1 - Treewidth, Forbidden Minor and planar graphs

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**Wagner Conjecture**: in every infinite sequence of graphs  $(G_1, G_2, ...)$ , one is the minor of another.

How to prove Wagner Conjecture? Well, the natural way is:

• Assume  $(G_n)_{n \in \mathbb{N}}$  is a counterexample.

Hence, understanding graphs in  $Forb_{\leq m}(H)$  for any fixed graph H would help a lot.

For example, if for some *i*,  $Forb_{\preccurlyeq m}(G_i)$  has bounded treewidth, then we may assume that for every  $j \ge i$ ,  $G_j$  has bounded treewidth and since we know that bounded tree width graphs satisfy Wagner Conjecture, we are done.

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Moreover, since  $Forb_{\preccurlyeq m}(H) \subseteq Forb_{\preccurlyeq m}(K_{|V(H)|})$ , it is enough to understand  $K_t$ -minor-free graphs.

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### Treewidth of minor closed class

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One of the most important result of graph minor theory is a complete and beautiful characterization of such H.

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**Question**: For which *H*, graphs in  $Forb_{\leq m}(H)$  has bounded treewidth?

First, *H* must be planar.

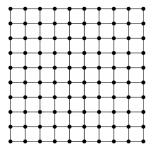
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- Indeed, all grids and their minor are planar (why?).
- And grids can have arbitrarily large treewidth.
- Hence, if H is non-planar, then  $Forb_{\preccurlyeq m}(H)$  contains all grids, and thus  $Forb_{\preccurlyeq m}(H)$  does not have bounded treewidth.



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# Grid Minor Theorem

**Theorem** (Grid Minor Theorem, Robertson and Seymour, V)

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Observe that every planar graph is a minor of some grid. To see this, draw a planar graph and superimpose a sufficiently fine grid, then fatten vertices and edges of the planar graph.

We denote by  $G_{k,k}$  the  $k \times k$  grid.

Hence, for every planar graph H, there exists  $k_H$  such that  $H \preccurlyeq_m G_{k_H,k_H}$ , and thus  $Forb_{\preccurlyeq_m}(H) \subseteq Forb_{\preccurlyeq_m}(G_{k_H,k_H})$ .

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**Theorem** (Grid Minor Theorem, Robertson and Seymour, V)

Given a graph H, graphs in  $Forb_{\leq m}(H)$  have bounded treewidth if and only if H is planar.

We need to prove the *if part*, that is, for *H* a planar graph, graphs in  $Forb_{\leq m}(H)$  have bounded treewidth.

Observe that every planar graph is a minor of some grid. To see this, draw a planar graph and superimpose a sufficiently fine grid, then fatten vertices and edges of the planar graph.

We denote by  $G_{k,k}$  the  $k \times k$  grid.

Hence, for every planar graph H, there exists  $k_H$  such that  $H \preccurlyeq_m G_{k_H,k_H}$ , and thus  $Forb_{\preccurlyeq_m}(H) \subseteq Forb_{\preccurlyeq_m}(G_{k_H,k_H})$ .

Hence, the following is equivalent with the Grid Minor Theorem:

#### **Theorem** (Grid Minor Theorem)

Let k be an integer. There exists f(k) such that if  $G \in Forb_{\preccurlyeq_m}(G_{k,k})$ , then  $tw(G) \le f(k)$ 

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#### Very (very) rough idea of the proof:

Let G be a graph with very large treewidth. We want to show that G contains a large grid as minor.

- Show that G contains a large family  $\{A_1, \ldots, A_m\}$  of pairwise disjoint connected subgraphs such that:
- each pair  $A_i, A_j$  can be linked in G by a family  $\mathcal{P}_{i,j}$  of many disjoint  $A_i A_j$  paths avoiding the other sets.
- We then consider all the pairs  $\mathcal{P}_{i,j}, \mathcal{P}_{i,'j'}$
- If we can find such a pair such that many of the paths in  $\mathcal{P}_{i,j}$  meets many of the path in  $\mathcal{P}_{i',j'}$ , then we can find a large grid (this is the most difficult part of the proof because the intersections might be very messy).
- Otherwise, for every pair  $\mathcal{P}_{i,j}, \mathcal{P}_{i,'j'}$ , many of the paths in  $\mathcal{P}_{i,j}$  avoid many of the path in  $\mathcal{P}_{i',j'}$ .
- We can then select one path  $P_{i,j} \in \mathcal{P}_{i,j}$  from each family such that these selected path are pairwise disjoint.
- Contracting each of the connected subgraph will then give us a large clique minor, which contains a large grid.

For a full proof, see section 12.4 of the book Graph Theort of Diestel.

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Theorem (Chekuri and Chuzhoy, 2019)

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- For a long time, the best known upper bounds on f(k) were super-exponential in k.
- The first polynomial upperbound of  $f(k) = O(k^{98} poly \log k)$  was proved by Chekuri and Chuzhoy in 2013.

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There exists f(k) such that, if G is  $G_{k,k}$ -minor free then tw(G) < f(k)

- Establishing tight bounds on f(k) is an important graph-theoretical question with many applications on structural and algorithmic graph theory.
- Robertson and Seymour showed that  $f(k) = \Omega(k^2 \log k)$  must hold.
- For a long time, the best known upper bounds on f(k) were super-exponential in k.
- The first polynomial upperbound of  $f(k) = O(k^{98} poly \log k)$  was proved by Chekuri and Chuzhoy in 2013.
- Since then, many ameliorations have been proved, the best one is:

#### **Theorem** (Chekuri and Chuzhoy, 2019)

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#### Corollary

The class of planar graphs satisfies Wagner Conjecture.

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#### Corollary

The class of planar graphs satisfies Wagner Conjecture.

Would be nice to be able to say stuff on H-minor free graphs even when H is non-planar.

More precisely, Robertson and Seymour find a way to describe graphs that are H-minor-free graphs for any fixed graphs H. To do it, they use what can be called the decomposition paradigm.

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# 2 - The Decomposition Paradigm

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# Introduction

The decomposition Paradigm have lead to many difficult and important results.

It is used to describe a fixed class of graphs, say C (in graph minor theory, classes of the form  $Forb_{\preccurlyeq_m}(H)$ ).

The key is to describe how every graph of  ${\cal C}$  can be constructed by gluing together certain basic graphs by a well defined composition rules.

The main result of the graph minor project is a (approximate) decomposition theorem for  $Forb_{\preccurlyeq_m}(K_k)$ .

This section can be seen as an introduction to the decomposition paradigm, we will show, among other things, a decomposition theorem for chordal graphs as well as for graphs of bounded treewidth.

The next section will be dedicated to perfect graphs, an illustration of what is perhaps the most dramatic success of the decomposition method (we will skip this section, no time).

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### Clique cutsets and treewidth

Let G be a graph and S a set of vertices of G. G[S] denotes the induced subgraphs of G induced by S.

S is a cutset or separator of G if  $G \setminus S$  has at least two connected components.

It is a clique cutset if S induces a clique (i.e. G[S] is a clique).

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The following say that we should be happy when a graph has a clique cutset.

#### Proposition

Let G be a graph with a clique cutset S and let  $(X_i)_{i \in I}$  be the connected components of  $G \setminus S$ . Let  $G_i = G[C_i \cup S]$ . Then  $tw(G) = max_{i \in I}(tw(G_i))$ .

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**Proof sketch**: Take a tree decomposition  $(T_i, W_i)$  of  $G_i$ . S induces a clique, so it is contained in a bag  $B_i$  of each  $(T_i, W_i)$ . Take the disjoint union of the  $(T_i, W_i)$ , and add a new bag containing S and adjacent to each  $B_i$ . Prove that this is a tree decomposition of G of width  $tw(G) = max_{i \in I}(tw(G_i))$ .

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### Exercises on clique cutsets

Recall that  $\omega(G)$  is the size of a largest clique of G.

We denote by  $\omega_m(G)$  the largest k such that G contains  $K_k$  as a minor.

#### Exercice 1

Let G be a graph with a clique cutset S and let  $(X_i)_{i \in I}$  be the connected components of  $G \setminus S$ . Let  $G_i = G[C_i \cup S]$ . Prove that: **a**  $\chi(G) = \max_{i \in I}(\chi(G_i))$ . **b**  $\omega_m(G) = \max_{i \in I}(\omega_m(G_i))$ 

The graphs  $G[C_i \cup S]$  are called the block of decomposition of G. The proposition in the previous slide and this exercise show the importance of block of decomposition.

# Decomposition theorem for chordal graphs I

A graph G is **chordal** if it has no **induced subgraph** isomorphic to a cycle of length at least 4.

Chordal graphs is one of the oldest studied class of graphs. They have a very strong structure that permits to design efficient algorithms to compute on them.

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**Decomposition theorem for chordal graphs** [Dirac, 1961]: If G is a chordal graph, then:

- either G is a complete graph, or
- G has a clique cutset.

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# Proof of Dirac theorem

**Decomposition theorem for chordal graphs** [Dirac, 1961]: If G is a chordal graph, then:

- either G is a complete graph, or
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## Proof:

- Suppose that G is not a complete graph.
- Let x and y be two non-adjacent vertices. Then  $V(G) \setminus \{x, y\}$  is a cutset of G separating u and v. This to say that G has some cutsets.
- Let S be a minimal vertex-cutset of G, and let  $C_1$  and  $C_2$  be two connected components of  $G \setminus S$ .
- The fact that S is minimal implies that every vertex of S has a neighbour in both  $C_1$  and  $C_2$ .
- Suppose that G[S] is not a clique.
- So S contains two non-adjacent vertices u and v.
- Since S is minimal, both u and v have a neighbor in both  $C_1$  and  $C_2$ .
- Hence, for i = 1, 2, there exists an induced *uv*-path  $P_i$  whose interior vertices are in  $C_i$ .
- Then  $P_1 \cup P_2$  induces a cycle of length at least 4, a contradiction.
- So S is a clique-cutset of G.

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# Decomposition theorem for chordal graph II

It is now easy to deduce the following decomposition theorem for chordal graphs.

#### Decomposition theorem for chordal graphs

A graph is chordal if and only if it can be constructed recursively by pasting along complete subgraphs, starting from complete graphs.

#### Exercice 2

Let G be a chordal graph. Prove that  $\chi(G) = \omega(G)$ .

#### **Exercice 3**

Let G be a chordal graph. Prove that G has a simplicial vertex, that is a vertex x such that  $N(x) \cup \{x\}$  is a complete graph.

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#### **Exercice 3**

Let G be a chordal graph. Prove that G has a simplicial vertex, that is a vertex x such that  $N(x) \cup \{x\}$  is a complete graph.

**Hint**: Among all clique cutsets *S*, choose one that minimize the the size of the smallest connected component *C* of  $G \setminus S$ . Prove that  $G[S \cup C]$  is a complete graph, and thus all vertices in *C* are simplicial.

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## Treewidth and Chordal graphs

Given a family  $\mathcal{T} = \{T_1, \ldots, T_n\}$  of trees, the intersection graph of  $\mathcal{T}$  is the graph with vertices  $\{v_1, \ldots, v_n\}$  such that  $v_i$  is adjacent to  $v_j$  if  $V(T_i) \cap V(T_k) \neq \emptyset$ .

#### **Exercice** 4

Show that the following statement are equivalent:

- G is chordal
- G admits a tree decomposition such that every bag is a clique.
- G admits a tree decomposition with the property that uv ∈ E(G) if and only if T<sub>u</sub> and T<sub>v</sub> have non-empty intersection<sup>a</sup> (and equivalently if and only if a bag contains both u and v).
- G is the intersection graph of a family of subtrees of a tree<sup>b</sup>.

Finally, use the second characterization to prove that for every graph H:

 $tw(H) = min\{\omega(G) - 1 \mid H \text{ subgraph of } G \text{ and } G \text{ is chordal}\}$ 

Above, you may assume that G is obtained from H by adding some edges.

<sup>b</sup>So chordal graphs can be seen as generalisation of interval graphs

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<sup>&</sup>lt;sup>a</sup>recall that  $T_u$  is the subgraph of T induced by the node x such that  $u \in W_x$  where  $W_x$  is the bag associated with x.

## Hints for the exercise

 $1 \Rightarrow 2$ : Proceed by induction and use the decomposition theorem for chordal graphs.

 $2 \Rightarrow 3$ : If every bag is a clique, then a bag does not contain two non-adjacent vertices.

 $3 \Rightarrow 4$ : Let G be a graph and let (T, W) a tree decomposition of G satisfying 3. For every  $v \in V(G)$ , let  $T_v$  the subtree of T induced by the nodes x of T such that the bag associated to x contains v. Let H be the intersection graph of  $\{T_u \mid u \in V(G)\}$ . We claim that G = H. They have the same set of vertices and,  $uv \in E(G)$  if and obly if  $T_u$ and  $T_v$  intersect.

 $4 \Rightarrow 1$  the intersection graphs of a family of trees cannot contain induced cycle of length at least 4 (do it when all trees are paths, it is kind of the same).

Fot the last question, see Corollary 12.3.12 of the book Graph Theory of Diestel.

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## Definition

Let  $G_1$  and  $G_2$  be two graphs and  $K_1$  a clique of  $G_1$ ,  $K_2$  a clique of  $G_2$  with  $|K_1| = |K_2|$ . If G is a graph obtained by identifying vertices of  $K_1$  and  $K_2$ , and then removing some edges of this clique, then G is a clique sum of  $G_1$  and  $G_2$ .

Similarly as for clique cutset, we have the following:

#### Proposition

If G is a clique sum of  $G_1$  and  $G_2$ , then  $tw(G) \le max(tw(G_1), tw(G_2))$ .

And another characterization of treewidth, that is also a decomposition theorem for classes of graphs with bounded treewidth.

#### Theorem

*G* has treewidth at most *k* if and only if it can be constructed recursively by clique sum operations starting from graphs on at most k + 1 vertices.

# 3 - "Proof" of Wagner Conjecture (Warning: contains major handwaving)

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# Proof of Wagner's Conjecture: general strategy

- Starts as before: Assume  $(G_n)_{n \in \mathbb{N}}$  is a counterexample.
- We can assume that no graph  $G_i$  with  $i \ge 1$  has  $G_0$  as a minor.
- Hence  $G_i \in \mathit{Forb}_{\preccurlyeq_m}(G_0)$  for  $i \geq 1$
- Can we describe the structure of these graphs??
- It is sufficient to get a structure theorem for  $Forb_{\leq m}(K_k)$ .
- For  $k \leq 4$  we have seen characterizations (small treewidth).
- For k = 5 there is one due to Wagner:

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# Wagner decomposition Theorem

## Theorem (Wagner - 1937)

 $K_5$ -minor free graphs are constructed by a sequence of 3-clique sums operations starting from  $W_8$  and planar graphs.

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How to use that to prove Wagner Conjecture? Like that:

- Assume  $(G_i)_{i \in \mathbb{N}}$  is bad sequence (for every i < j,  $G_i$  is not a minor of  $G_j$ ).
- Assume there exists  $n \in \mathbb{N}$  such that such that  $|V(G_n)| \leq 5$ .
- Then  $(G_i)_{i \ge n}$  are  $K_5$ -minor-free.
- Then we can use Wagner Theorem: the graphs  $G_i$ , i > n have some kind of a 2-layer structure:
  - Outside we have a tree-like structure, which can be handled with similar methods used to handles trees (and graphs with bounded treewidth).
  - ▶ Inside (that is in the "bag" of the tree decomposition given by Wagner Theorem), graphs are planar or *W*<sub>8</sub>, and we already now they are WQO.

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How to use that to prove Wagner Conjecture? Like that:

- Assume  $(G_i)_{i \in \mathbb{N}}$  is bad sequence (for every i < j,  $G_i$  is not a minor of  $G_j$ ).
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  - ▶ Inside (that is in the "bag" of the tree decomposition given by Wagner Theorem), graphs are planar or *W*<sub>8</sub>, and we already now they are WQO.

Hence, all we need is a generalisation of Wagner decomposition Theorem for all complete graphs.

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# Vortices and Fringes

Let us start with a technical definition. If C is a cycle, a **vortex** on C is defined the following way :

- Select a collection of arcs  $A_1, A_2, \dots, A_l$  on C so that each vertex is in at most k arcs.
- For each arc we add a vertex  $v_i$  that is linked to some vertices of  $A_i$ .
- We can also add edges  $v_i v_j$  if  $A_i \cap A_j \neq \emptyset$ .
- We call this adding a fringe of width k to C.

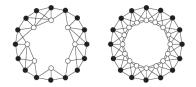


FIGURE 4. A fringe of width 2 and a fringe of width 3.

Figure of Laszló Lovász

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## Almost k-embeddable

Now let us define a class  $G_k$  of **almost** *k*-embeddable graphs

- i Start with a surface of genus at most k and a graph G embedded in it so that each face is homeomorphic to a disc.
- ii Add at most *k* vortices (local perturbation of a face of the embedding)
- iii Add at most *k* apexes (vertices linked arbitrarily to the rest of the graph)

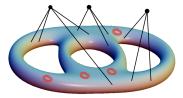


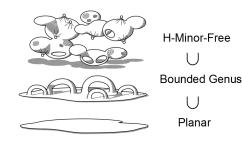
Figure by Daniel Marx

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## Structure Theorem

#### **Theorem** (Robertson and Seymour Theorem, XX)

For every graph H, there exists an integer k such that all H-minor free graphs can be obtained by a sequence of k-clique sum operations starting from almost k-embeddable graphs.



Felix Reidl

# "Proof' of Wagner Conjecture

Very (very) roughly, the proof of Wagner Conjecture is:

- Show that graphs of bounded genus satisy Wagner Conjecture by induction on the genus (very hard).
- Almost *k*-embedable graphs are taken care to the cost of more very hard work.
- Kruskal's Theorem's proof is adapted to deal with the tree structure given by the clique sums operations.

#### General message:

- if something works for planar graphs,
- then we might generalize it to bounded genus graphs,
- then we might generalize it to *H*-minor-free graphs.

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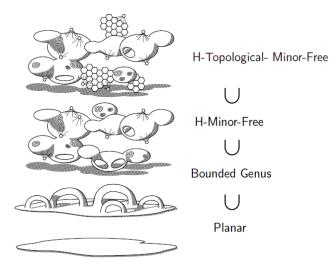
#### What next?

What about  $Forb_{\preccurlyeq_t}(H)$ ?

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# H-topological minor free graphs

H-topological minor free graphs look like that (Grohe and Marx, 2012)



Felix Reidl

# Decomposition theorem for H-topological minor free graphs

## Theorem (Grohe and Marx, 2012)

For every H, there is an integer k such that every H-subdivision-free graph has a tree decomposition where the torso of every bag is either:

- k-almost embeddable in a surface of genus at most k or
- has degree at most k with the exception of at most k vertices ("almost bounded degree").

#### General message:

If a problem can be solved both

- on (almost-)embeddable graphs and
- on (almost-)bounded degree graphs,
- then these results can be raised to *H*-subdivision-free graphs without too much extra effort.

## 4 - FPT algorithm via the Graph Minor Theorem

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## **Problem** (Graph modification problem for C)

**Given**: (G, k)**Question**: Is there a set S of at most k vertices such that  $G \setminus S \in C$ ?

- Vertex Cover: C is the class of edgeless graphs.
- Feedback vertex set: C is the set of forest (forbidden (induce) subgraphs is the set of all cycles).
- $\bullet$  You can take any class of graphs for  $\mathcal{C}\colon$  planar graphs, bipartite graphs, chordal graphs etc etc.

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- $\bullet$  You can take any class of graphs for  $\mathcal{C}\colon$  planar graphs, bipartite graphs, chordal graphs etc etc.

We have seen that, using the branching method:

#### Theorem

If C is closed under taking induced subgraph and can be characterized by a finite set  $\mathcal{F}$  of forbidden induced subgraphs (i.e.  $C = Forb_{\subseteq_i}(\mathcal{F})$ , then the graph modification problem for C is FPT.

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The following is one of the main algorithmic consequence of the graph minor theorem:

#### Theorem

If  ${\mathcal C}$  is closed under taking minor, then the graph modification problem for  ${\mathcal C}$  is FPT.

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#### Theorem

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Proof: Assume  $\mathcal C$  is closed under taking minor, then:

• The set of YES-instance for a fixed k is also closed under taking minor (why?).

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**Proof**: Assume C is closed under taking minor, then:

- The set of YES-instance for a fixed k is also closed under taking minor (why?).
- So, for each k, there exists a set of graphs F<sub>k</sub> such that the question is: does G belongs to Forb<sub>≤m</sub>(F<sub>k</sub>)?

3

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- So, for each k, there exists a set of graphs F<sub>k</sub> such that the question is: does G belongs to Forb<sub>≤m</sub>(F<sub>k</sub>)?
- By The Graph Minor Theorem,  $\mathcal{F}_k$  is finite.
- There is a  $f(|F|)O(n^3)$  algorithm to decide if a graph contains a given graph F as a minor.

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#### Caveats:

- This is just an existential proof, we do not know how to get the set  $\mathcal{F}$  of forbidden minor (the Graph Minor Theorem is not constructive)
- It is not uniform: not the same algorithm for different values of k.

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# 5 - FPT Algorithms parametrized by treewidth: DP and Courcelle's Theorem

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You've already done that with Valia. Anyway, I'll leave the slides here in case you want another presentation (though it will certainly be less good :)).

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# Max Weighted Independent Set

## Problem (Maximum Weighted Independent Set - MWIS)

**Input** : A graph *G* with weight function  $\omega : V(G) \to \mathbb{R}$ **Output** : an Independent set of maximum weight.

- NP-complete for general graphs.
- Polynomial for trees by Dynamic programming

# MWIS for Trees with dynamic Programming

Fix a root r arbitrarily.

Denote by ch(v) the set of children of v, by T(v) the subtree rooted at v (hence T(r) = T) and set:

- f(v) denotes the maximum weight of an independent set of T(v),
- $f^+(v)$  denotes the maximum weight of an independent set of T(v) containing v
- $f^{-}(v)$  denotes the maximum weight of an independent set of T(v) not containing v

The value of a maximum weight independent set of T is precisely f(r).

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## MWIS for Trees with dynamic Programming

Let v be a vertex of T, and let ch(v) be the set of children of v. We have:

$$f^{+}(v) = \sum_{x \in ch(v)} f^{-}(x) + \omega(v)$$
  
$$f^{-}(v) = \sum_{x \in ch(v)} f(x)$$
  
$$f(v) = \max(f^{+}(v), f^{-}(v))$$

It only remains to compute these three functions in a bottom-up fashion (that is starting from the leaves and computing layer after layer until we rich the root), which take O(|V(T)|) time.

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Many NP-hard problems are solvable in polytime on trees, using dynamic programming. We are going to see that the same strategy stands when applied on tree decomposition.

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## MWIS parametrized by treewidth

## Theorem

Given a tree decomposition of width k, Maximum Weighted Independent Set can be computed in time  $O(2^k \cdot k^{O(1)} \cdot n)$ .

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For each vertex  $t \in V(T)$ , set:  $W_t \subseteq V(G)$ : vertices appearing in node t $V_t \subseteq V(G)$ : vertices appearing in the subtree rooted at t.

#### Generalizing the strategy used for tree:

Instead of computing two values  $f^+(t)$  and  $f^-(t)$ , we compute  $2^{|W_t|} \le 2^k$  values for each bag  $W_t$ .

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For each node t and each subset S of  $W_t$ :  $M[t, S] = \max$  weighted independent I such that  $I \subseteq V_t$  and  $I \cap W_t = S$ .

It is easy to compute M[t, S] if the values are known for the children of t. But we are going to define a tree decomposition with a particular structure to ease it even more.

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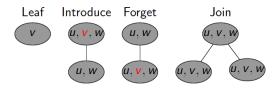
## Nice Tree Decompositions

To design algorithms parametrized by treewidth, it is convenient to use the following particular tree decompositions.

### Definition

A nice tree decomposition of G is a tree decomposition where T is a rooted binary tree with bags  $(W_t)_{t \in V(T)}$  and each inner node t is of three possible kind :

- Leaf: t has no child and  $|W_t| = 1$ .
- Introduce: t has one child t' and  $W_t = W_{t'} \setminus \{v\}$  for some  $v \notin W_{t'}$
- Forget: t has one child t' and  $W_t = W_t \cup \{v\}$  for some  $v \notin W_t$ .
- Join: t has two children  $t_1$  and  $t_2$  and  $W_t = W_{t_1} = W_{t_2}$ .



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# From tree decomposition to nice tree decomposition

### Theorem

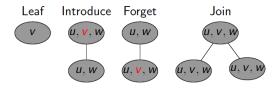
A tree decomposition of width k and n nodes can be turned into a nice tree decomposition of width k and  $O(k \cdot n)$  nodes in time  $O(k^2 \cdot n)$ .

### Proof Sketch:

- Root the decomposition arbitrarily.
- For each internal node with *p* children, it is possible to add 2*p* new join nodes to make it binary.
- For each edge  $t_1t_2$  replace  $t_1t_2$  by a path with at most k forget nodes and at most k introduce nodes.

Using nice decomposition, it becomes super easy to compute M[t, S] in a bottom-up fashion.

For each node  $t \in V(T)$  and each independant subset S of  $W_t$ :  $M[t,S] = \max$  weighted independant I such that  $I \subseteq V_t$  and  $I \cap W_t = S$ .



• Leaf:  $|W_t| = 1$ , trivial

• Introduce: one child t' with  $W_t = W_{t'} \cup v$ :

$$M[t, S] = M[t', S] \qquad \text{if } v \notin S$$
$$= M[t', S \setminus \{v\}] + \omega(v) \qquad \text{if } v \in S$$

• Forget: one child t' with  $W_t = W_{t'} \setminus v$ :

$$M[t,S] = \max(M[t',S], M[t',S \cup \{v\}])$$

• Join: t has two children  $t_1$  and  $t_2$  such that  $W_t = W_{t_1} = W_{t_2}$ :

$$M[t,S] = M[t_1,S] + M[t_2,S] - \omega(S)$$

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## Other Problems that are FPT by treewidth

Here is a list of results one can prove similarly using a tree decomposition of treewidth k.

### Theorem

Let G be given with a tree decomposition of width at most k.

- Computing vc(G) can be done in time  $O(2^k \cdot k^{O(1)} \cdot n)$ .
- Somputing  $\chi(G)$  can be done in time  $O(f(k) \cdot n)$ .
- Somputing  $\omega(G)$  can be done in time  $O(2^k \cdot k^k \cdot n)$ .
- Computing  $\gamma(G) := \min\{|X| : X \cup N(X) = V(G)\}$ , can be done in time  $O(4^k \cdot k^{O(1)}n)$ . (dominating set).
- **9** Deciding if G has a hamiltonian cycle can be done in time  $O(k^{O(k)} \cdot n)$ .

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The next slides are about Courcelle's Theorem, one of the most important applications of treewidth in algorithmic theory. A super powerful meta-algorithm!

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## Monadic Second Order Logic

A celebrated algorithmic meta-theorem of Courcelle generalises all the previous results to monadic second order formulas.

Logical formulas on graphs are constructed inductively using

- atomic formulas : x = y,  $v \in X$ ,  $e \in F$  for subsets of vertices or edges.
- the binary relation Inc(x, e) which is satisfied if  $x \in V$  and x is incident with  $e \in E$ .
- $\bullet$  logical operators  $\vee$  and  $\wedge$  and  $\neg$
- $\bullet~\mbox{quantifiers}~\forall~\mbox{and}~\exists$
- First Order formulas (FO): quantifiers over vertices and edges (∀v ∈ V(G); ∃e ∈ E(G))
- $MSO_1 = FO + quantify over sets of vertices$ ,
- $MSO_2 = MSO_1 + quantify$  over sets of edges.

## Formula for 3-colorability

This is a second order formula for 3 colourability :

$$\exists X_1 \subset V \ \exists X_2 \subset V \ \exists X_3 \subset V$$

$$(\forall x \in V \qquad (x \in X_1 \lor x \in X_2 \lor x \in X_3)$$

$$\land \neg (x \in X_1 \land x \in X_2) \land \neg (x \in X_1 \land x \in X_3) \land \neg (x \in X_2 \land x \in X_3))$$

$$\land (\forall xy \in E \qquad \neg (x \in X_1 \land y \in X_1) \land \neg (x \in X_2 \land y \in X_2) \land \neg (x \in X_3 \land y \in X_3))$$

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## Courcelle Theorem

The theorem of Courcelle asserts that every such property is easy to decide for bounded treewidth graphs.

## Theorem (Courcelle, 1990)

Let G be a graph and  $\phi$  a formula of MSO<sub>2</sub>. Assume that we are given a tree decomposition of G of width at most k. Then there is an algorithm that verify if  $\varphi$  is satisfied in G in time  $f(|\varphi|, k) \cdot n$  for some computable function f.

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**Note:** The dependance on k can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

If we can express a property in  $MSO_2$ , then we immediately get that testing this property is FPT parameterized by the treewidth of the input graph, as soon as we have a tree decomposition of graph at hand.

But can we compute a tree decomposition???

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# 6 - Computing Tree Decomposition

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## Problem

**Input** : A graph G and an integer k **Output** : TRUE if and only if  $tw(G) \le k$ 

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• NP-Hard: Arnborg, Corneil, Proskurowski '87 (note that polytime open for planar)

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Anyway, we want to use tree decomposition to design FPT algorithm with parameter tw(G), so we would be happy with time  $O(f(tw(G)) \cdot n^{0(1)})$ .

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Anyway, we want to use tree decomposition to design *FPT* algorithm with parameter tw(G), so we would be happy with time  $O(f(tw(G)) \cdot n^{0(1)})$ .

• **FPT** :  $O(tw(G)^{tw(G)^3}n)$  algorithm (Bodlaender, 96)

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## Approximate the treewidth

We don't really need to compute an optimal tree decomposition, the following is enough.

## Theorem (Robertson and Seymour)

Given a graph G and an integer k, there is an algorithm running in time  $O(f(k).n^2)$  that output:

- either a small certificate showing that  $tw(G) \ge k$
- or a tree decomposition of width at most 4k + 1.

This is enough for our DP based FPT algorithms: simply run the above algorithm for k = 1, k = 2, k = 3,...

You are quaranted to stop for some  $k \leq 4tw(G)$ .

So you run 4tw(G) times an FPT algorithm parametrized by tw(G), so you are still in time  $O(f(tw(G)) \cdot n^{O(1)})$ .

And you know have a tree decomposition of width at most 4tw(G). Any DP based algorithm applied on it will run in time  $O(f(tw(G)n^{O(1)}))$ .

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## Computing the treewidth: state of the art

Approximation	<i>f</i> ( <i>k</i> )	g(n)	reference
exact	<i>O</i> (1)	$O(n^{k+2})$	Arnborg, Corneil & Proskurowski (1987)
4 <i>k</i> + 3	O(3 <sup>3k</sup> )	$O(n^2)$	Robertson & Seymour (1995)
8 <i>k</i> + 7	2 <sup>O(k log k)</sup>	$n \log^2 n$	Lagergren (1996)
5k+4 (or 7k+6)	$2^{O(k \log k)}$	n log n	Reed (1992)
exact	2 <sup>0(k<sup>3</sup>)</sup>	<i>O</i> ( <i>n</i> )	Bodlaender (1996)
$O\left(k\cdot\sqrt{\log k}\right)$	<i>O</i> (1)	n <sup>O(1)</sup>	Feige, Hajiaghayi & Lee (2008)
4.5k + 4	2 <sup>3k</sup>	n <sup>2</sup>	Amir (2010)
$\frac{11}{3}k + 4$	2 <sup>3.6982</sup> k	$n^3 \log^4 n$	Amir (2010)
exact	<i>O</i> (1)	O(1.7347 <sup>n</sup> )	Fomin, Todinca & Villanger (2015)
3 <i>k</i> + 2	2 <sup>O(k)</sup>	$O(n \log n)$	Bodlaender et al. (2016)
5 <i>k</i> + 4	2 <sup>O(k)</sup>	<i>O</i> ( <i>n</i> )	Bodlaender et al. (2016)
<i>k</i> <sup>2</sup>	$O(k^7)$	$O(n \log n)$	Fomin et al. (2018)
5 <i>k</i> + 4	2 <sup>8.765</sup> k	$O(n \log n)$	Belbasi & Fürer (2021a)
2k + 1	2 <sup>O(k)</sup>	<i>O</i> ( <i>n</i> )	Korhonen (2021)
5 <i>k</i> + 4	2 <sup>6.755</sup> k	$O(n \log n)$	Belbasi & Fürer (2021b)
exact	2 <sup>0(k<sup>2</sup>)</sup>	n <sup>4</sup>	Korhonen & Lokshtanov (2022)
$(1+\varepsilon)k$	$k^{O(k/\varepsilon)}$	n <sup>4</sup>	Korhonen & Lokshtanov (2022)

#### picture from wikipedia

## Approximate the treewidth

#### Theorem

There exists an algorithm with input a graph G and an integer k and that outputs in time  $O(f(k).n^2)$ :

- either a small certificate that  $tw(G) \ge k$
- or a tree decomposition of width at most 4k + 3.

### The rest of this section is dedicated to design this algorithm.

See Section 7.6 of the book Parametrized Algorithms.

## Good separator

Let G be a graph. A set of vertices S is a separator (or vertex cutset) if S disconnects G, that is  $G \setminus S$  has at least two connected components.

Let S, X be two sets of vertices, S is a balanced X-separator if:

- S disconnects G into two parts  $V_1$  and  $V_2$ .
- For i = 1, 2,  $V_i$  contains at most 2|X|/3 vertices of X.

**lemma**: If tw(G) < k, then every  $X \subseteq V(G)$  of size at least 2k + 1 admits a balanced X-separator of size at most k

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**Proof Ideas:** 

• Take a tree decomposition (T, W) of width k - 1 where T has maximum degree 3.

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### **Proof Ideas:**

- Take a tree decomposition (T, W) of width k 1 where T has maximum degree 3.
- For each node  $t \in T$ , the bag  $W_t$  separates G into 2 or 3 connected components.

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### **Proof Ideas:**

- Take a tree decomposition (T, W) of width k 1 where T has maximum degree 3.
- For each node  $t \in T$ , the bag  $W_t$  separates G into 2 or 3 connected components.
- If one of the connected components contains more than half of the vertices of *X*, then orient the corresponding edge out from *t*.

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- Prove that there exists an internal node *t* with no outgoing edge (look at the last vertex of maximal directed path and observe that an edge incident with a leaf is oriented out from the leaf).

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- Prove that there exists an internal node *t* with no outgoing edge (look at the last vertex of maximal directed path and observe that an edge incident with a leaf is oriented out from the leaf).
- Show that  $W_t$  is a balanced X-separator with respect to X:

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- Show that  $W_t$  is a balanced X-separator with respect to X:
  - Let A, B, C be the three connected components of  $G \setminus W_t$ .

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**lemma**: If tw(G) < k, then every  $X \subseteq V(G)$  of size at least 2k + 1 admits a balanced X-separator of size at most k

**Proof Ideas:** 

- Take a tree decomposition (T, W) of width k 1 where T has maximum degree 3.
- For each node  $t \in T$ , the bag  $W_t$  separates G into 2 or 3 connected components.
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#### **Certificate that** $tw(G) \ge k$ :

If G contains a set X of at least 2k + 1 vertices that do not admit a balanced X-separator of size a most k, then  $tw(G) \ge k$ .

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## FPT algorithm to approx the tw

We prove by induction on the number of vertices of G the following algorithm (apply it with  $X = \emptyset$  to get the desired algorithm).

#### Problem

**Input** : A graph *G*, an integer *k* and a set  $X \subseteq V(G)$  such that  $|X| \leq 3k$ **Output** : A certificate that  $tw(G) \geq k$  or a rooted tree decomposition *T* of *G* of width at most 4k + 1 where  $X \subseteq root(G)$ 

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• If G has at most 4k vertices then put all vertices in a single bag.

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- If G has at most 4k vertices then put all vertices in a single bag.
- If X has less than 2k + 1 vertices, then augment X arbitrarily by adding vertices until its size is at least 2k + 1.

We prove by induction on the number of vertices of G the following algorithm (apply it with  $X = \emptyset$  to get the desired algorithm).

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- If G has at most 4k vertices then put all vertices in a single bag.
- If X has less than 2k + 1 vertices, then augment X arbitrarily by adding vertices until its size is at least 2k + 1.
- Assume for the moment that we know how to compute a balanced X-separator of size at most k.
- If X admits no balanced X-separator of size at most k, then by what precedes it is a certificate that tw(G) ≥ k.

We prove by induction on the number of vertices of G the following algorithm (apply it with  $X = \emptyset$  to get the desired algorithm).

#### Problem

**Input**: A graph *G*, an integer *k* and a set  $X \subseteq V(G)$  such that  $|X| \leq 3k$ **Output**: A certificate that  $tw(G) \geq k$  or a rooted tree decomposition *T* of *G* of width at most 4k + 1 where  $X \subseteq root(G)$ 

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- If X has less than 2k + 1 vertices, then augment X arbitrarily by adding vertices until its size is at least 2k + 1.
- Assume for the moment that we know how to compute a balanced X-separator of size at most k.
- If X admits no balanced X-separator of size at most k, then by what precedes it is a certificate that tw(G) ≥ k.
- So we may assume that X has a balanced X-separator S of size at most k.

We prove by induction on the number of vertices of G the following algorithm (apply it with  $X = \emptyset$  to get the desired algorithm).

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- We are going to use it to compute the tree decomposition of width at most 4k + 1.

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(Recall  $2k + 1 \le |X| \le 3k$  and  $|S| \le k$ )

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So the total complexity for the algorithm is  $O(27^k \cdot k^2 n^2)$  since the tree decomposition has at most *n* nodes.

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7 - Win/Win approach and planar graph problems

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- Use Dynamic Programming to compute a minimum vertex cover.

# Subexponential FPT algorithm for planar graphs

See Section 7.6 of the book Parametrized Algorithms.

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# Grid Minor for planar graphs

We denote by  $\boxplus_t$  the  $t \times t$  grid.

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#### Corollary

Let G a planar graph on n vertices. Then:

- $tw(G) \leq \frac{9}{2}\sqrt{n+1}$  and
- a tree decomposition of width  $\frac{9}{2}\sqrt{n+1}$  can be constructed in  $O(n^2)$  time.

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• Observe that  $vc(\boxplus_t) = \lceil \frac{t^2}{2} \rceil$  (because it has a matching of size  $\lceil \frac{t^2}{2} \rceil$ ).

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- Then we use dynamic programming to computer the minimum vertex cover in time  $2^{\sqrt{2k+2}} \cdot k^{0(1)} \cdot n$ .
- In total, we get an algorithm in  $2^{O(\sqrt{k})} \cdot n \cdot O(n^2)$ .

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# Subexponential parameterized algorithm

Any problem satisfying the following properties has a subexponential time FPT algorithm:

- The size of a solution in  $\boxplus_k$  is of order  $\Omega(k^2)$ .
- Given a tree decomposition of width O(k), the problem can be solved in time  $O(2^k) \cdot n^{0(1)}$ .
- If G has a solution of size at most k, then every minor of G too.

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## Dominating set

A vertex set S of a graph G is a dominating set if  $S \cup N(S) = V(G)$ . In other words, every vertex has a neighbour in S or is in S.

**Problem** (DOMINATING SET parametrized by the size of the solution)

**Question**: Given (G, k), does G have a dominating set of size at most k?

#### **Exercice 5**

Can you use the graph minor theorem to prove that Dominating set parametrized by the size of the solution of FPT?

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**Solution**: Observe that contracting an edge can only decrease the size of a smallest dominating set, and modify the grod minor theorem!

# Planar grid minor theorem for edge contraction

Given two graphs G and H, we say that G contains H as a contraction, if H can be obtained from G by contracting some edges.

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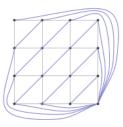
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## Planar grid minor theorem for edge contraction

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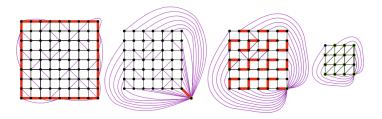
#### Planar grid minor theorem for edge contraction

Let G be a planar graph. If  $tw(G) \ge 9t + 5$ , then G contain  $\Gamma_t$  as a contraction. Moreover, there is an algorithm running in time  $O(n^2)$  that either output a tree decomposition of width 9t + 5, or output a set of edges whose contraction results in  $\Gamma_t$ .



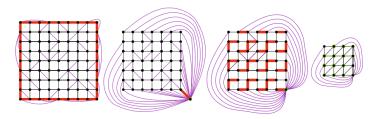
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• If  $tw(G) \ge 9t + 5$ , then G contain a  $\boxplus_{2t+1}$  model.



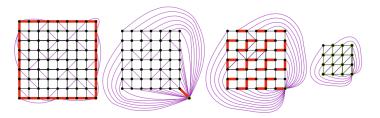
• Finally, the obtained  $\Gamma_t$  has no extra edge, since adding an edge to  $\Gamma_t$  spoils its planarity.

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- $\bullet$  Hence, after a sequence of vertex deletion, edge deletion and edge contraction, we get  $\boxplus_{2t+1}.$



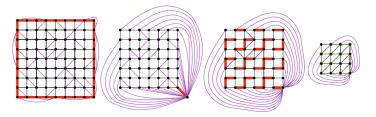
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- $\bullet$  Hence, after a sequence of vertex deletion, edge deletion and edge contraction, we get  $\boxplus_{2t+1}.$
- Instead of deleting the vertices, contract them with one of their neighbor and omit edge deletion.
- This way we get  $\boxplus_{2t+1}$  plus some edges. And we get  $\Gamma_t$  by doing the following contradction:



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# Subexponential parameterized algorithm for dominating set

### Observation

A minimum dominating set of  $\Gamma_k$  has size  $\Omega(k^2)$ .

So the strategy works again, and we get a subexponential FPT time algorithm for dominating set in planar graphs.

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So the strategy works again, and we get a subexponential FPT time algorithm for dominating set in planar graphs.

#### General strategy:

- The size of a solution in  $\boxplus_k$  is of order  $\Omega(k^2)$ .
- Given a tree decomposition of width O(k), the problem can be solved in time  $O(2^k) \cdot n^{0(1)}$ .
- Contracting edges can only decrease the size of the solution.

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