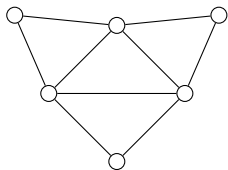


# Induced subgraphs in oriented graphs with large dichromatic number

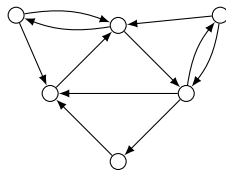
Pierre Aboulker — ENS Paris  
School of Graph Theory (SGT 2022)

June 2022

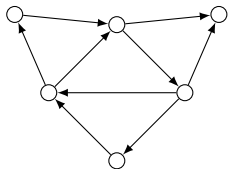
# Graph and directed graph theory



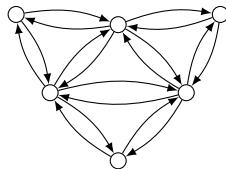
A graph



A digraph



An oriented graph



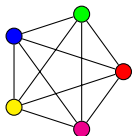
A symmetric digraph

# The chromatic number

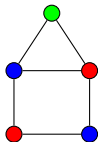
**Colouring:** adjacent vertices receive distinct colours.



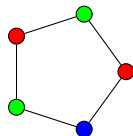
**Partition** the vertices into independent sets.



$$\chi = 5$$



$$\chi = 3$$



$$\chi = 3$$

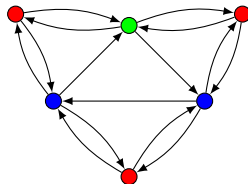
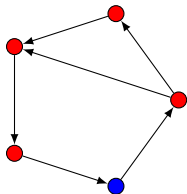
**Chromatic number** of  $G = \chi(G)$ : **minimise** the number of colours.

**Question:** How could we define directed graph colouring?

# The dichromatic number

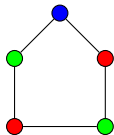
- Coloring a digraph  $D$ : no monochromatic (induced) directed cycle.
- $\vec{\chi}(D)$ : the *dichromatic number* of  $D$ .

In other words: **partition  $D$  in acyclic induced subdigraphs** instead of stable sets.

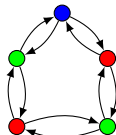


# Dichromatic number generalises chromatic number

**Property:** For every graph  $G$ ,  $\chi(G) = \vec{\chi}(\overleftrightarrow{G})$ .



$G$



$\overleftrightarrow{G}$

There is more and more results on the dichromatic number of digraphs for which, in the special case of symmetric digraphs, we recover an existing result on undirected graph.

# Brooks' Theorem

$\Delta(G)$ : maximum degree of  $G$ .

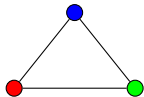
**Property:**  $\chi(G) \leq \Delta(G) + 1$

**Brooks' Theorem (1932):**

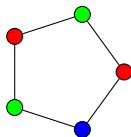
$\chi(G) \leq \Delta(G)$  except if  $G$  is a complete graph or an odd cycle.



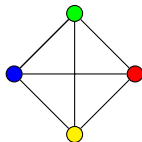
$$\begin{aligned}\chi &= 2 \\ \Delta &= 1\end{aligned}$$



$$\begin{aligned}\chi &= 3 \\ \Delta &= 2\end{aligned}$$



$$\begin{aligned}\chi &= 3 \\ \Delta &= 2\end{aligned}$$



$$\begin{aligned}\chi &= 4 \\ \Delta &= 3\end{aligned}$$

# Directed Brook's Theorem

$$d_{max}(v) = \max(d^+(v), d^-(v))$$

$$\Delta_{max}(D) = \max(d_{max}(v) : v \in D)$$

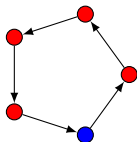
$$d_{min}(v) = \min(d^+(v), d^-(v))$$

$$\Delta_{min}(D) = \max(d_{min}(v) : v \in D)$$

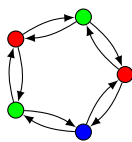
**Property:**  $\vec{\chi}(G) \leq \Delta_{min}(D) \leq \Delta_{max}(D) + 1$

**Directed Brooks' Theorem:**

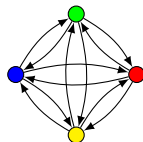
$\vec{\chi}(D) \leq \Delta_{max}(D)$  except if is a directed cycle, symmetric odd cycle, symmetric complete graph.



$$\vec{\chi} = 2$$
$$\Delta_{max} = 1$$



$$\vec{\chi} = 3$$
$$\Delta_{max} = 2$$



$$\vec{\chi} = 4$$
$$\Delta_{max} = 3$$

**Line of research:** take your favourite theorem on chromatic number, and generalise it to digraphs via the dichromatic number.



From now on, digraphs will be supposed to be digon-free.

# EXTENDING THE GYÁRFÁS-SUMNER CONJECTURE I

**Question:** what can we say about the induced subgraphs of graphs with very large chromatic number?

# Induced subgraphs of graphs with large chromatic number

- Let  $\mathcal{F}$  be a set of graphs.  $G \in \text{Forb}_{ind}(\mathcal{F})$  if  $G$  does not contain any member of  $\mathcal{F}$  as an **induced subgraph**.

**Question:** for which **finite** set of graphs  $\mathcal{F}$ ,  $\text{Forb}_{ind}(\mathcal{F})$  has **bounded** chromatic number?

- ▶  $\mathcal{F}$  must contain a complete graph.
- ▶  $\mathcal{F}$  must contain a forest.

Because there are graphs with arbitrarily large girth<sup>1</sup> and chromatic number [Erdős, 60's]

**Gyárfás-Sumner conjecture** (1987)

For every integer  $k$  and every forest  $F$ ,  $\text{Forb}_{ind}(K_k, F)$  has bounded chromatic number.

---

<sup>1</sup>Size of a smallest cycle

# $\chi$ -boundedness

- $\omega(G)$ : size of a maximum clique of  $G$ .

$$\omega(G) \leq \chi(G) \quad \text{for every graph } G$$

A hereditary class of graphs is  $\chi$ -bounded if there exists a function  $f$  such that  $\chi(G) \leq f(\omega(G))$  for every  $G$  in the class.

**Remark:**  $\text{Forb}_{ind}(F)$  is  $\chi$ -bounded  $\Leftrightarrow \text{Forb}_{ind}(K_k, F)$  has bounded chromatic number for every  $k$ .

**Gyárfás-Sumner conjecture** (1987)

$\text{Forb}_{ind}(F)$  is  $\chi$ -bounded if and only if  $F$  is a forest.

**Result:** It is enough to prove it for trees.

# Induced subgraph of digraphs with large dichromatic number

Let  $\mathcal{F}$  be a finite set of digraphs.

$Forb_{ind}(\mathcal{F})$  is the class of digraphs containing no member of  $\mathcal{F}$  as an induced subdigraph.

**Problem:** What are the finite sets  $\mathcal{F}$  for which  $Forb_{ind}(\mathcal{F})$  has bounded dichromatic number?

# Definitions

- **Tournament** = orientation of a complete graph.
  - $\vec{C}_3$  is the directed triangle.
  - **Transitive tournament**: tournament with no  $\vec{C}_3$  and thus no directed cycle.
  - $TT_n$ : the unique transitive tournament on  $n$  vertices.
- 
- Given two disjoint set of vertices  $X, Y$ ,  $X \Rightarrow Y$  means all arcs from  $X$  to  $Y$ .
  - $\Delta(1, H_1, H_2)$  denotes the following digraph:  $TT_1 \Rightarrow H_1 \Rightarrow H_2 \Rightarrow TT_1$ .
  - $\vec{C}_4(1, H_1, H_2, H_3)$  denotes the following digraph:  $TT_1 \Rightarrow H_1 \Rightarrow H_2 \Rightarrow H_3 \Rightarrow TT_1$

# Oriented graphs that must be contained in all heroic sets

**Problem:** What are the finite sets  $\mathcal{F} = \{F_1, F_2, \dots, F_t\}$  for which  $\text{Forb}_{\text{ind}}(\mathcal{F})$  has bounded dichromatic number?

►  $\mathcal{F}$  must contain a tournament  $T$ .

$D_1 = TT_1$ ,  $D_k = \Delta(TT_1, D_{k-1}, D_{k-1})$ .

►  $\mathcal{F}$  must contain an oriented forest  $F$ .

Harutyunyan and Mohar (2012): there is oriented graphs with large dichromatic number and such that their underlying graphs have large girth.

**Remark:** A large tournament does not need to have a large dichromatic number. Hence, unlike the undirected case, we don't necessarily needs to bound the size of a maximum tournament to bound the dichromatic number.

# Tournaments and Heroes

A tournament  $H$  is a **hero** if and only if the class of  $H$ -free tournaments have **bounded dichromatic number**.

**Problem:** What are the finite sets  $\mathcal{F}$  for which  $Forb_{ind}(\mathcal{F})$  has bounded dichromatic number?

- ▶  $\mathcal{F}$  must contain a hero  $H$ .
- ▶  $\mathcal{F}$  must contain an oriented forest  $F$ .

Hence, to have a clean picture, we need to understand heroes.



# Who are the heroes?

A tournament  $H$  is a **hero** if and only if the class of  $H$ -free tournaments have **bounded dichromatic number**.

## Exercise:

- Prove that  $\vec{C}_3$  is a hero.
- Prove that  $TT_k$  is a hero.

Is there more heroes?

# HEROES

Results of this section come from *Tournaments and colouring* of Berger, Choromanski, Chudnovsky, Fox, Loeb, Scott, Seymour and Thomassé, 2013.

# A basic and useful Lemma

**Lemma:** A subtournament of a hero is a hero.

**Proof:** Let  $H_2$  be a hero, and  $H_1$  a subtournament of  $H_2$ .

Then  $H_1$ -free tournaments are also  $H_2$ -free.

So  $H_1$ -free tournaments have bounded dichromatic number and thus  $H_1$  is a hero.

**Strategy:**

- Look at non strongly connected heroes
- Look at strongly connected heroes.

## Strong components of heroes

**Theorem** [Berger et al.]: A tournament is a hero if and only if all its strong components are.

The *if* part follows from the fact that a subtournament of a hero is a hero.

To prove the *only if* part, it is enough to prove that:

**Theorem:** If  $H_1$  and  $H_2$  are heroes, then  $H_1 \Rightarrow H_2$  is a hero.

The proof is not easy, and I unfortunately don't have enough time to present it.

# Strong heroes

A strongly connected hero is called a **strong hero**.

How to know what a hero looks like?

**Strategy:** let  $H$  be a hero. Find a class of tournaments  $\mathcal{T}$  with arbitrarily large dichromatic number. All tournaments in  $\mathcal{T}$  with sufficiently large dichromatic number must contain  $H$ .

**Lemma** [Berger et al.]: If  $H$  is a **strong hero**, then  $H = \Delta(1, H_1, H_2)$  where  $H_1, H_2$  are heroes.

**Proof:**

- Set  $D_1 = TT_1$ ,  $D_{k+1} = \Delta(1, D_k, D_k)$ .
- $H$  must appear in some  $D_k$ .

□

## Strong heroes

**Next Goal:** prove that  $\Delta(1, \vec{C}_3, \vec{C}_3)$  is not a hero (which implies that all heroes are  $\Delta(1, \vec{C}_3, \vec{C}_3)$ -free).

Observe that  $\Delta(1, \vec{C}_3, \vec{C}_3)$  is not 2-colourable.

# Backedge graph: a tool to prove that a digraph has large dichromatic number

Let  $D$  be a digraph with an order on its vertices  $v_1, \dots, v_n$ .

The **backedge graph**  $B$  of  $D$  is the **undirected graph** induced by arcs of  $D$  that are in the **wrong direction**.

**Key remark:** A stable set of  $B$  is an acyclic induced subgraph of  $D$ .

So  $\vec{\chi}(D) \leq \chi(B)$

**Morality:** a way to bound the dichromatic number of a digraph is to bound the chromatic number of one of its backedge graph.

## Backedge graph: a tool to construct tournaments with large dichromatic number

**Lemma:** Let  $B$  be a **triangle-free (undirected) graph** with an ordering on its vertices and let  $D$  be the tournament with backedge graph  $B$ .

Then  $\vec{\chi}(D) \geq \chi(B)/2$ .

**Proof:**

- Let  $T$  be a transitive subtournament of  $D$ .
- It is enough to prove that  $T$  is the union of two stable sets of  $B$ .
- Since  $B$  is triangle-free, if  $u < v < w$  and  $wv, vu \in A(D)$ , then  $uw \notin A(D)$  and thus  $uw \in A(D)$  and  $(u, v, w)$  is a  $\vec{C}_3$ .
- Set  $X = \{x \in T : \text{no backedge of } T \text{ ends in } x\}$
- Set  $Y = \{y \in T : \text{no backedge of } T \text{ starts in } y\}$
- It is clear that  $X$  and  $Y$  are stable sets in  $B$ .
- Since  $B$  is triangle-free,  $V(T) = X \cup Y$ . □



# Strong heroes

**Lemma** [Berger et al.]: Every hero is 2-colourable. In particular  $\Delta(1, \vec{C}_3, \vec{C}_3)$  is not a hero.

- Let  $H$  a hero on at least 4 vertices.
- Let  $B$  an undirected graph with large chromatic number, and girth at least  $V(H)$ .
- Let  $D$  the tournament with backedge graph  $B$ .
- By the previous Lemma:  $\vec{\chi}(D) \geq \chi(B)/2$  i.e.  $D$  has large dichromatic number.
- So  $D$  contains  $H$ .
- Since  $B$  has no cycle of length at most  $V(H)$ , the backedge graph of  $H$  is a forest.
- So  $\vec{\chi}(H) \leq 2$ .

## Strong heroes

**Lemma** [Berger et al.]: If  $H$  is a strong hero, then  $H = \Delta(1, H_1, H_2)$  where  $H_1, H_2$  are heroes.

**Lemma** [Berger et al.]:  $\Delta(1, \vec{C}_3, \vec{C}_3)$  is not a hero.

**Corollary**: If  $H$  is a strong hero, then  $H = \Delta(1, H_1, TT_k)$  or  $H = \Delta(1, TT_k, H_1)$  where  $H_1$  is a hero.

From now on, We write  $\Delta(1, k, H)$  for  $\Delta(1, TT_k, H)$

**Theorem** [Berger et al.]:  $H$  is a strong hero if and only if  $H = \Delta(1, k, H_1)$  or  $H = \Delta(1, H_1, k)$  for some hero  $H_1$ .

**Proof**: hard (but important to understand).

# Full characterisation of heroes

**Theorem:** [Berger, Choromanski, Chudnovsky, Fox, Loeb, Scott, Seymour and Thomassé, 2015]

A tournament  $H$  is a hero if and only if:

- $H = TT_1$ , or
- $H = H_1 \Rightarrow H_2$  where  $H_1$  and  $H_2$  are heroes.
- $H = \Delta(1, H_1, k)$  or  $H = \Delta(1, k, H_1)$  where  $H_1$  is a hero.

# Extending Gyarfás-Sumner Conjecture

**Problem:** What are the finite sets  $\mathcal{F}$  for which  $Forb_{ind}(\mathcal{F})$  has bounded dichromatic number?

- ▶  $\mathcal{F}$  must contain a hero  $H$ .
- ▶  $\mathcal{F}$  must contain an oriented forest  $F$ .

**Problem:** for which hero  $H$  and oriented forest  $F$ ,  $Forb_{ind}(H, F)$  has bounded dichromatic number?

# Extending Gyarfás-Sumner Conjecture

**Problem:** for which hero  $H$  and oriented forest  $F$ ,  $\text{Forb}_{\text{ind}}(H, F)$  has bounded dichromatic number?

**Theorem:**  $\text{Forb}_{\text{ind}}(\vec{C}_3, P_4)$  has arbitrarily large dichromatic number.

**Proof:** Set  $D_1 = TT_1$  and  $D_k = \vec{C}_4(TT_1, D_{k-1}, D_{k-1}, D_{k-1})$ .

$\chi(D_k) = k$  and  $D_k \in \text{Forb}_{\text{ind}}(\vec{C}_3, P_4)$ . □

**Remark:** a digraph with no  $P_4$  is a **forest of oriented stars**.

**Conjecture** [Aboulker, Charbit, Naserasr, 2020]: The set  $\text{Forb}_{\text{ind}}(H, F)$  has bounded dichromatic number if and only if:

- ▶  $H$  is a transitive tournament and  $F$  is any oriented forest, or
- ▶  $H$  is a hero and  $F$  is a forest of oriented stars **FALSE**.

## A first result

**Conjecture** [Aboulker, Charbit, Naserasr, 2020]: The set  $Forb_{ind}(H, F)$  has bounded dichromatic number if and only if:

- ▶  $H$  is a transitive tournament and  $F$  is any oriented forest.
- ▶  $H$  is a hero and  $F$  is the disjoint union of stars **FALSE** or

**Theorem** [Chudnovsky, Scott, Seymour, 2019]

For every integer  $k$  and forest of oriented stars  $F$ ,  $Forb_{ind}(TT_k, F)$  has bounded chromatic number.

# $\vec{\chi}$ -BOUNDEDNESS

In this section, we study the following conjecture:

**Conjecture:** For every  $k$  and every oriented tree  $T$ ,  $Forb_{ind}(K_k, T)$  has bounded dichromatic number.

## $\vec{\chi}$ -boundedness

Given a digraph  $D$ , we denote by  $\omega(D)$  the size of a largest clique in the **underlying graph** of  $D$ .

We say that a hereditary class of digraphs  $\mathcal{C}$  is  **$\vec{\chi}$ -bounded** if there exists a function  $f$  such that for every  $D \in \mathcal{C}$ ,  $\vec{\chi}(D) \leq f(\omega(D))$ .



**Conjecture:** For every  $k$  and every oriented forest  $F$ ,  $Forb_{ind}(TT_k, F)$  has bounded dichromatic number.

It is equivalent to:

**Conjecture:** For every  $k$  and every oriented tree  $T$ ,  $Forb_{ind}(TT_k, T)$  has bounded dichromatic number.

It is equivalent to:

**Conjecture:** For every  $k$  and every oriented tree  $T$ ,  $Forb_{ind}(K_k, T)$  has bounded dichromatic number.

This is because:  $Forb_{ind}(TT_k, T) \subseteq Forb_{ind}(K_{2^k}, T)$

So we get a notion of  $\vec{\chi}$ -boundedness!

**Conjecture:** for every oriented tree  $T$ ,  $Forb_{ind}(T)$  is  $\vec{\chi}$ -bounded

i.e. there is a function  $f$  such that for all  $G \in Forb_{ind}(T)$ ,  $\vec{\chi}(G) \leq f(\omega(G))$ .

## Forbidding a directed path

**Conjecture:** for every oriented tree  $T$ ,  $Forb_{ind}(T)$  is  $\vec{\chi}$ -bounded.

**Equivalently:** for every oriented tree  $T$  and every integer  $k$ ,  $Forb_{ind}(K_k, T)$  has bounded dichromatic number.

**Theorem** [Chudnovsky, Scott, Seymour, 2019]: for every oriented star  $S$ ,  $Forb_{ind}(S)$  is  $\vec{\chi}$ -bounded.

Let  $\vec{P}_k$  be the directed path on  $k$  vertices.

**Conjecture:** for every  $k$ ,  $Forb_{ind}(\vec{P}_k)$  is  $\vec{\chi}$ -bounded

# Forbidding a path in the undirected world

This slide is on **undirected graphs**.

**Theorem** [Gyárfás, 80's]:  $Forb_{ind}(P_t)$  is  $\chi$ -bounded.

**Equivalently:** for every integers  $k, t$ ,  $Forb_{ind}(K_k, P_t)$  has bounded chromatic number.

**Sketch of Proof** that in a triangle-free (connected) graph with sufficiently large chromatic number, every vertex is the starting point of a long induced path.

- Let  $x_0 \in V(G)$
- Since  $N(x_0)$  is triangle-free,  $G - N[x_0]$  has large chromatic number.
- So there exists a connected component  $C_1$  of  $G - N[x_0]$  with large chromatic number.
- Choose a vertex  $x_1 \in N(x_0)$  such that  $x_1$  has neighbours in  $C_1$ .
- Repeat the same operation in  $C \cup \{x_1\}$ , starting with  $x_1$ .

## Forbidding a directed path

**Conjecture:** For every integer  $k$ ,  $\text{Forb}_{\text{ind}}(\vec{P}_k)$  is  $\vec{\chi}$ -bounded.

For  $k = 1, 2$ : trivial

For  $k = 3$ : Chudnovsky, Scott, Seymour (2019).

For  $k = 4$ : Cook, Pilipczuk, Masařik, Reinald, Souza (2022+)

But the Gyárfás path technique does not work in the directed case. Indeed:

- ▶ Even if an oriented graph is strongly connected, there does need to be induced directed path between any pair of vertices.
- ▶ In a triangle-free (strongly connected) oriented graph with large  $\vec{\chi}$ , it is not true that every vertex is the starting point of a long induced path.

**Observation** [Steiner]: If  $P$  is a shortest directed path, then the digraph induced by  $P$  is 2-dicolourable.

**Proof:** since it is a shortest directed path, it has a backedge graph isomorphic to a path.

# The levelling technic

We want to prove the following:

For every integer  $k$ ,  $Forb_{ind}(K_k, \vec{P}_4)$  has bounded dichromatic number.

Let's do it first for  $k = 3$ .

Let  $x$  be a vertex.

Let  $L_i$  the set of vertices at out-distance  $i$  from  $x$ .

If  $\vec{\chi}(L_i) \leq k$  for every  $i$ , then  $\vec{\chi}(G) \leq 2k$ .

**Theorem:** If  $G \in Forb_{ind}(K_3, \vec{P}_4)$ , then  $\vec{\chi}(G) \leq 2$  because every  $L_i$  is a stable set.

**Proof:** on board

## Nice sets: A tool to prove that a class of digraphs has bounded dichromatic number

**Definition:** A nonempty set of vertices  $S$  is **nice** if each vertex in  $S$  either has no out-neighbor in  $V(D) \setminus S$  or has no in-neighbor in  $V(D) \setminus S$ .

**Proposition:** Let  $\mathcal{C}$  be a class of digraphs such that for every  $D \in \mathcal{C}$ ,  $D$  has a nice set  $S$  such that  $\vec{\chi}(S) \leq c$ . Then  $\vec{\chi}(\mathcal{C}) \leq 2c$ .

**Proof:**

- Let  $G \in \mathcal{C}$  and let  $S$  be a nice set of  $G$  with dichromatic number at most  $c$ .
- Let  $S_{out}$  the set of vertices of  $S$  with no in-neighbour outside  $S$
- Let  $S_{enter}$  the set of vertices of  $S$  with no out-neighbour outside  $S$
- Colour  $G - S$  with  $2c$  colours by induction.
- colour vertices of  $S$  with colours  $\{1, \dots, c\}$
- colour vertices of  $S$  with colours  $\{c + 1, \dots, 2c\}$

**Theorem** [Cook, Pilipczuk, Masařík, Reinald, Souza (2022+)]:

If  $G \in \text{Forb}_{\text{ind}}(K_k, \vec{P}_4)$ , then  $\vec{\chi}(G)$  is bounded.

**Strategy of the proof:** Every digraph in  $\text{Forb}_{\text{ind}}(K_k, \vec{P}_4)$  has a nice set with bounded dichromatic number.

- Assume by induction that  $\text{Forb}_{\text{ind}}(K_{k-1}, \vec{P}_4)$
- Let  $G \in \text{Forb}_{\text{ind}}(K_k, \vec{P}_4)$ .
- Start with a maximum tournament  $K$  of  $G$ .
- If  $K$  is not strongly connected, it has a source and a sink strongly connected component.
- Let  $P$  be a shortest directed path from the sink to the source.
- Set  $C = K \cup P$ , this is closed a **closed clique**.
- Let  $X$  be the set of vertices that have both an in- and an out-neighbour in  $C$ .
- Let  $N = C \cup N(C) \cup N(X)$ .
- They prove that  $N$  is a nice set with bounded dichromatic number.

**Theorem** [Cook, Pilipczuk, Masařík, Reinald, Souza (2022+)]:  
for every orientation  $H$  of  $P_4$ ,  $Forb_{ind}(H)$  is  $\vec{\chi}$ -bounded.

**Next step:** prove that  $Forb_{ind}(\vec{P}_k)$  is  $\vec{\chi}$ -bounded for  $k \geq 5$ .

**Very first open case:** does  $Forb_{ind}(K_3, \vec{P}_5)$  has bounded dichromatic number?



## Only one cycle length

We call  **$t$ -chordal** the class of digraphs in which all induced directed cycle have length exactly  $t$ . Quite surprisingly, the following holds:

**Theorem** [A. Carbonero, P. Hompe, B. Moore and S. Spirkl, 2022]:  
The class of  $t$ -chordal digraphs is not  $\vec{\chi}$ -bounded.

The same authors also proved the following, using the Gyárfás path technique:

**Theorem** [A. Carbonero, P. Hompe, B. Moore and S. Spirkl, 2022]:  
The class of  $t$ -chordal digraph with no induced  $\vec{P}_t$  is  $\vec{\chi}$ -bounded.

# FORBIDDING A FOREST OF STARS

In this section, we study the following problem:

**Problem:** For which hero  $H$  and forest of oriented stars  $F$  does  $Forb_{ind}(H, F)$  have bounded dichromatic number.

## Generalisation of heroes

**Problem:** For which hero (in tournaments)  $H$  and forest of stars  $F$  does  $\text{Forb}_{\text{ind}}(H, S)$  have bounded dichromatic number.

Recall that, for every forest of oriented stars  $S$ ,  $\text{Forb}_{\text{ind}}(TT_k, S)$  has bounded dichromatic number (Chudnovsky, Scott and Seymour, 2019).

So we are only interested in heroes containing a  $\vec{C}_3$ .

But this kind of heroes are not linearly ordered as transitive tournaments, so we lose the notion of  $\vec{\chi}$ -boundedness.

This is the reason why we introduce the following definition:

**Definition:** Let  $\mathcal{C}$  a class of digraphs. A digraph  $H$  is a **hero in  $\mathcal{C}$**  if every  $H$ -free digraph in  $\mathcal{C}$  has bounded dichromatic number.

**Problem:**

Who are the heroes in  $\text{Forb}(S)$ , when  $S$  is a forest of oriented stars?

**Problem:**

Who are the heroes in  $Forb_{ind}(S)$ , when  $S$  is a forest of oriented stars?

**Remark:** Since  $Forb_{ind}(S)$  contains all tournaments, heroes in  $Forb_{ind}(S)$  must be, in particular, heroes in tournaments.

**Theorem:** [Berger et al]

A digraph  $H$  is a hero in tournaments if and only if:

- $H = TT_1$ , or
- $H = H_1 \Rightarrow H_2$  where  $H_1$  and  $H_2$  are heroes in tournaments.
- $H = \Delta(1, H_1, k)$  or  $H = \Delta(1, k, H_1)$  where  $H_1$  is a hero in tournaments.

It is tempting to conjecture that, for every forest of oriented stars  $S$ , heroes in  $Forb_{ind}(S)$  are the same as heroes in tournaments, but it is unfortunately not true.

Let's try to solve this problem for the simplest types of forest of oriented stars.

# Bounding the independence number

$\overline{K}_t$ :  $t$  vertices, no arc. The simplest forest of stars.

$\text{Forb}_{\text{ind}}(\overline{K}_2)$  is the class of tournaments.

**Question:** what are the heroes in  $\text{Forb}(\overline{K}_t)$ ?

**Theorem** [Harutyunyan, Le, Newman, Thomassé, 2019]

Heroes in  $\text{Forb}_{\text{ind}}(\overline{K}_t)$  are the same as heroes in tournaments.

Equivalently, it says that a digraph in  $\text{Forb}_{\text{ind}}(\overline{K}_t)$  in which all subtournaments have bounded dichromatic number also have bounded dichromatic number.

Their proof looks a lot like the proof of Berger et al. with a major difference, they use and prove the following beautiful result:

**Theorem** [Harutyunyan, Le, Newman, Thomassé, 2019]

For every  $D \in \text{Forb}_{\text{ind}}(\overline{K}_t)$ , if for every  $x \in V(D)$ ,  $\overline{\chi}(N^+(x))$  is bounded, then  $\overline{\chi}(D)$  is bounded.

# The local to global property

A digraphs is  **$t$ -local** if for every  $x \in V(D)$ ,  $\vec{\chi}(N^+(x)) \leq t$ .

A class of digraphs  $\mathcal{C}$  has the **local to global property** if there exists a function  $f$  such that for every integer  $t$  and for every  $t$ -local digraph  $G$  in  $\mathcal{C}$ ,  $\vec{\chi}(G) \leq f(t)$ .

We know that tournaments, and more generally  $Forb_{ind}(\overline{K}_t)$  has the local to global property.

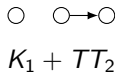
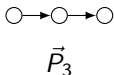
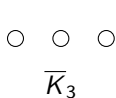
**Problem:** What other classes of digraphs have the local to global property?

# Small forests

## Problem:

What are the heroes in  $Forb(S)$ , when  $S$  is a forest of oriented stars?

What about forest of oriented stars on three vertices?



We are now going to study heroes in  $Forb_{ind}(\vec{P}_3)$ ,  $Forb_{ind}(\vec{K}_{1,2})$ ,  $Forb_{ind}(K_1 + TT_2)$ .

# QUASI-TRANSITIVE DIGRAPHS

A digraph is **quasi-transitive** if whenever  $ab$  and  $bc$  are arcs, one of  $ac$  or  $ca$  is too.

Quasi-transitive digraphs are the same as  $Forb_{ind}(\vec{P}_3)$ .



## A nice operation: substitution

Given two digraphs  $G_1$  and  $H_1$  with disjoint vertex sets, a vertex  $u \in G_1$ , we say that the digraph  $G = G_1(u \leftarrow H_1)$  is obtained by **substituting  $H_1$  for  $u$  in  $G_1$** , provided that the following hold:

- $V(G) = (V(G_1) \setminus u) \cup V(H_1)$ ,
- $G[V(G_1) \setminus u] = G_1 \setminus u$ ,
- $G[V(H_1)] = H_1$
- for all  $v \in V(G_1) \setminus u$  if  $v$  sees (resp. is seen by, resp. is non-adjacent to)  $u$  in  $G_1$ , then  $v$  sees (resp. is seen by, resp. is non-adjacent with) every vertex in  $V(H_1)$  in  $G$ .

Given a class of digraphs  $\mathcal{C}$ , the **closure of  $\mathcal{C}$  under substitution** denoted  $\mathcal{C}^*$  is the class of digraphs that can be obtained from a vertex by repeatedly substitute some vertices by digraphs in  $\mathcal{C}$ .

# Quasi-transitive graphs

Let  $\mathcal{T}$  be the class of tournaments and  $\mathcal{A}$  the class of acyclic digraphs.

**Theorem** [Bang-Jensen and Huang, 1995]

The class of quasi-transitive oriented graph is contained in  $(\mathcal{A} \cup \mathcal{T})^*$ .

**Theorem** [Aboulker, Aubian, Charbit, 2022]:

Heroes in  $(\mathcal{A} \cup \mathcal{T})^*$  digraphs are the same as heroes in tournaments.

A equivalent way to say it is:

if  $D \in (\mathcal{A} \cup \mathcal{T})^*$  is a quasi-transitive digraph in which every subtournament have bounded dichromatic number, then  $D$  has bounded dichromatic number.

**Theorem:** if  $H$ -free tournaments are  $c$ -dicolourable, then  $H$ -free digraphs in  $(\mathcal{A} \cup \mathcal{T})^*$  are also  $c$ -dicolourable.

# LOCAL OUT-TOURNAMENTS

# Local out-tournament

$G$  is a **local out-tournament** if for every vertex  $x$ ,  $N^+(x)$  is a tournament.

The class of local out-tournaments is the same as  $Forb_{ind}(\vec{K}_{1,2})$ .

**Theorem** [Steiner / Aboulker, Aubian, Charbit, 2021]:  $K_1 \Rightarrow \vec{C}_3$  is a hero in local out-tournaments.

We even have:  $\vec{\chi}(Forb_{ind}(K_1 \Rightarrow \vec{C}_3, \vec{K}_{1,2})) = 2$

## Heroes in local out-tournaments

**Conjecture:** for every hero  $H$ ,  $H$ -free local out-tournaments have bounded dichromatic number.

► One possible strategy:

- Prove that if  $H_1$  and  $H_2$  are heroes in local out-tournaments, then so is  $H_1 \Rightarrow H_2$ .
- Prove that if  $H$  is a hero in local out-tournaments, then so is  $\Delta(1, k, H)$  and  $\Delta(1, H, k)$ .

► Another strategy:

- Prove that if  $D$  is a local out-tournament in which every subtournament has bounded dichromatic number, then  $D$  has bounded dichromatic number.

► A last strategy:

- Construct a counter example.

# ORIENTED COMPLETE MULTIPARTITE GRAPHS

# Heroes in complete multipartite oriented graphs

$Forb_{ind}(\vec{K}_2 + K_1)$  is the class of **oriented complete multipartite graphs**, **OCMG** for shorts.

**Theorem** [A., Aubian, Charbit 2021+]:

If  $H_1$  and  $H_2$  are heroes in OCMG, then so is  $H_1 \Rightarrow H_2$ .

**Theorem** [A., Aubian, Charbit 2021+]:

If  $H$  is a hero in OCMG, then so is  $\Delta(1, 1, H)$ .



# Heroes in complete multipartite oriented graphs

**Theorem**[A., Aubian, Charbit, 2021+]: A digraph  $H$  is a hero in OCMG if:

- $H = K_1$ ,
- $H = H_1 \Rightarrow H_2$ , where  $H_1$  and  $H_2$  are heroes in OCMG, or
- $H = \Delta(1, 1, H_1)$  where  $H_1$  is a hero in OCMG.

**Question:** What about  $\Delta(1, 2, H)$ ?

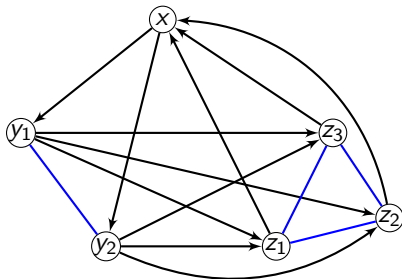
So the first open cases are:

- $\Delta(1, 2, 2)$
- $\Delta(1, 2, \vec{C}_3)$ ,  $\Delta(1, 2, 3)$

# Heroes in complete multipartite oriented graphs

**Theorem** [A., Aubian, Charbit 2021+]:

$\Delta(1, 2, 3)$  and  $\Delta(1, 2, \vec{C}_3)$  are not heroes in OCMG.



**In particular:** there exists OCMG with arbitrarily large dichromatic number in which all subtournaments have bounded dichromatic number.

# Heroes in complete multipartite oriented graphs

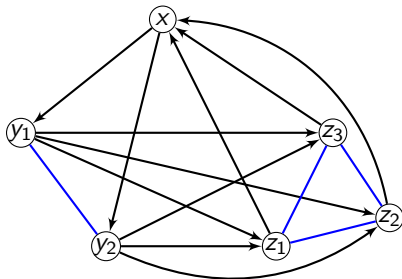
## Strategy:

- Define the line graph  $L(G)$  of an oriented graph.
- Prove that  $\chi(L(G)) \geq \log(\chi(G))$ .
- Build a oriented complete multipartite graphs from  $L(L(TT_n))$ .
- Prove it has large dichromatic number.
- Prove it does not contain  $\Delta(1, 2, 3)$  nor  $\Delta(1, 2, \vec{C}_3)$ .

## Feedback arc set of $\Delta(1, 2, 3)$

**Feedback arc set:** set of arcs  $F$  such that their deletion leads to an acyclic digraph.

**Observation:** all feedback arc sets of  $\Delta(1, 2, 3)$  and  $\Delta(1, 2, \vec{C}_3)$ , contain a vertex of out- or in-degree at least 2.



## Line graph of digraphs

The **line graph**  $L(D)$  of a digraph  $D$  is the following digraph:

- vertex set is  $A(D)$ .
- $ef$  is an arc of  $L(D)$  if  $e = uv$  and  $f = vw$ .

Be aware that the following Lemma is on the chromatic number of the underlying graphs.

**Lemma:** for every digraph  $D$ ,  $\chi(L(D)) \geq \log(\chi(D))$ .

**Proof:**

- Assume  $L(D)$  admits a  $k$ -colouring.
- Observe that a colouring of  $L(D)$  is a colouring of the arcs of  $D$  in such a way that no  $\vec{P}_3$  is monochromatic.
- For each  $v \in V(D)$ , colour  $v$  with the set of colours used by the arcs entering in  $v$ .
- Prove that it is a  $2^k$ -colouring of  $D$ .

Let's have a look at  $L(L(TT_s))$ .

Set  $V(TT_s) = (v_1, v_2, \dots, v_s)$ .

So the vertices of  $L(L(TT_s))$  are:  $\{(v_i, v_j, v_k) : 1 \leq i < j < k \leq s\}$ .

And its set of arcs is:  $\{(v_i, v_j, v_k)(v_j, v_k, v_\ell) : 1 \leq i < j < k < \ell \leq s\}$ .

Set  $V_j = \{(v_i, v_j, v_k) : i < j < k\}$ .

Define the **oriented complete multipartite graphs**  $D_s$  with parts  $V_1, \dots, V_s$  like that:

- Edges of  $L(L(TT_s))$  are oriented from left to right: **forward arcs**
- All the other edges from right to left: **backward arcs**.

**Observation:** given a vertex  $(v_i, v_j, v_k)$  of  $D_s$ :

- the forwards arcs going out  $(v_i, v_j, v_k)$  are included in  $V_k$
- the forward arcs going in  $(v_i, v_j, v_k)$  are included in  $V_i$ .

Hence, subtournaments of  $D_s$  cannot be equal to  $\Delta(1, 2, 3)$  nor to  $\Delta(1, 2, \vec{C}_3)$

**Lemma:**  $\vec{\chi}(D_s) \geq \frac{1}{2} \log(\log(s))$

**Proof:**

- An acyclic subgraph of  $D_s$  is made of disjoint out- or in-stars of  $L(L(TT_s))$ .
- Hence, an acyclic subgraph of  $D_s$  can be partitioned into two stable sets of  $L(L(TT_s))$
- So  $\log(\log(s)) \leq \chi(L(L(TT_s))) \leq 2\vec{\chi}(D_s)$

# Characterization of heroes in OCMG

**Theorem**[A., Aubian, Charbit 2021+]: A digraph  $H$  is a hero in OCMG if:

- $H = K_1$ ,
- $H = H_1 \Rightarrow H_2$ , where  $H_1$  and  $H_2$  are heroes in OCMG, or
- $H = \Delta(1, 1, H_1)$  where  $H_1$  is a hero in OCMG.

$\Delta(1, 2, 3)$  and  $\Delta(1, 2, \vec{C}_3)$  are not heroes in OCMG

**Open Question:** is  $\Delta(1, 2, 2)$  a hero in OCMG?

If it is not, then the above theorem is a characterisation of heroes in OCMG, otherwise the following is:

A digraph  $H$  is a hero in OCMG if and only if:

- $H = K_1$  or  $H = \Delta(1, 2, 2)$ ,
- $H = H_1 \Rightarrow H_2$ , where  $H_1$  and  $H_2$  are heroes in OCMG, or
- $H = \Delta(1, 1, H_1)$  where  $H_1$  is a hero in OCMG.



# HEROES IN ORIENTED CHORDAL GRAPHS

Results of this section is a joint work with Raphael Steiner and Guillaume Aubian.

# Heroes in oriented chordal graphs

A chordal graph is a graph with no induced directed cycle of length at least 4.

**Lemma** [Dirac, 60's]:

A graph  $G$  is chordal if and only:

- $G$  is a clique, or
- $G$  admits a clique cutset.

In other words: Chordal graph can be obtained by gluing complete graphs along cliques.

**Oriented chordal graphs:** all orientations of chordal graphs.

**Question:** Who are the heroes in **oriented chordal graph**?

**Question:** is it true that an **oriented chordal graph** in which every subtournament have bounded dichromatic number, itself has bounded dichromatic number? **NO!**

# $\Delta(1, 2, 2)$ is not a hero in oriented chordal graphs

We construct a sequence of oriented chordal graph  $D_1, D_2, \dots$  such that

- $\vec{\chi}(D_k) = k$ ,
- $D_k$  does not contain  $\Delta(1, 2, 2)$ ,
- and actually all tournaments in  $D_k$  have dichromatic number at most 2.

## $\Delta(1, 2, 2)$ is not a hero in oriented chordal graphs

$G_1 = K_1$ . Assuming  $D_k$  is known, construct  $D_{k+1}$  as follows:

- Start with a  $TT_{k+1} = T$ .
- For each arc  $ab \in A(T)$ , add a copy  $R_{ab}$  of  $D_{k+1}$  and the following arcs:
  - $b \Rightarrow R_{ab}$  and
  - $R_{ab} \Rightarrow a$ .
- that is, each vertex of  $R_{ab}$  form a triangle with  $a$  and  $b$ .

We need to prove that:

- $D_k$  is an oriented chordal graph,
- $\vec{\chi}(D_k) = k$ ,
- $D_k$  is  $\Delta(1, 2, 2)$ -free.

## $\vec{C}_3 \Rightarrow K_1$ is not a hero in oriented chordal graphs

We construct a sequence of oriented chordal graph  $G_1, G_2, \dots$  such that

- $\vec{\chi}(G_k) = k$ ,
- $G_k$  does not contain  $\vec{C}_3 \Rightarrow K_1$ .

Given a dicolouring of a digraph  $G$ , a subset of  $S \subset V(G)$  is **rainbow** if each vertex of  $S$  receives distinct colours.

**Lemma:** Assume  $G_k$  is known. There exists a digraph  $F = F(G_k)$  such that:

- $\vec{\chi}(F) = k$  and
- For every  $k$ -dicolouring of  $F$ ,  $F$  contains a rainbow  $TT_k$ .

# Heroes in oriented chordal graphs

**Question:** Who are the heroes in oriented chordal graphs?

We know that:

- It must be a hero in tournaments
- It does not contain  $\Delta(1, 2, 2)$ , nor  $K_1 \Rightarrow \vec{C}_3$ , nor  $\vec{C}_3 \Rightarrow K_1$ .

Hence, the only candidate are  $TT_k$  and  $\Delta(1, 1, k)$ .

**Exercise:** prove that  $TT_k$  is a hero in oriented chordal graphs.

**Theorem:** Heroes in oriented chordal graphs are precisely transitive tournaments and  $\Delta(1, 1, k)$ .

**Proof:** Look at a simplicial vertex.

# Interval graphs

**Theorem:** Heroes in oriented **unit interval graph** are the same as heroes in tournaments.

**Open Question:** Is it true that heroes in oriented **interval graphs** are the same as heroes in tournaments?

DIGRAPHS WITH ALL DIRECTED CYCLE OF  
THE SAME LENGTH ARE NOT  $\vec{\chi}$ -BOUNDED