

## An improved approximation algorithm for the stable marriage problem with one-sided ties

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**Abstract** We consider the problem of computing a large stable matching in a bipartite graph  $G = (A \cup B, E)$  where each vertex  $u \in A \cup B$  ranks its neighbors in an order of preference, perhaps involving ties. A matching  $M$  is said to be *stable* if there is no edge  $(a, b)$  such that  $a$  is unmatched or prefers  $b$  to  $M(a)$  and similarly,  $b$  is unmatched or prefers  $a$  to  $M(b)$ . While a stable matching in  $G$  can be easily computed in linear time by the Gale-Shapley algorithm, it is known that computing a maximum size stable matching is APX-hard.

In this paper we consider the case when the preference lists of vertices in  $A$  are *strict* while the preference lists of vertices in  $B$  may include ties. This case is also APX-hard and the current best approximation ratio known here is  $25/17 \approx 1.4706$  which relies on solving an LP. We improve this ratio to  $22/15 \approx 1.4667$  by a simple linear time algorithm.

We first compute a half-integral stable matching in  $\{0, 0.5, 1\}^{|E|}$  and round it to an integral stable matching  $M$ . The ratio  $|\text{OPT}|/|M|$  is bounded via a payment scheme that charges other components in  $\text{OPT} \oplus M$  to cover the costs of length-5 augmenting paths. There will be no length-3 augmenting paths here.

We also consider the following special case of two-sided ties, where every tie length is 2. This case is known to be UGC-hard to approximate to within  $4/3$ . We show a  $10/7 \approx 1.4286$  approximation algorithm here that runs in linear time.

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## 1 Introduction

The stable marriage problem is a classical and well-studied matching problem in bipartite graphs. The input here is a bipartite graph  $G = (A \cup B, E)$  where every  $u \in A \cup B$  ranks its neighbors in an order of preference and ties are permitted in preference lists. It is customary to refer to the vertices in  $A$  and  $B$  as *men* and *women*, respectively. Preference lists may be incomplete: that is, a vertex need not be adjacent to all the vertices on the other side.

A matching is a set of edges, no two of which share an endpoint. An edge  $(a, b)$  is said to be a *blocking edge* for a matching  $M$  if either  $a$  is unmatched or prefers  $b$  to its partner in  $M$ , i.e.,  $M(a)$ , and similarly,  $b$  is unmatched or prefers  $a$  to its partner  $M(b)$ . A matching that admits no blocking edges is said to be *stable*. The problem of computing a stable matching in  $G$  is the stable marriage problem. A stable matching always exists and can be computed in linear time by the well-known Gale-Shapley algorithm [2].

Several real-world assignment problems can be modeled as the stable marriage problem, for instance, the problems of assigning residents to hospitals [4] or students to schools [19]. The input instance could admit many stable matchings and the desired stable matching in most real-world applications is a maximum cardinality stable matching. When preference lists are *strict* (no ties permitted), it is known that all stable matchings in  $G$  have the same size and the set of vertices matched in every stable matching is the same [3]. However when preference lists involve ties, stable matchings can vary in size.

Consider the following simple example, where  $A = \{a_1, a_2\}$  and  $B = \{b_1, b_2\}$  and let the preference lists be as follows:

$$a_1 : b_1; \quad a_2 : b_1, b_2; \quad b_1 : \{a_1, a_2\}; \quad \text{and} \quad b_2 : a_2.$$

The preference list of  $a_1$  consists of just  $b_1$  while the preference list of  $a_2$  consists of  $b_1$  followed by  $b_2$ . The preference list of  $b_1$  consists of  $a_1$  and  $a_2$  *tied* as the top choice while the preference list of  $b_2$  consists of the single vertex  $a_2$ . There are 2 stable matchings here:  $\{(a_2, b_1)\}$  and  $\{(a_1, b_1), (a_2, b_2)\}$ . Thus the sizes of stable matchings in  $G$  could differ by a factor of 2 and it is easy to see that they cannot differ by a factor more than 2 since every stable matching has to be a *maximal* matching. As stated earlier, the desired matching here is a maximum size stable matching. However it is known that computing such a matching is NP-hard [8, 15].

Iwama et al. [9] showed a  $15/8 = 1.875$ -approximation algorithm for this problem using a local search technique. The next breakthrough was due to Király [11], who introduced the simple and effective technique of “promotion” to break ties in a modification of the Gale-Shapley algorithm. He improved the approximation ratio to  $5/3$  for the general case and to 1.5 for *one-sided ties*, i.e., the preference lists of vertices in  $A$  have to be strict while ties are permitted in the preference lists of vertices in  $B$ . McDermid [16] then improved the approximation ratio for the general case also to 1.5. For the case of one-sided ties, Iwama et al. [10] showed a  $25/17 \approx 1.4706$ -approximation.

On the inapproximability side, the strongest hardness results are due to Yanagisama [21] and Iwama et al. [9]. In [21], the general problem was shown to be NP-hard to approximate to within  $33/29$  and UGC-hard to approximate to within  $4/3$ ; the case of one-sided ties was considered in [9] and shown to be NP-hard to approximate to within  $21/19$  and UGC-hard to approximate to within  $5/4$ .

In this paper we focus mostly on the case of one-sided ties. The case of one-sided ties occurs frequently in several real-world problems, for instance, in the Scottish Foundation Allocation Scheme (SFAS), the preference lists of applicants have to be strictly ordered while the preference lists of positions can admit ties [7]. Let  $\text{OPT}$  be a maximum size stable marriage in the given instance. We show the following result here.

**Theorem 1** *Let  $G = (A \cup B, E)$  be a stable marriage instance where vertices in  $A$  have strict preference lists while vertices in  $B$  are allowed to have ties in preference lists. A stable matching  $M$  in  $G$  such that  $|\text{OPT}|/|M| \leq 22/15 \approx 1.4667$  can be computed in linear time.*

*Techniques.* Our algorithm constructs a *half-integral* stable matchings using a modified Gale-Shapley algorithm: each man can make two proposals and each woman can accept two proposals. How the proposals are made by men and how women accept these proposals forms the core part of our algorithms. In our algorithms, after the proposing phase is over, we have a half-integral vector  $x$ , where  $x_{ab} = 1$  (similarly,  $1/2$  or  $0$ ) if  $b$  accepts 2 (respectively, 1 or 0) proposals from  $a$ . We then build a subgraph  $G'$  of  $G$  by retaining an edge  $e$  only if  $x_e > 0$ . Our solution is a maximum cardinality matching in  $G'$  where every degree 2 vertex gets matched.

In the original Gale-Shapley algorithm, when two proposals are made to a woman from men that are tied on her list, she is forced to make a blind choice since she has no way of knowing which is a better proposal (i.e., it leads to a larger matching) to accept. Our approach to deal with this issue is to let her accept both proposals. Since neither proposer is fully accepted, each of them has to propose down his list further and get another proposal accepted. Essentially, our strategy of letting men make multiple proposals and letting women accept multiple proposals is a way of coping with their lack of knowledge about the best decision at any point in time. Note that we limit the number of proposals a man makes/a woman accepts to be 2 because we want to keep the graph  $G'$  simple. In our algorithms, every vertex in  $G'$  has degree at most 2 and this allows us to bound our approximation guarantees.

We first show that there are no length-3 augmenting paths in  $M \oplus \text{OPT}$  using the idea of *promotion* introduced by Király [11] to break ties in favor of those vertices rejected once by all their neighbors. This idea was also used by McDermid [16] and Iwama et al. [10]. This idea essentially guarantees an approximation factor of 1.5 by eliminating all length-3 augmenting paths in  $M \oplus \text{OPT}$ . In order to obtain an approximation ratio  $< 1.5$ , we use a new combinatorial technique that makes components other than augmenting paths of length-5 in  $M \oplus \text{OPT}$  pay for augmenting paths of length-5.

Let  $R$  denote the set of augmenting paths of length-5 in  $M \oplus \text{OPT}$  and let  $Q = (M \oplus \text{OPT}) \setminus R$ . Suppose  $q \in Q$  is an augmenting path on  $2\ell + 3 \geq 7$  edges or

an alternating cycle/path on  $2\ell$  edges or an alternating path on  $2\ell - 1$  edges (with  $\ell$  edges of  $M$ ). In our algorithm for one-sided ties,  $q$  will be charged for  $\leq 3\ell$  elements in  $R$  and this will imply that  $|\text{OPT}|/|M| \leq 22/15$ .

For the case of one-sided ties, to obtain an approximation guarantee  $< 1.5$ , the algorithm by Iwama et al. [10] formulates the maximum cardinality stable matching problem as an integer program and solves its LP relaxation. This optimal LP-solution guides women in accepting proposals and leads to a  $25/17$ -approximation.

It was also shown in [10] that for two-sided ties, the integrality gap of a natural LP for this problem (first used in [20]) is  $1.5 - \Theta(1/n)$ . As mentioned earlier, McDermid [16] gave a 1.5-approximation algorithm here; Király [12] and Paluch [17] have shown linear time algorithms for this ratio. A variation of the general problem was recently studied by Askalidis et al. [1].

Since no approximation guarantee better than 1.5 is known for the general case of two-sided ties while better approximation algorithms are known for the one-sided ties case, as a first step we consider the following variant of two-sided ties where each tie length is 2. This is a natural variant as there are several application domains where ties are permitted but their length has to be small. We show the following result here.

**Theorem 2** *Let  $G = (A \cup B, E)$  be a stable marriage instance where vertices in  $A \cup B$  are allowed to have ties in preference lists, however each tie has length 2. A stable matching  $M'$  in  $G$  such that  $|\text{OPT}|/|M'| \leq 10/7 \approx 1.4286$  can be computed in linear time.*

Currently, this is the only case with approximation ratio better than 1.5 for any special case of the stable marriage problem where ties can occur on *both* sides of  $G$ . Interestingly, in the hardness results shown in [21] and [9], it is assumed that each vertex has at most one tie in its preference list, and such a tie is of length 2. Thus if the general case really has higher inapproximability, say 1.5 as previously conjectured by Király [11], then the reduction in the hardness proof needs to use longer ties.

We also note that the ratio of  $10/7$  we achieve in this special case coincides with the ratio attained by Halldórsson et al. [5] for the case that ties only appear on women's side and each tie is of length 2.

The stable marriage problem is an extensively studied subject on which several monographs [4, 13, 14, 18] are available. The generalization of allowing ties in the preference lists was first introduced by Irving [6]. There are several ways of defining stability when ties are allowed in preference lists. The definition, as used in this paper, is Irving's "weak-stability."

We present our algorithm for one-sided ties in Section 2 its analysis in Section 2.1. Section 3 has our algorithm for instances with two-sided ties, where each tie has length 2.

## 2 Algorithm for one-sided ties

Our algorithm produces a fractional matching  $x = (x_e, e \in E)$  where each  $x_e \in \{0, 1/2, 1\}$ . The algorithm is a modification of the Gale-Shapley algorithm in  $G = (A \cup B, E)$ . We first explain how men propose to women and then how women decide (see Fig. 1).

*How men propose.* Every man  $a$  has two proposals  $p_a^1$  and  $p_a^2$ , where each proposal  $p_a^i$  (for  $i = 1, 2$ ) goes to the women on  $a$ 's preference list in a round-robin manner. Initially, the target of both proposals  $p_a^1$  and  $p_a^2$  is the first woman on  $a$ 's list. For any  $i$ , at any point, if  $p_a^i$  is rejected by the woman who is ranked  $k$ -th on  $a$ 's list (for any  $k$ ), then  $p_a^i$  goes to the woman ranked  $(k + 1)$ -st on  $a$ 's list; in case the  $k$ -th woman is already the last woman on  $a$ 's list, then the proposal  $p_a^i$  is again made to the first woman on  $a$ 's list.

A man has three possible levels in status: *basic*, *1-promoted*, or *2-promoted*. Every man  $a$  starts out basic with rejection history  $r_a = \emptyset$ . Let  $N(a)$  be the set of all women on  $a$ 's list. When  $r_a = N(a)$ , then  $a$  becomes 1-promoted. Once he becomes 1-promoted,  $r_a$  is reset to the empty set. If  $r_a = N(a)$  after  $a$  becomes 1-promoted, then  $a$  becomes 2-promoted and  $r_a$  is reset once again to the empty set. After  $a$  becomes 2-promoted, if  $r_a = N(a)$ , then  $a$  gives up.

To illustrate promotions, consider the following example: man  $a$  has only two women  $b_1$  and  $b_2$  on his list. He starts as a basic man and makes his proposals  $p_a^1$  and  $p_a^2$  to  $b_1$ . Suppose  $b_1$  rejects both. Then  $a$  makes both these proposals to  $b_2$ . Suppose  $b_2$  accepts  $p_a^1$  but rejects  $p_a^2$ . Then  $a$  becomes 1-promoted since  $r_a = \{b_1, b_2\}$  now and  $r_a$  is reset to  $\emptyset$ . Note that for  $a$  to become 2-promoted, we need  $r_a$  to become  $\{b_1, b_2\}$  once again. Similarly, a 2-promoted man  $a$  gives up only when his rejection history  $r_a$  becomes  $\{b_1, b_2\}$  after he becomes 2-promoted.

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- For every  $a \in A$ ,  $t_a^1 := t_a^2 := 1$ ;  $r_a := \emptyset$ .
 $\{r_a$  is the rejection history of man  $a$ ;  $t_a^i$  is the rank of the next woman targeted by the proposal  $p_a^i\}$ 
while some  $a \in A$  has his proposal  $p_a^i$  ( $i$  is 1 or 2) not accepted by any woman and he has not given up
do
  -  $a$  makes his proposal  $p_a^i$  to the  $t_a^i$ -th woman  $b$  on his list.
  if  $b$  has at most two proposals now (incl.  $p_a^i$ ) then
    -  $b$  accepts  $p_a^i$ 
  else
    -  $b$  rejects any of her "least desirable" (see Definition 1) proposals  $p_{a'}^j$ ,
    if  $t_{a'}^j =$  number of women on the list of  $a'$  then
       $t_{a'}^j := 1$  {the round-robin nature of proposing}
    else
       $t_{a'}^j := t_{a'}^j + 1$ 
    end if
    -  $r_{a'} := r_{a'} \cup \{b\}$ 
    if  $r_{a'}$  = the entire set of neighbors of  $a'$  then
      if  $a'$  is basic then
         $a'$  becomes 1-promoted and  $r_{a'} := \emptyset$ 
      else if  $a'$  is 1-promoted then
         $a'$  becomes 2-promoted and  $r_{a'} := \emptyset$ 
      else if  $a'$  is 2-promoted then
         $a'$  gives up
      end if
    end if
  end if
end while

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**Fig. 1** A description of proposals/disposals in our algorithm with one-sided ties

Our algorithm terminates when each  $a \in A$  satisfies one of the following conditions: (1) both his proposals  $p_a^1$  and  $p_a^2$  are accepted, (2) he gives up. Note that when (2) happens, the man  $a$  must be 2-promoted.

*How women decide:* A woman can accept up to two proposals. The two proposals can be from the same man. When she currently has less than two proposals, she unconditionally accepts the new proposal. If she has already accepted two proposals and is faced with a third one, then she rejects one of her “least desirable” proposals (see Definition 1 below).

**Definition 1** For a woman  $b$ , proposal  $p_a^i$  is *superior* to  $p_{a'}^{i'}$  if on  $b$ 's list:

- (1)  $a$  ranks better than  $a'$ .
- (2)  $a$  and  $a'$  are tied;  $a$  is currently 2-promoted while  $a'$  is currently 1-promoted or basic.
- (3)  $a$  and  $a'$  are tied;  $a$  is currently 1-promoted while  $a'$  is currently basic.
- (4)  $a$  and  $a'$  are tied and both are currently basic; moreover, woman  $b$  has already rejected one proposal of  $a$  while so far she has not rejected any of the proposals of  $a'$ .

Let  $p_a^i$  be among the three proposals that a woman has and suppose it is not superior to either of the other two proposals. Then  $p_a^i$  is a *least desirable* proposal.

The reasoning behind the rules of a woman's decision can be summarized as follows.

- Proposals from higher-ranking men should be preferred, as in the Gale-Shapley algorithm.
- When a woman receives proposals from men who are tied in her list, she prefers the man who has been promoted: a 1-promoted (similarly, 2-promoted) man having been rejected by the entire set of women on his list once (resp. twice) should be preferred, since he is more desperate and deserves to be given a chance.
- When two basic men of the same rank propose to a woman, she prefers the one who has been rejected by her before. The intuition again is that he is more desperate—though he has not been rejected by all women on his list yet (otherwise he would have been 1-promoted).

It is easy to see that the algorithm in Fig. 1 runs in linear time. When it terminates, for each edge  $(a, b) \in E$ , we set  $x_{ab} = 1$  or 0.5 or 0 if the number of proposals that woman  $b$  accepts from man  $a$  is 2 or 1 or 0, respectively. Let  $G' = (A \cup B, E')$  be the subgraph where an edge  $e \in E'$  if and only if  $x_e > 0$ . It is easy to see that in  $G'$ , the maximum degree of any vertex is 2.

There is a maximum cardinality matching in  $G'$  where all degree 2 vertices are matched; moreover, such a matching can be computed in linear time. Let  $M$  be such a matching. In the following, we show that  $M$  is stable and it is a  $22/15$  approximation, thereby proving Theorem 1.

## 2.1 Analysis of the above algorithm

Propositions 1 and 2 follow easily from our algorithm and lead to the stability of  $M$ .

**Proposition 1** *Let woman  $b$  reject proposal  $p_a^i$  from man  $a$ . Then from this point till the end of the algorithm,  $b$  has two proposals  $p_{a'}^{i'}$  and  $p_{a''}^{i''}$  from men  $a'$  and  $a''$  (it is possible that  $a' = a''$ ) who rank at least as high as man  $a$  on  $b$ 's list. In particular, if  $a'$  (similarly,  $a''$ ) is tied with man  $a$  on the list of  $b$ , then at the time  $a$  proposed to  $b$ :*

1. *if  $a$  is  $\ell$ -promoted ( $\ell$  is either 1 or 2), then man  $a'$  (resp.  $a''$ ) has to be  $\geq \ell$ -promoted.*
2. *if  $a$  is basic and his other proposal is already rejected by  $b$ , then it has to be the case that either  $a'$  (resp.  $a''$ ) is not basic or  $b$  has already rejected his other proposal.*

**Proposition 2** *The following facts hold:*

1. *If a man (similarly, a woman) is unmatched in  $M$ , then he has at most one proposal accepted by a woman (resp., she receives at most one proposal) during the entire algorithm.*
2. *At the end of the algorithm, every man with less than two proposals accepted is 2-promoted. Furthermore, he must have been rejected by all women on his list as a 2-promoted man.*
3. *If woman  $b$  on the list of the man  $a$  is unmatched in  $M$ , then man  $a$  has to be basic and he does not prefer  $b$  to the women who accepted his proposals.*

**Lemma 1** *The matching  $M$  is stable in  $G = (A \cup B, E)$ .*

*Proof* Let  $(a, b) \in E \setminus M$ . Suppose  $a$  is unmatched in  $M$ . Then by (1)-(2) of Proposition 2,  $a$  has to be 2-promoted and all women on his list rejected at least one of his proposals. As this includes  $b$ , we know from Proposition 1 that  $b$  has two proposals from men ranking at least as high as  $a$  on her list and she is matched in  $M$  with one such man. So  $(a, b)$  does not block  $M$ .

Now suppose  $a$  is matched in  $M$ . Let  $(a, b') \in M$ . It follows from our algorithm that man  $a$  must have been rejected by all women that rank higher than  $b'$  on his list. Let  $b$  be such a woman. By Proposition 1, till the end of the algorithm,  $b$  has two proposals from men that rank at least as high as  $a$  on her list and  $b$  is matched in  $M$  with one such man. So  $(a, b)$  does not block  $M$ .  $\square$

In the rest of the discussion, unless we specifically state the time point, when we say a man is basic/1-promoted/2-promoted, we mean his status when the algorithm terminates.

*Bounding the size of  $M$ .* Let OPT be an optimal stable matching. We now need to bound  $|\text{OPT}|/|M|$ . Whenever we refer to an augmenting path in  $M \oplus \text{OPT}$ , we mean the path is augmenting with respect to  $M$ . Lemma 2 will be crucial in our analysis.

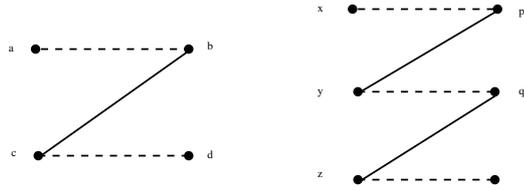
**Lemma 2** Suppose  $(a, b)$  and  $(a', b')$  are in OPT where man  $a'$  is not 2-promoted and  $a'$  prefers  $b$  to  $b'$ . If  $a$  is unmatched in  $M$ , then  $(a', b)$  cannot be in  $G'$ .

*Proof* We prove this lemma by contradiction. Suppose  $(a', b) \in G'$ . If  $b$  prefers  $a'$  to  $a$ , then  $(a', b)$  blocks OPT. On the other hand, if  $b$  prefers  $a$  to  $a'$ , then this contradicts the fact that  $b$  rejected at least one proposal from  $a$  (by Proposition 2.1) while  $b$  has a proposal from  $a'$ , who is ranked worse on  $b$ 's list, at the end of the algorithm since  $(a', b) \in G'$ .

So the only option possible is that  $a'$  and  $a$  are tied on  $b$ 's list. Since  $a$  is unmatched in  $M$ , it follows from (1)-(2) of Proposition 2 that  $a$  has been rejected by  $b$  as a 2-promoted man. Since  $(a', b) \in G'$ , Proposition 1 implies that  $a'$  has to be 2-promoted. This however contradicts the lemma statement that  $a'$  is not 2-promoted.  $\square$

**Corollary 1** There is no length-3 augmenting path  $M \oplus \text{OPT}$ .

*Proof* If such a path  $a - b - a' - b'$  exists (see Fig. 2), then  $(a', b) \in G'$  since it is in  $M$ . As  $b'$  is unmatched in  $M$ ,  $a'$  is basic and prefers  $b$  to  $b'$  (by Proposition 2.3). This contradicts Lemma 2.  $\square$



**Fig. 2** On the left we have a length-3 augmenting path and on the right we have the length-5 augmenting path  $\rho_i$  with respect to  $M$  in  $M \oplus \text{OPT}$ .

Let  $R = \{\rho_1, \dots, \rho_t\}$  denote the set of length-5 augmenting paths in  $M \oplus \text{OPT}$ . Lemma 3 lists properties of vertices in a length-5 augmenting path  $\rho_i$  (see Fig. 2).

**Lemma 3** If  $\rho_i = a_0^i - b_0^i - a_1^i - b_1^i - a_2^i - b_2^i$  is a length-5 augmenting path in  $M \oplus \text{OPT}$ , then

1.  $a_0^i$  is 2-promoted and has been rejected by  $b_0^i$  as a 2-promoted man.
2.  $a_1^i$  is not 2-promoted and he prefers  $b_1^i$  to  $b_0^i$ .
3.  $a_2^i$  is basic and he prefers  $b_1^i$  to  $b_2^i$ .
4.  $b_1^i$  is indifferent between  $a_1^i$  and  $a_2^i$ .
5. In  $G'$ ,  $b_0^i$  has degree 1 if and only if  $a_1^i$  has degree 1.
6. In  $G'$ ,  $b_1^i$  has degree 1 if and only if  $a_2^i$  has degree 1.

*Proof* It is easy to see that (1) and (3) follow from Proposition 2.

We now show (2). Suppose  $a_1^i$  is 2-promoted. To become 2-promoted,  $a_1^i$  must have been rejected by  $b_1^i$  as a 1-promoted man. By Proposition 1, as  $(a_2^i, b_1^i) \in G'$ , either  $b_1^i$  prefers  $a_2^i$  to  $a_1^i$ , or they are tied in her preference list and  $a_2^i$  is also at least

1-promoted. In the former case,  $(a_2^i, b_1^i)$  blocks OPT while in the latter case, (3) is contradicted. This proves the first part of (2). For the second part of (2), suppose  $a_1^i$  prefers  $b_0^i$  to  $b_1^i$ . Then  $b_0^i$  is indifferent between  $a_0^i$  and  $a_1^i$ , otherwise either  $(a_0^i, b_0^i)$  blocks  $M$  or  $(a_1^i, b_0^i)$  blocks OPT. Since  $a_0^i$  is unmatched in  $M$ , by Proposition 2.2,  $a_0^i$  must have been rejected by  $b_0^i$  as a 2-promoted man. Then Proposition 1 implies that  $a_1^i$  is also 2-promoted, which contradicts the first part of (2). This completes the proof of (2).

To show (4), observe that if  $b_1^i$  is not indifferent between  $a_1^i$  and  $a_2^i$ , then either  $(a_1^i, b_1^i)$  blocks  $M$  or  $(a_2^i, b_1^i)$  blocks OPT.

We now show (5). Since  $a_1^i$  is not 2-promoted, by (1)-(2) of Proposition 2, both of his proposals get accepted in the algorithm. As  $a_0^i$  has been rejected by  $b_0^i$  as a 2-promoted man, Proposition 1 states that  $b_0^i$  has two proposals till the end of the algorithm. So either both proposals made by  $a_1^i$  get accepted by  $b_0^i$  (then both  $a_1^i$  and  $b_0^i$  have degree 1 in  $G'$ ) or exactly one proposal of  $a_1^i$  is accepted by  $b_0^i$ , in which case,  $a_1^i$ 's other proposal is accepted by some other woman and  $b_0^i$  has received a proposal from some other man (so both  $a_1^i$  and  $b_0^i$  have degree 2 in  $G'$ ).

We now show (6). Since  $a_2^i$  is not 2-promoted, by (1)-(2) of Proposition 2, both of his proposals get accepted in the algorithm. Note that by (2),  $a_1^i$  must have been rejected by  $b_1^i$ , so  $b_1^i$  has at least two proposals till the end of the algorithm. So either both proposals made by  $a_2^i$  get accepted by  $b_1^i$  (then both  $a_2^i$  and  $b_1^i$  have degree 1 in  $G'$ ) or exactly one proposal of  $a_2^i$  is accepted by  $b_1^i$ , in which case,  $a_2^i$ 's other proposal is accepted by some other woman and  $b_1^i$  has received a proposal from some other man (so both  $a_2^i$  and  $b_1^i$  have degree 2 in  $G'$ ).  $\square$

Recall that  $G'$  is a subgraph of  $G$  and every vertex has degree at most 2 in  $G'$ . We form a directed graph  $H$  from  $G'$  as follows: first orient all edges in the graph  $G'$  from  $A$  to  $B$ ; then contract each edge of  $M \cap \rho_i$  for  $i = 1, \dots, t$ . That is, if  $\rho_i = a_0^i - b_0^i - a_1^i - b_1^i - a_2^i - b_2^i$ , then in  $H$ , the edge  $(a_1^i, b_0^i)$  gets contracted into a single node (call it  $x_i$ ) and similarly the edge  $(a_2^i, b_1^i)$  gets contracted into a single node (call it  $y_i$ ) and this happens for all  $i = 1, \dots, t$ .

Note that (5)-(6) of Lemma 3 imply that  $\deg_H(x_i), \deg_H(y_i) \in \{0, 2\}$  for  $1 \leq i \leq t$ , where  $\deg_H(v) = 2$  means in  $H$  in-degree( $v$ ) = out-degree( $v$ ) = 1. The following lemma rules out the possibility of certain arcs in  $H$ .

**Lemma 4** *For any  $1 \leq i, j \leq t$ , there is no arc from  $y_i$  to  $x_j$  in  $H$ .*

*Proof* Suppose there is an arc in  $H$  from  $y_i$  to  $x_j$  for some  $1 \leq i, j \leq t$ . That is,  $G'$  contains the edge  $(a_2^i, b_0^j)$ . Since the woman  $b_2^i$  is unmatched, we use Proposition 2.3 to conclude that  $a_2^i$  is basic and he prefers  $b_0^j$  to  $b_2^i$ . This contradicts Lemma 2, by substituting  $a = a_0^j, b = b_0^j, a' = a_2^i, \text{ and } b' = b_2^i$ .  $\square$

We now define a ‘‘good path’’ in  $H$ . In  $H$ , let us refer to the  $x$ -nodes and  $y$ -nodes as *red* and let the other vertices be called *blue*.

**Definition 2** A directed path in  $H$  is *good* if its end vertices are blue while all its intermediate vertices are red. Also, we assume there is at least one intermediate vertex in such a path.

Lemma 4 implies that every good path looks as follows: a blue man, followed by some  $x$ -nodes (possibly none), followed by some  $y$ -nodes (possibly none), and a blue woman.

For any  $y$ -node  $y_i$ , if  $\deg_H(y_i) \neq 0$ , using Lemma 4 we can conclude that  $y_i$  is either in a cycle of  $y$ -nodes or in a good path. In other words, there are only 3 possibilities in  $H$  for each  $y_i$ : (1)  $y_i$  is an isolated node, (2)  $y_i$  is in a cycle of  $y$ -nodes, (3)  $y_i$  is in a good path.

We next define a *critical arc* in  $H$ . We will use critical arcs to show that  $H$  has enough good paths. Since the endpoints of a good path are vertices outside  $R$ , this bounds  $|\text{OPT}|/|M|$ .

**Definition 3** Call an arc  $(x_i, z)$  in  $H$  *critical* if either  $a_1^i$  prefers  $z$  to  $b_1^i$  or  $z = b_1^i$ .

In case  $z$  is a red node, let  $w$  be the woman in  $z$  – in Definition 3, we mean either  $w = b_1^i$  or  $a_1^i$  prefers  $w$  to  $b_1^i$ . We show (via Lemmas 5 and 6) that every critical arc is in a distinct good path. It follows from Lemma 5 that every good path has at most one critical arc. Lemma 7 is the main technical lemma here. It shows there are *enough* critical arcs in  $H$ .

**Lemma 5** For any  $i$ , if  $(x_i, z)$  is critical, then  $z$  is not an  $x$ -node, i.e.,  $z \neq x_j$  for any  $j$ .

*Proof* For any  $1 \leq i, j \leq t$ , if a proposal of  $a_1^i$  is accepted by a woman  $w$  that  $a_1^i$  prefers to  $b_1^i$ , then we need to show that  $w$  cannot be  $b_0^j$ . Suppose  $w = b_0^j$ , for some  $j$ . In the first place,  $j \neq i$  since we know  $a_1^i$  prefers  $b_1^i$  to  $b_0^j$  (by Lemma 3.2). We know  $a_1^i$  is not 2-promoted by Lemma 3.2. We now contradict Lemma 2, by substituting  $a = a_0^j$ ,  $b = b_0^j$ ,  $a' = a_1^i$ , and  $b' = b_1^i$ .  $\square$

**Lemma 6** Every critical arc is in some good path and every pair of good paths is vertex-disjoint.

*Proof* Let  $(x_i, z)$  be a critical arc in  $H$ . We know from Lemma 5 that  $z$  is either a blue woman  $w$  or a  $y$ -node  $y_j$ . Suppose  $z$  is a blue woman  $w$ . Then  $w$  becomes one endpoint of a good path containing  $(x_i, z)$ . Since  $\deg_H(x_i) \neq 0$ , it has to be 2. So there is an arc  $(u_0, x_i)$  in  $H$  and we know from Lemma 4 that  $u_0$  cannot be a  $y$ -node. Hence  $u_0$  is either a blue man  $m$  (in which case we have the desired good path) or  $u_0 = x_{i'}$  for some  $i'$  – then there is an arc  $(u_1, x_{i'})$  in  $H$  and thus chasing these arcs backwards, we have to finally reach a blue man  $m$ . This yields a good path  $m - \dots - x_{i'} - x_i - w$  that contains  $(x_i, w)$ .

Suppose  $z = y_j$  for some  $j$ . Now we also chase arcs forwards, starting from  $y_j$ . Following these arcs, we have to reach a blue woman  $w$  since there is no arc from a  $y$ -node to a  $x$ -node (by Lemma 4). So we get a good path  $m - \dots - x_{i'} - x_i - y_j - y_{j'} - \dots - w$  containing  $(x_i, y_j)$ .

We now show that any pair of good paths has to be vertex-disjoint. As every vertex in  $G'$  has degree at most 2, the intermediate vertices in any two good paths are disjoint. Suppose vertex  $u$  is an endpoint of two different good paths. By definition of good paths,  $u$  is then incident on two edges of  $G'$ , neither of which is in  $M$ . This contradicts the assumption that all degree 2 vertices of  $G'$  are matched in  $M$ .  $\square$

**Lemma 7** *In the graph  $H$ , the following statements hold:*

- (1) *If  $y_i$  is an isolated node, then there exists a critical arc  $(x_i, z)$  in  $H$ .*
- (2) *If  $(y_i, y_j)$  is an arc, then there exists a critical arc  $(x_i, z)$  or a critical arc  $(x_j, z')$  (or both).*

*Proof* We first show part (1) of this lemma. Suppose  $y_i$  is an isolated node in  $H$ . By parts (2) and (6) of Lemma 3, the woman  $b_1^i$  accepts both proposals from  $a_2^i$  and she rejects  $a_1^i$  at least once. Suppose  $b_1^i$  rejects  $a_1^i$  exactly once. This means that one proposal of  $a_1^i$  (other than the one accepted by  $b_0^i$ ) has been accepted by a woman  $w$  that  $a_1^i$  prefers to  $b_1^i$ . That is, there is a critical arc  $(x_i, z)$  in  $H$ .

So suppose  $b_1^i$  rejects  $a_1^i$  more than once. Then either  $a_1^i$  has both of his proposals rejected by  $b_1^i$  while he was basic, or he was rejected by  $b_1^i$  as a 1-promoted man. In both cases we have a contradiction to Proposition 1 since  $b_1^i$  has accepted both proposals from  $a_2^i$ , who is basic and is tied with  $a_1^i$ .

We now show part (2) of this lemma. Suppose  $a_1^i$  prefers  $b_1^i$  to the women accepting his proposals and  $a_1^j$  prefers  $b_1^j$  to the women accepting his proposals. Note that this includes the possibility that both of  $a_1^i$ 's proposals are accepted by  $b_0^i$  and the possibility that both of  $a_1^j$ 's proposals are accepted by  $b_0^j$ . The first observation is that  $a_1^j$  could *not* have proposed to  $b_1^j$  as a 1-promoted man, as it would contradict Proposition 1 otherwise (recall  $a_2^j$  is basic and  $a_1^j, a_2^j$  are tied on the list of  $b_1^j$ ). For the same reason,  $a_1^i$  never proposed to  $b_1^i$  as a 1-promoted man.

Since we assumed that  $a_1^j$  prefers  $b_1^j$  to the women accepting his proposals and he never proposed to  $b_1^j$  as a 1-promoted man, it must be the case that both of his proposals were rejected by  $b_1^j$  when he was still basic. The edge  $(a_2^j, b_1^j) \in G'$  since  $(y_i, y_j)$  is in  $H$ . We now claim this implies  $a_2^j$  is tied with  $a_1^j$  on the list of  $b_1^j$ . If  $b_1^j$  prefers  $a_2^j$  to  $a_1^j$ , then  $(a_2^j, b_1^j)$  blocks OPT, since Proposition 2.3 states that  $a_2^j$  prefers  $b_1^j$  to  $b_2^j$ . Now suppose  $b_1^j$  prefers  $a_1^j$  to  $a_2^j$ . Since  $a_1^j$  prefers  $b_1^j$  to  $b_0^j$  (by Lemma 3.2), he must have been rejected by  $b_1^j$  before he proposed to  $b_0^j$ , implying a contradiction to Proposition 1.

We also know that  $a_1^j$  is tied with  $a_2^j$  on the list of  $b_1^j$  (by Lemma 3.4) and that  $a_2^j$  is basic. Since we know that both of  $a_1^j$ 's proposals were rejected by  $b_1^j$ , it has to be the case that while  $b_1^j$  accepted one proposal of  $a_2^j$ , she rejected his other proposal (by Proposition 1.2). This other proposal of  $a_2^j$  was at some point accepted by  $b_1^j$ . So it follows that  $b_1^j$  ranks higher than  $b_1^i$  on the list of  $a_2^j$ , furthermore,  $b_1^i$  never rejects a proposal from  $a_2^j$ .

Since we assumed that  $a_1^i$  prefers  $b_1^i$  to the women accepting his proposals and he never proposed to  $b_1^i$  as a 1-promoted man, it follows that both of his proposals were rejected by  $b_1^i$  when he was basic. This, combined with the fact that  $b_1^i$  never rejects a proposal from  $a_2^j$ , contradicts Proposition 1.2. Thus either one proposal of  $a_1^i$  has been accepted by a woman  $w$  that is  $b_1^i$  or better than  $b_1^i$  in  $a_1^i$ 's list or one proposal of  $a_1^j$  has been accepted by a woman  $w'$  that  $a_1^j$  prefers to  $b_1^j$ . Hence there is a critical arc  $(x_i, z)$  or a critical arc  $(x_j, z')$  in  $H$ .  $\square$

We define a function  $f : [t] \rightarrow \mathcal{P}$ , where  $\mathcal{P}$  is the set of all good paths in  $H$  and  $[t] = \{1, \dots, t\}$ . For any  $i \in [t]$ ,  $f(i)$  is defined as follows:

- (1) Suppose  $y_i$  is isolated. Then let  $f(i) = p$ , where  $p \in \mathcal{P}$  contains the critical arc  $(x_i, z)$ . We know there is such an arc in  $H$  by Lemma 7.1.
- (2) Suppose  $y_i$  belongs to a cycle  $C$  of  $y$ -nodes, so there is an arc  $(y_i, y_j)$  in  $C$ . We know  $H$  has a critical arc  $(x_i, z)$  or  $(x_j, z')$  (by Lemma 7.2). Then let  $f(i) = p$ , where  $p \in \mathcal{P}$  contains such a critical arc.
- (3) Suppose  $y_i$  belongs to a good path  $p'$ . If  $y_i$  is the last  $y$ -node in  $p'$ , then let  $f(i) = p'$ . Otherwise there is an arc  $(y_i, y_j)$  in  $p'$  and we know  $H$  has a critical arc  $(x_i, z)$  or  $(x_j, z')$  (by Lemma 7.2). Then let  $f(y_i) = p$ , where  $p \in \mathcal{P}$  contains such a critical arc.

For any  $p \in \mathcal{P}$ , let  $\text{cost}(p) =$  the number of pre-images of  $p$  under  $f$ . We now show a charging scheme that distributes  $\text{cost}(p)$ , for each  $p \in \mathcal{P}$ , among the vertices in  $G$  so that the following properties hold. Let  $Q = (M \oplus \text{OPT}) \setminus R$ .

- (I) Each  $v \in A \cup B$  is assigned a charge of at most 1.5 and the sum of all vertex charges is  $t$ .
- (II) Every vertex that is assigned a positive charge must be matched in  $M$  and is in some  $q \in Q$ . Moreover, if  $q \in Q$  is an augmenting path on  $2\ell_q + 3 \geq 7$  edges, then at most  $2\ell_q$  vertices in  $q$  will be assigned a positive charge.

Note that a vertex not assigned a positive charge has charge 0 by default. We will show later that such a charging scheme exists. For now, we show why this implies  $|\text{OPT}|/|M|$  is at most  $22/15$ . Let  $q \in Q$  be an alternating cycle/path on  $2\ell_q$  edges or an alternating path on  $2\ell_q - 1$  edges (with  $\ell_q$  edges from  $M$ ) or an augmenting path on  $2\ell_q + 3 \geq 7$  edges. It follows from (I) and (II) that the total charge assigned to vertices in  $q$  is at most  $1.5(2\ell_q) = 3\ell_q$ , i.e., if the vertices in  $q$  are being charged for  $c_q$  augmenting paths of length-5 in  $M \oplus \text{OPT}$ , then  $c_q \leq 3\ell_q$ .

Since  $\sum_{q \in Q} c_q = t$ , all the paths in  $R$  are paid for in this manner. So we have:

$$|\text{OPT}| = \sum_{q \in Q} (|\text{OPT} \cap q| + 3c_q) \quad \text{and} \quad |M| = \sum_{q \in Q} (|M \cap q| + 2c_q),$$

because there are  $3c_q$  edges of  $\text{OPT}$  in the  $c_q$  augmenting paths of length-5 covered by  $q$  and  $2c_q$  edges of  $M$  in the  $c_q$  augmenting paths of length-5 covered by  $q$ . Thus we have:

$$\frac{|\text{OPT}|}{|M|} \leq \max_{q \in Q} \frac{|\text{OPT} \cap q| + 3c_q}{|M \cap q| + 2c_q} \leq \max_{\ell_q \geq 2} \frac{10\ell_q + 2}{7\ell_q + 1} \leq \frac{22}{15}.$$

We use  $(\sum_i s_i)/(\sum_i t_i) \leq \max_i s_i/t_i$  in the first inequality. The above ratio gets maximized for any  $q \in Q$  by setting  $c_q$  to its largest value of  $3\ell_q$  and letting  $q$  be an augmenting path so that  $|\text{OPT} \cap q| > |M \cap q|$ .

This yields  $(\ell_q + 2 + 3 \cdot 3\ell_q)/(\ell_q + 1 + 2 \cdot 3\ell_q)$ , where  $|q| = 2\ell_q + 3 \geq 7$ . Note that since augmenting paths in  $Q$  have length  $\geq 7$ , this forces  $\ell_q \geq 2$  in this ratio. Setting  $\ell_q = 2$  maximizes the ratio  $(10\ell_q + 2)/(7\ell_q + 1)$ . Thus our upper bound is  $22/15$  and this proves Theorem 1.

*Ensuring properties (I) and (II).* We now show a charging scheme that defines a function  $\text{charge} : A \cup B \rightarrow [0, 1.5]$  such that  $\sum_u \text{charge}(u) = \sum_{p \in \mathcal{P}} \text{cost}(p) = t$ , where the sum is over all  $u \in A \cup B$ . We start with  $\text{charge}(u) = 0$  for all  $u \in A \cup B$ . Our task now is to reset charge values for some vertices so that properties (I) and (II) are satisfied.

Each  $p \in \mathcal{P}$  is one of the following three types: (1) *type-1* path: this has no  $x$ -nodes, (2) *type-2* path: this has no  $y$ -nodes, and (3) *type-3* path: this has both  $x$ -nodes and  $y$ -nodes. The following lemma will be useful later in our analysis.

**Lemma 8** *For any  $p \in \mathcal{P}$  and  $k = 1, 2, 3$ , if  $p$  is a type- $k$  path, then  $\text{cost}(p) \leq k$ .*

*Proof* Let  $p \in \mathcal{P}$  be a type-1 path. So  $p$  has the form  $m - y_j - \dots - y_{j'} - w$ , where  $m$  and  $w$  are blue vertices. There is only one element in  $[t]$  whose  $f$ -image is  $p$  and that is the index  $j'$ , where  $y_{j'}$  is the last  $y$ -node in  $p$ . Since  $p$  has no  $x$ -nodes, there is no critical arc in  $p$ . So  $p$  cannot be the  $f$ -image of any other index in  $[t]$ . Hence in this case, we have  $\text{cost}(p) = 1$ .

Let  $p \in \mathcal{P}$  be a type-2 path. So  $p$  has the form  $m - x_i - \dots - x_{i'} - w$ , where  $m$  and  $w$  are blue vertices. The arc  $(x_{i'}, w)$  could be a critical arc and no other arc can be critical (by Lemma 5). If  $(x_{i'}, w)$  is not critical, then  $p$  cannot be the  $f$ -image of any element in  $[t]$  and  $\text{cost}(p) = 0$ .

If  $(x_{i'}, w)$  is critical, then  $p$  can be the  $f$ -image of at most 2 elements in  $[t]$ . These are the index  $i'$  and possibly another index  $i''$  if there exists an arc  $(y_{i''}, y_{i'})$  in a good path or a cycle of  $y$ -nodes. No other index in  $[t]$  can be mapped to  $p$ . Thus we have  $\text{cost}(p) \leq 2$  here.

Let  $p \in \mathcal{P}$  be a type-3 path. So  $p$  has the form  $m - x_i - \dots - x_{i'} - y_j - \dots - y_{j'} - w$ , where  $m$  and  $w$  are blue vertices. It follows from the discussion in the earlier cases that there are at most 3 elements in  $[t]$  that can get mapped to  $p$ . These are  $j'$  (the index of the last  $y$ -node),  $i'$  (if  $(x_{i'}, y_j)$  is critical), and index  $i''$  (if  $(x_{i'}, y_j)$  is critical and there is an arc  $(y_{i''}, y_{i'})$  in a good path or a cycle of  $y$ -nodes). Thus we have  $\text{cost}(p) \leq 3$  here.  $\square$

Consider any  $p \in \mathcal{P}$ . Though  $p$  was defined as a good path in  $H$ , we now consider  $p$  as a path in the graph  $G'$ . Since each intermediate node of  $p$  is an edge of  $M$ ,  $p$  is an alternating path in  $G'$ . Let  $a_p$  (man) and  $b_p$  (woman) be the endpoints of the path  $p$ .

If both  $a_p$  and  $b_p$  are unmatched in  $M$ , then the path  $p$  becomes an augmenting path in  $G'$ . Since  $M$  is a maximum cardinality matching in  $G'$ , there cannot be an augmenting path with respect to  $M$  in  $G'$ ; hence at least one of  $a_p, b_p$  has to be matched in  $M$ .

*Case 1.* Suppose both  $a_p$  and  $b_p$  are matched. If  $p$  is a type-1 path, then reset  $\text{charge}(b_p) = \text{cost}(p)$ , i.e., the entire cost associated with  $p$  is assigned to the woman who is an endpoint of  $p$ . If  $p$  is a type- $k$  path for  $k = 2$  or  $3$ , then reset  $\text{charge}(a_p) = \text{charge}(b_p) = \text{cost}(p)/2$ .

*Case 2.* Suppose exactly one of  $a_p, b_p$  is matched: call the matched vertex  $s_p$  and the unmatched vertex  $u_p$ . Construct the alternating path with respect to  $M$  in  $G'$  with  $u_p$  as the starting vertex. The vertex  $u_p$  has degree 1 since is unmatched, also the

maximum degree of any vertex in  $G'$  is 2. So there is only one such alternating path in  $G'$ . This path continues till it encounters a degree 1 vertex, call it  $r_p$ .

Note that  $r_p$  has to be matched, otherwise there is an augmenting path in  $G'$  between  $u_p$  and  $r_p$ . Since  $r_p$  is reached via a matched edge on this path, either both  $u_p$  and  $r_p$  are in  $A$  or they both are in  $B$ . In other words, exactly one of  $r_p, s_p$  is a woman (recall  $s_p = \{a_p, b_p\} \setminus \{u_p\}$ ).

– If  $p$  is a type-1 path, then we reset  $\text{charge}(w) = \text{cost}(p)$ , where  $w$  is the woman in  $\{r_p, s_p\}$ .

– If  $p$  is a type- $k$  path, where  $k = 2$  or  $3$ , then we reset  $\text{charge}(s_p) = \text{charge}(r_p) = \text{cost}(p)/2$ .

This concludes the description of our charging scheme.

**Lemma 9** *The function  $\text{charge}(\cdot)$  satisfies properties (I) and (II) stated earlier.*

*Proof* It is immediate from Lemma 8 that  $\text{charge}(u) \leq 1.5$  for all  $u \in A \cup B$ . Consider any  $p \in \mathcal{P}$  and let its endpoints be  $a_p$  and  $b_p$ . It follows from Lemma 6 that every path in  $\mathcal{P}$  has its own distinct endpoints. When both  $a_p$  and  $b_p$  are matched in  $M$ , then no other vertex gets charged for  $p$ . When only one of them is matched, then another vertex  $r_p$  could also get charged – this is the degree 1 endpoint of the alternating path  $L_p$  from the unmatched vertex in  $\{a_p, b_p\}$ . Observe that  $r_p$  cannot be the endpoint of any  $p' \in \mathcal{P}$  since each of  $a_{p'}$  and  $b_{p'}$  need to have an unmatched edge incident on them, which is not true for  $r_p$ .

Also,  $r_p \neq r_{p'}$  for any other  $p' \in \mathcal{P}$ : if  $L_p$  and  $L_{p'}$  are the alternating paths corresponding to distinct paths  $p, p' \in \mathcal{P}$ , since the endpoints of  $L_p$  and  $L_{p'}$  are degree 1 vertices while every intermediate vertex has degree 2 in  $L_p$  and in  $L_{p'}$ , the paths  $L_p$  and  $L_{p'}$  have to be vertex-disjoint since the maximum degree in  $G'$  is 2. Thus any vertex gets charged for at most one  $p \in \mathcal{P}$ . Hence  $\sum_{u \in A \cup B} \text{charge}(u) = \sum_{p \in \mathcal{P}} \text{cost}(p) = t$ . Thus property (I) holds.

We next show property (II). It is also straightforward from our method of resetting charge values that it is only vertices that are matched in  $M$  that get assigned positive charge. Also each such vertex is outside  $R$  since the edges of  $M \cap R$  are contracted to red nodes in  $H$  while the vertices that get assigned positive charge here are  $a_p, b_p$  which are blue vertices and  $r_p$  which is a degree 1 vertex in  $G'$  that is reachable by an alternating path from an unmatched vertex – hence  $r_p$  cannot be any of  $b_0^i, a_1^i, b_1^i, a_2^i$  by parts (5) and (6) of Lemma 3. Summarizing, each vertex with positive charge is a vertex matched in  $M$  that belongs to some  $q \in Q$ .

What is left to show is that if  $q \in Q$  is an augmenting path on  $2\ell + 3 \geq 7$  edges, then at most  $2\ell$  vertices of  $q$  get assigned a positive charge. Let  $q$  be the path  $\alpha_0 - \beta_0 - \alpha_1 - \dots - \beta_\ell - \alpha_{\ell+1} - \beta_{\ell+1}$  where the edges  $(\alpha_i, \beta_{i-1}) \in M$  for  $i = 1, \dots, \ell + 1$ . As our charging scheme assigns positive charge only to matched vertices, both  $\alpha_0$  and  $\beta_{\ell+1}$  have charge 0. We will now show that neither  $\beta_0$  nor  $\alpha_{\ell+1}$  can be assigned positive charge. We first show that neither  $\beta_0$  nor  $\alpha_{\ell+1}$  can be the vertex  $r_p$  for any  $p \in \mathcal{P}$ . We claim that if  $\beta_0$  has degree 1 in  $G'$ , then  $M(\beta_0) = \alpha_1$  also has degree 1; similarly, if  $\alpha_{\ell+1}$  has degree 1 in  $G'$ , then  $M(\alpha_{\ell+1}) = \beta_\ell$  also has degree 1. Assuming this claim, it is easy to see that neither  $\beta_0$  nor  $\alpha_{\ell+1}$  can be the degree 1 vertex which is the matched endpoint of an alternating path starting from an unmatched vertex.

We now prove the above claim. We know that  $\beta_0$  must have rejected at least one proposal from the unmatched man  $\alpha_0$ , so  $\beta_0$  must have 2 proposals at the end of the algorithm. We know that  $\beta_0$  has at least one proposal from  $\alpha_1$  since  $(\alpha_1, \beta_0)$  is in  $G'$ . So if  $\beta_0$  has degree 1 in  $G'$ , then both her proposals must be from  $\alpha_1$ , in other words,  $\alpha_1$  also has degree 1 in  $G'$ . Similarly,  $\alpha_{\ell+1}$  has an unmatched woman  $\beta_{\ell+1}$  on his preference list – so he must have both of his proposals accepted at the end of the algorithm. We know that  $\beta_\ell$  has accepted at least one of these proposals since  $(\alpha_{\ell+1}, \beta_\ell)$  is in  $G'$ . So if  $\alpha_{\ell+1}$  has degree 1 in  $G'$ , then both of his proposals have been accepted by  $\beta_\ell$ , in other words,  $\beta_\ell$  also has degree 1 in  $G'$ .

We now show that if  $\beta_0 = b_p$  for some  $p \in \mathcal{P}$ , then  $\text{cost}(p) = 0$ . If  $\beta_0 = b_p$ , then we cannot have the arc  $(y_i, \beta_0)$  in  $H$  for any  $y$ -node  $y_i$  since such an arc in  $H$  implies the edge  $(a_2^i, \beta_0)$  is in  $G'$  and we contradict Lemma 2 by substituting  $a = \alpha_0$ ,  $b = \beta_0$ ,  $a' = a_2^i$ , and  $b' = b_2^i$ . So if  $\beta_0 = b_p$  for some  $p \in \mathcal{P}$ , then  $p$  has no  $y$ -nodes (it is a type-2 path) and hence has the structure:  $a_p - x_i - \dots - x_j - \beta_0$ . We now argue that there cannot be a critical arc in  $p$ . The only candidate for a critical arc here is  $(x_j, \beta_0)$  and for this to be critical, we need  $a_1^j$  to prefer  $\beta_0$  to  $b_1^j$ . However this contradicts Lemma 2 by substituting  $a = \alpha_0$ ,  $b = \beta_0$ ,  $a' = a_1^j$ , and  $b' = b_1^j$ . Thus  $p$  cannot be the  $f$ -image of any element in  $[t]$ . Hence we have  $\text{charge}(\beta_0) = 0$ .

We now show that if  $\alpha_{\ell+1} = a_p$  for some  $p \in \mathcal{P}$ , then  $\text{charge}(a_p) = 0$ . If  $\alpha_{\ell+1} = a_p$ , then we cannot have the arc  $(\alpha_{\ell+1}, x_i)$  in  $H$  for any  $x$ -node  $x_i$  since such an arc in  $H$  implies the edge  $(\alpha_{\ell+1}, b_0^i)$  is in  $G'$  and we contradict Lemma 2 by substituting  $a = a_0^i$ ,  $b = b_0^i$ ,  $a' = \alpha_{\ell+1}$ , and  $b' = \beta_{\ell+1}$ . So if  $\alpha_{\ell+1} = a_p$  for some  $p \in \mathcal{P}$ , then  $p$  has no  $x$ -nodes, i.e., it is a type-1 path. Recall that for a type-1 path  $p$ , the entire cost of  $p$  was assigned completely to the *woman* associated with  $p$ , i.e., to  $b_p$  if this is a matched vertex, else to  $r_p$ . Thus  $\text{charge}(\alpha_{\ell+1}) = 0$ . This finishes the proof of Lemma 9.  $\square$

### 3 Algorithm for two-sided ties of length 2

We now move to the domain of two-sided ties, i.e., both men and women in  $G$  may have ties in their preference lists; however each tie has length 2. We now present our algorithm to compute a stable matching  $M'$  in  $G$  such that  $|\text{OPT}|/|M'| \leq 10/7$ , where OPT is a maximum cardinality stable matching in  $G$ .

This algorithm bears similarity to our previous algorithm, however there are some important differences as well. We inherit the notions of basic/1-promoted/2-promoted levels in status for men from our previous algorithm (see the early part of Section 2). Our algorithm describing how men propose and women decide is given in Fig. 3.

*How men propose.* As before, every  $a \in A$  has two proposals  $p_a^1$  and  $p_a^2$  and  $a$  makes each of these proposals to the women on his preference list in a round-robin manner. We again use a rejection history  $r_a$  to record the women in  $a$ 's list who have rejected his proposals in his current status. We now describe how proposals are made to the two women of the same rank in  $a$ 's list.

Let  $t_a^i$  be the rank of the next target woman for proposal  $p_a^i$ . If there is exactly one woman of rank  $t_a^i$  who is not in the rejection history  $r_a$ , then proposal  $p_a^i$  is made to this woman. If there are two women  $b$  and  $b'$  of rank  $t_a^i$  and neither is in the

rejection history  $r_\alpha$ , then one of them, say  $b$ , is chosen arbitrarily. In our algorithm, it is possible that  $b$  *bounces* or *forwards*  $a$ 's proposal to  $b'$  without actually rejecting him. This step is described in detail below.

*How women decide.* A woman accepts a new proposal unconditionally if she currently has less than two proposals. Suppose a woman  $b$  receives a third proposal  $p_\alpha^i$  when she already has two proposals, call these  $p_{a'}^{i'}$  and  $p_{a''}^{i''}$ . Recall that in the algorithm in Fig. 1,  $b$  rejected the *least desirable* among these three proposals. In our current algorithm,  $b$  does not do this step right away. She first runs the *bounce* step and if this is not successful, then she runs the *forward* step.

- The bounce step: this works for  $\alpha \in \{a, a', a''\}$  if there is a woman  $b'$  tied with  $b$  in  $\alpha$ 's preference list such that  $b'$  has less than two proposals. The woman  $b$  checks if the bounce step works for any of  $a, a', a''$  (in no particular order). If so, then  $b$  *bounces* that man's proposal to  $b'$  and the bounce step is successful.
- The forward step: for this to work, it is necessary that among the 3 proposals that  $b$  currently has, two of them are from the same man  $\alpha$ . If there is a woman  $b'$  tied with  $b$  in  $\alpha$ 's preference list such that  $b' \notin r_\alpha$ , then the forward step is successful, i.e.,  $b$  *forwards* the proposal  $p_\alpha^1$  to  $b'$  and the algorithm continues by letting man  $\alpha$  make proposal  $p_\alpha^1$  to  $b'$ .

It is important to note that in both the bounce step and the forward step, woman  $b$  does not actually reject  $\alpha$ , i.e.,  $b$  is not added to the rejection history of  $r_\alpha$ . If neither the bounce step nor the forward step works for any of  $a, a', a''$ , then  $b$  rejects any of the least desirable proposals among  $p_\alpha^i, p_{a'}^{i'}, p_{a''}^{i''}$ . Here the definition of “least desirable” is the same as in Definition 1, except that part (4) of this definition does not apply here.

In the special case when  $a, a', a''$  are tied in  $b$ 's preference list, then it has to be the case that two of these 3 men are the same, i.e.,  $a = a'$  or  $a' = a''$  or  $a = a''$ , since each tie has length 2; in this case we let  $b$  reject one of the two proposals from the same man.

We now describe what it means for a proposal to be rejected. Say the proposal  $p_\alpha^j$  is rejected by woman  $b$ . Then the following steps are run:

- $b$  is added to the rejection history  $r_\alpha$  of  $\alpha$ .
- In case  $r_\alpha = N(\alpha)$  (the entire neighborhood of  $\alpha$  in  $G$ )
  - if  $\alpha$  is basic then he becomes 1-promoted;  $r_\alpha = \emptyset$
  - if  $\alpha$  is 1-promoted then he becomes 2-promoted;  $r_\alpha = \emptyset$
  - if  $\alpha$  is 2-promoted then he gives up.

This finishes the description of our algorithm. Since a bounce step causes a woman who currently has less than two proposals to receive a new proposal, it is easy to see that the number of bounce steps is at most  $2|B|$ . The number of reject steps is also linear. Lemma 10 bounds the total number of forward steps in the algorithm.

**Lemma 10** *The total number of forward steps in the entire algorithm is  $O(|E|)$ .*

*Proof* A forward step implies a man  $\alpha$  has to transfer his proposal  $p_\alpha^1$  from woman  $b$  to woman  $b'$  (who already has 2 proposals). Currently both  $p_\alpha^1$  and  $p_\alpha^2$  are made to  $b$ .

For all men  $a \in A$ ,  $t_a^1 := t_a^2 := 1$ ;  $r_a := \emptyset$ .  
 $\{r_a \text{ is the rejection history of man } a; t_a^i \text{ is the rank of the next woman targeted by proposal } p_a^i.\}$   
**while** some man  $a \in A$  has his proposal  $p_a^i$  not accepted by a woman and he has not given up **do**  
  **if** there is a woman  $b$  of rank  $t_a^i$  on  $a$ 's list and  $b \notin r_a$  **then**  
    – propose( $p_a^i, b$ )  
  **else**  
    – if  $t_a^i$  is the worst rank on  $a$ 's list, then set  $t_a^i = 1$ ; else set  $t_a^i = t_a^i + 1$   
  **end if**  
**end while**

**Procedure** propose( $p_a^i, b$ )  
–  $a$  makes his proposal  $p_a^i$  to  $b$   
**if**  $b$  has at most two proposals now (incl.  $p_a^i$ ) **then**  
  –  $b$  accepts  $p_a^i$   
**else**  
  – let these three proposals with  $b$  be  $p_a^i, p_{a'}^{i'}$  and  $p_{a''}^{i''}$   
  **if** the bounce step works for some  $\alpha \in \{a, a', a''\}$  **then**  
    – propose( $p_\alpha^j, b'$ )  
     $\{\exists b' \text{ tied with } b \text{ on } \alpha\text{'s list with less than 2 proposals} - \text{now } b' \text{ receives } p_\alpha^j \in \{p_a^i, p_{a'}^{i'}, p_{a''}^{i''}\}\}$   
  **else if** two of  $a, a', a''$  are the same man (call him  $\alpha$ ) and  $\exists b'$  tied with  $b$  on  $\alpha$ 's list and  $b' \notin r_\alpha$  **then**  
    – propose( $p_\alpha^1, b'$ )  
     $\{\text{this is the "forward" step: } b' \text{ receives the proposal } p_\alpha^1\}$   
  **else**  
    **if** all 3 proposals are "least desirable" **then**  
      – reject  $p_\alpha^1$  where  $\{p_\alpha^1, p_\alpha^2\} \subset \{p_a^i, p_{a'}^{i'}, p_{a''}^{i''}\}$   
       $\{\text{two of these 3 equally least desirable proposals have to be from the same man } \alpha \text{ and } p_\alpha^1 \text{ is rejected}\}$   
    **else**  
      – reject any of the least desirable proposals  
    **end if**  
  **end if**  
**end if**

**Fig. 3** A description of proposals/disposals in our algorithm when ties have length 2

Once  $p_\alpha^1$  is transferred to  $b'$ , it will not be possible for  $b$  to run the forward step on  $\alpha$  again unless  $\alpha$ 's status changes. Since  $b$  will continue to have 2 proposals henceforth, it is not possible for  $b'$  to bounce  $p_\alpha^1$  to  $b$ . For  $b'$  to forward  $p_\alpha^1$  back to  $b$ , it is necessary for  $b'$  to have both  $p_\alpha^1$  and  $p_\alpha^2$ . Since  $b$  cannot bounce  $p_\alpha^2$  to  $b'$ , it means that  $b$  should have rejected  $p_\alpha^2$  at some point earlier, which means  $b \in r_\alpha$  and so  $p_\alpha^1$  cannot be forwarded to  $b$  while  $a$ 's status remains the same.

It is possible that  $b'$  rejects  $p_\alpha^1$  and this proposal is again made to  $b$  – however  $b$  cannot forward  $p_\alpha^1$  to  $b'$  now since  $b' \in r_\alpha$ . Thus for every  $(\alpha, b) \in E$ , there are at most 3 forward steps (corresponding to basic/1-promoted/2-promoted levels in  $\alpha$ 's status).  $\square$

Let the vector  $x = (x_e, e \in E) \in \{0, 0.5, 1\}^{|E|}$  be defined as before, i.e.,  $x_{ab} = 1$  or 0.5 or 0 if the number of proposals that  $b$  accepts from  $a$  is 2 or 1 or 0, respectively. Let  $G'$  be the subgraph of  $G$  containing all those edges  $e$  such that  $x_e > 0$ . The maximum degree in  $G'$  is 2.

Let  $M'$  be a maximum cardinality matching in  $G'$  that matches all degree 2 vertices. It is easy to see that given  $G'$ , the matching  $M'$  can be computed in linear time.

Thus it follows that our entire algorithm to compute  $M'$  in  $G$  runs in linear time. In the following, we show that  $M'$  is stable and it is a  $10/7$  approximation, thereby proving Theorem 2.

### 3.1 Analysis of the above algorithm

Propositions 3 and 4 follow from our algorithm. The stability of  $M'$  (shown in Lemma 11) follows from these propositions.

**Proposition 3** *If woman  $b$  rejects proposal  $p_a^i$  at some point in the algorithm, then from this point till the end of the algorithm,  $b$  has two proposals  $p_{a'}^{i'}$  and  $p_{a''}^{i''}$  from men  $a'$  and  $a''$  (it is possible that  $a' = a''$ ) that rank at least as high as man  $a$  in  $b$ 's list. In particular,*

1. *when  $p_a^i$  is made to  $b$ , if man  $a$  is  $\ell$ -promoted ( $\ell$  is either 1 or 2) and  $a'$  (similarly,  $a''$ ) is tied with man  $a$  in  $b$ 's list, then  $a'$  (resp.  $a''$ ) is  $\geq \ell$ -promoted at that point.*
2. *if  $a'$  (similarly,  $a''$ ) has a woman  $b'$  tied with  $b$  on the list of  $a'$  (resp.  $a''$ ), then  $b'$  currently has two proposals.*
3. *if  $a' = a''$  and  $a'$  has a woman  $b'$  tied with  $b$  on the list of  $a'$ , then  $b'$  is currently in the rejection history  $r_{a'}$ .*

**Proposition 4** *The following facts hold.*

1. *If a man (similarly, a woman) is unmatched in  $M'$ , then he has at most one proposal accepted by a woman (resp. she receives at most one proposal) during the entire algorithm.*
2. *At the end of the algorithm, if a man has less than two proposals accepted, then he is 2-promoted. Also, he must have been rejected by all women on his list as a 2-promoted man.*
3. *If a woman  $b$  on the list of the man  $a$  is unmatched in  $M'$ , then  $a$  is basic and he does not prefer  $b$  to the women accepting his proposals.*

**Lemma 11** *The matching  $M'$  is stable in  $G = (A \cup B, E)$ .*

*Proof* Let  $(a, b) \in M' \setminus E$ . Suppose man  $a$  is unmatched in  $M'$ . By (1)-(2) of Proposition 4,  $a$  is 2-promoted and every woman on his list rejected at least one of his proposals. As this includes  $b$ , we know from Proposition 3 that  $b$  has two proposals from men ranking at least as high as  $a$  on her list and  $b$  is matched in  $M'$  with one of them. So  $(a, b)$  does not block  $M'$ .

Suppose  $a$  is matched in  $M'$  and let  $(a, b') \in M'$ . It follows from our algorithm that  $a$  must have been rejected by all women  $b$  ranking higher than  $b'$  on his list. By Proposition 3.1,  $b$  has two proposals from men ranking at least as high as  $a$  and she is matched in  $M'$  with one of them. So  $(a, b)$  does not block  $M'$ .  $\square$

*Bounding the size of  $M'$* 

Let  $\text{OPT}$  be a maximum cardinality stable matching in  $G$ . Our method to bound  $|M|$  in terms of  $|\text{OPT}|$  is similar to the method used in Section 2.1. We can however strengthen several of the lemmas to obtain an improved approximation ratio here.

We start with Lemma 12. Observe that this lemma immediately implies that there is no length-3 augmenting path in  $M' \oplus \text{OPT}$ .

**Lemma 12** *Suppose  $(a, b)$  and  $(a', b')$  are in  $\text{OPT}$ , where  $a$  is unmatched in  $M'$  and  $a'$  is not 2-promoted. If  $b'$  is unmatched in  $M'$  or  $a'$  prefers  $b$  to  $b'$ , then  $(a', b)$  cannot be in  $G'$ .*

*Proof* We prove this lemma by contradiction. Suppose  $(a', b) \in G'$ . By (1)-(2) of Proposition 4,  $a$  has been rejected by  $b$  as a 2-promoted man. Then from the presence of  $(a', b)$  in  $G'$ , Proposition 3, and the fact that  $a'$  is not 2-promoted, it follows that  $b$  prefers  $a'$  to  $a$ . If  $a'$  prefers  $b$  to  $b'$ , then  $(a', b)$  blocks  $\text{OPT}$ , a contradiction.

So suppose  $b'$  is unmatched in  $M'$  and  $b'$  ranks at least as high as  $b$  on the list of  $a'$ . Using Proposition 4.3 and the presence of  $(a', b)$  in  $G'$ , it follows that  $a'$  is indifferent between  $b$  and  $b'$ . Since  $a$  is unmatched in  $M'$ , we know that  $b$  has rejected a proposal from  $a$ . Using Proposition 3.2, if  $b'$  and  $b$  are tied on the list of  $a'$ , then  $b'$  has two proposals till the end of the algorithm. Then Proposition 4.1 implies that  $b'$  is matched in  $M'$ , a contradiction.  $\square$

Let  $R = \{\rho_1, \dots, \rho_t\}$  be the set of all the length-5 augmenting paths in  $M' \oplus \text{OPT}$ , where  $\rho_i$  is  $a_0^i - b_0^i - a_1^i - b_1^i - a_2^i - b_2^i$ , for  $1 \leq i \leq t$ . Lemma 13 lists properties of vertices in  $\rho_i$ , for any  $i$ .

**Lemma 13** *If  $\rho_i = a_0^i - b_0^i - a_1^i - b_1^i - a_2^i - b_2^i$  is a length-5 augmenting path in  $M' \oplus \text{OPT}$ , then*

1.  $a_0^i$  is 2-promoted and has been rejected by  $b_0^i$  as a 2-promoted man.
2.  $a_1^i$  is not 2-promoted and he ranks  $b_1^i$  at least as high as  $b_0^i$ .
3.  $a_2^i$  is basic and he ranks  $b_1^i$  at least as high as  $b_2^i$ .
4. In  $G'$ ,  $b_0^i$  has degree 1 if and only if  $a_1^i$  has degree 1.
5. In  $G'$ ,  $b_1^i$  has degree 1 if and only if  $a_2^i$  has degree 1.

*Proof* Parts (1) and (3) follow from Proposition 4.

To show the first part of (2), suppose  $a_1^i$  is 2-promoted. To become 2-promoted,  $a_1^i$  must have been rejected by  $b_1^i$  as a 1-promoted man. We know  $b_2^i$  has received at most one proposal (by Proposition 4.1). It follows from Proposition 3.2 that  $a_2^i$  prefers  $b_1^i$  to  $b_2^i$  (otherwise a *bounce* step would have happened). It follows from Proposition 3 that either  $b_1^i$  prefers  $a_2^i$  to  $a_1^i$  or they are tied and  $a_2^i$  is also at least 1-promoted. In the former case,  $(a_2^i, b_1^i)$  blocks  $\text{OPT}$ ; in the latter case,  $a_2^i$  is not basic, contradicting part (3). This establishes the first part of (2).

For the second part of (2), suppose  $a_1^i$  prefers  $b_0^i$  to  $b_1^i$ . Then  $b_0^i$  is indifferent between  $a_0^i$  and  $a_1^i$ , otherwise either  $(a_0^i, b_0^i)$  blocks  $M'$  or  $(a_1^i, b_0^i)$  blocks  $\text{OPT}$ . As  $a_0^i$  has been rejected by  $b_0^i$  as a 2-promoted man, Proposition 3.1 implies that  $a_1^i$  is

also 2-promoted, a contradiction to the first part of (2). This completes the proof of (2).

For (4) and (5), it is enough to argue that each of  $a_1^i, a_2^i, b_0^i$  and  $b_1^i$  either has two proposals accepted or accept two proposals. Since  $b_0^i$  rejected a proposal from  $a_0^i$  (by part (1)), it follows from Proposition 3 that  $b_0^i$  receives two proposals. Next observe that  $b_0^i$  receives a proposal from  $a_1^i$  and the latter ranks  $b_1^i$  at least as high as  $b_0^i$ . If  $a_1^i$  prefers  $b_1^i$  to  $b_0^i$ , then  $b_1^i$  must have rejected a proposal from  $a_1^i$ . Then by Proposition 4,  $b_1^i$  must have two proposals. If  $b_1^i$  and  $b_0^i$  are tied on the list of  $a_1^i$ , the fact that  $b_0^i$  rejects  $a_0^i$  while ending up with a proposal from  $a_1^i$  implies that  $b_1^i$  has two proposals as well (Proposition 3.2). Finally, we know that neither  $a_1^i$  nor  $a_2^i$  is 2-promoted. It now follows from Proposition 4.2 that both  $a_1^i$  and  $a_2^i$  have two proposals each accepted.  $\square$

As done in Section 2.1, we form the directed graph  $H$  from  $G'$  by first directing all edges from  $A$  to  $B$  and then contracting each  $(a_1^i, b_0^i)$  into a single node  $x_i$  and each  $(a_2^i, b_1^i)$  into a single node  $y_i$ . Parts (4) and (5) of Lemma 13 imply that  $\deg_H(x_i), \deg_H(y_i)$  are in  $\{0, 2\}$  for all  $i$ .

It is easy to see that Lemma 4 from Section 2.1 holds here as well, i.e.,  $H$  has no  $(y_i, x_j)$  arc for any  $y$ -node  $y_i$  and  $x$ -node  $x_j$ , by substituting  $a = a_0^j, b = b_0^j, a' = a_2^i$ , and  $b' = b_2^i$  in Lemma 12.

We use the notion of *critical arc* that we used in Section 2.1. Our definition now is a little stricter than Definition 3.

**Definition 4** Call an arc  $(x_i, z)$  in  $H$  *critical* if  $a_1^i$  prefers  $z$  to  $b_1^i$  (in case  $z$  is an  $x$ -node/ $y$ -node, we mean  $a_1^i$  prefers the woman in  $z$  to  $b_1^i$ ).

Lemma 5 in Section 2.1 holds here as well: if  $(x_i, z)$  is critical in  $H$ , then  $z$  cannot be an  $x$ -node.

*Proof of Lemma 5 here.* For any  $1 \leq i, j \leq t$ , if a proposal of  $a_1^i$  is accepted by a woman  $w$  that  $a_1^i$  prefers to  $b_1^i$ , then we need to show that  $w$  cannot be  $b_0^j$ . Suppose otherwise. It follows from the construction of  $H$  that  $j \neq i$ . We now contradict Lemma 12, by substituting  $a = a_0^j, b = b_0^j, a' = a_1^i$ , and  $b' = b_1^i$ . Note that we know  $a_1^i$  is not 2-promoted by Lemma 13.2.  $\square$

**Lemma 14** *In the graph  $H$ , the following statements hold:*

- (1) *If  $y_i$  is an isolated node, then there exists a critical arc  $(x_i, z)$ .*
- (2) *If  $(y_j, y_i)$  is an arc, then there exists a critical arc  $(x_i, z)$ .*
- (3) *If  $(x_j, y_i)$  is a critical arc, then there exists a critical arc  $(x_i, z)$ .*

*Proof* Let  $y_i$  be an isolated vertex in  $H$ . This means that  $b_1^i$  receives two proposals from  $a_2^i$ . We first claim that  $b_1^i$  never rejects  $a_1^i$ . Suppose otherwise. We know from Proposition 3 and the fact that  $b_1^i$  did not bounce  $a_2^i$ 's proposal to  $b_2^i$  that  $a_2^i$  prefers  $b_1^i$  to  $b_2^i$ . So  $b_1^i$  is indifferent between  $a_1^i$  and  $a_2^i$ , otherwise either  $(a_2^i, b_1^i)$  blocks OPT, or Proposition 3 is contradicted (since  $b_1^i$  rejected  $a_1^i$ ).

At the point when  $b_1^i$  rejected  $a_1^i$ , it could not be the case that  $b_1^i$ 's other two proposals were from  $a_2^i$ , since our algorithm ensures that a proposal from  $a_2^i$  gets

rejected in such a case. As ties are of length 2,  $b_1^i$  at that point has a proposal from man  $a'$  who is ranked higher than  $a_1^i$  and  $a_2^i$  on her list. By Proposition 3.2, if  $a'$  has another woman  $b'$  tied on his list with  $b_1^i$ , then  $b'$  has two proposals since  $b_1^i$  did not bounce  $a'$ 's proposal to  $b'$ . For the forward step to apply, it has to be the case both of  $a'$ 's proposals are with  $b_1^i$ . In any case, a proposal of  $a'$  must have been rejected by  $b_1^i$  at some point, since she later accepts two proposals from  $a_2^i$ . This contradicts Proposition 3 as  $a'$  ranks higher than  $a_2^i$  on  $b_1^i$ 's list.

Thus we have shown that  $b_1^i$  never rejects  $a_1^i$ . Since at least one proposal of  $a_1^i$  is accepted by  $b_0^i$  and  $b_1^i$  ranks at least as high as  $b_0^i$  on the list of  $a_1^i$  (Lemma 13.3), it must be the case that  $b_1^i$  and  $b_0^i$  are tied on  $a_1^i$ 's list (otherwise  $a_1^i$  has to get rejected by  $b_1^i$  before proposing to  $b_0^i$ ). Suppose both of  $a_1^i$ 's proposals are accepted by  $b_0^i$ . We know that  $a_0^i$  has been rejected by  $b_0^i$ . By Proposition 3.3,  $b_1^i$  has to be in the rejection history of  $a_1^i$  at the point when  $a_0^i$  is rejected by  $b_0^i$ , contradicting that  $b_1^i$  never rejects  $a_1^i$ . So exactly one of the proposals of  $a_1^i$  is accepted by  $b_0^i$ . Since ties are of length 2, there has to be a woman  $w$  who ranks higher than  $b_1^i$  on  $a_1^i$ 's list, who accepts a proposal of  $a_1^i$ . Thus there is a critical arc  $(x_j, z)$  in  $H$ . This completes the proof of (1).

To prove (2), we again claim that  $a_1^i$  is never rejected by  $b_1^i$ . Suppose otherwise. We know that  $b_2^j$  and  $b_3^j$  receive less than two proposals each at the end of the algorithm since they are left unmatched in  $M'$ . Hence it follows from Proposition 3.2 that  $a_2^j$  prefers  $b_1^i$  to  $b_2^j$  and  $a_3^j$  prefers  $b_1^i$  to  $b_3^j$ . Furthermore,  $a_2^j$  and  $a_3^j$  rank at least as high as  $a_1^i$  on the list of  $b_1^i$  (by Proposition 3). As ties are of length 2 on the women's side, either  $a_2^j$  or  $a_3^j$  ranks higher than  $a_1^i$  on the list of  $b_1^i$ . Then one of  $(a_2^j, b_1^i)$ ,  $(a_3^j, b_1^i)$  blocks OPT, a contradiction. Thus  $b_1^i$  never rejects  $a_1^i$  and the rest of the proof is identical to the proof of part (1) above.

For (3), note that  $j \neq i$  since  $(x_j, y_i)$  is a critical arc. The proof of (3) is similar to (2), so we only sketch the outline:  $b_1^i$  never rejects  $a_1^i$ , otherwise one of  $(a_1^j, b_1^i)$ ,  $(a_2^j, b_1^i)$  blocks OPT. So  $b_1^i$  and  $b_0^i$  are tied on the list of  $a_1^i$ . It cannot happen that both proposals of  $a_1^i$  are accepted by  $b_0^i$ , since  $a_0^i$  is rejected by  $b_0^i$ . This implies (3).  $\square$

We use the notion of *good path* from Section 2.1 (see Definition 2). It is easy to see that Lemma 6 still holds here: that is, every critical arc belongs to some good path and no two good paths intersect. Let  $\mathcal{P}$  be the set of all good paths in  $H$ . Recall that there are only 3 possibilities in  $H$  for each  $y_i \in \{y_1, \dots, y_t\}$ : (1)  $y_i$  is isolated, (2)  $y_i$  is in a cycle of  $y$ -nodes, (3)  $y_i$  is in a good path.

We now define  $f : [t] \rightarrow \mathcal{P}$  as follows. For any  $i \in [t]$ :

- If  $y_i$  is either isolated or in a cycle of  $y$ -nodes, then set  $f(i) = p$ , where  $p \in \mathcal{P}$  contains the critical arc  $(x_i, z)$ . We know in both these cases (isolated/cycle of  $y$ -nodes), there is a critical arc  $(x_i, z)$  in  $H$  by parts (1) and (2) of Lemma 14, respectively.
- Otherwise  $y_i$  is in a good path  $p'$ . If  $H$  contains a critical arc  $(x_i, z)$ , then set  $f(i) = p$ , where  $p \in \mathcal{P}$  contains  $(x_i, z)$ . Otherwise we set  $f(i) = p'$ .

[note that if there is no critical arc  $(x_i, z)$  in  $H$ , then parts (2)-(3) of Lemma 14 imply that either no  $x$ -node/ $y$ -node precedes  $y_i$  in  $p'$  or the path  $p'$  has an arc  $(x_j, y_i)$  that is *not* critical – in either case  $p'$  has no critical arc]

**Lemma 15** *The function  $f$  is one-to-one.*

*Proof* Consider any  $p \in \mathcal{P}$ . By Lemma 5,  $p$  can contain at most one critical arc  $(x_i, z)$  where  $z$  is either a  $y$ -node or a blue woman. If  $p$  contains a critical arc  $(x_i, z)$ , then  $f(i) = p$  and no other index is mapped to  $p$ .

Suppose  $p$  contains no critical arc. Additionally, if  $p$  has no  $y$ -nodes, then  $p$  has no pre-image, else let  $y_j$  be the first  $y$ -node in  $p$ . The only index that can get mapped to  $p$  is  $j$ ; recall that we have  $f(j) = p$  if there is no critical  $(x_j, z)$  in  $H$ .  $\square$

Let  $Q = (M' \oplus \text{OPT}) \setminus R$ . The above lemma allows us to show a charging scheme where for any  $q \in Q$ , if  $q$  is an alternating cycle/path on  $2\ell_q$  edges or an alternating path on  $2\ell_q - 1$  edges (with  $\ell_q$  edges from  $M'$ ) or an augmenting path on  $2\ell_q + 3 \geq 7$  edges, then  $q$  pays for  $c_q \leq \ell_q$  paths in  $R$ .

We will show later such a charging scheme exists. For now, we show why this implies that  $|\text{OPT}|/|M'| \leq 10/7$ . Note that all the paths in  $R$  have been paid for in our charging scheme. So we have:

$$\frac{|\text{OPT}|}{|M'|} = \frac{\sum_{q \in Q} (|\text{OPT} \cap q| + 3c_q)}{\sum_{q \in Q} (|M' \cap q| + 2c_q)} \leq \max_{q \in Q} \frac{|\text{OPT} \cap q| + 3c_q}{|M' \cap q| + 2c_q} \leq \max_{\ell_q \geq 2} \frac{4\ell_q + 2}{3\ell_q + 1} \leq \frac{10}{7}.$$

As done in Section 2.1, we get the maximum value of the above ratio for any  $q \in Q$  by setting  $c_q$  to its largest value of  $\ell_q$  and letting  $q$  be an augmenting path of length  $2\ell_q + 3 \geq 7$  so that  $|\text{OPT} \cap q| = \ell_q + 2$  and  $|M' \cap q| = \ell_q + 1$ . Then the ratio becomes  $(\ell_q + 2 + 3\ell_q)/(\ell_q + 1 + 2\ell_q)$ , which is maximized by setting  $\ell_q = 2$ . Thus we get  $10/7$  as an upper bound for  $|\text{OPT}|/|M'|$  and Theorem 2 is proved.

### *Our charging scheme*

For any  $p \in \mathcal{P}$ , let  $\text{cost}(p) = 1$  if there is some  $i \in [t]$  such that  $f(i) = p$ , else let  $\text{cost}(p) = 0$ . We define a function  $\text{charge} : A \cup B \rightarrow \{0, 1/2\}$  now. Set  $\text{charge}(u) = 0$  for all  $u \in A \cup B$  and reset charge values for some vertices as follows. For each  $p \in \mathcal{P}$  with  $\text{cost}(p) = 1$ , do the following:

Let  $a_p$  and  $b_p$  be the endpoints of  $p$ . Since  $M'$  is a maximum cardinality matching in  $G'$ , at least one of  $a_p, b_p$  has to be matched in  $M'$ . If both are matched in  $M'$ , then assign  $\text{charge}(a_p) = \text{charge}(b_p) = 1/2$ . Otherwise form the alternating path  $L_p$  in  $G'$  with the unmatched vertex in  $\{a_p, b_p\}$  as one endpoint. Let  $r_p$  be the other endpoint of  $L_p$ ; we know that  $r_p$  has to be matched in  $M'$ . Assign  $\text{charge}(r_p) = \text{charge}(s_p) = 1/2$  where  $s_p$  is the matched vertex in  $\{a_p, b_p\}$ .

Since paths in  $\mathcal{P}$  are vertex-disjoint, every  $p \in \mathcal{P}$  has its own distinct endpoints. Also  $r_p \neq r_{p'}$  for any distinct  $p, p' \in \mathcal{P}$  (the same argument as in Lemma 9). Hence any vertex gets charged for at most one path in  $\mathcal{P}$  and we have  $\sum_{u \in A \cup B} \text{charge}(u) = t$ . It is also clear that only vertices matched in  $M'$  get assigned positive charge.

Recall that  $R$  is the set of length-5 augmenting paths in  $M' \oplus \text{OPT}$ . Our charging scheme implies that for any  $q \in Q$ , where  $Q = (M' \oplus \text{OPT}) \setminus R$ , if  $q$  is an alternating cycle/path on  $2\ell_q$  edges or an alternating path on  $2\ell_q - 1$  edges (with  $\ell_q$  edges from  $M'$ ), then  $q$  pays for  $c_q \leq 2\ell_q/2 = \ell_q$  paths in  $R$ . We will now show that if  $q = \alpha_0 - \beta_0 - \alpha_1 - \dots - \beta_\ell - \alpha_{\ell+1} - \beta_{\ell+1}$  is an augmenting path on  $2\ell + 3 \geq 7$  edges,

then  $\text{charge}(\beta_0) = 0$  and  $\text{charge}(\alpha_{\ell+1}) = 0$ . Thus our charging scheme ensures that such a  $q$  also pays for  $c_q \leq 2\ell_q/2 = \ell_q$  paths in  $R$ .

We first show that neither  $\beta_0$  nor  $\alpha_{\ell+1}$  can be the vertex  $r_p$  for any  $p \in \mathcal{P}$ . As done in the proof of Lemma 9, the following claim holds: if  $\beta_0$  has degree 1 in  $G'$ , then  $M'(\beta_0) = \alpha_1$  also has degree 1; similarly, if  $\alpha_{\ell+1}$  has degree 1 in  $G'$ , then  $M'(\alpha_{\ell+1}) = \beta_\ell$  also has degree 1. This claim immediately implies that neither  $\beta_0$  nor  $\alpha_{\ell+1}$  can be the degree 1 vertex which is the matched endpoint of an alternating path starting from an unmatched vertex.

Suppose  $\beta_0 = b_p$  for some  $p \in \mathcal{P}$ . We will show that  $\text{cost}(p) = 0$  then. For any  $y$ -node  $y_j$ ,  $H$  cannot have the arc  $(y_j, \beta_0)$ . To admit such an arc in  $H$ , we need the edge  $(a_2^j, \beta_0)$  in  $G'$ . This means that  $a_2^j$  ranks  $\beta_0$  at least as high as  $b_2^j$  (by Proposition 4.3). This contradicts Lemma 12 by substituting  $a = \alpha_0$ ,  $b = \beta_0$ ,  $a' = a_2^j$  and  $b' = b_2^j$ . So if  $\beta_0 = b_p$  for some  $p \in \mathcal{P}$ , then  $p$ 's structure has to be  $a_p - x_i - \dots - x_j - \beta_0$ , i.e., it has no  $y$ -nodes. For such a  $p$  to have positive cost, there needs to be critical arc in  $p$ . So the arc  $(x_j, \beta_0)$  has to be critical, in other words,  $a_1^j$  prefers  $\beta_0$  to  $b_1^j$ . We again contradict Lemma 12 by substituting  $a = \alpha_0$ ,  $b = \beta_0$ ,  $a' = a_1^j$  and  $b' = b_1^j$ . Hence  $\text{charge}(\beta_0) = \text{cost}(p) = 0$ .

Suppose  $\alpha_{\ell+1} = a_p$  for some  $p \in \mathcal{P}$ . For any  $x$ -node  $x_j$ ,  $H$  cannot have the arc  $(\alpha_{\ell+1}, x_j)$  as that means  $(\alpha_{\ell+1}, b_0^j)$  is in  $G'$ . This contradicts Lemma 12 by substituting  $a = a_0^j$ ,  $b = b_0^j$ ,  $a' = \alpha_{\ell+1}$  and  $b' = \beta_{\ell+1}$ . So if  $\alpha_{\ell+1} = a_p$  for some  $p \in \mathcal{P}$ , then  $p$  has no  $x$ -nodes; so  $p$ 's structure is  $\alpha_{\ell+1} - y_j - \dots - b_p$ . For such a path  $p$  to have positive cost, it has to be the case that there is *no* critical arc  $(x_j, z)$  in  $H$ . We will show such an arc, in fact, exists, hence  $\text{cost}(p) = 0$ .

The edge  $(\alpha_{\ell+1}, b_1^j)$  has to be in  $G'$  since  $(\alpha_{\ell+1}, y_j)$  is in  $H$ . If  $b_1^j$  ever rejects  $a_1^j$ , then both  $a_2^j$  and  $\alpha_{\ell+1}$  rank at least as high as  $a_1^j$  on  $b_1^j$ 's list (by Proposition 3). As ties are of length 2,  $b_1^j$  prefers at least one of them to  $a_1^j$ . Note that both  $\beta_{\ell+1}$  and  $b_2^j$  have less than two proposals as they are unmatched in  $M'$ . Then Proposition 3 and Proposition 4.3 imply that  $\alpha_{\ell+1}$  prefers  $b_1^j$  to  $\beta_{\ell+1}$  and  $a_2^j$  prefers  $b_1^j$  to  $b_2^j$ . So one of  $(\alpha_{\ell+1}, b_1^j)$ ,  $(a_2^j, b_1^j)$  blocks OPT, a contradiction.

So  $b_1^j$  never rejects  $a_1^j$ . The rest of the proof is similar to the argument seen in the proof of Lemma 14.1: so  $b_1^j$  and  $b_0^j$  are tied on the list of  $a_1^j$ , it cannot happen that both proposals of  $a_1^j$  are accepted by  $b_0^j$ , since  $a_0^j$  is rejected by  $b_0^j$ . Hence there is a woman  $w$  ranked better than  $b_1^j$  who accepts a proposal of  $a_1^j$ . Thus there is a critical arc  $(x_j, z)$  in  $H$ , so  $\text{charge}(\alpha_{\ell+1}) = \text{cost}(p) = 0$ . This completes the proof of correctness of our charging scheme.

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