

# Automated verification of termination certificates

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# Outline

- 1 Software certification
- 2 Termination of rewriting and its certification
- 3 Our approach
- 4 Conclusion

# Why/how to certify software?

- Software have bugs, sometimes difficult to detect
- Bugs are merely annoying and inconvenient  
but some can have extremely serious consequences

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- **Use of certificates**

# Use of certificates

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check that its result is correct each time it is run  
by providing a certificate and verifying it

- Does not depend on the source code
- Finding a solution to a problem is generally more difficult than checking that a solution is correct ( $P \neq NP$ )



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⇒ **Use a proof assistant!**

Generally provides:

- A language for defining functions and properties
- Libraries of definitions and theorems
- Basic proof tactics and decision procedures
- A language for defining advanced proof tactics

Examples of works done in a proof assistant:

- 4-color theorem (2005), odd-order theorem (Gonthier et al, 2012)
- Formal verification of a realistic C compiler (Leroy 2009)
- Formal verification of an OS kernel (Klein et al, 2009)

# The Coq proof assistant

- Main features:
  - Interactive theorem proving
  - Powerful specification language (including dependent types and inductive definitions)
  - Tactic language to build proofs
  - Type-checking algorithm to check proofs
- Coq has a large standard library including: Integers, Reals, Sets, etc.
- Extraction
  - Automatic generation of functional code from Coq proofs, in order to produce certified programs
  - Actually from Coq to ML or Haskell

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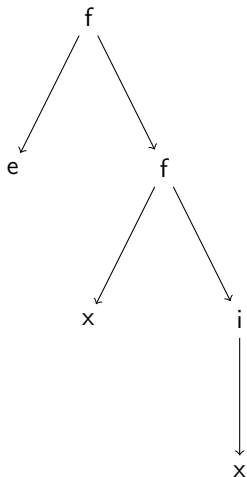
# First-order terms/trees

Symbols:  $f \in \mathcal{F}$   $n$ -ary

Variables:  $x \in \mathcal{V}$

Terms:  $x \mid f(t_1, \dots, t_n)$

**Example:**  $f(e, f(x, i(x)))$



# Term rewriting

Introduced by Knuth in 1967:

## Dershowitz-Jouannaud 1990

“Rewrite systems are directed equations used to compute by repeatedly replacing subterms of a given formula with equal terms until the simplest form possible is obtained.”

- Particular case: first-order functional programs
- It is Turing-complete (termination is undecidable even with one rule only)
- Programming languages based on rewriting: CafeOBJ, ELAN, Maude

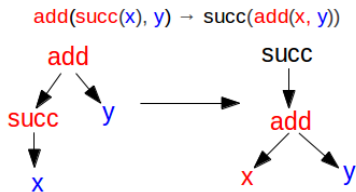
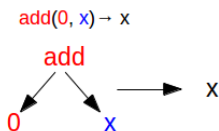
# Example of term rewriting system (TRS)

for solving the word problem in group theory:

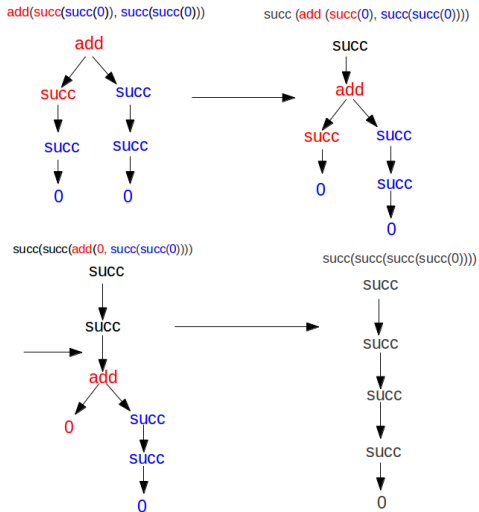
$$\begin{aligned}(x+y)+z &\rightarrow x+(y+z) \\ x+0 &\rightarrow x \\ 0+x &\rightarrow x \\ x+(-x) &\rightarrow 0 \\ (-x)+x &\rightarrow 0 \\ (-x)+(x+y) &\rightarrow y \\ x+((-x)+y) &\rightarrow y \\ -0 &\rightarrow 0\end{aligned}$$



# Example of rewriting sequence



# Example of term rewriting system



# How to prove termination of TRSs?

Many techniques and tools have been developed over the years (AProVE, TTT2, ...)

**Example:** polynomial interpretations (Lankford, 1975)

- Interpret each function symbol  $f$  of arity  $n$  by a polynomial  $\mathcal{P}_f$  with  $n$  variables on some well-founded domain (e.g. non-negative integers)
- Then, by composition, any term with  $n$  variables can be interpreted by a polynomial with  $n$  variables

## Theorem

A program defined by a set  $\mathcal{R}$  of rules terminates if:

- Each  $\mathcal{P}_f$  is monotone in each variable
- For every rule  $l \rightarrow r$ , we have  $\mathcal{P}_l > \mathcal{P}_r$

# Example of polynomial interpretation on $\mathbb{N}$

$$\begin{aligned}\text{add}(\text{zero},x) &\rightarrow x \\ \text{add}(\text{succ}(x),y) &\rightarrow \text{succ}(\text{add}(x,y))\end{aligned}$$

polynomial interpretation:

$$\begin{aligned}\mathcal{P}_{\text{add}}(X, Y) &= 2X + Y \\ \mathcal{P}_{\text{succ}}(X) &= X + 1 \\ \mathcal{P}_{\text{zero}} &= 1\end{aligned}$$

then:

$$\begin{aligned}2(1) + X &>_{\mathbb{N}} X \\ 2(X + 1) + Y &>_{\mathbb{N}} (2X + Y) + 1\end{aligned}$$

whatever are the values of  $X, Y \in \mathbb{N}$

# Certificate for polynomial interpretation on $\mathbb{N}$

- The certificate require: the polynomials  $\mathcal{P}_f$
- How to verify its correctness?
  - Check that each  $\mathcal{P}_f$  is monotone in each variable
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CPF: termination certificate grammar (XML Schema)

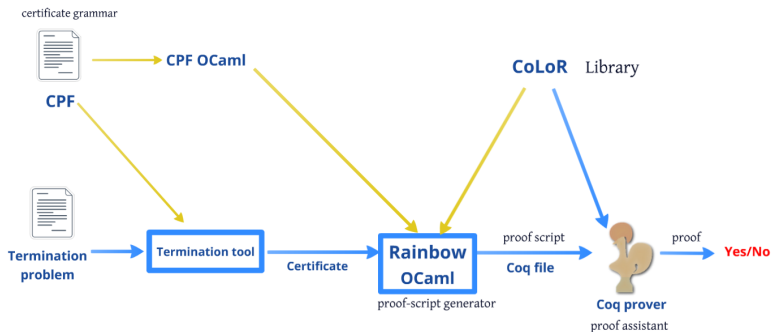
TPDB: termination problems data base

TermComp: annual international termination competition

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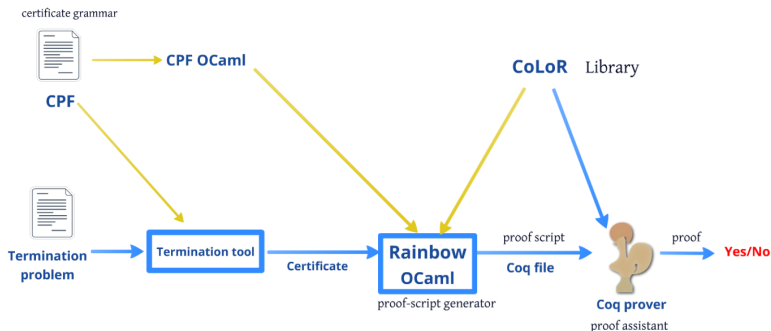
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# Old Rainbow architecture: generate a Coq script





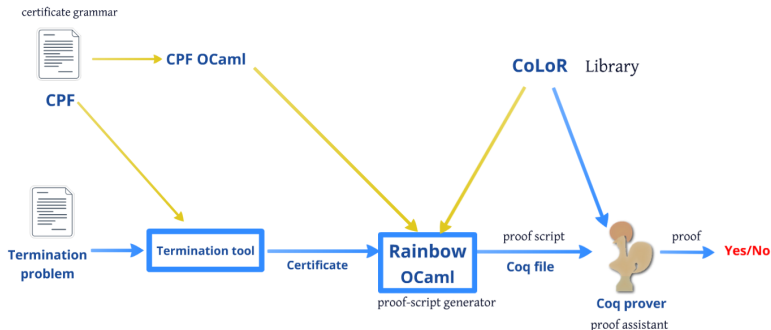
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## Advantages

Termination proofs can be re-used in Coq

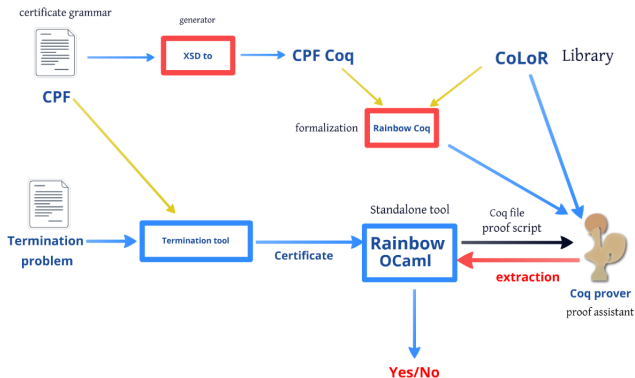
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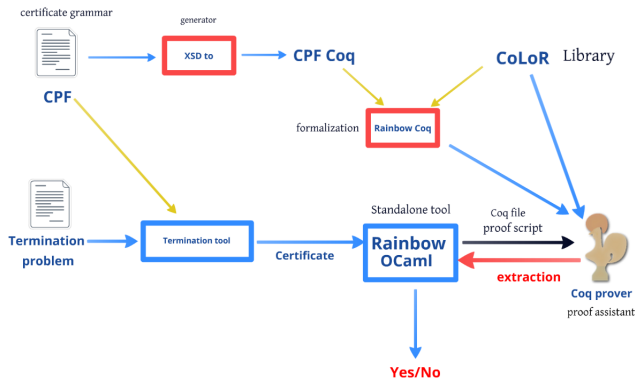
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**Disadvantages** Coq is too slow  
Rainbow is not certified

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- Developed a tool that generates from an XML Schema S:
  - An OCaml/Coq data type for representing XML files valid wrt S
  - An OCaml parsing function for XML files valid wrt S
- Defined and formally proved in Coq a termination certificate verifier for:
  - Polynomial interpretations
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**Thank you for your attention!**



# CPF: Termination proof example

## Termination Proof

### Input TRS

Termination of the rewrite relation of the following TRS is considered.

$$\text{and}(\text{not}(\text{x}), \text{y}, \text{not}(\text{z})) \rightarrow \text{and}(\text{y}, \text{band}(\text{x}, \text{z}), \text{x})$$

### Proof

#### 1 Dependency Pair Transformation

The following set of initial dependency pairs has been identified.

$$\text{and}^\#(\text{not}(\text{x}), \text{y}, \text{not}(\text{z})) \rightarrow \text{and}^\#(\text{y}, \text{band}(\text{x}, \text{z}), \text{x})$$

#### 1.1 Reduction Pair Processor

Using the linear polynomial interpretation over the naturals

$$\begin{aligned} [\text{and}^\#(\text{x}_1, \text{x}_2, \text{x}_3)] &= 2 \cdot \text{x}_1 + 5 \cdot \text{x}_2 + 4 \cdot \text{x}_3 \\ [\text{not}(\text{x}_1)] &= 4 + 4 \cdot \text{x}_1 \\ [\text{band}(\text{x}_1, \text{x}_2)] &= 3 + 3 \cdot \text{x}_1 + 3 \cdot \text{x}_2 \\ [\text{and}(\text{x}_1, \text{x}_2, \text{x}_3)] &= 4 \cdot \text{x}_1 + 5 \cdot \text{x}_2 + 4 \cdot \text{x}_3 \end{aligned}$$

all pairs could be removed.

#### 1.1.1 P is empty

There are no pairs anymore.

# Tools

## Rainbow

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library: CoLoR

proof assistant: Coq

approach: deep embedding + extraction

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## CeTA

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library: IsaFoR

proof assistant: Isabelle/HOL

approach: deep embedding + extraction

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## CiME3

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library: Coccinelle

proof assistant: Coq

approach: shallow embedding + script generation