## Clique number of tournaments

Pierre Aboulker — ENS Paris joint work with Guillaume Aubian, Pierre Charbit, Samuel Coulomb, Stéphan Thomassé, Raul Wayne

## The chromatic number

Colouring: adjacent vertices receive distinct colours.

Partition the vertices into independent sets.



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Question: How could we define directed graph colouring?

## The dichromatic number

- Coloring a digraph D: no monochromatic (induced) directed cycle.
- $\vec{\chi}(D)$ : the dichromatic number of D.

In other words: partition D in acyclic induced subdigraphs instead of stable sets.



• Being acyclic is the same as having a topological ordering.

Dichromatic number generalises chromatic number

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- Brooks' Theorem, Gallaï Theorem, Wilf Theorem (algebraic graph theory)...
- Extremal graph theory,
- List dichromatic number,
- Substructure forced by large dichromatic number,
- Dicolouring digraphs on surfaces.

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**Perfect graphs**:  $\chi$ -bounded by the function f(x) = x.

#### Gyárfás-Sumner Conjecture:

Let H be a graph. The class of H-free graphs is  $\chi$ -bounded if and only if H is a forest.

**Theorem** [Folklor]: If C is  $\chi$ -bounded, then so is  $C^{subst}$ 

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What is the clique number of a digraph?

Ideally, we would like that, for every graph G and every digraph D:

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**Conjecture** [PA, Charbit, Naserasr, 2020]: Let H be an oriented graph. H-free oriented graphs are  $\vec{\chi}$ -bounded if and only if H is an oriented forest.

Given a digraph D, and a total ordering  $\prec$  on V(D), let  $D^{\prec}$  be the (undirected) graph with vertex set V(D) and edge uv if  $u \prec v$  and  $vu \in A(D)$ .

 $D^{\prec}$ : backedge graph of D with respect to  $\prec$ 

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For every  $\prec$ :

 $\overrightarrow{\chi}(D) \leq \chi(D^{\prec})$ 

Moreover, there exists  $\prec$  such that  $\chi(D^{\prec}) \leq \overrightarrow{\chi}(D)$ .

Hence:

 $\overrightarrow{\chi}(D) = \min \{\chi(D^{\prec}) : \prec \text{ is a total ordering of } V(D)\}$ 

## Clique number of digraphs

So we have a new definition of the dichromatic number:

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This leads a natural definition of the clique number of a digraph:

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We clearly have:

- $\omega(G) = \overrightarrow{\omega}(\overleftarrow{G})$  (because for every  $\prec$ ,  $\overleftarrow{G}^{\prec} = G$ ), and
- $\overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$  (because for every graph *G*,  $\omega(G) \leq \chi(G)$ ).

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We clearly have:

ω(G) = w̄(G) (because for every ≺, Ḡ ≺ = G), and
w̄(D) < ȳ(D) (because for every graph G, ω(G) ≤ χ(G)).</li>

Goal of the talk: to investigate the clique number of tournaments

## Tournaments

- Tournament = orientation of a complete graph.
- $\vec{C}_3$  is the directed triangle.
- Transitive tournament  $(TT_k)$  = acyclic tournament = tournaments with no  $\vec{C}_3$

• Dicolour a tournament  $\Leftrightarrow$  no monochromatic  $\vec{C}_3 \Leftrightarrow$  partition into transitive tournaments.

• Tournaments can have large dichromatic number:

Define the  $S_k$  recursively as follows:

Let  $S_1 = TT_1$ ,  $S_k = \Delta(TT_1, S_{k-1}, S_{k-1})$ . We have  $\overrightarrow{\chi}(S_k) = k$ 



A triangle-free ordering of  $S_3$ . So  $\overrightarrow{\omega}(S_3) = 2$ .

Tournaments with clique number 1 or 2

$$\overrightarrow{\omega}(T) = \min \left\{ \omega(T^{\prec}) : \prec \text{ is a total ordering of } V(T) \right\}$$

#### Properties:

- $\overrightarrow{\omega}(TT_n) = 1.$
- $\overrightarrow{\omega}(\vec{C}_3) = 2.$

Let T be a tournament.

- $\vec{\omega}(T) = 1$  if and only if T is a transitive tournament.
- $\vec{\omega}(T) \geq 2$  if and only if T contains a  $\vec{C}_3$ .

**Question**: what is the complexity of deciding if  $\vec{\omega}(T) \geq 3$ ?

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**Property**: The clique number of a digraph is equal to the maximum clique number of its strong components.

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**Fundamental inequality** [Nguyen, Scott, Seymour, 2023]: For every tournament T and every ordering  $\prec$  of V(T).

$$rac{\chi(T^{\prec})}{\omega(T^{\prec})} \quad \leq \quad \overrightarrow{\chi}(T) \quad \leq \quad \chi(T^{\prec})$$

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Application: construction of interesting tournaments from undirected graphs.

# $\overrightarrow{\omega}$ -ordering and $\overrightarrow{\chi}$ -ordering

Let T a tournament and  $\prec$  be an ordering of V(T). It is a:

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**Property**: there is  $\overrightarrow{\chi}$ -orderings that does not give a good approximation of  $\overrightarrow{\omega}$ .

**Question**: Is there always an ordering  $\prec$  that is both an  $\vec{\omega}$ -ordering and a  $\vec{\chi}$ -ordering?

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**Lemma**: For any integer n,  $\overrightarrow{\omega}(B_n) \ge n$ .

**Proof**: By induction on *n*. Let  $\prec$  be an  $\overrightarrow{\omega}$ -ordering. Look at the in-neighbourhood of the first vertex in  $\prec$ .

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Question: What is  $\vec{\omega}(B_n)$ ? In particular, is it polynomial in  $|V(B_n)| = 3^n$ ? We know that  $n \leq \vec{\omega}(B_n) \leq \left(\frac{3}{2}\right)^n = \vec{\chi}(B_n)$ .
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**Question**: what is the smallest f(n) such that every *n*-vertex tournament T has  $\vec{\omega}(T) \leq f(n)$ ?

Domination number: size of the smallest  $X \subseteq V(T)$  such that  $N^+[X] = V(T)$ .

**Property**: For every tournament T,

$$\operatorname{dom}(T) \leq \overrightarrow{\omega}(T) \leq \overrightarrow{\chi}(T)$$

A class of tournaments  $\mathcal{T}$  is  $\overrightarrow{\chi}$ -bounded if there exists a function f such that, for every  $T \in \mathcal{T}$ ,

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**Theorem** [A, Aubian, Charbit, Lopes, 2023] if  $\mathcal{T}$  is  $\overrightarrow{\chi}$ -bounded, then so is  $\mathcal{T}^{subst}$ .

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**Conjecture**: Let  $\mathcal{D}$  be a class of digraphs. If  $\mathcal{D}$  is  $\overrightarrow{\chi}$ -bounded, then so is  $\mathcal{D}^{subst}$ .

Relation between  $\overrightarrow{\chi}$ -boundedness and  $\chi$ -boundedness

Given a class of tournaments  $\mathcal{T}$ , let us denote by  $\mathcal{T}^{\prec}$  the class of all backedge graphs of tournaments in  $\mathcal{T}$ :

$$\mathcal{T}^{\prec} = \{ T^{\prec} \mid T \in \mathcal{T}, \prec \text{ an ordering of } T \}$$

For example, if  $\mathcal{T} = \{\text{transitive tournaments}\}, \text{ then } \mathcal{T}^{\prec} = \{\text{permutation graphs}\}.$ 

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**Theorem**: Let  $\mathcal{T}$  be a class of tournaments.  $\mathcal{T}$  is  $\overrightarrow{\chi}$ -bounded if and only if  $\mathcal{T}^{\prec}$  is  $\chi$ -bounded.

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•  $\mathcal{T}$  is  $\overrightarrow{\chi}$ -bounded  $\Rightarrow \mathcal{T}^{\prec}$  is  $\chi$ -bounded.

**Proof**: let f be a function such that for every  $T \in \mathcal{T}$ , we have  $\overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$ . Now, for every  $T^{\prec} \in \mathcal{T}^{\prec}$ :

$$\begin{split} \chi(T^{\prec}) &\leq \omega(T^{\prec}) \cdot \overrightarrow{\chi}(T) \\ &\leq \omega(T^{\prec}) \cdot f(\overrightarrow{\omega}(T)) \\ &\leq \omega(T^{\prec}) \cdot f(\omega(T^{\prec})) \end{split}$$

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•  $\mathcal{T}^{\prec}$  is  $\chi$ -bounded  $\Rightarrow \mathcal{T}$  is  $\overrightarrow{\chi}$ -bounded.

**Proof:** Let g be a function such that for every  $T^{\prec} \in \mathcal{T}^{\prec}$ ,  $\chi(T^{\prec}) \leq g(\omega(T^{\prec}))$ . Now, for any  $T \in \mathcal{T}$  and every ordering  $\prec$  of T.

$$\overrightarrow{\chi}(T) \leq \chi(T^{\prec}) \leq g(\omega(T^{\prec})) \leq g(\overrightarrow{\omega}(T))$$

Classes of tournaments defined by forbidding a single tournament

Given a tournament H, Forb(H) is the class of tournaments T such that T does not contain H as a subtournament.

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**Question**: for which tournament *H* is  $Forb(H) \overrightarrow{\chi}$ -bounded?

i.e. there is a function f such that, for every  $T \in Forb(H)$ ,  $\overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$ 

We say that such an *H* is  $\overrightarrow{\chi}$ -binding.

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The most simple case of  $\chi$ -bounding function is a constant function.

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**Answer**: such tournaments are called heroes and have been characterised by Berger, Choromanski, Chudnovsky, Fox, Loebl, Scott, Seymour and Thomassé in 2013.

### Tournaments and Heroes

► A tournament *H* is a hero if there exists a number  $c_H$  such that every *H*-free tournaments *T* has  $\vec{\chi}(T) \leq c_H$ .

For example,  $\vec{C}_3$  and  $TT_k$  are heroes.

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**Theorem:** [Berger, Choromanski, Chudnovsky, Fox, Loebl, Scott, Seymour and Thomassé, 2013]

A digraph H is a hero if and only if:

•  $H = K_1$ .

• 
$$H = (H_1 \Rightarrow H_2)$$

•  $H = \Delta(1, k, H)$  or  $H = \Delta(1, H, k)$ , where  $k \ge 1$  and H is a hero.

#### Gentlemen

► A tournament *H* is a gentlemen if there exists a number  $c_H$  such that every *H*-free tournaments *T* has  $\vec{\omega}(T) \leq c_H$ .

Question: Who are the gentlemen?

Of course, all heroes are gentlemen.

### Gentlement and heroes are the same

Theorem [PA, Aubian, Charbit, Lopes, 2023]: Heroes and gentlemen are the same.

Proof:

- We want to prove that all gentlemen are heroes.
- Take a minimal counter-example H (in particular H is a gentlemen but not a hero).
- All subtournaments of H are gentlemen, and thus heroes by induction.
- Consider the sequence of tournaments  $S_1, S_2, S_3, \ldots$
- We proved that they have arbitrarily large  $\overrightarrow{\omega}$ .
- So H is of the form  $\Delta(1, A, B)$ .
- Nguyen, Scott and Seymour proved that  $S_3 = \Delta(1, \vec{C_3}, \vec{C_3})$  is not a gentlemen.
- So one of A or B is a transitive tournament, so H is a hero.

**Theorem**: Forb(H) is  $\overrightarrow{\chi}$ -bounded  $\Rightarrow$  H has an ordering  $\prec$  such that  $H^{\prec}$  is a forest.

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  - Let  $T \in \mathcal{T}[\mathcal{C}]$ . So there is  $\prec$  such that  $T^{\prec} \in \mathcal{C}$ , i.e.  $T^{\prec}$  has girth |V(H)| + 1.

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- Hence, by the fundamental inequality, tournaments in  $\mathcal{T}[\mathcal{C}]$  can have arbitrarily large dichromatic number.
- So  $\mathcal{T}[\mathcal{C}]$  is not  $\overrightarrow{\chi}$ -bounded, and thus the class of *H*-free tournaments is not  $\overrightarrow{\chi}$ -bounded.

## Gyárfás-Sumner Conjecture for tournaments

Recall that:

#### Gyárfás-Sumner Conjecture, 1981:

Let H be a graph. Forb(H) is  $\chi$ -bounded if and only if H is a forest.

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### How to find counter-example

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A counter-example on 5 vertices was found by Guillaume Aubian. More counter-examples were found by Samuel Coulomb.

Way to prove that a given tournament *H* is not  $\vec{\chi}$ -binding:

- Start with Blanche-Descarte construction G<sub>1</sub>,..., G<sub>k</sub>,... (or any other triangle-free constructions with large χ).
- Order (smartly) the vertices of each  $G_i$  and transform each  $G_i$  into a tournament  $T_i$ .
- These tournaments have clique number 2 and arbitrarily large dichromatic number by the fundamental inequality.
- Prove that the  $T_i$  are H-free.
**Question**: For which tournament *H* is  $Forb(H) \overrightarrow{\chi}$ -binding?

What is known:

• if  $H_1$  and  $H_2$  are  $\overrightarrow{\chi}$ -binding, then so is  $H_1 \Rightarrow H_2$ ,

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- If there exists ≺ such that H<sup>≺</sup> is a matching, then H is <del>\$\vee{\chi}\$</del>-binding (corollary of a result announced by Briański, Davies and Walczak).

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- If there exists ≺ such that H<sup>≺</sup> is a matching, then H is <del>\$\vee{\chi}\$</del>-binding (corollary of a result announced by Briański, Davies and Walczak).
- If *H* is  $\vec{\chi}$ -binding, then so is the tournament obtained from *H* by reversing every arc of *T*,

**Theorem** [Le, Harutyunyan, Thomassé and Wu, 2017] There exists a function  $\lambda$  such that, if for every vertex v,  $\vec{\chi}(v^+) \leq t$ , then  $\vec{\chi}(T) \leq \lambda(t)$ .

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**Proof** by induction on  $\vec{\omega}$ . Assume  $\vec{\omega}(T) = k$ . Two steps:

**Step 1**: If  $N(xy) = N(y^+) \cap N(x^-)$  has large  $\vec{\chi}$ , then xy is a backedge in every  $\vec{\omega}$ -ordering.

**Step 2**: for every vertex x,  $\vec{\chi}(x^+)$  or  $\vec{\chi}(x^-)$  is small.

**Theorem**: if Forb(H) is  $\vec{\chi}$ -bounded, then so is Forb(rev(H)), where rev(H) is obtained by reversing every arc if H.

Proof:

- Recall that: Forb(H) is  $\overrightarrow{\chi}$ -bounded  $\Leftrightarrow Forb(H)^{\prec}$  is  $\chi$ -bounded.
- Observe that  $T^{\prec} = rev(T)^{rev(\prec)}$ .
- So  $Forb(H)^{\prec} = Forb(rev(H))^{\prec}$ .

Relations with  $\chi$ -boundedness of classes of ordered graphs

From a tournament H, we can define the following set of ordered undirected graphs:

 $\{H\}_o^{\prec} = \{(H^{\prec}, \prec) : \prec \text{ is an ordering of } H\}$ 

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**Theorem** [Briański, Davies and Walczak, 2024+] Let  $(M, \prec)$  be an ordered graph with maximum degree 1. Then  $Forb_o(M, \prec)$  is  $\chi$ -bounded. Relations with  $\chi$ -boundedness of classes of ordered graphs

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Adventurous Conjecture: A tournament H is  $\overrightarrow{\chi}$ -binding if and only if there is an ordering  $\prec$  of H such that  $(H^{\prec}, \prec)$  is  $\chi$ -binding.

## Relation with the Erdős-Hajnal Conjecture

**Erdős-Hajnal Conjecture** (1981): Let H be a graph. there exists a number  $c_H$  such that every H-free graph G has a clique or a stable set of size  $|V(G)|^{c_H}$ .

Alon, Pach, Solymosi (2001) proved that it is equivalent with:

**Tournament version of Erdős-Hajnal Conjecture**: Let *H* be a tournament. There exists a number  $c_H$  such that every *H*-free tournament *T* has a transitive tournament of size  $|V(T)|^{c_H}$ .

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**Theorem**: Let *H* be a tournament. If Forb(H) is polynomially  $\vec{\chi}$ -bounded, then *H* has the Erdős-Hajnal property.

**Erdős-El Zahar Conjecture, 1985**: If G has chromatic number sufficiently larger then its clique number, then G contains two independent subgraphs with large chromatic number.

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A class of tournament  $\mathcal{T}$  has the  $BIG \Rightarrow BIG$  property if for every  $T \in \mathcal{T}$ , if  $\overrightarrow{\chi}(T) \ge f(t)$ , then T contains A and B such that  $\overrightarrow{\chi}(A), \overrightarrow{\chi}(B) \ge t$  and  $A \Rightarrow B$ .

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Given a tournament parameter  $\gamma$ , a  $\gamma$ -cluster of a tournament T is a subtournament X of T of bounded size with large  $\gamma$ .

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The following states that: Large domination number implies a  $\vec{\chi}$ -cluster.

**Theorem** [Thomassé, Le, Harutyunyan and Wu, 2019] There is two functions f and  $\ell$  such that, for every integer k, every tournament T with dom $(T) \ge f(k)$  has a subtournament X with  $|X| \le \ell(k)$  and  $\vec{\chi}(X) \ge k$ 

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**Corollary** [Local to global for  $\vec{\chi}$ ] Let  $\mathcal{T}$  be a tournament. If  $\vec{\chi}(x^+) \leq t$  for every vertex x, then  $\vec{\chi}(\mathcal{T}) \leq f(t)$ .

**Proof**: If *T* has small domination number, vertices are covered by a small number of out-neighbours. Otherwise apply the Theorem with k := t + 1 and find a vertex with a large out-neighbourhood.

Recall that for every tournament T,  $dom(T) \leq \vec{\omega}(T) \leq \vec{\chi}(T)$ .

**Theorem** [Thomassé, Le, Harutyunyan and Wu, 2019] Large domination number implies a  $\overrightarrow{\chi}$ -cluster

**Theorem**: Large dichromatic number does **not** imply a  $\vec{\chi}$ -cluster.

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Here is a weaker version of the above conjecture:

**Conjecture** [A, Aubian, Charbit, Wayne, 2024] Large domination number implies a  $\vec{\omega}$ -cluster.

**Conjecture** [Local to global for  $\overrightarrow{\omega}$ ] Let T be a tournament. If  $\overrightarrow{\omega}(x^+) \leq k$  for every vertex x, then  $\overrightarrow{\omega}(T) \leq f(k)$ .

# Rebel

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A poset tournament if a tournament that has backedge graph that is a comparability graph.

**Conjecture** [Chudnovsky, Kim, Liu, Seymour and Thomassé, 18] A tournament is a rebel **if and only** if it is a poset tournament.

The above conjecture implies that large domination number implies an  $\overrightarrow{\omega}$ -cluster.

Indeed, the  $S_k$  are poset tournament. Moreover, they have arbitrarily large  $\vec{\omega}$ . Hence, if the  $S_k$  are rebel, then tournaments with sufficiently large domination number contains an  $S_k$  as a subtournament, which forms a  $\vec{\omega}$ -cluster.

**Observation**:  $\vec{\omega}(T) = 1$  if and only T is a transitive tournament.

**Theorem** [Aubian, 2024]: For every  $k \ge 3$ , deciding if  $\vec{\omega}(T) \le k$  is NP-complete.

**Open Question**: what is the complexity of deciding if a tournament T has  $\vec{\omega}(T) \leq 2$ ?

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**Theorem** [PA, Aubian, Charbit, Thomassé]: We can decide in poly-time if, given a tournament T,  $\vec{\omega}(T) > 2$  or  $\vec{\omega}(T) \le 10^{10}$ 

**Question**: Is there a function f such that for every integer k, there is a poly-time algorithm that, given a tournament T decide if  $\vec{\omega}(T) \ge k$ , or  $\vec{\omega}(T) \le f(k)$ 

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Given a class of (undirected) graph C, we say that a FAS is a C-FAS if  $F \in C$ .

 $\mathcal{C}\text{-}FAS$  *Problem* the associated decision problem, that is deciding if a tournament has a  $\mathcal{C}\text{-}FAS.$ 

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It is NP-hard when:

- $C_k = \{k \text{-colourable graphs}\}$ , when  $k \ge 2$  (Bokal, Fijavz, Juvan, Kayll and Mohar, 2004)
- $k \geq 4$ ,  $C = \{K_k \text{-free graphs}\}$  (Aubian, 2024)
- $C = \{\text{forests}\}$  (PA, Aubian, Lopes, 2024)
- $D_k = \{ \text{graphs with max degree } k \}$  when  $k \ge 2$  (Davot, Isenmann, Roy, and Thiebaut, 2023) (and polynomial when  $k \le 1$ )

Question: what is the complexity when:

- ▶ C is the set of all paths?
- $\blacktriangleright C$  is the set of triangle-free graphs?

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#### THANK YOU FOR YOUR ATTENTION