

## Cours M.2-6

« Interprétation abstraite: applications à la vérification  
et à l'analyse statique »

### Corrigé de l'examen partiel

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*Course and personal notes are the only allowed documents. It will not be answered to any question during the exam. If a question is ambiguous, imprecise or incorrect, it is part of the question to solve the ambiguity, imprecision or incorrectness by indicating all required hypotheses together with the solution, if any.*

We describe the syntax of grammars using the following meta-grammar (that is grammar of grammars).

$T$		terminals $T$
$N$		nonterminals $N$
$V$	$\triangleq T \cup N$	vocabulary ( $T \cap N = \emptyset$ )
$G$	$::= P G \mid P$	grammar
$P$	$::= N ::= ARS$	production
$ARS$	$::= RS \mid ARS \mid RS$	alternative right sides
$RS$	$::= S RS \mid S$	right sides
$S$	$::= N \mid T \mid '\epsilon'$	symbols

This meta-grammar has the meta-symbols  $::=$ ,  $\mid$ ,  $\epsilon$ , the meta-terminals  $\{::=, \mid, '\epsilon'\} \cup V$  such that  $\{::=, \mid, '\epsilon'\} \notin V$  and the meta-nonterminals  $\{G, P, ARS, RS, S, N, T\} \notin V$ . We assume that all productions of the grammar

with the same left side nonterminal have their right sides grouped, with the alternative right sides separated by  $|$ . For example

$$\begin{array}{l} X ::= YX \\ \quad | \quad \varepsilon \\ Y ::= a \\ \quad | \quad b \end{array}$$

## Question 1

Provide a structural definition of the transition system of a grammar (by induction on the meta-grammar).

## Answer to question 5

The structural definition of the transition system of a grammar is

$$\begin{aligned} \tau[PG] &= \tau[P] \cup \tau[G] \\ \tau[N ::= 'ARS] &= \{\langle pNq, prq \rangle \mid p, q \in \mathcal{V}[G]^* \wedge r \in \mathcal{A}[ARS]\} \\ \mathcal{A}[RS \mid 'ARS] &\triangleq \mathcal{A}[RS] \cup \mathcal{A}[ARS] \\ \mathcal{A}[SRS] &\triangleq \mathcal{A}[S] \cdot \mathcal{A}[RS] \\ \mathcal{A}[N] &\triangleq \{N\} \\ \mathcal{A}[T] &\triangleq \{T\} \\ \mathcal{A}['\varepsilon'] &\triangleq \{\varepsilon\} \end{aligned} \tag{1}$$

## Question 2

Prove that the correctness of the structural definition of the transition system of a grammar (that is the equivalence of the definitions in questions 4 and 5).

## Answer to question 6

In equation (1), we have defined:

$$\tau[G] \triangleq \alpha(\mathcal{P}[G])$$

$$\text{where } \alpha(X) \triangleq \{\langle pNq, prq \rangle \mid p, q \in \mathcal{V}[G]^* \wedge \langle N, r \rangle \in X\}$$

Let  $\tau'[G]$  satisfying eq. (2). We prove that  $\tau[G] = \tau'[G]$  by structural induction on the metasyntax of  $G$ .

$$\begin{aligned}
& - \tau[PG] \\
& = \alpha(\mathcal{P}[PG]) && \{\text{def. } \tau[G]\} \\
& = \alpha(\mathcal{P}[P] \cup \mathcal{P}[G]) && \{\text{def. } \mathcal{P}[P]\} \\
& = \alpha(\mathcal{P}[P]) \cup \alpha(\mathcal{P}[G]) && \{\alpha \text{ preserves joins}\} \\
& = \tau[P] \cup \tau[G] && \{\text{def. } \tau[G]\} \\
& = \tau'[P] \cup \tau'[G] && \{\text{induction hyp.}\} \\
& = \tau'[PG] && \{\text{def. eq. (2) of } \tau'[G]\} \\
& - \tau[N' ::= 'ARS] \\
& = \alpha(\mathcal{P}[N' ::= 'ARS]) && \{\text{def. } \tau[G]\} \\
& = \alpha(\{\langle N, r \rangle \mid r \in A[ARS]\}) && \{\text{def. } \mathcal{P}[P]\} \\
& = \{\langle pNq, prq \rangle \mid p, q \in \mathcal{V}[G]^* \wedge r \in A[ARS]\} && \{\text{def. } \alpha\} \\
& = \tau'[N' ::= 'ARS] && \{\text{def. eq. (2) of } \tau'[P]\} \\
& - A[RS \mid' ARS] \\
& = \{R[RS]\} \cup A[ARS] && \{\text{def. } A[ARS]\} \\
& = A[RS] \cup A[ARS] && \{\text{def. } A[RS]\} \\
& - A[S RS] \\
& = \{R[S RS]\} && \{\text{def. } A[RS]\} \\
& = \{R[S] \cdot R[RS]\} && \{\text{def. } R[RS]\} \\
& = \{R[S]\} \cdot \{R[RS]\} && \{\text{def. } \cdot\} \\
& = A[S] \cdot A[RS] && \{\text{def. } A[S] \text{ \& } A[RS]\} \\
& - A[N] = \{R[N]\} = \{N\} \\
& - A[T] = \{R[T]\} = \{T\} \\
& - A['\epsilon'] = \{R['\epsilon']\} = \{\epsilon\}
\end{aligned}$$

### Question 3

Let us define the *reflexive transitive closure*  $r^*$  of a relation  $r \in \wp(S \times S)$  on a set  $S$  as  $r^* \triangleq \bigcup_{n \geq 0} r^n$  where the *powers*  $r^n$  of  $r$  are  $r^0 \triangleq \{\langle x, x \rangle \mid x \in S\} \triangleq \mathcal{I}_S$  (identity relation),  $r^{n+1} = r^n \circ r = r \circ r^n$ , and the composition of relations is  $r \circ r' \triangleq \{\langle x, x'' \rangle \mid \exists x' \in S : \langle x, x' \rangle \in r \wedge \langle x', x'' \rangle \in r'\}$ . Prove that  $r^* =$

$\text{lfp}_{\emptyset}^{\subseteq} \lambda X \cdot \mathcal{I}_S \cup r \circ X = \text{lfp}_{\emptyset}^{\subseteq} \lambda X \cdot \mathcal{I}_S \cup X \circ r$  (where  $\text{lfp}_a^{\leq} f$  is the  $\leq$ -least fixpoint of  $f$  which is  $\leq$ -greater than or equal to  $a$ , if any).

## Answer to question 7

### Theorem 1

$$r^* = \text{lfp}^{\subseteq} \lambda X \cdot \mathcal{I}_S \cup X \circ r$$

PROOF —  $\langle \wp(S \times S), \subseteq, \emptyset, S, \cup, \cap \rangle$  is a complete lattice and  $\lambda X \cdot \mathcal{I}_S \cup X \circ r$  is increasing since

$$\begin{aligned} X &\subseteq Y && \text{\{hypothesis\}} \\ \Rightarrow X \circ r &\subseteq Y \circ r && \text{\{def. relation composition \(\circ\}\}} \\ \Rightarrow \mathcal{I}_S \cup X \circ r &\subseteq \mathcal{I}_S \cup Y \circ r && \text{\{def. lub\}} \end{aligned}$$

$\lambda X \cdot r \circ (\mathcal{I}_S \cup X)$  is increasing since

$$\begin{aligned} X &\subseteq Y && \text{\{hypothesis\}} \\ \Rightarrow (\mathcal{I}_S \cup X) &\subseteq (\mathcal{I}_S \cup Y) && \text{\{def. lub\}} \\ \Rightarrow r \circ (\mathcal{I}_S \cup X) &\subseteq r \circ (\mathcal{I}_S \cup Y) && \text{\{def. relation composition \(\circ\}\}} \end{aligned}$$

— The existence of the fixpoints follows from Tarski's fixpoint theorem.

— We have  $r^* = \bigcup_{n \in \mathbb{N}} r^n = r^0 \cup \bigcup_{n > 0} r^n = r^0 \cup \bigcup_{n \geq 0} r^{n+1} = r^0 \cup \bigcup_{n \geq 0} (r \circ r^n) = r^0 \cup r \circ (\bigcup_{n \geq 0} r^n) = \mathcal{I}_S \cup r \circ r^*$  so that  $r^*$  is a fixpoint of  $\lambda X \cdot \mathcal{I}_S \cup X$ . Let  $R$  be another fixpoint that is  $R = \mathcal{I}_S \cup X \circ R$ . We have  $r^0 = \mathcal{I}_S \subseteq \mathcal{I}_S \cup X \circ R = R$ . Assume by induction hypothesis that  $r^n \subseteq R$  then  $r^{n+1} = r \circ r^n \subseteq r \circ R \subseteq \mathcal{I}_S \cup X \circ R = R$ . By recurrence,  $\forall n : r^n \subseteq R$  proving  $r^* = \bigcup_{n \in \mathbb{N}} r^n \subseteq R$  to be the least fixpoint. ■

## Question 4

The derivation semantics of a grammar is the reflexive transitive closure  $\tau[[G]]^*$  of its transition semantics  $\tau[[G]]$  defined in questions 4 and 5. Let us define the  $\subseteq$ -increasing transformer:

$$B[[ARS]] \in \wp(V^* \times V^*) \xrightarrow{f} \wp(V^*)$$

as follows:

$$B[RS \mid ARS]r \triangleq B[RS]r \cup B[ARS]r \quad (2)$$

$$B[SRS]r \triangleq B[S]r \cdot B[RS]r \quad (3)$$

$$B[N]r \triangleq \{p \mid \langle N, p \rangle \in r\} \quad (4)$$

$$B[T]r \triangleq \{T\} \quad (5)$$

$$B[\varepsilon]r \triangleq \{\varepsilon\} \quad (6)$$

where  $X \cdot Y = \{pq \mid p \in X \wedge q \in Y\}$  is the concatenation of sets of protosentences and  $\varepsilon$  is the empty protosentence.

Let us define

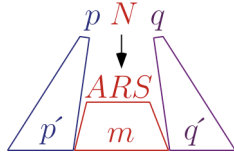
$$B[G] \in \wp(V^* \times V^*) \xrightarrow{\tau} \wp(V^* \times V^*)$$

as follows:

$$B[PG]r \triangleq B[P]r \cup B[G]r \quad (7)$$

$$B[N ::= ARS]r \triangleq 1_{V^*} \cup \{\langle pNq, p'mq' \rangle \mid \langle p, p' \rangle \in r \wedge m \in B[ARS]r \wedge \langle q, q' \rangle \in r\} \quad (8)$$

which can be illustrated as follows



Prove that  $\text{lfp}_{\emptyset}^{\subseteq} B[G] = \tau[G]^*$ .

## Answer to question 8

**Lemma 2 (Derivation Extension Lemma)** *If  $\langle p, q \rangle \in \tau[G]^*$  and  $\langle r, s \rangle \in \tau[G]^*$  then  $\langle pr, qs \rangle \in \tau[G]^*$ .  $\square$*

**PROOF** — Let us first prove that

$$\text{if } \langle p, q \rangle \in \tau[G] \text{ then } \langle rp, rq \rangle \in \tau[G] \text{ and } \langle ps, qs \rangle \in \tau[G]. \quad (9)$$

Indeed

$$\begin{aligned}
& \langle p, q \rangle \in \tau[G] \\
\Rightarrow & \exists p_1, p_2, N, m : p = p_1 N p_2 \wedge q = p_1 m p_2 \wedge \langle N, m \rangle \in \mathcal{P}[G] \quad \{\text{def. } \tau[G]\} \\
\Rightarrow & \exists p_1, p_2, N, m : rp = rp_1 N p_2 \wedge rq = rp_1 m p_2 \wedge \langle N, m \rangle \in \mathcal{P}[G] \quad \{\text{def. string} \\
& \text{equality}\} \\
\Rightarrow & \langle rp, rq \rangle \in \tau[G] \quad \{\text{def. } \tau[G]\}
\end{aligned}$$

The proof is symmetric in the second case.

— Let us now prove that

$$\text{if } \langle p, q \rangle \in \tau[G]^* \text{ then } \langle rp, rq \rangle \in \tau[G]^* \text{ and } \langle ps, qs \rangle \in \tau[G]^* \quad (10)$$

The proof is by recurrence on  $n \geq 0$  for  $\tau[G]^n$ .

- For  $n = 0$ ,  $\langle p, q \rangle \in \tau[G]^0 = \mathbb{1}_{V^*}$  so  $p = q$  hence  $rp = rq$  proving  $\langle rp, rq \rangle \in \tau[G]^0$ .
- For  $n + 1$ , if  $\langle p, q \rangle \in \tau[G]^{n+1}$  then  $\exists p' : \langle p, p' \rangle \in \tau[G]^n$  and  $\langle p', q \rangle \in \tau[G]$ . So  $\langle rp, rp' \rangle \in \tau[G]^n$  by induction hypothesis and  $\langle rp', rq \rangle \in \tau[G]$  by the previous lemma (10) so  $\langle rp, rq \rangle \in \tau[G]^{n+1}$  by composition.

If  $\langle p, q \rangle \in \tau[G]^*$  then  $\exists n : \langle p, q \rangle \in \tau[G]^n$  so  $\langle rp, rq \rangle \in \tau[G]^n \subseteq \tau[G]^*$ .

The proof is symmetric in the second case.

— Finally, if  $\langle p, q \rangle \in \tau[G]^*$  and  $\langle r, s \rangle \in \tau[G]^*$  then  $\langle pr, qr \rangle \in \tau[G]^*$  and  $\langle qr, qs \rangle \in \tau[G]^*$  by the previous lemma (11) so that  $\langle pr, qs \rangle \in \tau[G]^*$  by composition. ■

**Lemma 3 (Separate Derivation Lemma)** *For all  $n \in \mathbb{N}$ ,  $\langle pq, m \rangle \in \tau[G]^n$  if and only if  $\exists p', q' \in V^* : \exists n_1, n_2 \in \mathbb{N} : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge n = n_1 + n_2 \wedge m = p'q'$*  □

**PROOF** By recurrence on  $n$ . — For  $n = 0$ , we have:

$$\begin{aligned}
& \langle pq, m \rangle \in \tau[G]^0 \\
\Leftrightarrow & m = pq \quad \{\text{def. } \tau[G]^0 = \mathbb{1}_{V^*}\} \\
\Leftrightarrow & \exists p', q' : p = p' \wedge q = q' \wedge m = p'q' \quad \{\text{def. string equality}\} \\
\Leftrightarrow & \exists p', q' \in V^* : \exists n_1, n_2 \in \mathbb{N} : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge 0 = \\
& n_1 + n_2 \wedge m = p'q' \quad \{\text{since } n_1, n_2 \in \mathbb{N} \text{ and } n_1 + n_2 = 0 \text{ implies} \\
& n_1 = n_2 = 0\}
\end{aligned}$$

— For  $n + 1$ , we have:

$$\begin{aligned}
& \langle pq, m \rangle \in \tau[G]^{n+1} \\
\Leftrightarrow & \exists m' : \langle pq, m' \rangle \in \tau[G]^n \wedge \langle m', m \rangle \in \tau[G] \quad \text{\textit{\textless def. } \tau[G]^{n+1} = \tau[G]^n \circ \tau[G] \text{ and } \circ \text{\textless}} \\
\Leftrightarrow & \exists p', q', n_1, n_2 : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge n = n_1 + n_2 \wedge m' = p'q' \wedge \langle p'q', m \rangle \in \tau[G] \quad \text{\textit{\textless by ind. hyp. \textless}} \\
\Leftrightarrow & \exists p', q', n_1, n_2, N, r : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge n = n_1 + n_2 \wedge m' = p'q' \wedge [(\exists p_1, p_2 : p' = p_1 N p_2 \wedge m = p_1 r p_2 q') \vee (\exists q_1, q_2 : q' = q_1 N q_2 \wedge m = p'q_1 r q_2)] \wedge \langle N, r \rangle \in \mathcal{P}[G] \quad \text{\textit{\textless by def. } \tau[G] \text{ and string equality \textless}} \\
\Leftrightarrow & \exists p', q', n_1, n_2 : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge n = n_1 + n_2 \wedge m' = p'q' \wedge [(\exists p'' : \langle p', p'' \rangle \in \tau[G] \wedge m = p''q') \vee (\exists q'' : \langle q', q'' \rangle \in \tau[G] \wedge m = p'q'')] \quad \text{\textit{\textless by def. } \tau[G] \text{\textless}} \\
\Leftrightarrow & [\exists p'', q', n_1, n_2 : \langle p, p'' \rangle \in \tau[G]^{n_1+1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge n + 1 = n_1 + n_2 + 1 \wedge m' = p''q'] \vee [\exists p', q'', n_1, n_2 : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q'' \rangle \in \tau[G]^{n_2+1} \wedge n + 1 = n_1 + n_2 + 1 \wedge m' = p'q''] \quad \text{\textit{\textless def. } \tau[G]^{n+1} = \tau[G]^n \circ \tau[G] \text{ and } \circ \text{\textless}} \\
\Leftrightarrow & \exists p', q' \in \mathbb{V}^* : \exists k_1, k_2 \in \mathbb{N} : \langle p, p' \rangle \in \tau[G]^{k_1} \wedge \langle q, q' \rangle \in \tau[G]^{k_2} \wedge n + 1 = k_1 + k_2 \wedge m = p'q' \quad \text{\textit{\textless choosing either } k_1 = n_1 + 1, k_2 = n_2 \text{ with } p' = p'' \text{ or } k_1 = n_1, k_2 = n_2 + 1 \text{ with } q' = q'' \text{\textless}} \quad \blacksquare
\end{aligned}$$

**Corollary 4** *If  $r^n = \bigcup_{k=0}^n \tau[G]^k$  then  $\langle pq, m \rangle \in \tau[G]^*$  implies  $\exists p', q' : \langle p, p' \rangle \in r^n \wedge \langle q, q' \rangle \in r^n \wedge m = p'q'$ .*  $\square$

PROOF

$$\begin{aligned}
& \langle pq, m \rangle \in r^n \\
\Leftrightarrow & \exists k \leq n : \langle pq, m \rangle \in \tau[G]^k \quad \text{\textit{\textless since } r^n = \bigcup_{k=0}^n \tau[G]^k \text{\textless}} \\
\Rightarrow & \exists p', q', k_1 \leq n, k_2 \leq n : \langle p, p' \rangle \in \tau[G]^{k_1} \wedge \langle q, q' \rangle \in \tau[G]^{k_2} \wedge m = p'q' \quad \text{\textit{\textless by the separate derivation lemma 3 \textless}} \\
\Rightarrow & \exists p', q' : \langle p, p' \rangle \in r^n \wedge \langle q, q' \rangle \in r^n \wedge m = p'q' \quad \text{\textit{\textless since } r^n = \bigcup_{k=0}^n \tau[G]^k \text{\textless}} \quad \blacksquare
\end{aligned}$$

**Corollary 5**  *$\langle pq, m \rangle \in \tau[G]^*$  if and only if  $\exists p', q' : \langle p, p' \rangle \in \tau[G]^* \wedge \langle q, q' \rangle \in \tau[G]^* \wedge m = p'q'$ .*  $\square$

PROOF

$$\begin{aligned}
& \langle pq, m \rangle \in \tau[G]^* \\
\Leftrightarrow & \exists n \in \mathbb{N} : \langle pq, m \rangle \in \tau[G]^n && \text{\{since } \tau[G]^* = \bigcup_{n \in \mathbb{N}} \tau[G]^n \text{\}} \\
\Leftrightarrow & \exists p', q', n_1, n_2 : \langle p, p' \rangle \in \tau[G]^{n_1} \wedge \langle q, q' \rangle \in \tau[G]^{n_2} \wedge m = p'q' && \text{\{by the separate derivation lemma 3\}} \\
\Leftrightarrow & \exists p', q' : \langle p, p' \rangle \in \tau[G]^* \wedge \langle q, q' \rangle \in \tau[G]^* \wedge m = p'q' && \text{\{since } \tau[G]^* = \bigcup_{n \in \mathbb{N}} \tau[G]^n \text{\}} \blacksquare
\end{aligned}$$

**Theorem 6 (Fixpoint Grammar Derivation Semantics)**  $\tau[G]^* = \text{lfp}^{\subseteq} B[G]$  where  $B[G]$  is defined in definitions (8) and (9).  $\square$

PROOF — We first prove that  $B[G](\tau[G]^*) \subseteq \tau[G]^*$  so that by Tarski's least fixpoint, we conclude that  $\text{lfp}^{\subseteq} B[G] \subseteq \tau[G]^*$ ;

— Then we prove that  $\tau[G]^* \subseteq \text{lfp}^{\subseteq} B[G]$  and conclude by antisymmetry.

— The first part of the proof consists in proving that  $B[G](\tau[G]^*) \subseteq \tau[G]^*$ .

— First, we show that

$$A[S] \times B[S](\tau[G]^*) \subseteq \tau[G]^* \quad (11)$$

The proof is by case analysis.

- If  $S = \varepsilon$  then  $B[\varepsilon](\tau[G]^*) = \{\varepsilon\}$  by (7) and  $\langle \varepsilon, \varepsilon \rangle \in \tau[G]^*$  by reflexivity;
- If  $S = T$  then  $B[T](\tau[G]^*) = \{T\}$  by (6) and  $\langle T, T \rangle \in \tau[G]^*$  by reflexivity;
- If  $S = N$  then we have  $\langle N, p \rangle \in \tau[G]^*$  by def. (5) of  $B[N](\tau[G]^*) \triangleq \{p \mid \langle N, p \rangle \in \tau[G]^*\}$ .

— Second, we show that

$$A[RS] \times B[RS](\tau[G]^*) \subseteq \tau[G]^* \quad (12)$$

The proof is by structural induction on  $RS$ .

- The base case  $RS = S$  has been already handled by the previous lemma (12);



– Otherwise  $RS = SRS'$ , in which case by (2) and (4),  $A[RS] \times B[RS](\tau[G]^*)$   
 $= (A[S] \cdot A[RS']) \times (B[S](\tau[G]^*) \cdot B[RS'](\tau[G]^*))$ .

We have  $A[S] \times B[S](\tau[G]^*) \subseteq \tau[G]^*$  by the previous lemma (12) and  
 $A[RS'] \times B[RS'](\tau[G]^*) \subseteq \tau[G]^*$  by induction hypothesis. It follows that  
 $A[RS] \times B[RS](\tau[G]^*) \subseteq \tau[G]^*$  by the derivation extension lemma 2.

— Third, we show that for any production  $P = N ::= RS_1 \mid \dots \mid RS_\ell$  (with  
 $\ell \geq 1$ ) of the grammar  $G$ , we have:

$$\{N\} \times B[RS_i](\tau[G]^*) \subseteq \tau[G]^* \quad (13)$$

Indeed, the definition (2) of  $\tau[P]$  when  $p = q = \varepsilon$  implies that

$$\{N\} \times A[RS_1 \mid \dots \mid RS_\ell] \subseteq \tau[P] \subseteq \tau[G]^*$$

By definition (2) of  $A[RS_1 \mid \dots \mid RS_\ell] = \bigcup_{i=1}^{\ell} A[RS_i]$ , we have

$$\{N\} \times A[RS_i] \subseteq \tau[G]^*$$

Moreover, by the previous lemma (13),  $A[RS_i] \times B[RS_i](\tau[G]^*) \subseteq \tau[G]^*$ ,  
hence by composition:

$$\{N\} \times B[RS_i](\tau[G]^*) \subseteq \tau[G]^* \circ \tau[G]^* \subseteq \tau[G]^*$$

— Fourth, we show that for any production  $P = N ::= ARS$  of the grammar  
 $G$ , we have:

$$\{N\} \times B[ARS](\tau[G]^*) \subseteq \tau[G]^* \quad (14)$$

We have  $ARS = RS_1 \mid \dots \mid RS_\ell$  where  $\ell \geq 1$ . The definition (3) of  $B[ARS]$   
implies that  $B[ARS] = \bigcup_{i=0}^{\ell} B[RS_i]$ . By the previous lemma (14),  $\{N\} \times B[ARS]$

$$= \{N\} \times \bigcup_{i=0}^{\ell} B[RS_i](\tau[G]^*) = \bigcup_{i=0}^{\ell} \{N\} \times B[RS_i](\tau[G]^*) \subseteq \bigcup_{i=0}^{\ell} \tau[G]^* = \tau[G]^*.$$

— Fifth, we show that

$$B[N ::= ARS](\tau[G]^*) \subseteq \tau[G]^* \quad (15)$$

– By reflexivity,  $I_{V^*} \subseteq \tau[G]^*$ ;

- By the previous lemma (15),  $m \in B[ARS](\tau[G]^*)$  implies  $\langle N, m \rangle \in \tau[G]^*$   
so
 
$$\begin{aligned} & \{\langle pNq, p'mq' \rangle \mid \langle p, p' \rangle \in \tau[G]^* \wedge m \in B[ARS](\tau[G]^*) \wedge \langle q, q' \rangle \in \tau[G]^*\} \\ & \subseteq \{\langle pNq, p'mq' \rangle \mid \langle p, p' \rangle \in \tau[G]^* \wedge \langle N, m \rangle \in \tau[G]^* \wedge \langle q, q' \rangle \in \tau[G]^*\} \\ & \subseteq \tau[G]^* \qquad \qquad \qquad \text{(by the separate derivation corollary 5)} \end{aligned}$$

- By def. (9) of  $B[N ::= ARS](\tau[G]^*)$ , we conclude that

$$B[N ::= ARS](\tau[G]^*) \subseteq \tau[G]^* .$$

- Sixth and finally, we show that for any grammar  $G = P_1 \dots P_\ell$  (where  $\ell \geq 1$ ), we have  $B[G](\tau[G]^*) \subseteq \tau[G]^*$

Indeed,  $G = P_1 \dots P_\ell$  with  $\ell \geq 1$  and, by def. (8) and (9) of  $B[G]$ ,  

$$B[G](\tau[G]^*) = \bigcup_{i=1}^{\ell} B[G](\tau[P_i]^*) \subseteq \bigcup_{i=1}^{\ell} \tau[P_i]^* = \tau[P_i]^*$$
 by the previous lemma (16).

- The second part of the proof consists in proving that  $\tau[G]^* \subseteq \mathbf{lfp}^{\subseteq} B[G]$ .

In the following we let  $r^n = \bigcup_{k=0}^n \tau[G]^k$ .

- First, we show that

$$\langle \mathcal{R}[S], m \rangle \in r^n \Rightarrow m \in B[S]r^n \tag{16}$$

The proof is by case analysis.

- If  $S = N$  then  $\mathcal{R}[N] \triangleq N$  so  $\langle N, m \rangle \in r^n$  implies  $m \in \{p \mid \langle N, p \rangle \in r\} = B[S]r^n$ ;
- If  $S = T$  then  $\mathcal{R}[T] \triangleq T$  so  $\langle T, m \rangle \in r^n$  implies  $\langle T, m \rangle \in \tau[G]^0$  so  $T = m$  since  $\langle T, m \rangle \notin \tau[G]$  by def. of  $\tau[G]$  so  $\langle T, m \rangle \notin \tau[G]^n$  when  $n > 0$ . It follows that  $m = T \in \{T\} \triangleq B[T]r$ .
- If  $S = \varepsilon$  then  $\mathcal{R}[\varepsilon] \triangleq \varepsilon$  so similarly  $\langle \varepsilon, m \rangle \in r^n$  implies  $m = \varepsilon$ . It follows that  $m = \varepsilon \in \{\varepsilon\} \triangleq B[\varepsilon]r$ .

- Second, we show that

$$m' \in A[RS] \wedge \langle m', m \rangle \in r^n \Rightarrow m \in B[RS]r^n \tag{17}$$

The proof is by structural induction on  $RS$ .

- The base case  $RS = S$  follows from the previous lemma (17) since  $A[S] = \{\mathcal{R}[S]\}$ ;
- Otherwise  $RS = SRS'$ . If  $m' \in A[SRS']$  then  $m' \in A[S] \cdot A[RS']$  by (2) so  $m' = s'q'$  where  $s' \in A[S]$  and  $q' \in A[RS']$ .  
 Since  $\langle m', m \rangle = \langle s'q', m \rangle \in r^n$  the separate derivation corollary 4 implies that  $m = pq$  with  $\langle s', p \rangle \in r^n$  and  $\langle q', q \rangle \in r^n$ .  
 So by the previous lemma (17),  $p \in B[S]r^n$  and by ind. hyp.,  $q \in B[RS']r^n$ .  
 By def. (4) of  $B[SRS']$ , we have  $m = pq \in B[S]r^n \cdot B[RS']r^n = B[RS]r^n$ .

— Third, we show that

$$m' \in A[ARS] \wedge \langle m', m \rangle \in r^n \Rightarrow m \in B[ARS]r^n \quad (18)$$

The proof is by structural induction on  $ARS$ :

- The base case  $ARS = RS$  is handled by the previous lemma (18);
- Otherwise  $ARS = RS \mid ARS'$  so if  $m' \in A[RS \mid ARS'] = A[RS] \cup A[ARS']$  by (2) then two cases have to be considered:
  - Either  $m' \in A[ARS']$  so  $\langle m', m \rangle \in r^n$  implies  $m \in B[ARS']r^n$  by induction hypothesis;
  - Otherwise  $m' \in A[RS]$  so  $\langle m', m \rangle \in r^n$  implies  $m \in B[RS]r^n$  by the previous lemma (18).

It follows that  $m \in B[RS]r^n \cup B[ARS']r^n = B[RS \mid ARS']r^n = B[ARS]r^n$  by (3).

— Fourth, we show that

$$\text{if } P = N ::= ARS \quad \text{then} \quad \tau[P] \circ r^n \subseteq B[P]r^n \quad (19)$$

Let us calculate

$$\begin{aligned} & \tau[P] \circ r^n \\ &= \{ \langle pNq, pm'q \rangle \mid p, q \in V[G]^* \wedge m' \in A[ARS] \} \circ r^n \quad \text{(by def. (2) of } \tau[P]\text{)} \\ &= \{ \langle pNq, s \rangle \mid p, q \in V[G]^* \wedge m' \in A[ARS] \wedge \langle pm'q, s \rangle \in r^n \} \quad \text{(by def. } \circ \text{)} \\ &\subseteq \{ \langle pNq, p'mq' \rangle \mid p, q, p', q' \in V[G]^* \wedge m' \in A[ARS] \wedge \langle p, p' \rangle \in r^n \wedge \langle m', m \rangle \in r^n \wedge \langle q, q' \rangle \in r^n \} \quad \text{(by the separate derivation corollary 4)} \end{aligned}$$

$$\begin{aligned}
&\subseteq \{ \langle pNq, p'mq' \rangle \mid p, q, p', q' \in \mathbb{V}[[G]]^* \wedge \langle p, p' \rangle \in r^n \wedge m \in B[[ARS]]r^n \wedge \langle q, q' \rangle \in r^n \} \\
&\qquad\qquad\qquad \{ \text{by the previous lemma (19)} \} \\
&\subseteq B[[P]]r^n \qquad\qquad\qquad \{ \text{by } P = N ::= ARS \text{ and def. (9) of } B[[P]] \}
\end{aligned}$$

— Fifth, we show that

$$\tau[[G]] \circ r^n \subseteq B[[G]]r^n \tag{20}$$

The proof is by structural induction on  $G$ .

– The base case  $G = P$  follows from the previous lemma (20).

– Otherwise  $G = PG'$ , and then

$$\begin{aligned}
&\tau[[PG']] \circ r^n \\
&= (\tau[[P]] \cup \tau[[G']]) \circ r^n \qquad\qquad\qquad \{ \text{by def. (2) of } \tau[[PG']] \} \\
&= (\tau[[P]] \circ r^n) \cup (\tau[[G']] \circ r^n) \qquad\qquad\qquad \{ \text{by def. } \circ \} \\
&\subseteq B[[P]]r^n \cup B[[G']]r^n \qquad\qquad\qquad \{ \text{by previous lemma (20) and ind. hyp.} \} \\
&= B[[G]]r^n \qquad\qquad\qquad \{ \text{by } G = PG' \text{ and def. (8) of } B[[G]] \}
\end{aligned}$$

— Sixth, we show that

$$B[[G]]r^n \subseteq S^d[[G]] \triangleq \mathbf{lfp}_\emptyset^\subseteq B[[G]] \tag{21}$$

The proof is by recurrence on  $n$ .

– For  $n = 0$ , we have  $G = P$  or  $G = PG'$  so by (8) and (9),  $\tau[[G]]^0 = I_{\mathbb{V}^*} \subseteq B[[G]](S^d[[G]]) = S^d[[G]]$  by the fixpoint property  $S^d[[G]] \triangleq \mathbf{lfp}_\emptyset^\subseteq B[[G]]$ ;

– For  $n + 1$ , we have  $r^{n+1} = \bigcup_{k=0}^{n+1} \tau[[G]]^k = \tau[[G]]^0 \cup \bigcup_{k=0}^n \tau[[G]]^{k+1} = I_{\mathbb{V}^*} \cup \tau[[G]] \circ \bigcup_{k=0}^n \tau[[G]]^k = I_{\mathbb{V}^*} \cup \tau[[G]] \circ r^n$ .

We have just shown above that  $I_{\mathbb{V}^*} \subseteq S^d[[G]]$ . It remains to prove that  $\tau[[G]] \circ r^n \subseteq S^d[[G]]$ .

We have  $\tau[[G]] \circ r^n \subseteq B[[G]]r^n$  by the previous lemma (21) and  $B[[G]]r^n \subseteq S^d[[G]]$  by recurrence hypothesis so  $\tau[[G]] \circ r^n \subseteq S^d[[G]]$  by transitivity. ■

— Seventh and finally,

$$\begin{aligned}
& \tau[[G]]^* \\
= & I_{V^*} \cup \tau[[G]] \circ \tau[[G]]^* && \text{\{fixpoint definition of } \tau[[G]]^* \text{ in question 7\}} \\
= & I_{V^*} \cup \tau[[G]] \circ \bigcup_{n \geq 0} r^n && \text{\{ } \tau[[G]]^* = \bigcup_{n \geq 0} r^n \text{\}} \\
= & I_{V^*} \cup \bigcup_{n \geq 0} \tau[[G]] \circ r^n && \text{\{by def. } \circ \text{\}} \\
\subseteq & I_{V^*} \cup \bigcup_{n \geq 0} B[[G]]r^n && \text{\{by previous lemma (21)\}} \\
\subseteq & S^d[[G]] && \text{\{by previous lemma (22)\}} \quad \blacksquare
\end{aligned}$$

