

*Aisenstadt Chair Course*  
*CRM September 2009*

**Part III**  
**Super-Resolution with**  
**Sparsity**

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# Super-Resolution with Sparsity

- ***Dream***: recover high-resolution data from low-resolution noisy measurements:
  - Medical imaging
  - Satellite imaging
  - Seismic exploration
  - High Definition Television or Camera Phones
- Can we improve the signal resolution ?
- Sparsity as a tool to incorporate prior information.

# Inverse Problems

- Measure a noisy and low resolution signal:

$$Y = Uf + W$$

with  $f \in \mathbf{C}^N$  and  $\dim(\mathbf{Im}U) = Q < N$ .

- Inverse problems: compute an estimation

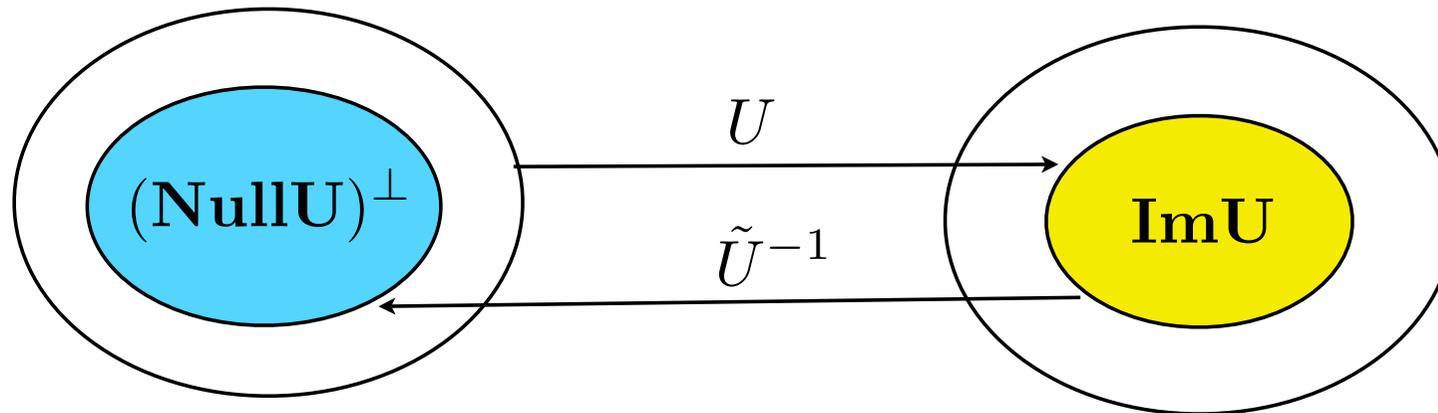
$$\tilde{F} = DY$$

and minimize the risk:  $r(D, f) = E\{\|\tilde{F} - f\|^2\}$

- Super-resolution estimation:  $\tilde{F}$  is computed in a space of dimension  Is it possible, how ?

# Regularized Inversion

To estimate  $f$  from  $Y = Uf + W$  invert  $U$ !

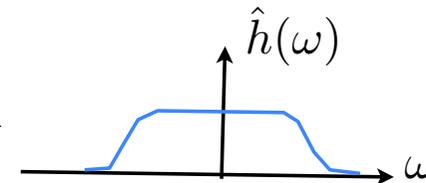


*Pseudo inverse:*

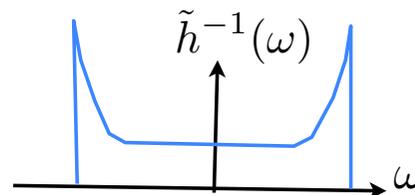
$$\tilde{U}^{-1}Uf = f \quad \text{if} \quad f \in (\mathbf{Null}U)^\perp$$

$$\tilde{U}^{-1}g = 0 \quad \text{if} \quad g \in (\mathbf{Im}U)^\perp$$

**Deconvolution:**  $Uf = f * h$  with



$$\tilde{U}^{-1}f = f * \tilde{h}^{-1}$$
 with



# Regularization and Denoising

$$\tilde{U}^{-1}Y = \tilde{U}^{-1}Uf + \tilde{U}^{-1}W$$

**Problems:**  $\tilde{U}^{-1}Uf \in (\mathbf{Null}U)^\perp$  no super-resolution

$\|\tilde{U}^{-1}W\|$  is huge if  $\tilde{U}^{-1}$  is not bounded.

Regularized inversion includes a noise reduction with a projection in a space  $\mathbf{V}$  :

$$\tilde{F} = R(\tilde{U}^{-1}Y) \in \mathbf{V}$$

Optimizing  $R$  requires prior information.

**No super-resolution** :  $\dim(\mathbf{V}) \leq Q$ .

# Singular Value Decompositions

- Basis of singular vectors  $\{e_k\}_{1 \leq k \leq N}$  diagonalizes  $U^*U$ :

$$U^*U e_k = \lambda_k^2 e_k$$

- Diagonal denoising over the singular basis:

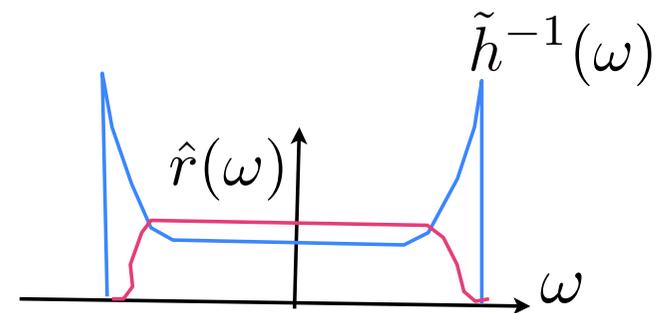
$$\tilde{F} = R(\tilde{U}^{-1}Y) = \sum_{k=0}^{N-1} r_k \langle \tilde{U}^{-1}Y, e_k \rangle e_k .$$

$$\text{Since } \langle \tilde{U}^{-1}Y, e_k \rangle = \lambda_k^{-2} \langle Y, U e_k \rangle$$

$$r_k = \frac{1}{1 + \sigma^2 \lambda_k^{-2}} \text{ yields } \tilde{F} = \sum_{k=0}^{N-1} \frac{\langle Y, U e_k \rangle}{\lambda_k^2 + \sigma^2} e_k .$$

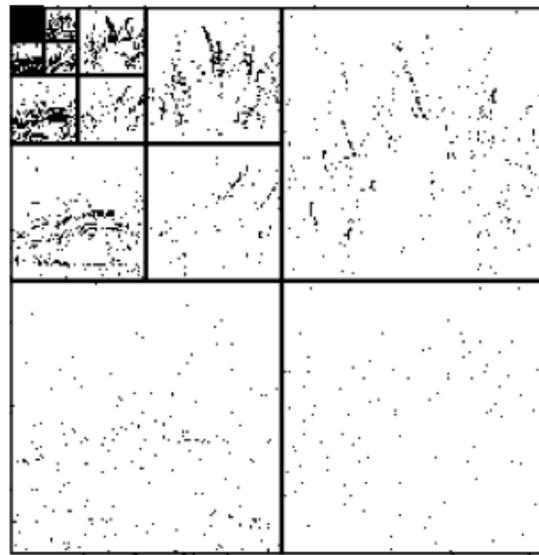
**Linear filtering of deconvolution:**

$$R(\tilde{U}^{-1}Y) = \tilde{U}^{-1}Y * r$$



# Denoising by Thresholding

Non linear projector adapted to the signal:



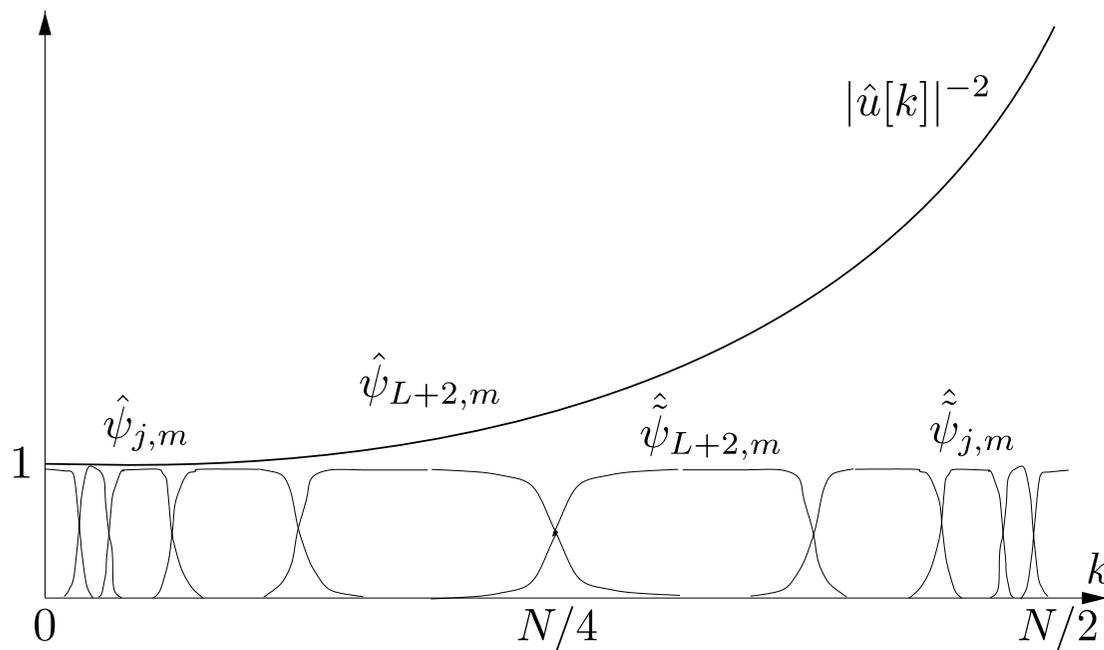
Threshold  $T = 3\sigma$  where  $\sigma^2$  is the noise variance.

# Thresholding for Inverse Problems

- Remove noise from  $\tilde{U}^{-1}Y = \tilde{U}^{-1}Uf + \tilde{U}^{-1}W$  with a thresholding estimator.
- Optimal in a basis  $\{\phi_p\}_{p \in \Gamma}$  providing a sparse representation of  $f$  and which decorrelates the noise coefficients  $\langle \tilde{U}^{-1}W, \phi_p \rangle$ .
- The dictionary vectors  $\phi_p$  must be almost eigenvectors of  $U^*U$ , they must have a narrow spectrum:

$$\phi_p = \sum_{k \in S_p} \langle \phi_p, e_k \rangle e_k \quad \text{with} \quad \lambda_k^2 \sim \tilde{\lambda}_p^2 \quad \text{for} \quad k \in S_p$$

# Satellite Image Deconvolution



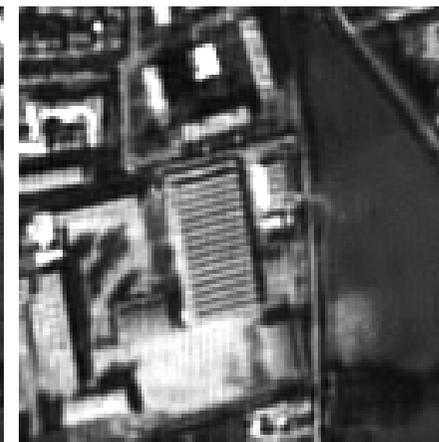
Original



Smoothed



Linear Wiener

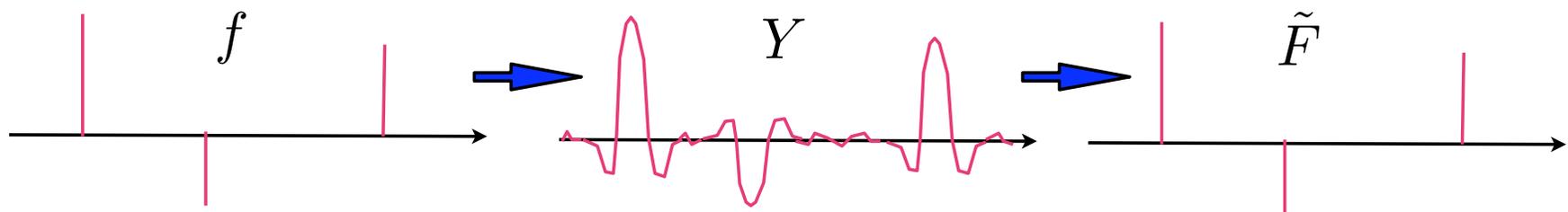
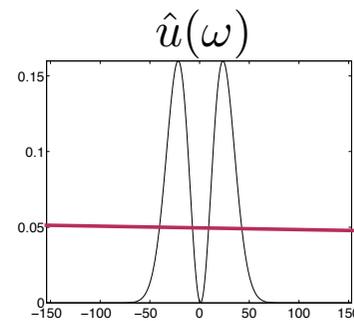
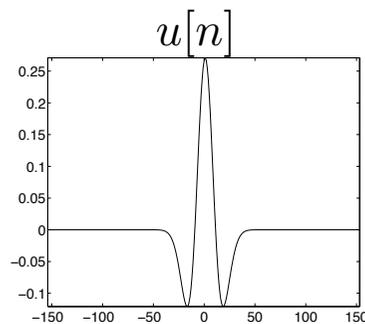


Thresholding

# Sparse Spike Deconvolution

Seismic data:  $Y = f * u + W$  with  $f[n] = \sum_{p \in \Lambda} a[p] \delta[p - n]$

$$Y[q] = \sum_{p \in \Lambda} a[p] u[q - n] + W[q]$$



Super-resolution inversion by detection of the sparse support

# Sparse Super-Resolution

- **Prior information:**  $f$  has a sparse approximation in a normalized dictionary  $\mathcal{D} = \{\phi_p\}_{p \in \Gamma}$  of at least  $N$  vectors

$$f = \sum_{p \in \Lambda} a[p] \phi_p + \epsilon_\Lambda$$

with a small error  $\|\epsilon_\Lambda\|$ .

It results that

$$Y = Uf + W = \sum_{p \in \Lambda} a[p] U\phi_p + (U\epsilon_\Lambda + W)$$

has a sparse approximation in the **redundant dictionary**

$$\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$$

in the space  $\mathbf{Im}U$  of dimension  $Q \leq N$

# Sparse Super-Resolution

- A sparse approximation of  $Y$  is computed in  $\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$

$$Y_{\tilde{\Lambda}} = \sum_{p \in \tilde{\Lambda}} \tilde{a}[p] U\phi_p$$

with a pursuit algorithm. A basis pursuit minimizes the Lagrangian:

$$\|Y - \sum_{p \in \Gamma} \tilde{a}[p] U\phi_p\|^2 + \lambda \sum_{p \in \Gamma} |\tilde{a}[p]|$$

and  $\tilde{\Lambda}$  is the support of  $\tilde{a}$ .

- It yields a signal estimator  $\tilde{F} = \sum_{p \in \tilde{\Lambda}} \tilde{a}[p] \phi_p$

using prior information which recovers  $\phi_p$  from each  $U\phi_p$  .

# Error and Exact Recovery

- From the sparse decomposition of  $Y = f + W$

$$Y_{\tilde{\Lambda}} = \sum_{p \in \tilde{\Lambda}} \tilde{a}[p] U \phi_p$$

since  $f = \sum_{p \in \Lambda} a[p] \phi_p + \epsilon_{\Lambda}$

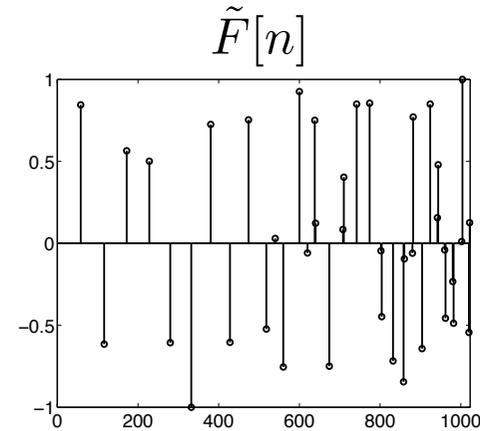
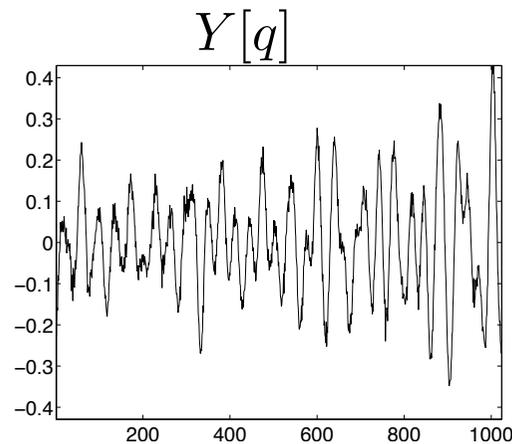
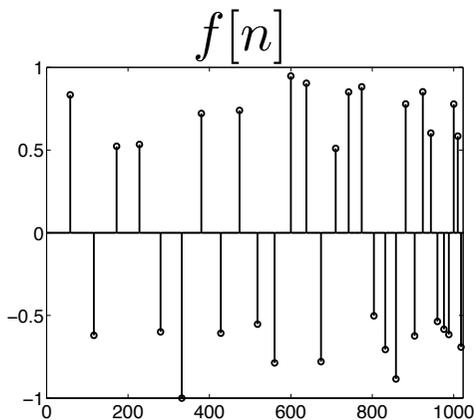
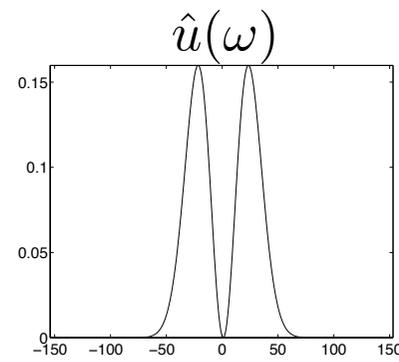
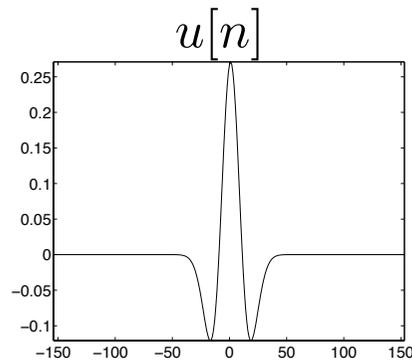
$$\|f - \tilde{F}\| \leq \left\| \sum_{p \in \tilde{\Lambda}} \tilde{a}[p] \phi_p - \sum_{p \in \Lambda} a[p] \phi_p \right\| + \|\epsilon_{\Lambda}\| .$$

- Small error if  $\tilde{\Lambda}$  includes  $\Lambda$  and if  $\{U \phi_p\}_{p \in \tilde{\Lambda}}$  is a Riesz basis.
- Exact recovery in the redundant dictionary  $\mathcal{D}_U = \{U \phi_p\}_{p \in \Gamma}$
- Super-resolution: if  $\Lambda$  is not restricted to a space of dimension  $Q$ .

# Sparse Spike Deconvolution

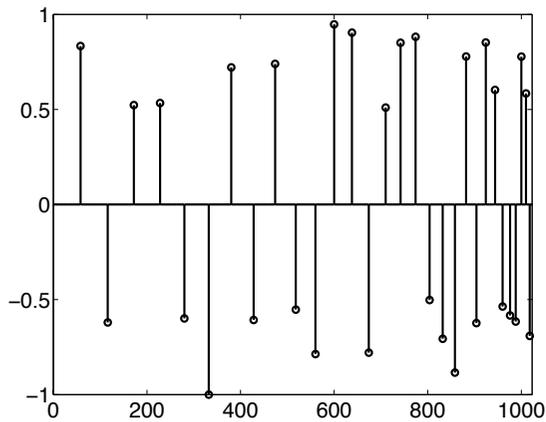
Seismic data:  $Y = f * u + W$  with  $f[n] = \sum_{p \in \Lambda} a[p] \delta[p - n]$

$\phi_p[n] = \delta[p - n]$  ,  $U\phi_p[q] = u[q - n]$  ,  $\tilde{F}[n] = \sum_{p \in \tilde{\Lambda}} \tilde{a}[p] \delta[n - p]$

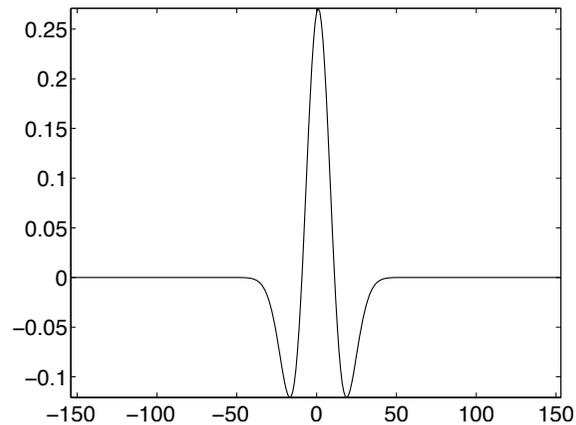


# Comparison of Pursuits

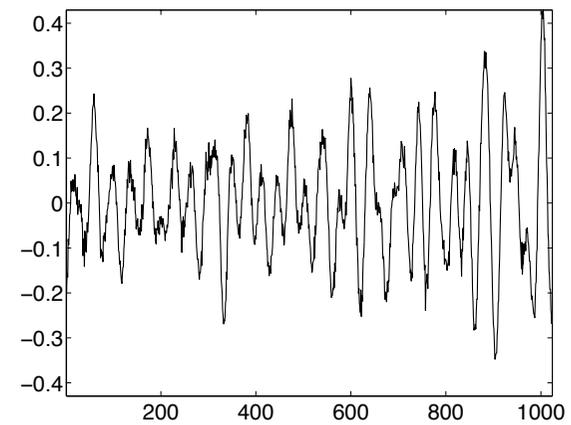
Original



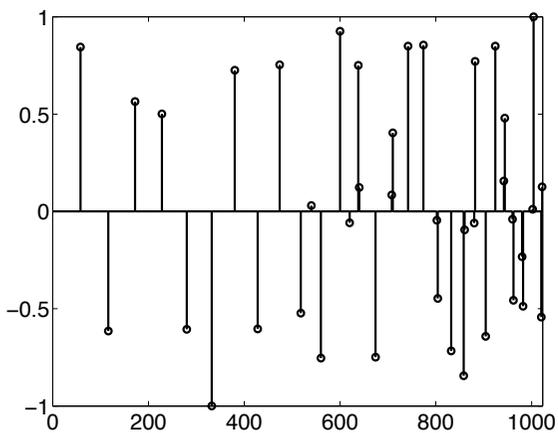
Seismic wavelet



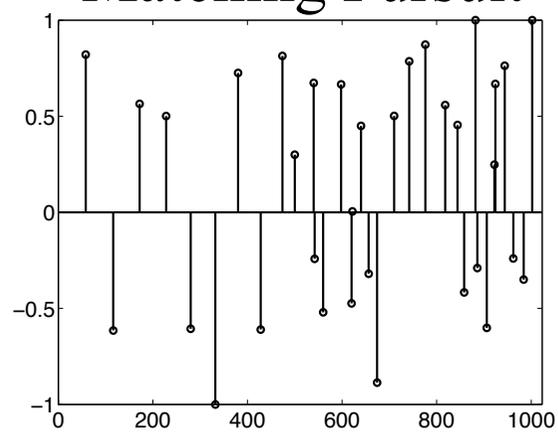
Seismic trace



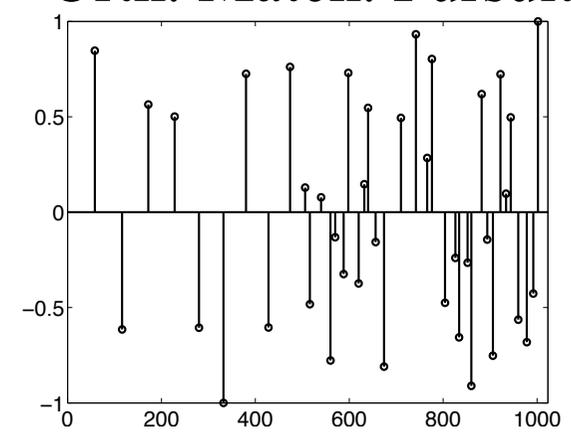
Basis Pursuit



Matching Pursuit



Orth. Match. Pursuit



# Conditions for Super-resolution

- The signal approximation support  $\Lambda$  should be small.
- Stability:  $\{U\phi_p\}_{p \in \Lambda}$  must be a Riesz basis  
 $\|U\phi_p\|$  should not be too small.
- Hence the  $\phi_p$  must have a “spread spectrum” relative to  $U^*U$ .
- Support recovery: the dictionary  $\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$  must be as incoherent as possible.
- Exact recovery criteria:  $ERC(\Lambda) < 1$ .

# Image Inpainting

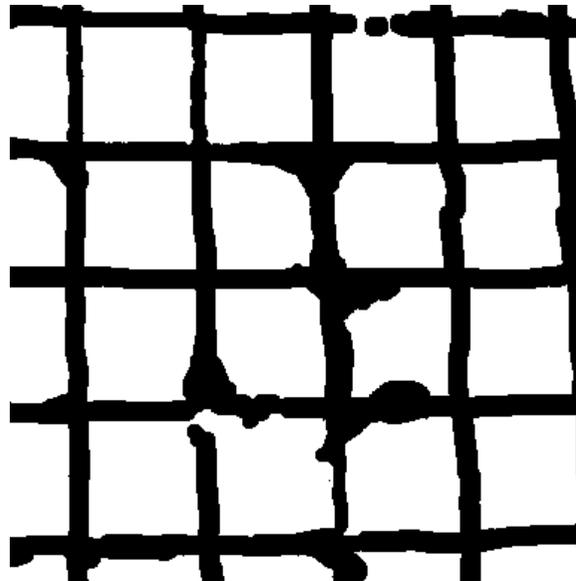
$$Uf[q] = f[q] \text{ for } q \in \Omega \text{ with } |\Omega| = Q < N$$

Super-resolution in a wavelet dictionary  $\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$

Original



Support of  $\Omega$



Super-resolution



# Image Inpainting

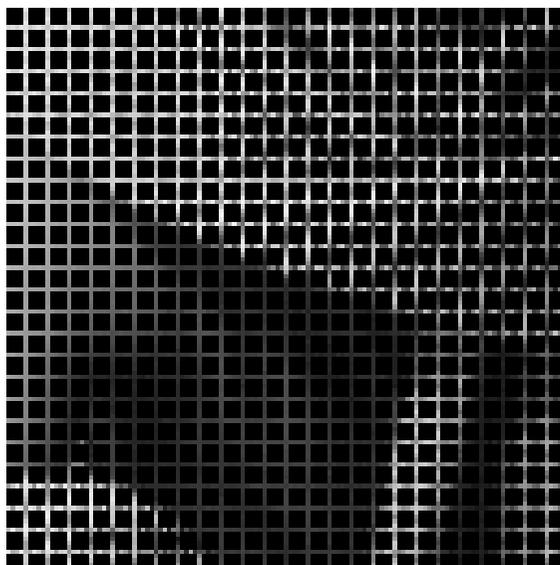
$$Uf[q] = f[q] \text{ for } q \in \Omega \text{ with } |\Omega| = Q < N$$

Wavelet and local cosinedictionary  $\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$

$$Y = Uf + W$$

Linear estimation

Super-resolution



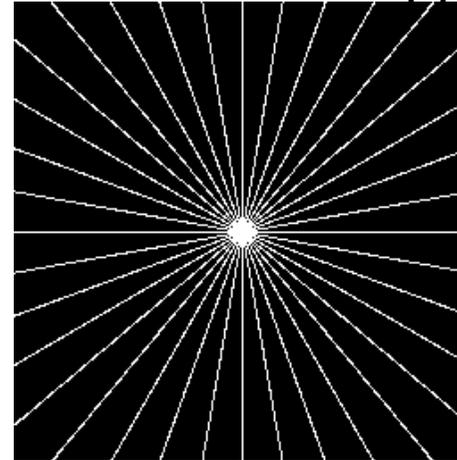
# Tomography

$U$  is a Radon transform which integrates along straight lines.

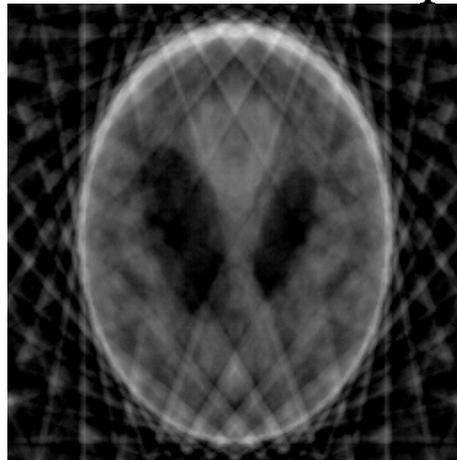
Original



Radon Fourier Support



Linear Back Prop.



Haar super-resol.



# Super-Resolution Zooming

- Need to increase numerically acquired image resolution:
  - Conversion to HDTV of SDTV, Internet and Mobile videos...

## Size increase:

60 images of 720 x 576 pixels = 320

- **Spatial deinterlacing and up-scaling**

- up to 8 times more pixels  
PAL/NTSC

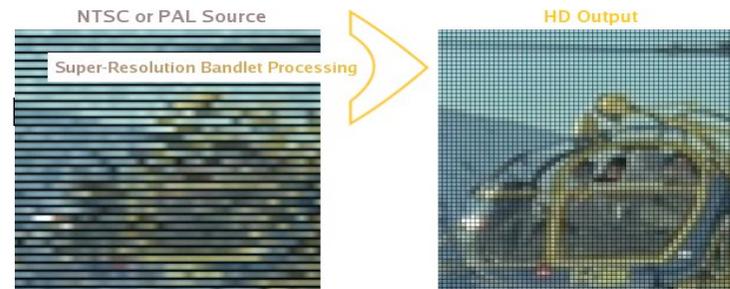
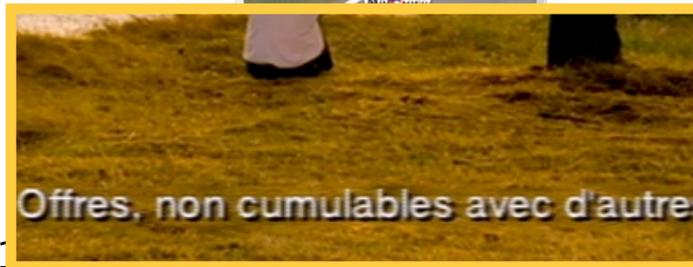


x 20

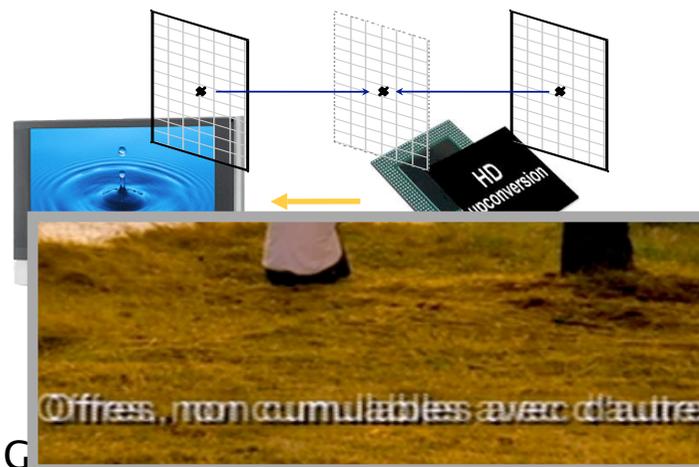
- **Frame rate conversion**

- twice more images for LCD screens

HD LCD screens



> Vidéo processor in the TV :



7.5 G

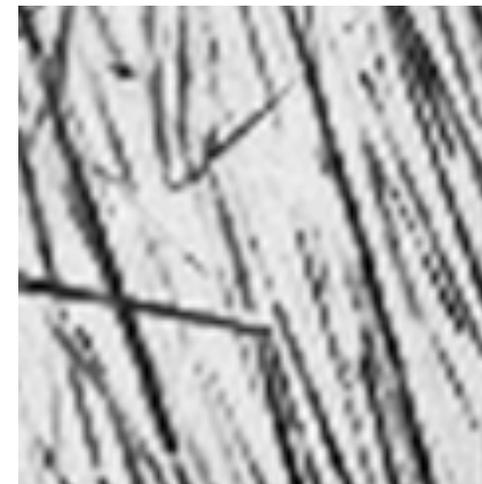
Offres, non cumulables avec d'autres

Offres, non cumulables avec d'autres

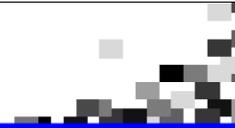
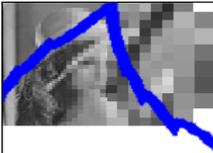
# Image and Video Zooming

- Image subsampling :  $Uf = f[n/s]$  is a linear projector.
- Linear inversion without noise: linear interpolation

$$\tilde{f}[p] = \sum_n Uf[n] \theta(p - ns)$$



- Prior information: geometric regularity.
- Super-resolution by interpolations in the directions of regularity
- Sparse super-resolution marginally improves linear interpolations.



High  
Resolution  
Image



Low  
Resolution  
Image



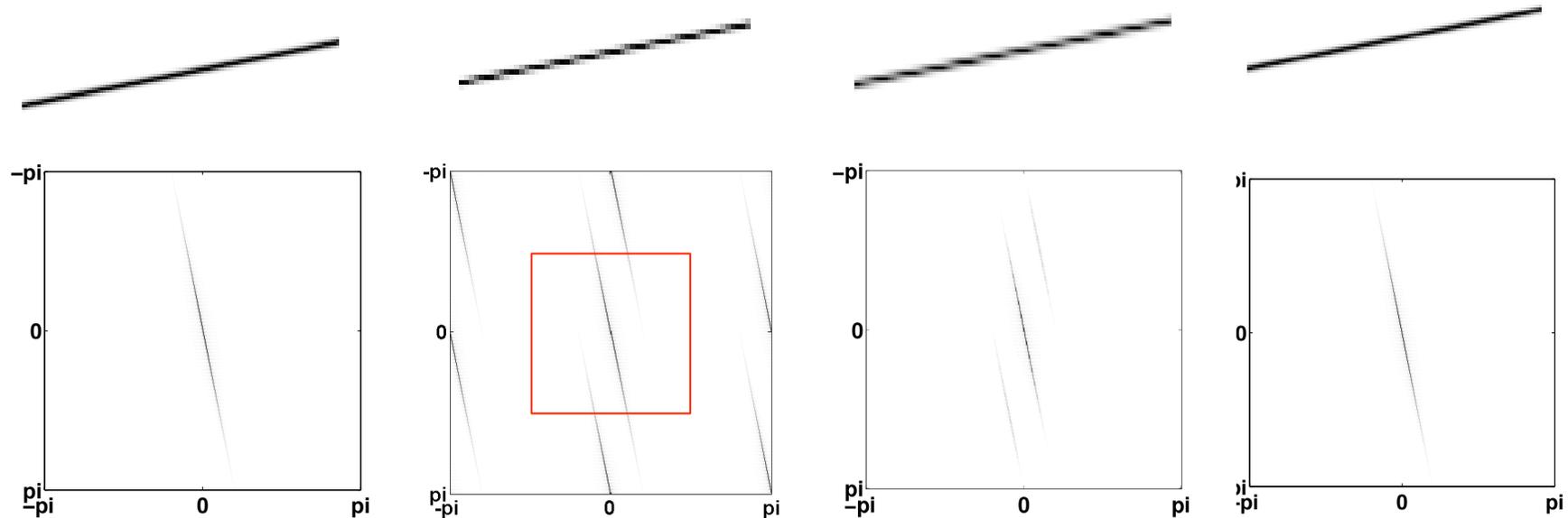
Contourlet  
SuperResolution  
28.59 db



Cubic spline  
Interpolation  
28.47 db



# Aliased Interpolation



Original

Subsampled

Linear Interp.

Direct. Interp.

Super-resolution is not possible for horizontal and vertical edges.

# Adaptive Directional Interpolations

- Linear Tikhonov estimation:  $\tilde{F}_\theta = I_\theta Y$

minimizes  $\|R_\theta I_\theta Y\|$  subject to  $UI_\theta Y = Y$

where  $R_\theta$  is a linear directional regularity operator.

- Adaptive directional interpolation adapt locally  $\theta$  by testing locally the directional regularity with gradient operators.

- General class of mixing linear operators in a frame  $\{\phi_p\}_{p \in \Gamma}$

$$\tilde{F} = \sum_{\theta \in \Theta} I_\theta \left( \sum_{p \in \Gamma} a(\theta, p) \langle Y, \phi_p \rangle \phi_p \right)$$

- Problem: how to optimize the  $a(\theta, p)$  ?

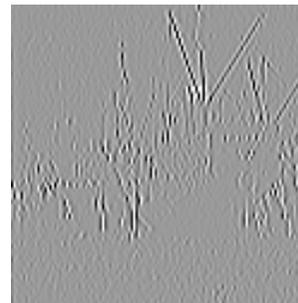
# Wavelet Block Interpolation

Wavelet transform on 1 scale,  $j = 1$

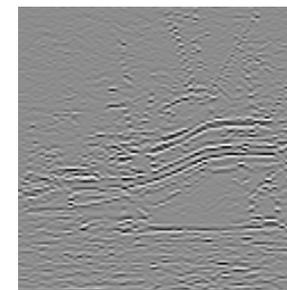
$$\langle f, \psi_{j,n}^k \rangle = \int f(x) 2^{-j} \psi^k(2^{-j}(x - n)) dx$$



$k = 1$



$k = 2$



$2^j = 2$

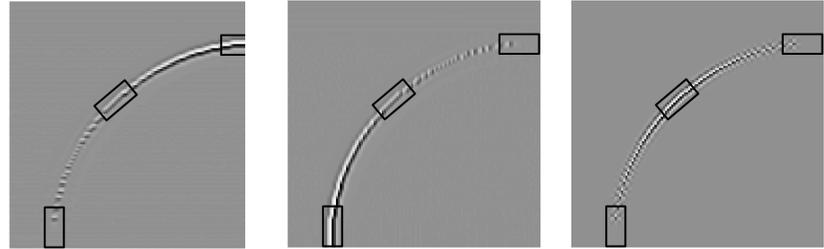


$k = 3$

Low frequencies are linearly interpolated (no aliasing).  
Adaptive directional interpolation of fine scale wavelets.

# Wavelet Block Interpolation

- Dictionary of blocks  $\{B_{\theta,q}\}_{\theta,q}$



- To a wavelet block decomposition

$$Y = \sum_{\theta} \sum_{q} \epsilon(\theta, q) P_{B_{\theta,q}} Y + Y_r$$

$$\text{with } P_{B_{\theta,q}} Y = \sum_{(n,k) \in B_{\theta,q}} \langle Y, \psi_{1,n}^k \rangle \psi_{1,n}^k$$

we associate an interpolation estimation

$$\tilde{F} = \sum_{\theta} I_{\theta} \left( \sum_{q} \epsilon(\theta, q) Y_{q,\theta} \right) + I_r(Y_r)$$

- How to optimize the  $\epsilon(\theta, q)$  ?

# Adaptive Tikhonov Estimation

- To compute

$$Y = \sum_{\theta} \sum_{q} \epsilon(\theta, q) P_{B_{\theta,q}} Y + Y_r$$

where  $\epsilon(\theta, q)$  is sparse and  $\epsilon(\theta, q) \approx 1$  if  $\|R_{\theta} I_{\theta} P_{B_{\theta,q}} Y\|$  is small: Lagrangian minimization

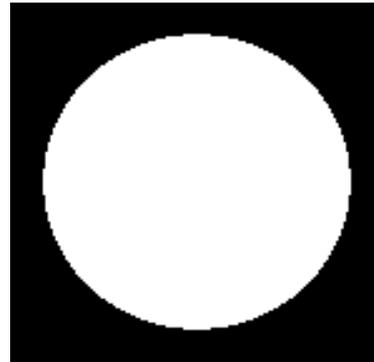
$$\mathcal{L} = \|Y - \sum_{\theta,q} \epsilon(\theta, q) P_{B_{\theta,q}} Y\|^2 + \lambda \sum_{\theta,q} |\epsilon(\theta, q)| \|R_{\theta} I_{\theta} P_{B_{\theta,q}} Y\|^2$$

- Standard  $l^1$  minimization. Can be solved with a greedy pursuit.
- If there is only one  $\epsilon(\theta, q) \neq 0$  then  $\mathcal{L}$  is minimized by

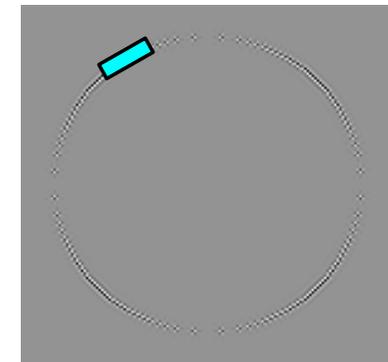
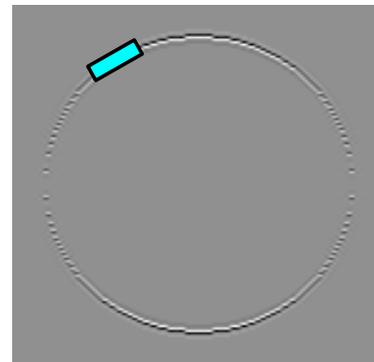
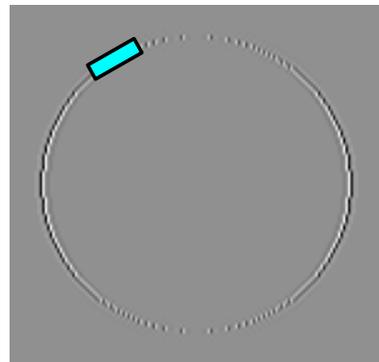
$$\epsilon(\theta, q) = \max \left( 1 - \lambda \frac{\|R_{\theta} I_{\theta} P_{B_{\theta,q}} Y\|^2}{\|P_{B_{\theta,q}} Y\|^2}, 0 \right) \quad \text{and}$$

$$\mathcal{L} = \|Y\|^2 - e(\theta, q) \quad \text{with} \quad e(\theta, q) = \frac{\|P_{B_{\theta,q}} Y\|^2 \epsilon(\theta, q)^2}{2} .$$

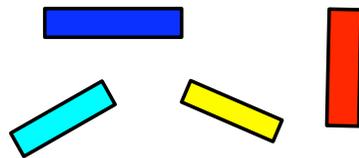
# Wavelet Block Spaces



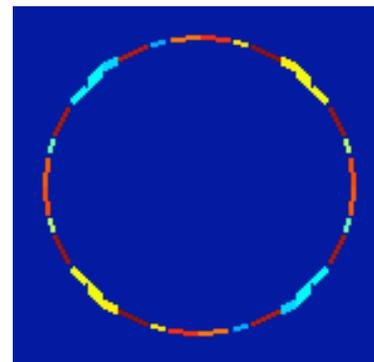
Wavelet transform



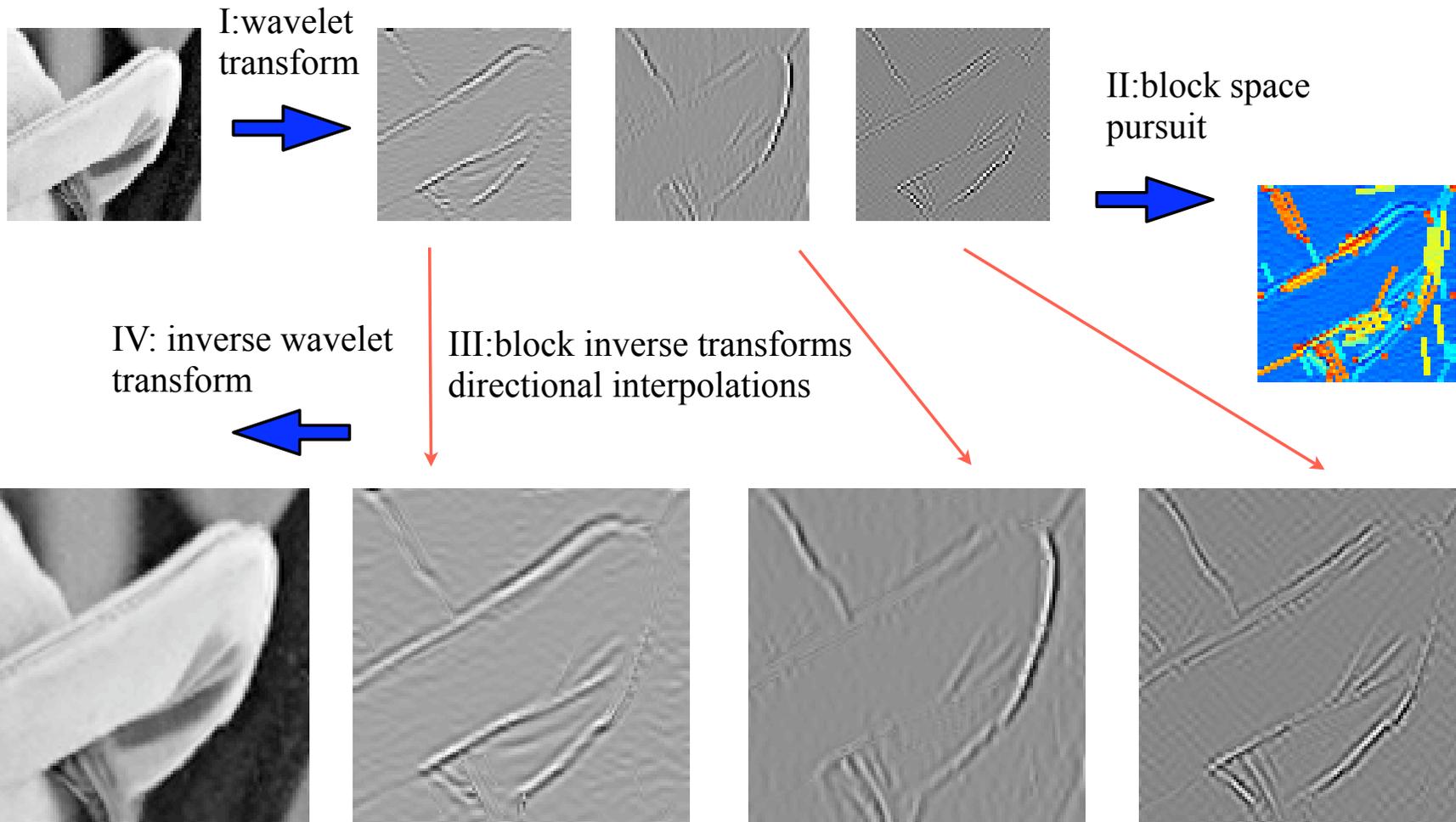
Blocks of oriented bars



Block projection pursuit

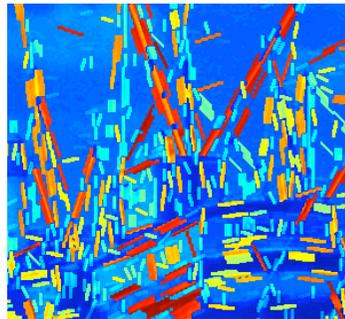


# Super-Resolution Block Interpolation



# Comparison with Cubic Splines

Block pursuit  
on wavelet coefficients



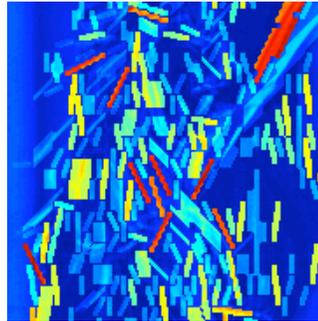
Block Interpolations  
over wavelet coefficients



Cubic spline interpolations

# Comparison with Cubic Splines

Block pursuit  
on wavelet coefficients



Block Interpolations SNR = 29.24 db  
over wavelet coefficients



SNR = 28.58 db  
Cubic spline interpolations

# Examples of Zooming

Original Image

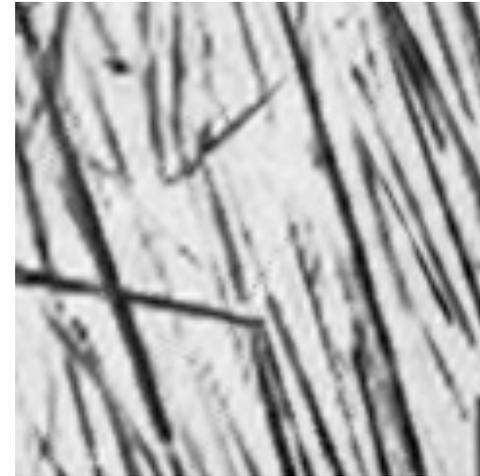


Cubic Spline  
Interpolation



SNR = 22.35 db

Bandlet  
Super-Resolution

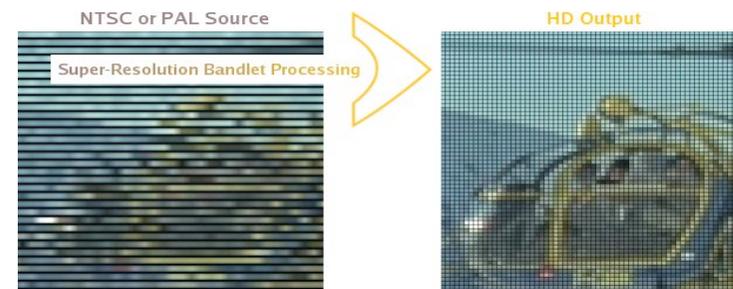


SNR = 24.14 db

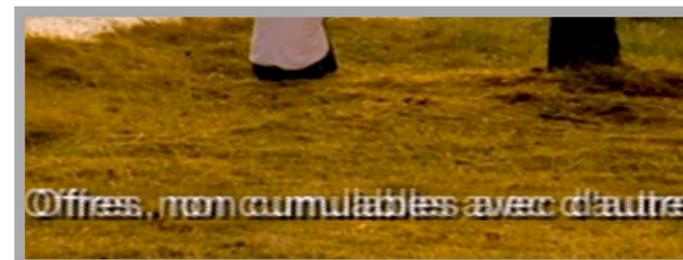
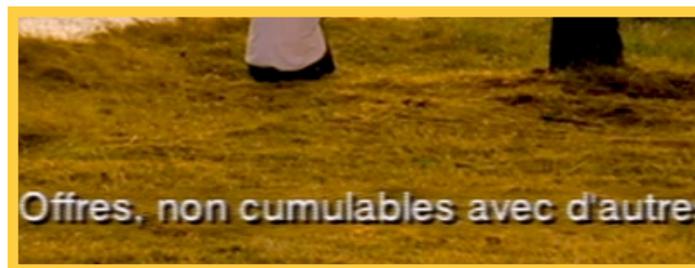
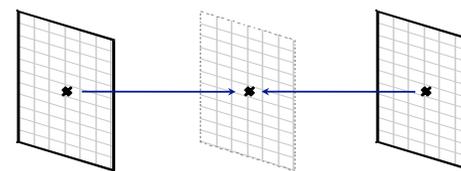
# Super-Resolution Zooming

- Need to increase numerically acquired image resolution:
  - Conversion to HDTV of SDTV, Internet and Mobile videos...

- **Spatial deinterlacing and up-scaling**
  - up to 8 times more pixels



- **Frame rate conversion**
  - twice more images for LCD screens



## 3rd. Concluion

- Super-resolution is possible for signals that are sparse in a dictionary  $\mathcal{D} = \{\phi_p\}_{p \in \Gamma}$  which has a spread spectrum and which is transformed in an incoherent dictionary  $\mathcal{D}_U = \{U\phi_p\}_{p \in \Gamma}$
- Super-resolution is typically not possible for any class of signals
- Need to incorporate as much prior information as possible: use of structured sparse representations.
- What if it was possible to choose the operator  $U$  ?  
compressed sensing...