# Equality for $\lambda$-terms with list primitives 

Pierre Boutillier under the supervision of Conor McBride

May - July 2009

University of

## Plan

(1) A goal
(2) An implementation
(3) A formalisation

Ideas

- Intentional equality decision
- Internalize specific laws for common operators
- Normalizing in two phases vs extending rewrite rules


## Semantic rules

## Tips

- several hypothesis or $\overline{\text { conclusion }}$
- Trust names to get what object are
- Functionnal rules presentation follows the calculus


Figure: Rule schema

## Variable value storage makes life easier

$$
\text { Env }:=\varepsilon \mid(\text { Env, } v:=\text { Снктм })
$$

Figure: Definition of environment

## Closure and Environment

- Closure is a pair of term and environment
- Value of variable in a term is its definition if it exists
- In a way, substitution is delayed


## Variable value storage makes life easier

$$
\text { Env }:=\varepsilon \mid(\text { Env, } v:=\text { Снктм })
$$

Figure: Definition of environment

## Closure and Environment

- Closure is a pair of term and environment
- Value of variable in a term is its definition if it exists
- In a way, substitution is delayed

$$
\begin{gathered}
(\varepsilon, x:=\lambda y \cdot y!\lambda z \cdot x)=(\varepsilon, x:=\lambda y \cdot y!\lambda z \cdot \lambda y \cdot y) \\
(\varepsilon, x:=\lambda y \cdot y!\lambda z \cdot x) \neq(\varepsilon!\lambda z \cdot x) \\
(\varepsilon, x:=\lambda y \cdot y!\lambda z \cdot x)=(\varepsilon!\lambda z \cdot \lambda y \cdot y)=(\varepsilon, x:=\lambda y \cdot t!\lambda z \cdot \lambda y \cdot y)
\end{gathered}
$$

## A Gödel system T like $\lambda$-calculus: types and terms

$\mathrm{Ty}:=\mathbf{1}|\mathrm{Ty} \rightarrow \mathrm{Ty}|$

## A Gödel system T like $\lambda$-calculus : types and terms

$$
\begin{aligned}
\operatorname{TY}:= & \mathbf{1} \mid \operatorname{TY} \rightarrow \text { Ty } \mid \\
& \operatorname{Ty} \times \operatorname{Ty} \mid \\
& \text { Ty List }
\end{aligned}
$$

Figure: Definition of types

## A Gödel system T like $\lambda$-calculus: types and terms

$$
\begin{aligned}
\mathrm{TY}:= & \mathbf{1}|\mathrm{TY} \rightarrow \mathrm{TY}| \\
& \operatorname{Ty} \times \operatorname{Ty} \mid \\
& \text { Ty List }
\end{aligned}
$$

Figure: Definition of types

Elim $:=\boldsymbol{r e c}_{\text {Ty }}$ ChкТм ChкТм $\mid$ first $\boldsymbol{m a p}_{\operatorname{ma}_{y}}$ СнкТм $\mid$ second app Chктм

Figure: Definition of Primitive elimination operators

## A Gödel system T like $\lambda$-calculus: types and terms

$$
\begin{aligned}
\operatorname{Ty}:= & \mathbf{1}|\operatorname{Ty} \rightarrow \mathrm{TY}| \\
& \operatorname{Ty} \times \operatorname{Ty} \mid \\
& \text { Ty List }
\end{aligned}
$$

Figure: Definition of types

Elim $:=\boldsymbol{r e c}_{\text {Ty }}$ СнкТм СнкТм first $\mid \boldsymbol{m a p}_{T y}$ СнкТм $\mid$ second app Cнктм

Figure: Definition of Primitive elimination operators

$$
\begin{aligned}
\text { InfTm } & :=v \mid \text { Elim InfTm } \mid \text { ChкTm :Ty } \\
\text { ChкTm } & :=\lambda v . \text { ChкTm }|()|(\text { ChкTm, ChкTm }) \mid \underline{\text { InfTm }}
\end{aligned}
$$

Figure: Definition of inferable term and checkable term

## What does it stand for ?

calculus behaviour and meaning
(1) Everything are functions
(2) The arrow type stand for this
(3) Calculus goes when a term is destruct

## What does it stand for ?

calculus behaviour and meaning
(1) Everything are functions
(2) The arrow type stand for this
(3) Calculus goes when a term is destruct

## Practically

- (app s) $\lambda x . t \beta$-reduce to $t[s / x]$
- $t[s / x]$ stand for $t$ where every occurrence of $x$ is replaced by $S$


## What does it stand for ?

## calculus behaviour and meaning

(1) Everything are functions
(2) The arrow type stand for this
(3) Calculus goes when a term is destruct

## Practically

- (app s) $\lambda x . t \beta$-reduce to $t[s / x]$
- $t[s / x]$ stand for $t$ where every occurrence of $x$ is replaced by $S$

Bidirectionnal type checking

- Equivalent to Chuch presentation of term.
- Ready for dependant type system
- Type unicity of term allow type follow to normalize without "most general type" problem.


## What are equals terms ?

## $\alpha$-conversion

- Variables of $\lambda$ are mute so $\lambda x . x \equiv \lambda y . y$
- If you change a part of a term, ambiguitys can occur.


## $\eta$-equality

Suppose that $f$ is a function, There is no diference between $f$ and $\lambda x$. $(\mathbf{a p p} x) f$ behavour but syntaxes can be different.

## $\beta$ equivalence

- Represents a step of calculus. Is the consequence of an elimination.
- Expressed by substitution of variables for terms alone but only a environment change for closures.

Open term complement for list

- $\operatorname{map}_{\sigma} f\left(\operatorname{map}_{\tau} g l\right) \equiv$ $\operatorname{map}_{\sigma}(f \circ g) /$
- $\operatorname{map}_{\tau}$ id $I \equiv I$
- $\operatorname{map}_{\tau} f($ append $y s x s) \equiv$ append ( $\left.\operatorname{map}_{\tau} f y s\right)\left(\operatorname{map}_{\tau} f x s\right)$


## What are equals terms ?

## $\alpha$-conversion

- Variables of $\lambda$ are mute so $\lambda x . x \equiv \lambda y . y$
- If you change a part of a term, ambiguitys can occur.


## $\eta$-equality

Suppose that $f$ is a function, There is no diference between $f$ and $\lambda x$. $(\mathbf{a p p} x) f$ behavour but syntaxes can be different.

## $\beta$ equivalence

- Represents a step of calculus. Is the consequence of an elimination.
- Expressed by substitution of variables for terms alone but only a environment change for closures.

Open term complement for list

- $\operatorname{map}_{\sigma} f\left(\boldsymbol{m a p}_{\tau} g l\right) \equiv$ $\operatorname{map}_{\sigma}(f \circ g) /$
- $\operatorname{map}_{\tau}$ id $I \equiv I$
- $\operatorname{map}_{\tau} f($ append $y s x s) \equiv$ append ( $\left.\operatorname{map}_{\tau} f y s\right)\left(\operatorname{map}_{\tau} f x s\right)$


## Normalisation by evaluation

## Normalisation by evaluation

## Syntactic transformation

$$
\begin{gathered}
\frac{\gamma \vdash s \Downarrow s^{\prime} \quad \gamma \vdash t \Downarrow t^{\prime}}{\gamma \vdash(s, t) \Downarrow\left(s^{\prime}, t^{\prime}\right)} \quad \frac{\gamma \vdash f \Downarrow f^{\prime} \quad \gamma \vdash s \Downarrow s^{\prime} \quad f^{\prime} @ s^{\prime} \rightarrow v}{\gamma \vdash f s \Downarrow v} \\
\frac{\gamma, x:=v, \gamma^{\prime} \vdash x \Downarrow v}{\gamma \vdash \lambda x . t \Downarrow \lambda[\gamma] \times . t}
\end{gathered}
$$

- No simplification is made under $\lambda$


## Normalisation by evaluation

## Syntactic transformation

$$
\begin{aligned}
& \frac{\gamma \vdash s \Downarrow s^{\prime} \quad \gamma \vdash t \Downarrow t^{\prime}}{\gamma \vdash(s, t) \Downarrow\left(s^{\prime}, t^{\prime}\right)} \quad \frac{\gamma \vdash f \Downarrow f^{\prime} \quad \gamma \vdash s \Downarrow s^{\prime} \quad f^{\prime} @ s^{\prime} \rightarrow v}{\gamma \vdash f s \Downarrow v} \\
& \overline{\gamma, x:=v, \gamma^{\prime} \vdash x \Downarrow v} \quad \overline{\gamma \vdash \lambda x . t} \downarrow \lambda[\gamma] x . t
\end{aligned}
$$

- No simplification is made under $\lambda$


## Computation

$$
\begin{gathered}
\frac{f @ h \rightarrow v \operatorname{map}_{\tau} f @ l \rightarrow w}{\operatorname{map}_{\tau} f @ h:: l \rightarrow v:: w} \\
\frac{\gamma, x:=s \vdash t \Downarrow v}{\operatorname{app} s @ \lambda[\gamma] x . t \rightarrow v} \quad \frac{\operatorname{map}_{\tau} f @[] \rightarrow[]}{\max ^{t}}
\end{gathered}
$$

## Type based simplification

## Principe

- Follow unique Church form term types
- All non elementary typed terms are expanded
- Simplification rules go bottom up
- New declared variable are build to evaluate under $\lambda$
- Terms are syntaxtally rewrite on a writable way

$$
\frac{\Gamma \vdash t y C t x t}{\Gamma \vdash \mathbf{1} \ni x \Rightarrow()} \quad \frac{\Gamma \vdash t \Uparrow t^{\prime} \in \tau}{\Gamma \vdash \tau \text { List } \ni \underline{t} \Uparrow \underline{t}^{\prime}} \quad \frac{\overline{\Gamma, x: \sigma} \vdash \mathbf{a p p} x f \Downarrow v}{\Gamma \vdash \sigma \rightarrow \tau \ni f \Rightarrow v}
$$

## Type based simplification

## Principe

- Follow unique Church form term types
- All non elementary typed terms are expanded
- Simplification rules go bottom up
- New declared variable are build to evaluate under $\lambda$
- Terms are syntaxtally rewrite on a writable way


Figure: General picture

$$
\frac{\Gamma \vdash t y C t x t}{\Gamma \vdash \mathbf{1} \ni x \Rightarrow()} \quad \frac{\Gamma \vdash t \Uparrow t^{\prime} \in \tau}{\Gamma \vdash \tau \text { List } \ni \underline{t} \Uparrow \underline{t}^{\prime}} \quad \frac{\overline{\Gamma, x: \sigma} \vdash \mathbf{a p p} x f \Downarrow v}{\Gamma \vdash \sigma \rightarrow \tau \ni f \Rightarrow v}
$$

## Variable representation

Naïve $\lambda x \cdot \lambda y \cdot \operatorname{map}_{\tau} f x: \sigma$ Hard to compare and to compute but easily readable by human.
Fonctional language fun $x \rightarrow$ fun $y \rightarrow$ List.map $f x$ difficulties to compute are hide. Impossible to compare or to show. deBruijn index $\lambda . \lambda \cdot \boldsymbol{m a p}_{\tau}$ ? $1: \sigma$ unreadable by human but canonical form to compare. Tricky but unambigous to compute.
Locally nameless $\lambda . \lambda . \operatorname{map}_{\tau} f 1: \sigma$ Avoid mess of free variable index but still canonical unambigous form.

## Toolbox

val var_care_inf f_def f_lam f_var env term val var_care_check f_def f_lam f_var env term

## Structure

val evallnf : Ttype.value list -> (Ttype.name * Ttype.value) list $->$ Ttype.infTerm -> Ttype.value val evalCheck : Ttype. value list $->$ (Ttype.name * Ttype.value) list $->$ Ttype.checkTerm $\rightarrow$ Ttype. value
val equiv_fun : int $\rightarrow$ Ttype.tType $->$ Ttype. value $->$ Ttype. value $\rightarrow$ bool val simplify : int $->$ Ttype. neutral $->$

Ttype.neutral
val quoteValue : int $\rightarrow$ Ttype.tType $->$
Ttype. value $->$ Ttype.checkTerm
val quoteNeu : int $->$ Ttype. neutral $->$ Ttype.infTerm * Ttype.tType

## Results

## Experimental discovery

- Going bottom up catches the most simplification

$$
\operatorname{map}(\operatorname{map} i d) I=I
$$

- Develop vs factorize when ordering simplification

$$
m a p+1(\text { append }(\operatorname{map}+1 x) y)=
$$

$$
\text { append }(\text { map }+2 x)(m a p+1 y)
$$

- $\eta$-expantion is exactly non elementary types destruction makes neutrals bigger and leave value unchanged
- Over non elementary types, Identity function has different form. Equality with it must be done with it's quotation over the given type.

$$
\lambda x . x:(O N E, O N E)=\lambda x .(\text { first } x, \text { second } x):(O N E, O N E)
$$

## Demonstration



## Big step evaluation rules

Exact functionnal language behaviour with no scheduling question

- Strong normalization makes strategies equivalent
- Determinism is obvious, there is only one rule for each constructor
- Functionnality implys terminaison to ensure consistance

Dependant types makes life rigourous
Only deBruijn indexes are used here because definition are ommited Environments are characterized by the number of declared variable and of all kind variable it store.
Terms/Values are charaterized by their kind, their direction of typing and how many variable they are dealing with.
This homogenous presentation gives a lot of factorization in proof.

## Less index care as possible

(1) Thanks to closure, only adding a delared variable at the end of the environment is require while you compute under $\lambda$.
(2) Other defined term of the environment must still speak about same things.
(3) Weakening ensure this by converting every number to it's succesor.
(9) The less elementary operation aren't necessary.

## Sanity for everyone

## Environment and context

From types for declared variables, you can get types for defined one by typing it.

You want that in a well typed closure, allowed operations keep everything well formed.

Normalisation preserves types
Evaluation, computation, simplification must preserve types. But typing rules are made to follow the structure and the calculus ...

Elimination form case impose a stronger induction hypothesis than the obvious one that ensure a well type as output of all possible type as input.

## Soundness

## Three kinds of equality rules

Structural rules to say that in different context terms are equals if their sub-terms are.
Computational rules that expose one step of calculus in a given environment.

Simplification rule which describe the valid transformation of a term in an environment.

## Proof principle

decorating derivation with equationnal rule that makes a normalistion tree a rules list linked by transitivity.

## Proof requirement

(postcomposition compatibility and eval-quote unicity)

## To conclude

## Bilan

- A new desert to explore
- New ideas to model the $\lambda$-calculus behaviour
- Bricks to make formal proof
- Even more use for types


## What's next ?

(1) Completness
(2) Generec completness condition over sets of simplification rules
(3) What it become with dependant type
(9) There is more data structure than list

## Questions?

