Automated verification of termination certificates

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Outline

Introduction

2 Framework

- Languages and tools
- Termination of rewriting and its certification

3 Contributions

- XSD-guided XML-parser generator
- Definition and proof of a CPF verifier in Coq
- Results

4 Conclusion

Why/how to certify software?

- Software have bugs, sometimes difficult to detect.
- Bugs are merely annoying and inconvenient, but some can have extremely serious consequences.

Solutions:

- Tests are necessary but cannot cover all cases.
- Model checking is powerful but cannot check all properties.
- Formal certification maybe very difficult and time-consuming.

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- Bugs are merely annoying and inconvenient, but some can have extremely serious consequences.

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- Model checking is powerful but cannot check all properties.
- Formal certification maybe very difficult and time-consuming.
- Using certificates.

Using certificates

Instead of proving that a source code is correct for every possible input:

- has to be redone each time the source code is changed,
- difficult when the tool uses complex heuristics.

Check that its result is correct each time it is run by providing a certificate and verifying it

- does not depend on the source code,
- finding a solution to a problem is generally more difficult than checking that a solution is correct (P≠NP).



How to certify a software?

Proof on paper? long, difficult, error-prone (e.g. "Proof of a program: Find", Hoare, 1971)

 \Rightarrow Use a proof assistant!

Generally provides:

- A language for defining functions and properties.
- Libraries of definitions and theorems.
- Proof tactics and decision procedures.

Examples of works done in a proof assistant:

- 4-color theorem (2005); odd-order theorem (Gonthier et al, 2012).
- Definition and verification of a realistic C compiler (Leroy 2009) in Coq.
- Verification of an OS kernel (Klein et al, 2009) in Isabelle.

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Termination certificates: motivation

- Termination competition organized since 2003.
- <u>Tools</u> become more and more complex.
- They unevitably contain bugs.
- Every year some tools are <u>disqualified</u> because of mistakes <u>found</u> in their proofs.
- We need more <u>trust</u> in their results.
- In 2007 certified category introduced in the competition.
- In this category the output of the termination tool must be <u>verified</u> by some established theorem prover/checker.

CPF: a language for termination certificates

For the certified competition:

- CPF: Certification Problem Format was introduced,
- with clear syntax and semantics.
- Defined as an XSD (XML schema) file (2,800 LOC, 100 types).

Current certificate verifiers:

- Rainbow (uncertified Coq script generator).
- CiME3 (uncertified Coq script generator).
- CeTA (certified standalone tool).

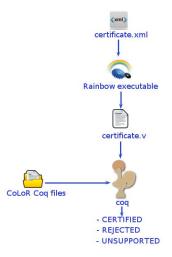
Develop a fast and safe standalone termination certificate verifier.

Our solution:

- Write a CPF verifier New-Rainbow in Coq.
- Prove its correctness by using the Coq libraries on rewriting theory and termination: CoLoR and Coccinelle.
- Extract it to OCaml.

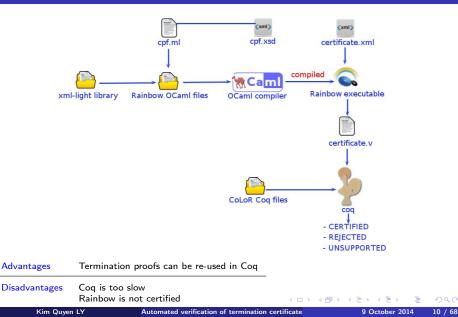
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Old-Rainbow architecture: generate a Coq script



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Old-Rainbow architecture: generate a Coq script

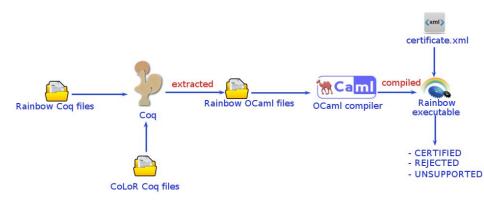


New-Rainbow architecture: standalone tool



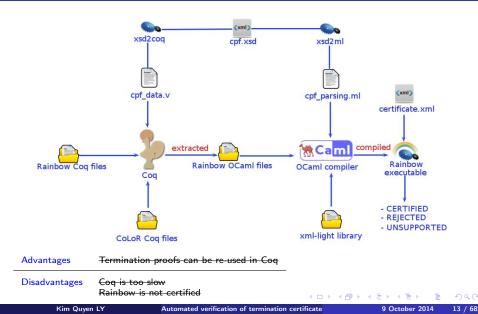
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New-Rainbow architecture: formalize Rainbow itself



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New-Rainbow architecture: XML-parser generator



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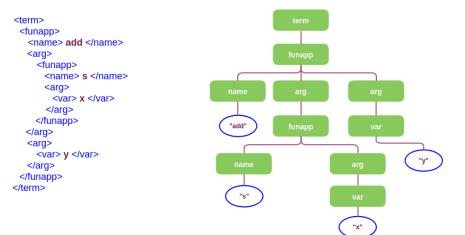
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XML: a language for describing trees

A (non)termination certificate is an XML file.



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XSD (XML Schema): a language for describing sets of trees

XSD is the format used to define the grammar of (non)termination certificates.

XSD type T	corresponding set of trees or sequences of trees
<pre><element maxoccurs="j" minoccurs="i" name="tag"> <complextype> T </complextype> T </element> (i,j∈ N∪{"unbounded"})</pre>	set of sequences of i to j trees whose roots are labeled by "tag" and whose children belong to the set described by T
<sequence> T₁ T_n </sequence>	set of sequences of trees t_1, \ldots, t_n such that t_i belongs to the set described by T_i
<choice> T₁ T_n </choice>	union of the sets described by T_1, \ldots, T_n

XSD: example from cpf.xsd

XSD	valid XML file
<proup name="term"> <choice> <element ref="var"></element> <element name="funapp"> <complextype> <sequence> <group ref="symbol"></group> <lement <br="" name="arg">maxOccurs="unbounded" minOccurs="0"> <complextype> <group ref="term"></group> <complextype> <group ref="term"></group> </complextype> <!--/element--> <!--/element--> <!--/element--> <!--/element--> </complextype></lement></sequence></complextype></element></choice> </proup>	<funapp> <name> add </name> <arg> <funapp> <name> s </name> <arg> </arg> </funapp> </arg> <var> y </var> <var> y </var> </funapp>

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Functional programming language

- Functions are first-class objects:
 - a function can take as argument a function and return a function.
- Polymorphic inductive types.
- Automatic garbage collection.
- Functions can be defined by pattern matching.
- Exceptions.
- Programs must be well typed at compile time.
- Type inference.
- Module system.

The Coq proof assistant

Interactive theorem prover

- Powerful logical system (calculus of (co)inductive constructions).
- Functions and proofs are first-class objects.
- Polymorphic and dependent inductive types/predicates.
- Functions and predicates can be defined by pattern matching.
- Large standard library (150,000 LOC) and numerous contributions.
- Powerful tactic language.
- Powerful type inference mechanism.
- <u>Extraction</u>:

functions and proofs can be compiled to OCaml, Haskell or Scheme.

Coq libraries on rewriting theory and termination

- CoLoR (83,000 LOC by Blanqui, Koprowski, Strub, Coupet-Grimal, ...)
- Coccinelle (56,000 LOC by Contejean, Courtieu, Pons, ...)
- Mathematical structures: relations/graphs, (ordered) semi-rings.
- Data structures: vectors/arrays, matrices, finite multisets, integer polynomials with multiple variables, finite graphs.
- Term structures: strings, varyadic terms, algebraic terms with symbols of fixed arity, λ -terms with de Bruijn indices, λ -terms with named variables.
- **Transformation techniques**: dependency pairs transformation, dependency graph decomposition, arguments filtering, semantic labelling, SRS reversal.
- (Non-)termination criteria: loops, polynomial/matrix interpretations, RPO, subterm criterion, HORPO, Tait-Girard computability closure for HOR, ...

Remark: CoLoR includes a function for translating Coccinelle terms into CoLoR terms and reuse results from Coccinelle (only RPO for the moment).

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Term rewriting

Dershowitz-Jouannaud 1990

"Rewrite systems are directed equations used to compute by repeatedly replacing subterms of a given formula with equal terms until the simplest form possible is obtained."

- Particular case: first-order functional programs.
- It is Turing-complete (termination is undecidable even with one rule only).
- Programming languages based on rewriting: CafeOBJ, ELAN, Maude, ...

First-order terms/trees

- Terms: $x|f(t_1,\ldots,t_n) \in T(\Sigma,\mathcal{X})$
- Position: Pos(t)
 - $\{\epsilon\}$ if $t \in \mathcal{X}$
 - $\{\epsilon\} \cup \{i \cdot p | i \in [1, n], p \in \mathsf{Pos}(t_i)\}$ if $t = f(t_1, \dots, t_n)$
- Substitution: $\sigma : \mathcal{X} \to T(\Sigma, \mathcal{X})$
 - $x\sigma = \sigma(x)$
 - $f(t_1,\ldots,t_n)\sigma = f(t_1\sigma,\ldots,t_n\sigma)$

Example: f(a, g(h(y), x))

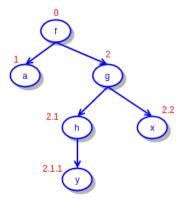
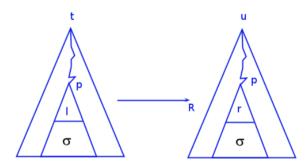


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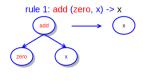
Rewriting

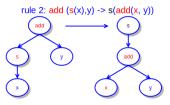
- Rewrite rule: pair of terms $l \rightarrow r$
- Rewrite relation: $\rightarrow_{\mathcal{R}} \subseteq T(\Sigma, \mathcal{X}) \times T(\Sigma, \mathcal{X})$ is defined as $t \rightarrow_{\mathcal{R}} u$ iff $\exists (l, r) \in \mathcal{R}, p \in \text{Pos}(t)$ and a substitution σ such that $t|_{\rho} = l\sigma$ and $u = t[r\sigma]_{\rho}$



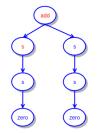
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Example of rewrite sequence



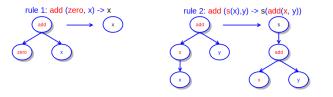


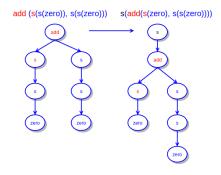
add (s(s(zero)), s(s(zero)))



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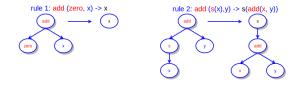
Example of rewrite sequence



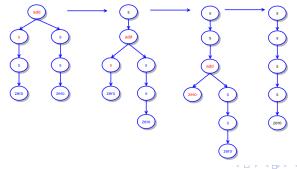


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Example of rewrite sequence



add (s(s(zero)), s(s(zero))) s(add(s(zero), s(s(zero)))) s(s(add(zero, s(s(zero))))) s(s(s(s(zero)))))



CoLoR: Variables, function symbols and term

```
Notation variable := nat.
Record Signature : Type := mkSignature {
   symbol :> Type;
   arity : symbol -> nat;
   beq_symb : symbol -> symbol -> bool;
   beq_symb_ok : forall x y, beq_symb x y = true <-> x = y}.
Inductive term : Type :=
   | Var : variable -> term
   | Fun : forall f : Sig, vector term (arity f) -> term.
```

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CoLoR: Rewriting

```
Record rule : Type := mkRule { lhs : term; rhs : term }.
Definition red (R: list rule): term -> term -> Prop :=
exists l r c s,
In (mkRule l r) R ^ u = fill c (sub s l) ^ v = fill c (sub s r).
```

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CoLoR: (Non)-Termination

Termination:

Inductive SN A (R: relation A) x : Prop := SN_intro : (forall y, R x y -> SN R y) -> SN R x.

Definition WF A (R: relation A) := forall x, SN R x.

Non-termination:

```
Definition IS A (R: relation A)(f: nat -> A) :=
forall i, R (f i)(f (S i)).
```

Definition EIS A (R: relation A) := exists f, IS R f.

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How to prove termination of TRSs?

A reduction ordering is a well-founded, stable and monotone ordering on terms.

Theorem

 (Σ, \mathcal{R}) terminates iff there is a reduction ordering > such that $\mathcal{R} \subseteq >$.

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Reduction pair

Theorem

 (Σ, \mathcal{R}) terminates if there is a monotone reduction pair $(\geq, >)$ such that $\mathcal{R} \subseteq \geq$ and $(\Sigma, \mathcal{R} - >)$ terminates.

A reduction pair is a pair $(\geq, >)$ of relations on terms such that:

- $\bullet \geq$ is reflexive, transitive, stable and monotone;
- > is well-founded and stable;
- $\bullet \geq \cdot > \subseteq > \text{ or } > \cdot \geq \subseteq >.$

It is monotone if > is monotone.

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Special case of reduction pair: interpretations

Let $(A, >_A)$ be a well-founded domain and $I_f : A^n \to A$ an interpretation function for every $f \in \Sigma$ of arity n.

Definition: $t >_I u$ if $\forall \rho : \mathcal{X} \to I, \llbracket t \rrbracket_I(\rho) >_A \llbracket u \rrbracket_I(\rho)$

Theorem

 $>_I$ is a reduction ordering if, for all f, I_f is monotone in every variable.

 I_f is monotone in its *i*-th argument if, for all $x_1, \ldots, x_n, x'_i \in A$, $I_f(x_1, \ldots, x_i, \ldots, x_n) > I_f(x_1, \ldots, x'_i, \ldots, x_n)$ whenever $x_i > x'_i$.

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Theorem

In a monotone algebra $(A, (I_f)_{f \in \Sigma}, \geq)$, $(\geq_I, >_I)$ is a monotone reduction pair. In a weak monotone algebra $(A, (I_f)_{f \in \Sigma}, \geq)$, $(\geq_I, >_I)$ is a reduction pair.

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Special case of interpretation: integer polynomials

$A \in \mathbb{N}$ and $I_f \in A[x_1, \ldots, x_n]$

Theorem

A program defined by a set \mathcal{R} of rules terminates if:

- For all f, I_f is monotone in every variable.
- For all rule $l \to r$, we have $\llbracket l \rrbracket >_l \llbracket r \rrbracket$.

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Example of polynomial interpretation on $\mathbb N$

Rewrite system:

$$\begin{array}{rcl} \mathsf{add}(\mathsf{zero},\mathsf{x}) & \to & \mathsf{x} \\ \mathsf{add}(\mathsf{succ}(\mathsf{x}),\mathsf{y}) & \to & \mathsf{succ}(\mathsf{add}(\mathsf{x},\mathsf{y})) \end{array}$$

Polynomial interpretation:

$$I_{add}(x, y) = 2x + y$$
$$I_{succ}(x) = x + 1$$
$$I_{zero} = 1$$

Termination proof:

$$\llbracket add(zero, x) \rrbracket = 2 + x >_{\mathbb{N}} \llbracket x \rrbracket = x$$

$$\llbracket add(succ(x), y) \rrbracket = 2(x+1) + y >_{\mathbb{N}} \llbracket succ(add(x, y)) \rrbracket = (2x+y) + 1$$

whatever are the values of $x, y \in \mathbb{N}$

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Certificate for polynomial interpretation on \mathbb{N} ?

- Certificate: the polynomials I_f .
- How to verify its correctness? monotony and compatibility are undecidable in general.

Instead one can use simpler sufficient conditions:

- I_f is monotone in its *i*-th argument if it has non-negative coefficients only and the coefficient of x_i is positive.
- $[\![l]\!] > [\![r]\!]$ if $[\![l]\!] - [\![r]\!] - 1$ has non-negative coefficients only.

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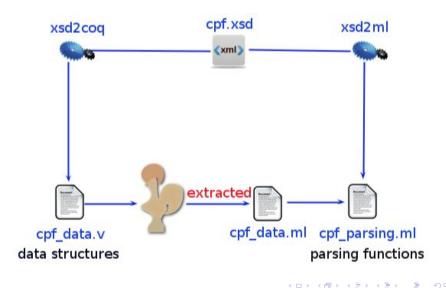
CPF: a grammar for (non-)termination certificates

Since the CPF format is regularly modified and extended with new certificates, it is useful to have a tool that can automatically generate in OCaml and Coq:

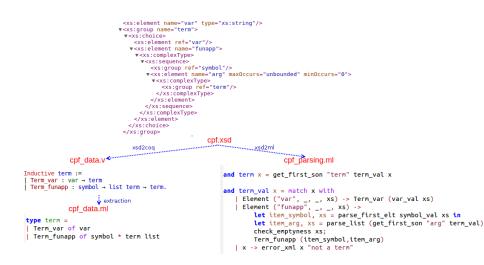
- data structures,
- parsers.

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XSD-guided XML-parser generator



Example



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Representation of XSD types in Coq

```
Inductive n :=
| n_tag_1 : \theta(T_1)
...
| n_tag_k : \theta(T_k).
```

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Representation of XSD types in Coq

Definition $n := \theta(t)$.

XSD type expression t	Coq type expression $\theta(t)$
<sequence>u1un</sequence>	$\theta(u_1) * \ldots * \theta(u_n)$
<pre><group ref="m"></group> <element name="" ref="m"></element></pre>	т
<pre><group minoccurs="0" ref="m"></group> <element minoccurs="0" name="" ref="m"></element></pre>	option m
<pre><group maxoccurs="k" ref="m"></group> <element maxoccurs="k" name="" ref="m"></element></pre>	<i>m</i> * * <i>m</i> (k times)
<pre><group maxoccurs="unbounded" ref="m"></group> <element maxoccurs="unbounded" name="" ref="m"></element></pre>	list m

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Conclusion of the first contribution

• Developed tools (xsd2coq, xsd2m1) that are independent of CPF and could be used with other XSD documents.

Problems:

- Not every Coq value corresponds to a valid XML file.
 ⇒ use dependent data types?
- These tools are not certified.

Work on parsing certification:

- a TRX parser (Koprowski, and Binsztok, 2010),
- an LR(1) parser (Jourdan, Pottier, and Leroy, 2012) in Coq.

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```
Define and prove correct a function:
check : certificateProblem -> bool
```

```
Formal correctness statement?
```

```
Theorem check_ok: forall c, check c = true ->
    not_if (is_termin_cert c) (EIS (rel_of_cert c)).
```

```
Definition not_if b P := if b then P else ~P.
```

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Rewrite relation associated to a certificate?

```
Definition certificationProblem := input * proof * ...
```

```
Inductive proof :=
    Proof_trsTerminationProof : trsTerminationProof -> proof
    Proof_trsNonterminationProof : trsNonterminationProof -> proof
    ...
```

```
Inductive input :=
    | Input_trsInput : trsInput -> input
    | ...
```

Definition trsInput := rules * ...

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Rewrite relation associated to a certificate?

```
Definition rules := list rule.
```

```
Definition rule := term * term.
```

```
Inductive term :=
  | Term_var : var -> term
  | Term_funapp : symbol -> list term -> term.
```

Inductive symbol :=
 | Symbol_name : name -> symbol
 | Symbol_sharp : symbol -> symbol
 | Symbol_labeledSymbol : symbol -> label -> symbol.

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Translation of CPF terms to CoLoR terms

Problems:

- In CoLoR, terms are defined with respect to some signature defining the set of symbols and their arity.
- CPF does not explicitly give the arity of function symbols.
- The arity of a function can change in the course of the verification of a certificate.

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Our solution:

- Consider a fixed infinite set of function symbols, namely the type symbol
- Take the arity function as parameter \Rightarrow the translation may fail.
- The initial arity function can be computed by inspecting the rules.

Error monad

For returning useful information in case of failure, instead of bool we use:

```
Inductive result (A: Type): Type :=
  | Ok : A -> result A
  | Ko : message -> result A.
```

check: forall a:symbol->nat, color_rules a -> proof -> result unit

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Example: polynomial interpretation on $\mathbb N$

Certificate for a proof by polynomial interpretation:

```
Inductive trsTerminationProof :=
| TrsTerminationProof ruleRemoval : ... ->
  orderingConstraintProof -> rules -> trsTerminationProof -> ...
| ...
Inductive orderingConstraintProof :=
| OrderingConstraintProof_redPair : redPair -> ...
 . . .
Inductive redPair :=
| RedPair_interpretation : type -> list (symbol * arity * function)
| ...
Inductive type :=
| Type_polynomial : domain -> ...
 . . .
```

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```
Fixpoint trsTerminationProof n a R p :=
 match n with
  | 0 => Ko ...
  | S m =>
    . . .
   match p with
    | TrsTerminationProof_rIsEmpty => rIsEmpty R
    | TrsTerminationProof_ruleRemoval None ocp rs p =>
     (* We check the correctness of the rule removal.
             In case of success, we get the remaining rules. *)
      Match ruleRemoval R ocp With R' ===>
      (* We translate the list of rules given by the user. *)
      Match color_rules a nat_of_string rs With rs' ===>
        (* We check that the two lists of rules are equivalent. *)
        if equiv_rules R' rs' then trsTerminationProof m R' p
        else Ko ...
```

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Definition ruleRemoval a R ocp :=
 match ocp with
  | OrderingConstraintProof_redPair rp => redPair a R rp
  . . .
Definition redPair a R rp :=
 match rp with
  | RedPair_interpretation t is => redPair_interpretation a R t is
  . . .
Definition redPair_interpretation a R t :=
 match t with
  | Type_polynomial dom _ =>
    polynomial_interpretation a R is dom
  . . .
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Definition polynomial_interpretation a R is dom :=
(* We check the correctness of the polynomial interpretation.
In case of success, we get functions for deciding (>=_I,>_I). *)
Match type_polynomial a is dom With (bge, bgt) ===>
 (* We check that every rule is in >=_I. *)
if forallb (brule bge) R then
  (* We return the rules not included in >_I. *)
  Ok (filter (brule (neg bgt)) R)
else Ko ...
```

```
Definition type_polynomial a is dom :=
  match dom with
  | Domain_naturals => poly_nat a is
```

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Definition poly_nat a is :=
(* We first check that interpretation functions can be translated
into polynomials with a number of variables less than the arity
of the function symbols. *)
Match map_rev (color_interpret a) is With 1 ===>
  (* We then check that polynomials are monotone. *)
  if conditions_poly_nat 1 then
    (* We return the boolean functions for checking (>=_I,>_I). *)
   let pi := fun f : Sig => fun_of_pairs_list a f l in
   Ok (fun t u => redpair_poly_nat_bge t u pi,
        fun t u => redpair_poly_nat_bgt t u pi)
  else Ko ...
```

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Correctness proof

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```
Lemma poly_nat_ok : forall a is bge bgt R,
poly_nat a is = Ok (bge, bgt) -> forallb (brule bge) R = true ->
WF (red (filter (brule (neg bgt)) R)) -> WF (red R).
```

Lemma redPair_interpretation_ok : forall a R t is R',
redPair_interpretation a R t is = Ok R'->WF(red R')-> WF (red R).

Lemma trsTerminationProof_ok : forall n a R t, trsTerminationProof n a R t = Ok _ -> WF (red R).

Extraction to OCaml

- Function definitions only (we do not need proof extraction).
- Time to extract: 240s, and compile: 15s.

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Results

Outline

Introduction

2 Frameworl

- Languages and tools
- Termination of rewriting and its certification

3 Contributions

- XSD-guided XML-parser generator
- Definition and proof of a CPF verifier in Coq
- Results

4 Conclusion

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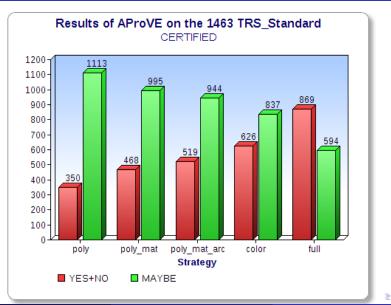




- Developed since 2009 by Sternagel, Thiemann, Zankl,
- Extracted verifier from the IsaFoR library (128,000 LOC).
- Developed in the Isabelle/HOL proof assistant.
- Supports many more (non-)termination techniques.

Image: A matrix and a matrix

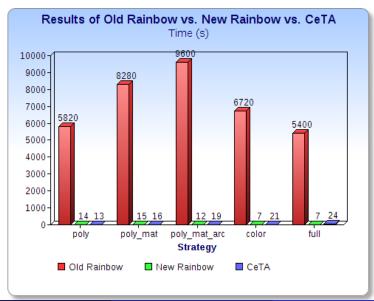




Kim Quyen LY

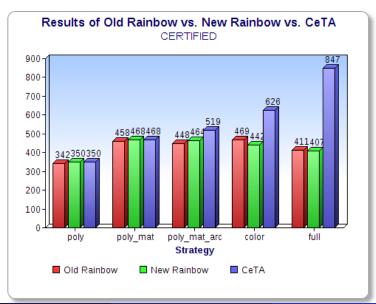
Results

Old Rainbow vs. New Rainbow vs. CeTA (Cont.)



Results

Old Rainbow vs. New Rainbow vs. CeTA



Outline

Introduction

2) Framework

- Languages and tools
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Conclusion

xsd2coq: 400 LOC OCaml. xsd2ml: 600 LOC OCaml. CPF verifier: 6800 LOC Coq.

Techniques currently supported in New Rainbow:

- Polynomial interpretations over \mathbb{N} or \mathbb{Q} .
- Matrix interpretations over \mathbb{N} , $\mathbb{N} \cup \{+\infty\}$, $\mathbb{N} \cup \{-\infty\}$ or $\mathbb{Z} \cup \{-\infty\}$.
- Recursive path ordering (RPO).
- Dependency pairs transformation.
- Dependency graph decomposition.
- Argument filtering.
- Loops.

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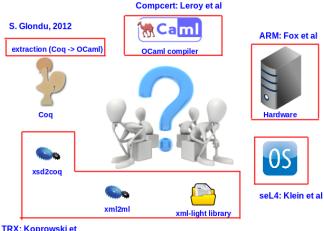
Conclusion

Trusted computing base



Automated verification of termination certificate

Trusted computing base



TRX: Koprowski et al, LR1: Jourdan et al

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Future work

- Improve error handling.
- Improve efficiency (e.g. using first-order data structures for maps).
- Handle techniques already proved in CoLoR or Coccinelle (linear polynomial interpretations over matrices, subterm criterion, SRS reversal, semantic labeling, ...).
- Extend CoLoR and Coccinelle with more termination techniques (usable rules, innermost termination, ...).
- Handle other classes of termination problems (e.g. logic programs, Haskell programs, ...).

https://gforge.inria.fr/projects/rainbow

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Thank you for your attention!!!

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