

A Semantics of Core Erlang With Handling of Signals

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Motivations and Context

Fault explanation in order to debug

- bugs caused by non determinism
- focus on evaluation order of messages

Needs

- a small step semantics
- describing symmetrical links and monitoring links
- handling signals

→ **Problem:** no existing semantics cover these mechanisms

Design Choices

Erlang system

- hierarchy of concurrent processes
- interactions only via asynchronous signals
- no nodes (simplification)
- no global variables (simplification)
- no continuous time (simplification)

Rules of thumb

- the official documentation and initial specification first
- if an information is needed but absent from the documentation, go to the implementation
- if documentation and implementation disagree, the documentation wins

Choices we made

- Core Erlang – less syntactic sugar
- a restored `receive`, but without the `after` clause
- no `try_catch` structure

State of a system

$$\Pi \cup \{(pid, (e, \theta, \tau, m, stack, r), sig_{sent}, L)\}$$

- Π = set of the others processes

State of a system

$$\Pi \cup \{(\text{pid}, (e, \theta, \tau, m, \text{stack}, r), \text{sig}_{sent}, L)\}$$

- pid = process identifier

Execution model

State of a system – execution task

$$\Pi \cup \{(pid, (\mathbf{e}, \theta, \tau, \mathbf{m}, \mathbf{stack}, \mathbf{r}), sig_{sent}, L)\}$$

- Expression evaluation:
 - e = current expression
 - θ = evaluation context
 - τ = functions table
 - m = current module
- Debugging info:
 - $stack$ = current call stack
 - r = ending reason

State of a system – signals

$$\Pi \cup \{(pid, (e, \theta, \tau, m, stack, r), \text{sig}_{\text{sent}}, L)\}$$

- outbox: list of signals sent by pid, in the order of their emission
- two kinds of signals:
 - messages – treated during receive expression
 - others – treated as soon as they are received
- general form of a signal: $(flag_{type}, pid_{target}, content)$

State of a system – signals

$$\Pi \cup \{(pid, (e, \theta, \tau, m, stack, r), \text{sigsent}, L)\}$$

Guarantees on the order:

- if a process sends signals to another one, the reception order is the same as the sending order
- if more than one process send signals to a same process, no guarantee on the order of signals coming from different processes

State of a system – links

$$\Pi \cup \{(pid, (e, \theta, \tau, m, stack, r), sig_{sent}, L)\}$$

- set of links
- two kinds of links:
 - monitor links
 - monitored side: (monitored_by, pid_i , lid)
 - monitoring side: (monitoring, pid_i , lid)
 - symmetrical link: (link, pid_i , pid_j)

Semantics – Running Example

In the file of the 'test' module

```
'go'/0 = fun() ->
    do call 'erlang':'+'(2,3)
       call 'erlang':'+'(4,4)
```

First instruction

```
do
  call 'erlang':monitor(process,
    call 'erlang':spawn('test','go',[[]])
  )
receive
  _ when 'true' -> 'stop'
```

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parent:

- creates a child with first instruction
`call test:go()`
- creates a monitoring link with its child
- waits for a message

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child:

- evaluates
`call erlang:+(2,3)`
- receives the monitoring signal from parent
- updates L
- evaluates
`call erlang:+(4,4)`
- terminates

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receive  
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```

parent:

- receives the down signal from child
- turns the down signal into a message
- follows the clause of its receive
- terminates

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parent:

- receives the down signal from child
- turns the down signal into a message
- **follows the clause of its receive**
- terminates

Semantics – Running Example

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```

parent:

- receives the down signal from child
- turns the down signal into a message
- follows the clause of its receive
- **terminates**

Semantics – End of a process I

Behavior

- Reasons of Termination:
 - normal evaluation of its first expression
 - a problem led to the end of the process
- Result:
 - sends a down signal for each monitoring or symetrical link
 - terminates itself

Semantically

- Two special values:
 - EoP: the process is about to be terminated
 - ended: the process is dead
 - Two types of transitions:
 - $\xrightarrow{\text{term}}$: evaluation of expressions at top level
 - $\xrightarrow{\text{aux}}$: exploration and evaluation of sub-expressions recursively
- Necessary to handle signal of end of processes

Semantics – End of a process II

From *aux* to *term*

$$\text{EXPR_TERM} \quad \frac{\begin{array}{c} \Pi \cup \{(pid, (e_1, \theta, \tau, m, \text{stack} \cdot (e_1, \theta, m), r), s_{sent}, L)\} \\ \xrightarrow{\text{aux}} \\ \Pi' \cup \{(pid, (e'_1, \theta', \tau', m', \text{stack}, r'), s'_{sent}, L')\} \end{array}}{\begin{array}{c} \Pi \cup \{(pid, (e_1, \theta, \tau, m, \text{stack}, r), s_{sent}, L)\} \\ \xrightarrow{\text{term}} \\ \Pi' \cup \{(pid, (e'_1, \theta, \tau', m', \text{stack}, r'), s'_{sent}, L')\} \end{array}}$$

Semantics – End of a process III

Last evaluation: sending signals

$$\begin{aligned} J &= \{i \mid 1 \leq i \leq n \wedge fl_i \in \{\text{monitored_by}, \text{link}\}\} \\ M &= \{(\text{not_msg}, pid_j, (\text{down}, fl_j, lid_j, \text{end_reason}(r, normal))) \mid j \in J\} \\ &\quad sigs \in \text{permutations}(M) \quad v \neq \text{ended} \end{aligned}$$

VAL

$$\begin{aligned} &\Pi \cup \\ &\{(pid, (v, \theta, \tau, \mathbf{m}, stack, r), sig_{sent}, \{(fl_i, pid_i, lid_i) \mid 1 \leq i \leq n \wedge lid_i \in \{\mathcal{I}d, Ref_L\}\})\} \\ &\xrightarrow[\text{term}]{} \\ &\Pi \cup \\ &\{(pid, (\text{ended}, \theta, \tau, \mathbf{m}, stack, r), sig_{sent} \cdot sigs, \{(fl_i, pid_i, lid_i) \mid 1 \leq i \leq n \wedge lid_i \in \{\mathcal{I}d, Ref_L\}\})\} \end{aligned}$$

Semantics – End of a process – Example

Child process: initial state

- born from call `'erlang': 'spawn'('test', 'go', [])`
- first instruction: call `'test': 'go'()`

$$\Pi \cup \{(\textcolor{red}{pid}_{child}, (\textcolor{blue}{call} \textcolor{brown}{'test'} : \textcolor{blue}{go}(), [], \tau_{ini}, \textcolor{brown}{test}, (), \perp), (\textcolor{red}{(), \{\}}))\}$$

Semantics – End of a process – Example

Child process: initial state

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- first instruction: call `'test': 'go'()`

$$\Pi \cup \{(\textcolor{red}{pid}_{\text{child}}, (\underline{\text{call}} \text{ 'test'} : \text{go}(), []), \tau_{ini}, \text{test}, (), \perp), (), \{\}\}$$

pid of the child process

Semantics – End of a process – Example

Child process: initial state

- born from call `'erlang': 'spawn'('test', 'go', [])`
- first instruction: call `'test': 'go'()`

$$\Pi \cup \{(pid_{child}, (\text{call } 'test' : go()), [], \tau_{ini}, test, (), \perp), (), \{\})\}$$

first instruction

Semantics – End of a process – Example

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empty evaluation context θ and initial functions table τ

Semantics – End of a process – Example

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$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), []), \tau_{ini}, \text{test}, (), \perp), (), \{\}\}$$

module: *test*, empty stack, reason of termination: \perp

Semantics – End of a process – Example

Child process: initial state

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- first instruction: call `'test': 'go'()`

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} \text{ 'test'} : go(), []), \tau_{ini}, test, (), \perp), (\textcolor{blue}{0}), \{\})\}$$

empty outbox

Semantics – End of a process – Example

Child process: initial state

- born from call `'erlang': 'spawn'('test', 'go', [])`
- first instruction: call `'test': 'go'()`

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), []), \tau_{ini}, test, (), \perp), (), \{\})\}$$

empty set of links with other processes

Semantics – End of a process – Example

Child process: evaluation

- expression $\notin \text{Val}$
- need to use $\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((\text{call } test : go(), [], test)), \perp), (), \{\})\}$$
$$\xrightarrow{\text{aux}}$$
$$?$$

EXPR_TERM

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, (), \perp), (), \{\})\}$$
$$\xrightarrow{\text{term}}$$
$$?$$

updated stack

Semantics – End of a process – Example

Child process: evaluation a few operations later

- expression $\notin \text{Val}$
- need to use $\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((call test : go(), [], test)), \perp), (), \{\})\} \xrightarrow{\text{aux}}$$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

$$\frac{\text{EXPR_TERM}}{\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, (), \perp), (), \{\})\} \xrightarrow{\text{term}}$$
$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}}$$

Semantics – End of a process – Example

Child process: evaluation a few operations later

- expression $\notin \text{Val}$
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$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((call test : go(), [], test)), \perp), (), \{\})\}$$

$\xrightarrow{\text{aux}}$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

EXPR_TERM

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, (), \perp), (), \{\})\}$$

$\xrightarrow{\text{term}}$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

resulting expression

Semantics – End of a process – Example

Child process: evaluation a few operations later

- expression $\notin \text{Val}$
- need to use $\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((\text{call} test : go(), [], test)), \perp), (), \{\})\}$$
$$\xrightarrow{\text{aux}}$$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

$$\text{EXPR_TERM} \quad \frac{}{\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, (), \perp), (), \{\})\}}$$
$$\xrightarrow{\text{term}}$$
$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

normal termination: reason of termination = \perp

Semantics – End of a process – Example

Child process: evaluation a few operations later

- expression $\notin \text{Val}$
- need to use $\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((call test : go(), [], test)), \perp), (), \{\})\}$$

$\xrightarrow{\text{aux}}$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

EXPR_TERM

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, (), \perp), (), \{\})\}$$

$\xrightarrow{\text{term}}$

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), \textcolor{blue}{0}, \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

no signal sent: empty outbox

Semantics – End of a process – Example

Child process: evaluation a few operations later

- expression $\notin \text{Val}$
- need to use $\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_{child}, (\underline{\text{call}} 'test' : go(), [], \tau_{ini}, test, ((call test : go(), [], test)), \perp), (), \{\})\}$$

$\xrightarrow{\text{aux}}$

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$\xrightarrow{\text{term}}$

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monitor link with the parent process

Semantics – End of a process – Example

Child process: terminating

- expression $\in \text{Val}$
- can apply VAL

$$M = \{(\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))\} \\ \text{sig} \in \text{permutations}(M) \quad v \neq \text{ended}$$

$$\text{VAL} \xrightarrow{\quad} \Pi' \cup \{ (pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\}) \} \\ \xrightarrow{\text{term}} \Pi' \cup \\ \{ (pid, (\text{ended}, [], \tau_{ini}, test, (), \perp), ((\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))), \\ \{(\text{monitored_by}, pid_{parent}, lid)\}) \}$$

progression toward *ended*

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$$\text{VAL} \frac{}{\Pi' \cup \{ (pid, (8, [], \tau_{ini}, test, (), \perp), () , \{ (\text{monitored_by}, pid_{parent}, lid) \}) \}} \\ \xrightarrow{\text{term}} \\ \Pi' \cup \\ \{ (pid, (\text{ended}, [], \tau_{ini}, test, (), \perp), ((\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))), \\ \{ (\text{monitored_by}, pid_{parent}, lid) \}) \}}$$

one link flagged "monitored_by" \rightarrow one signal sent

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VAL

$$\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\} \\ \xrightarrow{\text{term}} \\ \Pi' \cup \\ \{(pid, (\text{ended}, [], \tau_{ini}, test, (), \perp), ((\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))), \\ \{(\text{monitored_by}, pid_{parent}, lid)\})\}$$

signal: information about the signal (nature, destination)

Semantics – End of a process – Example

Child process: terminating

- expression $\in \text{Val}$
- can apply VAL

$$M = \{(\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))\}$$
$$\text{sig} \in \text{permutations}(M) \quad v \neq \text{ended}$$

VAL

$$\Pi' \cup \{ (pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\}) \}$$
$$\xrightarrow{\text{term}}$$
$$\Pi' \cup$$
$$\{ (pid, (\text{ended}, [], \tau_{ini}, test, (), \perp), ((\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, normal))),$$
$$\{(\text{monitored_by}, pid_{parent}, lid)\}) \}$$

signal: information about the link (nature, identifier)

Semantics – End of a process – Example

Child process: terminating

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$$M = \{(\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, \text{normal}))\} \\ \text{sig} \in \text{permutations}(M) \quad v \neq \text{ended}$$

$$\text{VAL} \frac{}{\Pi' \cup \{(pid, (8, [], \tau_{ini}, test, (), \perp), (), \{(\text{monitored_by}, pid_{parent}, lid)\})\}} \\ \xrightarrow{\text{term}} \\ \Pi' \cup \\ \{(pid, (\text{ended}, [], \tau_{ini}, test, (), \perp), ((\text{not_msg}, pid_{parent}, (\text{down}, \text{monitored_by}, lid, \text{normal}))), \\ \{(\text{monitored_by}, pid_{parent}, lid)\})\}}$$

signal: reason of termination

Semantics – Handling signals I

Characteristics

- Asynchronous
- Automatic: handled by default, impossible to prevent it

Down signals – monitor link

$$\begin{array}{l} \text{first_sig}(\text{sig}_{\text{sent},i}, j) = k \quad i \neq j \\ \text{sig}_{i,k} = (\text{not_msg}, \text{pid}_j, (\text{down}, \text{monitored_by}, \text{Ref}, r)) \\ (\text{monitoring}, \text{pid}_i, \text{ref}) \in L_j \quad e_j \notin \{\text{EoP}, \text{ended}\} \end{array}$$

SIG_EXIT_MONIT

$$\frac{\Pi \cup \{(\text{pid}_j, (e_j, \theta_j, \tau_j, \text{m}_j, \text{stack}_j, \text{r}_j), \text{sig}_{\text{sent},j}, L_j); \\ (\text{pid}_i, (e_i, \theta_i, \tau_i, \text{m}_i, \text{stack}_i, \text{r}_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\}}{\xrightarrow{\text{aux}}$$
$$\Pi \cup \{ (\text{pid}_j, (e_j, \theta_j, \tau_j, \text{m}_j, \text{stack}_j, \text{r}_j), \text{sig}_{\text{sent},j}, L_j); \\ (\text{pid}_i, (e_i, \theta_i, \tau_i, \text{m}_i, \text{stack}_i, \text{r}_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i) \cdot \\ (\text{msg}, \text{pid}_j, (\{'DOWN', \text{ref}, \text{process}, \text{pid}_i, r\})), L_i \}$$

Semantics – Handling signals II

Down signals – monitor link

$$\text{first_sig}(\text{sig}_{sent,i}, j) = k \quad i \neq j$$

$$s_{i,k} = (\text{not_msg}, pid_j, (\text{down}, \text{monitored_by}, Ref, r))$$

$$(\text{monitoring}, pid_i, ref) \in L_j \quad e_j \notin \{EoP, ended\}$$

SIG_EXIT_MONIT

$$\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\}$$

$\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i) \cdot \\ (\text{msg}, pid_j, (\{'DOWN', ref, process, pid_i, r\})), L_i)\}$$

two processes

Semantics – Handling signals II

Down signals – monitor link

$$\text{first_sig}(\text{sig}_{\text{sent},i}, j) = k \quad i \neq j$$

$s_{i,k} = (\text{not_msg}, pid_j, (\text{down}, \text{monitored_by}, Ref, r))$

$(\text{monitoring}, pid_i, ref) \in L_j \quad e_j \notin \{EoP, ended\}$

SIG_EXIT_MONIT

$$\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\}$$

$\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i) \cdot \\ (\text{msg}, pid_j, (\{'DOWN'\}, ref, process, pid_i, r)), L_i\}\}$$

the process j monitoring i has been sent a down signal

Semantics – Handling signals II

Down signals – monitor link

$$\text{first_sig}(\text{sig}_{\text{sent},i}, j) = k \quad i \neq j$$

$$s_{i,k} = (\text{not_msg}, pid_j, (\text{down}, \text{monitored_by}, Ref, r))$$

$$(\text{monitoring}, pid_i, ref) \in L_j \quad e_j \notin \{EoP, ended\}$$

$$\begin{array}{c} \text{SIG_EXIT_MONIT} \\ \hline \Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\} \\ \xrightarrow{\text{aux}} \\ \Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, m_j, stack_j, r_j), sig_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, m_i, stack_i, r_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i) \cdot \\ (\text{msg}, pid_j, (\{'DOWN', ref, process, pid_i, r\})), L_i\}\} \end{array}$$

signal deleted, corresponding message appended to the outbox

Semantics – Handling signals – Example

first_sig(((not_msg, pid_{parent}, (down, monitored_by, lid, normal))), parent) = 1
 $s_{child,1} = (\text{not_msg}, \text{pid}_{parent}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))$
 $(\text{monitoring}, \text{pid}_{child}, \text{lid}) \in L_{parent}$ $e_{parent} \notin \{\text{EoP}, \text{ended}\}$

— EXIT_MONIT —

$\{(pid_{parent}, (\underline{\text{receive}} _ \underline{\text{when}} 'true' -> 'stop', [], \tau_{parent}, erlang, stack_{parent}, \perp), (), \{(\text{monitoring}, \text{pid}_{child}, \text{lid})\});$

$(pid_{child}, (\text{ended}, [], \tau_{ini}, \text{test}, (), \perp), ((\text{not_msg}, \text{pid}_{parent}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))), \{(\text{monitored_by}, \text{pid}_{parent}, \text{lid})\})\}$

$\xrightarrow{\text{aux}}$

$\{(pid_{parent}, (\underline{\text{receive}} _ \underline{\text{when}} 'true' -> 'stop', [], \tau_{parent}, erlang, stack_{parent}, \perp), (), \{(\text{monitoring}, \text{pid}_{child}, \text{lid})\});$

$(pid_{child}, (\text{ended}, [], \tau_{ini}, \text{test}, (), \perp),$

$(\text{msg}, \text{pid}_{parent}, (\{'DOWN', lid, process, pid}_{child}, \text{normal}\}), L_{child})\}$

Semantics – Handling signals – Example

$\text{first_sig}(((\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))), \text{parent}) = 1$
 $s_{\text{child},1} = (\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))$
 $(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid}) \in L_{\text{parent}} \quad e_{\text{parent}} \notin \{\text{EoP}, \text{ended}\}$

EXIT_MONIT

$\{(\text{pid}_{\text{parent}}, (\underline{\text{receive}} \ \underline{\text{when}} \ 'true' -> \ 'stop', [], \tau_{\text{parent}}, \text{erlang},$
 $\text{stack}_{\text{parent}}, \perp), (), \{(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid})\});$

$(\text{pid}_{\text{child}}, (\text{ended}, [], \tau_{\text{ini}}, \text{test}, (), \perp), ((\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down},$
 $\text{monitored_by}, \text{lid}, \text{normal}))), \{(\text{monitored_by}, \text{pid}_{\text{parent}}, \text{lid})\})\}$

$\xrightarrow{\text{aux}}$

$\{(\text{pid}_{\text{parent}}, (\underline{\text{receive}} \ \underline{\text{when}} \ 'true' -> \ 'stop', [], \tau_{\text{parent}}, \text{erlang},$
 $\text{stack}_{\text{parent}}, \perp), (), \{(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid})\});$

$(\text{pid}_{\text{child}}, (\text{ended}, [], \tau_{\text{ini}}, \text{test}, (), \perp),$
 $(\text{msg}, \text{pid}_{\text{parent}}, (\{'DOWN', \text{lid}, \text{process}, \text{pid}_{\text{child}}, \text{normal}\}), L_{\text{child}})\}$

two processes, parent monitoring child

Semantics – Handling signals – Example

Down signals – monitor link

$\text{first_sig}(((\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))), \text{parent}) = 1$

$s_{\text{child},1} = (\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))$

$(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid}) \in L_{\text{parent}} \quad e_{\text{parent}} \notin \{\text{EoP}, \text{ended}\}$

— EXIT_MONIT —

$\{(\text{pid}_{\text{parent}}, (\underline{\text{receive}} _ \underline{\text{when}} \ 'true' -> \ 'stop', [], \tau_{\text{parent}}, \text{erlang}, \text{stack}_{\text{parent}}, \perp), (), \{(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid})\});$

$(\text{pid}_{\text{child}}, (\text{ended}, [], \tau_{\text{ini}}, \text{test}, (), \perp), ((\text{not_msg}, \text{pid}_{\text{parent}}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))), \{(\text{monitored_by}, \text{pid}_{\text{parent}}, \text{lid})\})\}$

$\xrightarrow{\text{aux}}$

$\{(\text{pid}_{\text{parent}}, (\underline{\text{receive}} _ \underline{\text{when}} \ 'true' -> \ 'stop', [], \tau_{\text{parent}}, \text{erlang}, \text{stack}_{\text{parent}}, \perp), (), \{(\text{monitoring}, \text{pid}_{\text{child}}, \text{lid})\});$

$(\text{pid}_{\text{child}}, (\text{ended}, [], \tau_{\text{ini}}, \text{test}, (), \perp),$

$(\text{msg}, \text{pid}_{\text{parent}}, (\{'DOWN', \text{lid}, \text{process}, \text{pid}_{\text{child}}, \text{normal}\}), L_{\text{child}})\}$

child sent a down signal to parent



Semantics – Handling signals – Example

Down signals – monitor link

first_sig(((not_msg, pid_{parent}, (down, monitored_by, lid, normal))), parent) = 1
 $s_{child,1} = (\text{not_msg}, \text{pid}_{parent}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))$
 $(\text{monitoring}, \text{pid}_{child}, \text{lid}) \in L_{parent}$ $e_{parent} \notin \{\text{EoP}, \text{ended}\}$

— EXIT — MONIT —

$\{(pid_{parent}, (\underline{\text{receive}} \underline{\text{when}} 'true' -> 'stop', [], \tau_{parent}, erlang, stack_{parent}, \perp), (), \{(\text{monitoring}, \text{pid}_{child}, \text{lid})\});$

$(pid_{child}, (\text{ended}, [], \tau_{ini}, \text{test}, (), \perp), ((\text{not_msg}, \text{pid}_{parent}, (\text{down}, \text{monitored_by}, \text{lid}, \text{normal}))), \{(\text{monitored_by}, \text{pid}_{parent}, \text{lid})\})\}$

$\xrightarrow{\text{aux}}$

$\{(pid_{parent}, (\underline{\text{receive}} \underline{\text{when}} 'true' -> 'stop', [], \tau_{parent}, erlang, stack_{parent}, \perp), (), \{(\text{monitoring}, \text{pid}_{child}, \text{lid})\});$

$(pid_{child}, (\text{ended}, [], \tau_{ini}, \text{test}, (), \perp),$

$(\text{msg}, \text{pid}_{parent}, (\{'DOWN', lid, process, pid}_{child}, \text{normal}\}), L_{child})\}$

signal deleted, corresponding message appended to the outbox



Conclusion

Contribution

Small step semantics of a subset of Core Erlang:

- handling of monitoring links and symetrical links
- handling of signals

Coming ...

- implementation
- investigate the causal analysis of faults originating from non deterministic messages order

End

Thank you

Syntax

<i>Module</i>	$::= \underline{\text{module}} \; \text{atom} \; [\text{Fname}_{i_1}, \dots, \text{Fname}_{i_k}]$ $\underline{\text{attributes}} \; [\text{atom}_1 = \text{Cst}_1, \dots, \text{atom}_m = \text{Cst}_m]$ $Fdef_1 \dots Fdef_n$
<i>Fdef</i>	$::= \underline{\text{Fname}} = \text{Fun}$
<i>Fname</i>	$::= \text{atom}/\text{integer}$
<i>Cst(c)</i>	$::= \text{Lit} \mid [\; \text{Cst}_1 \mid \text{Cst}_2 \;]$ $\mid \{ \; \text{Cst}_1, \dots, \text{Cst}_n \; \} \mid \text{Fun}$
<i>Val(v)</i>	$::= \text{Cst} \mid < \text{Cst}_1, \dots, \text{Cst}_k > \mid \text{EoP} \mid \text{ended}$
<i>Lit</i>	$::= \text{integer}/\text{float}/\text{atom}/\text{char}/\text{string} \mid [\;]$
<i>Fun</i>	$::= \underline{\text{fun}} \; (\; \text{var}_1, \dots, \text{var}_n \;) \rightarrow \text{Exprs}$
<i>Exprs</i>	$::= \underline{\text{Expr}} \mid < \text{Expr}_1, \dots, \text{Expr}_n >$
<i>Expr</i>	$::= \text{var} \mid \text{Fname} \mid \text{Lit} \mid \text{Fun}$ $\mid [\; \text{Expr}_1, \dots, \text{Expr}_n \;] \mid \{ \; \text{Expr}_1, \dots, \text{Expr}_n \; \}$ $\mid \underline{\text{let}} \; \text{Vars} \; = \; \text{Exprs}_1 \; \underline{\text{in}} \; \text{Exprs}_2$ $\mid \underline{\text{do}} \; \text{Exprs}_1 \; \text{Exprs}_2$ $\mid \underline{\text{letrec}} \; \text{Fdef}_1 \; \dots \; \text{Fdef}_n \; \underline{\text{in}} \; \text{Exprs}$ $\mid \underline{\text{apply}} \; \text{Exprs}_0 \; (\; \text{Exprs}_1, \dots, \text{Exprs}_n \;)$ $\mid \underline{\text{call}} \; \text{Exprs}_1 \; : \; \text{Exprs}_2 \; (\; \text{Exprs}_3, \dots, \text{Exprs}_{n+2} \;)$ $\mid \underline{\text{primop}} \; \text{atom} \; (\; \text{Exprs}_1, \dots, \text{Exprs}_n \;)$ $\mid \underline{\text{case}} \; \text{Exprs} \; \underline{\text{of}} \; \text{Cls}_1 \; \dots \; \text{Cls}_n \; \underline{\text{end}}$ $\mid \underline{\text{receive}} \; \text{Cls}_1 \; \dots \; \text{Cls}_n \; \underline{\text{after}} \; \text{Exprs}_1 \rightarrow \text{Exprs}_2$
<i>Vars(x)</i>	$::= \text{var} \mid < \text{var}_1, \dots, \text{var}_n >$
<i>Cls(cl)</i>	$::= \text{Pats} \; \underline{\text{when}} \; \text{Exprs}_1 \rightarrow \text{Exprs}_2$
<i>Pats</i>	$::= \text{Pat} \mid < \text{Pat}_1, \dots, \text{Pat}_n >$
<i>Pat(p)</i>	$::= \text{var} \mid \text{Lit} \mid [\; \text{Pat}_1 \mid \text{Pat}_2 \;]$ $\mid \{ \; \text{Pat}_1, \dots, \text{Pat}_n \; \} \mid \text{var} = \text{Pat}$

Syntax – receive

```
letrec 'recv$^0'/0 =
  fun() ->
    let <var1, var2> = primop 'recv_peck_message'()
    in case var1 of
      <'true'> when 'true' ->
        case var2 of
          Cls1 ... Clsn
          (<0ther> when 'true' ->
            do primop 'recv_next'()
            (apply 'recv$_^0'/0())
          )
        end
      (<'false'> when 'true' ->
        let var3 = primop 'recv_wait_timeout'(Expr1)
        in case var3 of
          <'true'> when 'true' -> Expr2
          (<'false'> when 'true' -> (apply 'recv$^0'/0()))
        end
      end
    in (apply 'recv$^0'/0 ())
```

Semantics – Recursive calls I

Choice

- Documentation: the arguments of a function can be evaluated in any order
- Current implementation: left to right, depth first
- Past implementation: right to left, depth first

→ we are following the documentation

$$\text{SUBEXPR_OK} \frac{\begin{array}{c} e_{\text{eval}} \in \text{Expr} \setminus \text{Val} \wedge e'_{\text{eval}} \neq \text{EoP} \\ \Pi \cup \{(pid, (e_{\text{eval}}, \theta, \tau, \mathbf{m}, \text{stack} \cdot (e_{\text{eval}}, \theta, \mathbf{m}), \mathbf{r}), s_{\text{sent}}, L)\} \\ \xrightarrow{\text{aux}} \\ \Pi' \cup \{(pid, (e'_{\text{eval}}, \theta', \tau', \mathbf{m}', \text{stack}', \mathbf{r}'), s'_{\text{sent}}, L')\} \end{array}}{\begin{array}{c} \Pi \cup \{(pid, (c[e_{\text{eval}}], \theta, \tau, \mathbf{m}, \text{stack}, \mathbf{r}), s_{\text{sent}}, L)\} \\ \xrightarrow{\text{aux}} \\ \Pi' \cup \{(pid, (c[e'_{\text{eval}}], \theta, \tau', \mathbf{m}, \text{stack}, \mathbf{r}'), s'_{\text{sent}}, L')\} \end{array}}$$

Semantics – Recursive calls II

Choice

- Documentation: the arguments of a function can be evaluated in any order
- Current implementation: left to right, depth first
- Past implementation: right to left, depth first

→ we are following the documentation

$$\text{SUBEXPR_KO} \quad \frac{\Pi \cup \{(pid, (e_{eval}, \theta, \tau, \mathbf{m}, \mathbf{stack} \cdot (e_{eval}, \theta, \mathbf{m}), \mathbf{r}), s_{sent}, L)\} \xrightarrow{\text{aux}} \Pi' \cup \{(pid, (\text{EoP}, \theta', \tau', \mathbf{m}', \mathbf{stack}', \mathbf{r}'), s'_{sent}, L')\}}{\Pi \cup \{(pid, (c[e_{eval}], \theta, \tau, \mathbf{m}, \mathbf{stack}, \mathbf{r}), s_{sent}, L)\} \xrightarrow{\text{aux}} \Pi' \cup \{(pid, (\text{EoP}, \theta, \tau', \mathbf{m}, \mathbf{stack}, \mathbf{r}'), s'_{sent}, L')\}}$$

Semantics – Spawn

Spawning a process

$$\text{RSPAWN} \quad \frac{\text{fresh_pid}(\Pi) = pid_{fils} \qquad Mname, v_1, \dots, v_n \in Val}{\begin{aligned} \Pi \cup \{ & (pid_{pere}, (\underline{\text{call }} 'erlang': 'spawn' \\ & (Mname, Fname, [v_1, \dots, v_n]), \theta, \tau, \mathbf{m}), sig_{sent}, L) \} \\ & \xrightarrow{\text{aux}} \\ \Pi \cup \{ & (pid_{pere}, (pid_{fils}, \theta, \tau, \mathbf{m}), sig_{sent}, L); \\ & (pid_{fils}, (\underline{\text{call }} Mname : Fname(v_1, \dots, v_n), [], \tau_{stat}, Mname), [], []) \} \end{aligned}}$$

Semantics – Handling signals – down link

Characteristics

- Asynchronous
- Automatic: handled by default, impossible to prevent it

Down signals – symmetrical link

$$\frac{\text{first_sig}((s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), j) = k \quad i \neq j}{\begin{aligned} s_{i,k} &= (\text{not_msg}, pid_j, (\text{down}, \text{link}, pid_i, r)) \\ (\text{link}, pid_i, pid_j) &\in L_j \quad e_j \notin \{EoP, ended\} \end{aligned}}$$

$$\frac{\text{SIG_EXIT_LINK}}{\begin{aligned} \Pi &\cup \{(pid_j, (e_j, \theta_j, \tau_j, \mathbf{m}_j, stack_j, \mathbf{r}_j), sig_{sent,j}, L_j); \\ &\quad (pid_i, (e_i, \theta_i, \tau_i, \mathbf{m}_i, stack_i, \mathbf{r}_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\} \\ &\xrightarrow{\text{aux}} \\ \Pi &\cup \{(pid_j, (EoP, \theta_j, \tau_j, \mathbf{m}_j, stack_j, end_reason(\mathbf{r}_j, r)), sig_{sent,j}, L_j); \\ &\quad (pid_i, (e_i, \theta_i, \tau_i, \mathbf{m}_i, stack_i, \mathbf{r}_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i)\} \end{aligned}}$$

Semantics – Monitor I

First step

MONITOR

$$\frac{\text{fresh_lid}(L_1) = lid}{\Pi \cup \{(pid_1, (\underline{\text{call erlang}} : \text{monitor}(\text{process}, pid_2), \theta, \tau, \mathbf{m}, \text{stack}, \mathbf{r}), \text{sig}_{sent}, L)\}}$$

$\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_1, (lid, \theta, \tau, \text{erlang}, \mathbf{m}, \text{stack}, \mathbf{r}),$$

$$\text{sig}_{sent} \cdot (\text{not_msg}, pid_2, (\text{monitored_by}, pid_1, lid)), L_1 \cup \{(\text{monitoring}, pid_2, lid)\})\}$$

Update on the other side

ONITORED_BY

$$\frac{\text{first_sig}((s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), j) = k \quad e_j \notin \{EoP, ended\} \\ s_{i,k} = (\text{not_msg}, pid_j, (\text{monitored_by}, pid_i, lid)) \quad i \neq j}{\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, \mathbf{m}_j, \text{stack}_j, \mathbf{r}_j), \text{sig}_{sent,j}, L_j); \\ (pid_i, (e_i, \theta_i, \tau_i, \mathbf{m}_i, \text{stack}_i, \mathbf{r}_i), (s_{i,1}, \dots, s_{i,k}, \dots, s_{i,n_i}), L_i)\}}$$

$\xrightarrow{\text{aux}}$

$$\Pi \cup \{(pid_j, (e_j, \theta_j, \tau_j, \mathbf{m}_j, \text{stack}_j, \mathbf{r}_j), \text{sig}_{sent,j}, L_j \cup \{(\text{monitored_by}, pid_i, lid)\}); \\ (pid_i, (e_i, \theta_i, \tau_i, \mathbf{m}_i, \text{stack}_i, \mathbf{r}_i), (s_{i,1}, \dots, s_{i,k-1}, s_{i,k+1}, \dots, s_{i,n_i}), L_i)\}$$