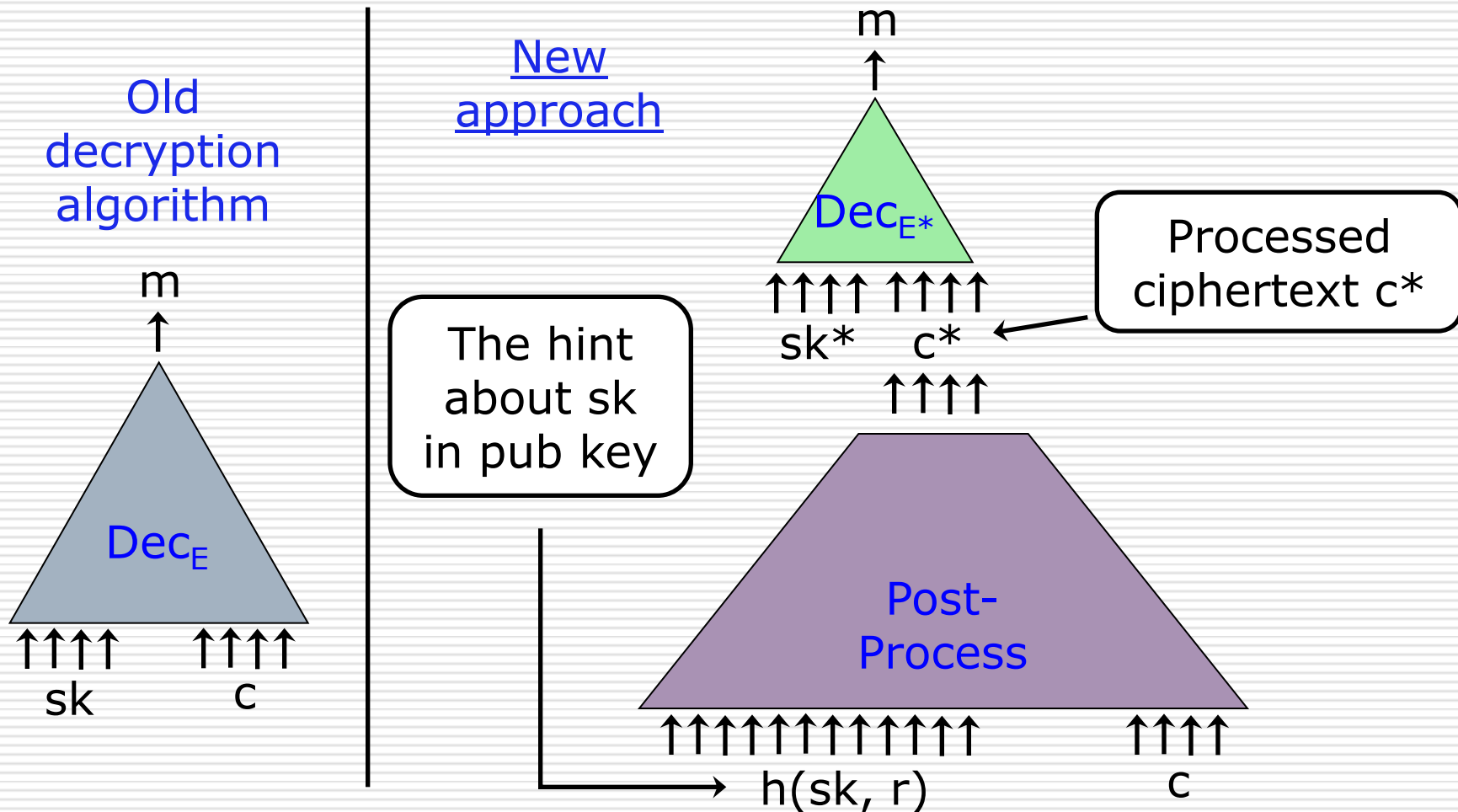
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Old  
decryption  
algorithm



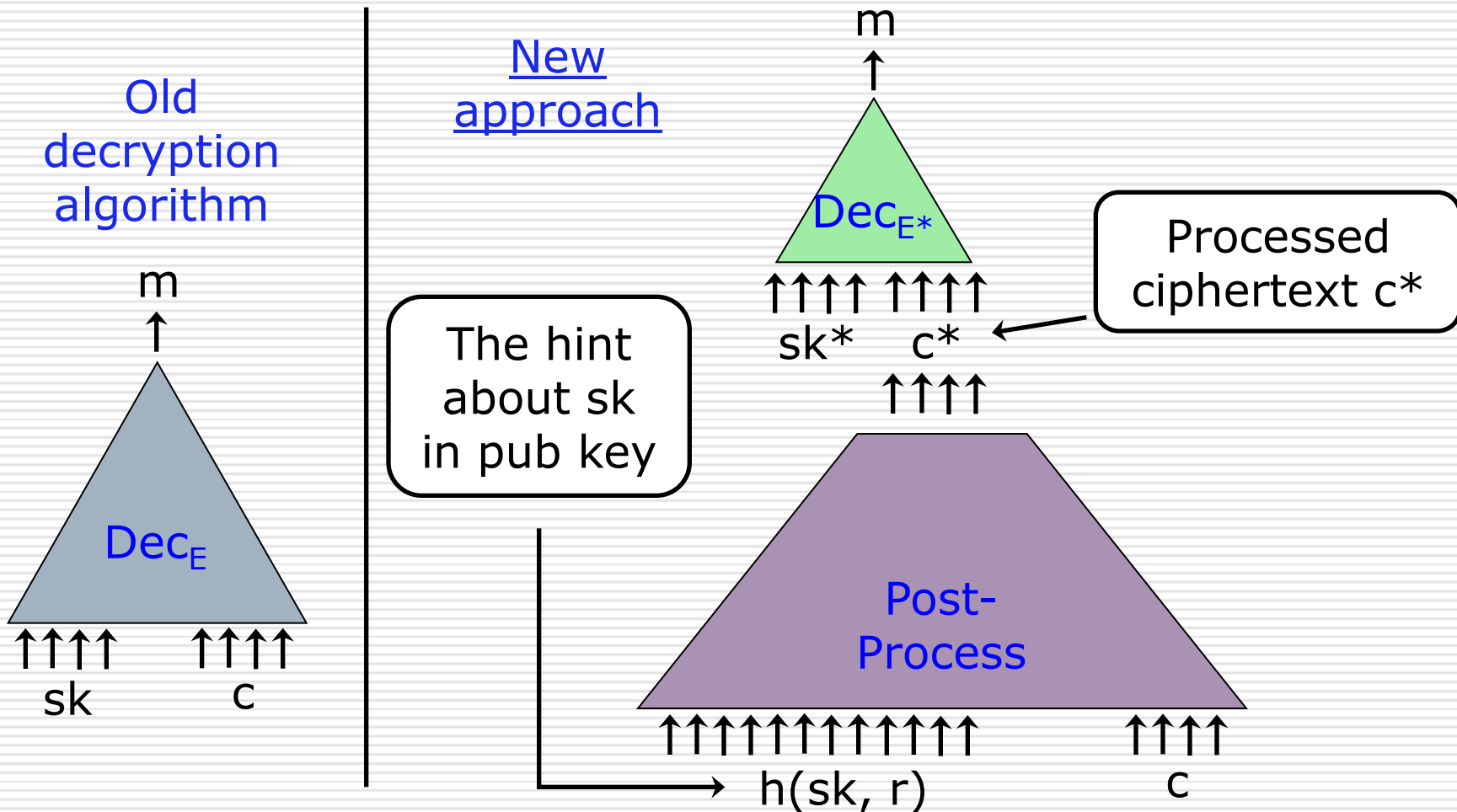
- ❑ Crazy idea: Put hint about  $sk$  in  $E^*$  public key! Hint lets anyone post-process the ciphertext, leaving less work for  $Dec_{E^*}$  to do.
  - ❑ This idea is used in server-aided cryptography.
-

# How do we "simplify" decryption?



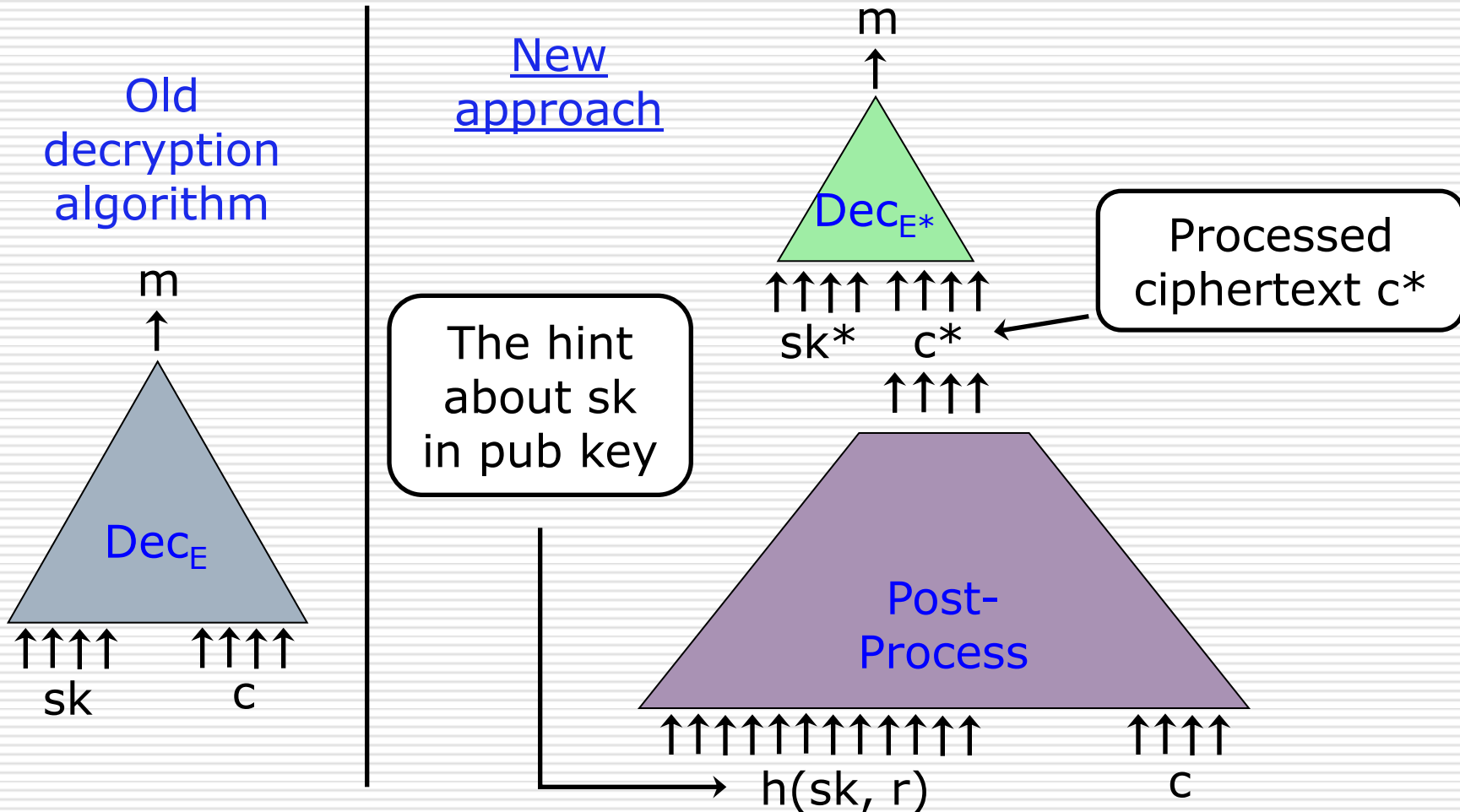
Hint in pub key lets anyone post-process the ciphertext, leaving less work for  $Dec_{E^*}$  to do.

# How do we "simplify" decryption?



(Post-Process,  $Dec_{E^*}$ ) should work on any  $c$  that  $Dec_E$  works on

# How do we "simplify" decryption?



$E^*$  is semantically secure if  $E$  is, if  $h(sk, r)$  is computationally indistinguishable from  $h(0, r')$  given  $sk$ , but not  $sk^*$ .

# Concretely, what is hint about $p$ ?

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- $E^*$ 's pub key includes real numbers
    - $r_1, r_2, \dots, r_n \in [0, 2]$
    - $\exists$  sparse subset  $S$  for which  $\sum_{i \in S} r_i = 1/p$
  - Security: Sparse Subset Sum Prob (SSSP)
    - Given integers  $x_1, \dots, x_n$  with a subset  $S$  with  $\sum_{i \in S} x_i = 0$ , output  $S$ .
      - Studied w.r.t. server-aided cryptosystems
      - Potentially hard when  $n > \log \max\{|x_i|\}$ .
        - Then, there are exponentially many subsets  $T$  (not necessarily sparse) such that  $\sum_{i \in S} x_i = 0$
      - Params:  $n \sim \lambda^5$  and  $|S| \sim \lambda$ .
    - Reduction:
      - If SSSP is hard, our hint is indist. from  $h(0, r)$
-

# How $E^*$ works...

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- ❑ **Post-processing:** output  $\psi_i = c \times r_i$ 
    - Together with  $c$  itself
    - The  $\psi_i$  have about  $\log n$  bits of precision
  - ❑ **New secret key** is bit-vector  $s_1, \dots, s_n$ 
    - $s_i = 1$  if  $i \in S$ ,  $s_i = 0$  otherwise
  - ❑  $\text{Dec}_{E^*}(s, c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$
  - ❑  $E^*$  can handle any function  $E$  can:
    - $c/p = c \sum_i s_i r_i = \sum_i s_i \psi_i$ , up to precision
    - Precision errors do not change the rounding
      - Precision errors from  $\psi_i$  imprecision  $< 1/8$
      - $c/p$  is within  $1/4$  of an integer
-

# Are we bootstrappable yet?

---

- ❑  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$
  - ❑ Notice:  $s$  has low Hamming weight – namely  $|S|$
  - ❑ We can compute  $\text{LSB}([\sum_i s_i \psi_i])$  as a low-degree poly (about  $|S|$ ).
  - ❑ To bootstrap:
    - ❑ Just make  $|S|$  smaller than the degree (about  $\lambda$ ) that our scheme  $E^*$  can handle!
-



---

Yay! We have a FHE scheme!



Great. But is  
it secure?

---

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# Known Attacks...

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# Two Problems We Hope Are Hard

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## □ Approximate GCD (approx-gcd)

Problem:

- Given many  $x_i = s_i + q_i p$ , output  $p$
- Example params:  $s_i \sim 2^\lambda$ ,  $p \sim 2^{\lambda^2}$ ,  $q_i \sim 2^{\lambda^5}$ , where  $\lambda$  is security parameter

## □ Sparse Subset Sum Problem (SSSP)

- Given integers  $x_1, \dots, x_n$  with a subset  $S$  with  $\sum_{i \in S} x_i = 0$ , output  $S$ .
  - Example params:  $n \sim \lambda^5$  and  $|S| \sim \lambda$ .
  - (Studied by Phong and others in connection with server-aided cryptosystems.)
-

# Hardness of Approximate-GCD

---

- Several lattice-based approaches for solving approximate-GCD
    - Related to Simultaneous Diophantine Approximation (SDA)
    - Studied in [Hawgrave-Graham01]
      - We considered some extensions of his attacks
  - All run out of steam when  $|q_i| > |p|^2$ , where  $|p|$  is number of bits of  $p$ 
    - In our case  $|p| \sim \lambda^2$ ,  $|q_i| \sim \lambda^5 \gg |p|^2$
-

# Relation to SDA

---

- $x_i = q_i p + r_i$  ( $r_i \ll p \ll q_i$ ),  $i = 0, 1, 2, \dots$ 
  - $y_i = x_i/x_0 = (q_i + s_i)/q_0$ ,  $s_i \sim r_i/p \ll 1$
  - $y_1, y_2, \dots$  is an instance of SDA
    - $q_0$  is a denominator that approximates all  $y_i$ 's

## □ Use Lagarias's algorithm:

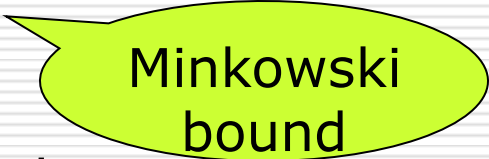
- Consider the rows of this matrix:
- Find a short vector in the lattice that they span
- $\langle q_0, q_1, \dots, q_t \rangle \cdot L$  is short
- Hopefully we will find it

$$L = \begin{pmatrix} R & x_1 & x_2 & \dots & x_t \\ & -x_0 & & & \\ & & -x_0 & & \\ & & & \dots & \\ & & & & -x_0 \end{pmatrix}$$

# Relation to SDA (cont.)

---

- When will Lagarias' algorithm succeed?
  - $\langle q_0, q_1, \dots, q_t \rangle \cdot L$  should be shortest in lattice
    - In particular shorter than  $\sim \det(L)^{1/t+1}$
  - This only holds for  $t > |q_0|/|p|$
  - The dimension of the lattice is  $t+1$
  - Quality of lattice-reduction deteriorates exponentially with  $t$
  - When  $|q_0| > (|p|)^2$  (so  $t > |p|$ ), LLL-type reduction isn't good enough anymore



Minkowski bound

# Relation to SDA (cont.)

---

- When will Lagarias' algorithm succeed?
  - $\langle q_0, q_1, \dots, q_t \rangle \cdot L$  should be shortest in lattice
    - In particular shorter than  $\sim \det(L)^{1/t+1}$
  - This only holds for  $t > \log Q / \log P$
  - The dimension of the lattice is  $t+1$
  - Rule of thumb: takes  $2^{t/k}$  time to get  $2^k$  approximation of SVP/CVP in lattice of dim  $t$ .
    - $2^{\lfloor q_0 \rfloor / \lfloor p \rfloor^2} = 2^\lambda$  time to get  $2^{\lfloor p \rfloor} = p$  approx.
  
- Bottom line: no known eff. attack on approx-gcd

Minkowski bound

# Lattice-based scheme seems “more secure”

---

- ❑ The security of the somewhat homomorphic scheme (quantumly) can be based on the *worst-case* hardness of SIVP over ideal lattices. (Crypto '10)
  - ❑ This worst-case / average-case reduction is quite different from the reduction for ring-LWE [LPR EC'10]
-



---

# A working implementation!!!

... and its surprisingly not-entirely-miserable performance

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# Performance

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## □ Well, a little slow...

- In  $E$ , a ciphertext  $c_i$  is about  $\lambda^5$  bits.
  - $\text{Dec}_{E^*}$  works in time quasi-linear in  $\lambda^5$ .
  - Applying  $\text{Eval}_{E^*}$  to  $\text{Dec}_{E^*}$  takes quasi- $\lambda^{10}$ .
    - To bootstrap  $E^*$  to  $E^{*FHE}$ , and to compute  $\text{Eval}_{E^{*FHE}}(\text{pk}, f, c_1, \dots, c_t)$ , we apply  $\text{Eval}_{E^*}$  to  $\text{Dec}_{E^*}$  once for each Add and Mult gate of  $f$ .
    - Total time: quasi-  $\lambda^{10} \cdot S_f$ , where  $S_f$  is the circuit complexity of  $f$ .
-

# Performance

---

- ❑ STOC09 lattice-based scheme performs better:
  - Originally, applying Eval to Dec took  $\tilde{O}(\lambda^6)$  computation if you want  $2^\lambda$  security against known attacks.
  - Stehle and Steinfeld recently got the complexity down to  $\tilde{O}(\lambda^3)$ !



So what. Regev said  $O(\lambda^2)$  is horrible in practice...

---

# But we have an implementation!

---

- ❑ Somewhat similar to [Smart-Vercauteren PKC'10]. But maybe better. 😊
- ❑ Initially planned to use IBM's Blue-Gene, but ended up not needing it
  - Implementation using NTL/GMP
  - Timing on a “strong” 1-CPU machine
- ❑ Gen'ed/tested instances in 4 dimensions:
- ❑ Toy( $2^9$ ), Small( $2^{11}$ ), Med( $2^{13}$ ), Large( $2^{15}$ )

Xeon E5440 /  
2.83 GHz (64-  
bit, quad-core)  
24 GB memory

# Underlying Somewhat HE

---

- ❑ PK is 2 integers, SK is one integer

Dimension	KeyGen	Enc (amortized)	Dec	Degree
512 200,000-bit integers	0.16 sec	4 millisecc	4 millisecc	~200
2048 800,000-bit integers	1.25 sec	60 millisecc	23 millisecc	~200
8192 3,200,000-bit integers	10 sec	0.7 sec	0.12 sec	~200
32728 13,000,000-bit integers	95 sec	5.3 sec	0.6 sec	~200

# Fully Homomorphic Scheme

---

## □ Re-Crypt polynomial of degree 15

Dimension	KeyGen	PK size	Re-Crypt
512 200,000-bit integers	2.4 sec	17 MByte	6 sec
2048 800,000-bit integers	40 sec	70 MByte	31 sec
8192 3,200,000-bit integers	8 min	285 MByte	3 min
32728 13,000,000-bit integers	2 hours	2.3 GByte	30 min

# Thank You! Questions?

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# Can $Eval_E$ handle $Dec_E$ ?

---

- The boolean function  $Dec_E(p,c)$  sets:

$$m = \text{LSB}(c) \text{ XOR } \text{LSB}([c/p])$$

- Can E handle (i.e., Evaluate)  $Dec_E$  followed by  $Add_E$  or  $Mult_E$ ?
  - If so, then E is bootstrappable, and we can use E to construct an FHE scheme  $E^{FHE}$ .
- Most complicated part:

$$f(c,p^{-1}) = \text{LSB}([c \times p^{-1}])$$

- The numbers  $c$  and  $p^{-1}$  are in binary rep.
-



# Multiplying Numbers

$$f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$$

- Let's multiply  $a$  and  $b$ , rep'd in binary:

$$(a_t, \dots, a_0) \times (b_t, \dots, b_0)$$

- It involves adding the  $t+1$  numbers:

			$a_0 b_t$	$a_0 b_{t-1}$	...	$a_0 b_1$	$a_0 b_0$
		$a_1 b_t$	$a_1 b_{t-1}$	$a_1 b_{t-2}$	...	$a_1 b_1$	0
	...	...	...	...	...	...	...
$a_t b_t$	...	$a_t b_1$	$a_t b_0$	0	...	0	0

# Adding Two Numbers

$$f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$$

<u>Carries:</u>	$x_1 y_1 + x_1 x_0 y_0 +$ $y_1 x_0 y_0$	$x_0 y_0$	
	$x_2$	$x_1$	$x_0$
	$y_2$	$y_1$	$y_0$
<u>Sum:</u>	$x_2 + y_2 + x_1 y_1 +$ $x_1 x_0 y_0 + y_1 x_0 y_0$	$x_1 + y_1 + x_0 y_0$	$x_0 + y_0$

□ Adding two t-bit numbers:

- Bit of the sum = up to t-degree poly of input bits

# Adding Many Numbers $f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$

## □ 3-for-2 trick:

- 3 numbers  $\rightarrow$  2 numbers with same sum
- Output bits are up to degree-2 in input bits

	$X_2$	$X_1$	$X_0$
	$Y_2$	$Y_1$	$Y_0$
	$Z_2$	$Z_1$	$Z_0$
	$X_2 + Y_2 + Z_2$	$X_1 + Y_1 + Z_1$	$X_0 + Y_0 + Z_0$
$X_2 Y_2 + X_2 Z_2$ $+ Y_2 Z_2$	$X_1 Y_1 + X_1 Z_1$ $+ Y_1 Z_1$	$X_0 Y_0 + X_0 Z_0$ $+ Y_0 Z_0$	

- $t$  numbers  $\rightarrow$  2 numbers with same sum
  - Output bits are degree  $2^{\log_{3/2} t} = t^{\log_{3/2} 2} = t^{1.71}$

# Back to Multiplying

---

$$f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$$

- Multiplying two  $t$ -bit numbers:
    - Add  $t$   $t$ -bit numbers of degree 2
      - 3-for-2 trick  $\rightarrow$  two  $t$ -bit numbers, deg.  $2t^{1.71}$ .
      - Adding final 2 numbers  $\rightarrow$  deg.  $t(2t^{1.71}) = 2t^{2.71}$ .
  - Consider  $f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$ 
    - $p^{-1}$  must have  $\log c > \log p$  bits of precision to ensure the rounding is correct
    - So,  $f$  has degree at least  $2(\log p)^{2.71}$ .
  - Can our scheme E handle a polynomial  $f$  of such high degree?
    - Unfortunately, no.
-

$$f(c, p^{-1}) = \text{LSB}([c \times p^{-1}])$$

# Why Isn't E Bootstrappable?

---

- Recall: E can handle f if:
    - $|f(x_1, \dots, x_t)| < p/4$
    - whenever all  $|x_i| < B$ , where B is a bound on the noise of a fresh ciphertext output by  $\text{Enc}_E$
  - If f has degree  $> \log p$ , then  $|f(x_1, \dots, x_t)|$  could definitely be bigger than p
    - E is (apparently) not bootstrappable...
-

# A Different Way to Add Numbers

---

□  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$

# A Different Way to Add Numbers

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□  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

# A Different Way to Add Numbers

$$\square \text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$$

Let  $b_0$  be the binary rep of Hamming weight

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

$b_{0,\log n}$	...	$b_{0,1}$	$b_{0,0}$			



# A Different Way to Add Numbers

$$\square \text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$$

Let  $b_{-1}$  be the binary rep of Hamming weight

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

$b_{0,\log n}$	...	$b_{0,1}$	$b_{0,0}$			
	$b_{-1,\log n}$	...	$b_{-1,1}$	$b_{-1,0}$		

# A Different Way to Add Numbers

$$\square \text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$$

Let  $b_{-\log n}$  be the binary rep of Hamming weight

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

$b_{0,\log n}$	...	$b_{0,1}$	$b_{0,0}$			
	$b_{-1,\log n}$	...	$b_{-1,1}$	$b_{-1,0}$		
		...	...	...	...	
			$b_{-\log n,\log n}$	...	$b_{-\log n,1}$	$b_{-\log n,0}$

# A Different Way to Add Numbers

$$\square \text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$$

Only  $\log n$  numbers with  $\log n$  bits of precision. Easy to handle.

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

$b_{0,\log n}$	...	$b_{0,1}$	$b_{0,0}$			
	$b_{-1,\log n}$	...	$b_{-1,1}$	$b_{-1,0}$		
		...	...	...	...	
			$b_{-\log n,\log n}$	...	$b_{-\log n,1}$	$b_{-\log n,0}$

# Computing Sparse Hamming Wgt.

---

□  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
$a_{2,0}$	$a_{2,-1}$	...	$a_{2,-\log n}$
$a_{3,0}$	$a_{3,-1}$	...	$a_{3,-\log n}$
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
$a_{5,0}$	$a_{5,-1}$	...	$a_{5,-\log n}$
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

# Computing Sparse Hamming Wgt.

---

□  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$

$a_{1,0}$	$a_{1,-1}$	...	$a_{1,-\log n}$
0	0	...	0
0	0	...	0
$a_{4,0}$	$a_{4,-1}$	...	$a_{4,-\log n}$
0	0	...	0
...	...	...	...
$a_{n,0}$	$a_{n,-1}$	...	$a_{n,-\log n}$

# Computing Sparse Hamming Wgt.

□  $\text{Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\sum_i s_i \psi_i])$

□ Binary rep of Hamming wgt of  $\mathbf{x} = (x_1, \dots, x_n)$  in  $\{0,1\}^n$  given by:

$e_{2^{\lceil \log n \rceil}}(\mathbf{x}) \bmod 2, \dots, e_2(\mathbf{x}) \bmod 2, e_1(\mathbf{x}) \bmod 2$   
where  $e_k$  is the elem symm poly of deg  $k$

□ Since we know *a priori* that Hamming wgt is  $|S|$ , we only need

$e_{2^{\lceil \log |S| \rceil}}(\mathbf{x}) \bmod 2, \dots, e_2(\mathbf{x}) \bmod 2, e_1(\mathbf{x}) \bmod 2$   
up to deg  $< |S|$

$a_1$

0

0

$a_{4,0}$

0

...

$a_n$

□ Set  $|S| < \lambda$ , then  $E^*$  is bootstrappable.