

Polymorphic Types with Polynomial Sizes

Size tracking without dependent types

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ARRAY 2023, Orlando, Florida, United States

June 18, 2023



Context: Safe arrays in SCADE

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function dot «n,p» (u: 'T^n, v: 'T^p)
returns (w: 'T) where 'T numeric
  w = (fold $+$ «n») (0, (map $*$ «p») (u, v));

-- Monomorphic instantiation
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Infer & check sizes together with types

Agenda

1. A sized type system
2. Inference
3. Perspectives and conclusion

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Type safety (ML)

'Well-typed programs cannot go wrong'

R. Milner¹

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Array access: indexes must respect bounds

Array combinators²: predefined valid access schemes

- First orders (FOACs): reverse, transpose, ...
- Second orders (SOACs): map, fold, ...

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- First orders (FOACs): reverse, transpose, ...
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** under *size consistency* assumptions (map2, ...)

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Extensions of the ML type system

Type families	Refinement types
$\tau\ i_1 \dots i_k$	Description $\{ x : \tau \mid P(x) \}$
Polymorphism	Genericity Dependent types
✗	Sub-typing ✓
✓	Type checking decidability ?
✓	Type erasable semantics ✗

Dimension types (1994) [5]

DML (1999) [10] λ^H (2006) [1]

Sized types (1996) [3]

LIQUID TYPES (2008) [9]

Indexed Types (1997) [11]

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ML

$e ::= x \mid n \mid e \ e \mid \lambda x. \ e \mid \text{let } x = e \text{ in } e$

Expressions

ML + Types

$\tau ::= \alpha \mid \text{int} \mid \tau \rightarrow \tau$ *Types*

$e ::= x \mid n \mid e \ e \mid \lambda x : \tau. \ e \mid \text{let } x : \tau = e \text{ in } e \mid e \triangleright \tau$ *Expressions*

ML + Types + Polymorphism

$\tau ::= \alpha \mid \text{int} \mid \tau \rightarrow \tau$ **Types**

$e ::= s x \mid n \mid e e \mid \lambda x:\tau. e \mid \text{let } v x:\tau = e \text{ in } e \mid e \triangleright \tau$ **Expressions**

$V ::= \varepsilon \mid \alpha \cdot V$ **Generalization**

$S ::= \varepsilon \mid \tau \cdot S$ **Instantiation**

ML + Types + Polymorphism + Sizes

$\eta ::= \iota \mid n \mid \eta + \eta \mid \eta * \eta$ **Sizes**

$\tau ::= \alpha \mid \text{int} \mid \tau \rightarrow \tau \mid \langle \eta \rangle \mid [\eta]$ **Types**

$e ::= s_x \mid n \mid e \ e \mid \lambda x : \tau. \ e \mid \text{let } v \ x : \tau = e \ \text{in } e \mid e \triangleright \tau \mid \langle \eta \rangle$ **Expressions**

$V ::= \varepsilon \mid \alpha \cdot V \mid \iota \cdot V$ **Generalization**

$S ::= \varepsilon \mid \tau \cdot S \mid \eta \cdot S$ **Instantiation**

Integer refinements

- $\langle \eta \rangle$: singleton type $\{ x : \text{int} \mid x = \eta \}$ (size η)
- $[\eta]$: interval type $\{ x : \text{int} \mid 0 \leq x < \eta \}$ (index η)

ML + Types + Polymorphism + Sizes

$\eta ::= \nu$

$\tau ::= \alpha$

$e ::= s$

$V ::= \varepsilon$

$S ::= \varepsilon$

Integer

- $\langle \eta \rangle$: singular type
- $[\eta]$: interval type

Where are arrays?

Sizes

Types

pressions

alization
ntiation

$\lfloor \cdot \cdot \cdot \cdot \cdot \cdot \rfloor$

$\{ x : \text{int} \mid 0 \leq x < \eta \}$

$(\cdot \cdot \cdot \cdot \cdot \cdot)$

$(\text{index } \eta)$

ML + Types + Polymorphism + Sizes

$\eta ::= \nu$

Where are arrays?

Sizes

$\tau ::= \alpha$

For typing purposes, arrays are functions on bounded domains:

Types

$e ::= s$

$$[\eta]\tau \equiv [\eta] \rightarrow \tau$$

expressions

$V ::= \varepsilon$

Array access: application

generalization

$S ::= \varepsilon$

Array definition: abstraction

instantiation

Integer

- $\langle\eta\rangle$: singular type

$\{ x : \text{int} \mid 0 \leq x = \eta \}$

(size η)

- $[\eta]$: interval type

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(index η)

ML + Types + Polymorphism + Sizes

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For typing purposes, arrays are functions on bounded domains:

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$e ::= s$

$$[\eta]\tau \equiv [\eta] \rightarrow \tau$$

expressions

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Array access: application

generalization

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instantiation

Integer

`let length $\nu\alpha$: [$\nu\alpha$] $\alpha \rightarrow <\nu>$ = $\lambda x : [\nu\alpha]. <\nu>$`

- $<\eta>$: singular type

$\{ \nu \in \text{vars} \mid \nu \neq \eta \}$

$(\nu \neq \eta)$

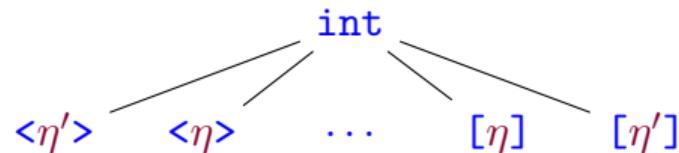
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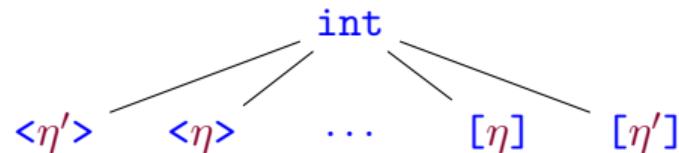
A flat hierarchy of types

Rudimentary sub-typing. No comparison between refinements



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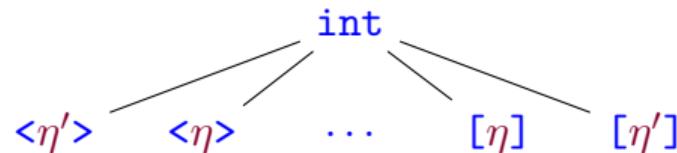


In particular

$$[\eta] \not\prec [\eta + 1]$$

A flat hierarchy of types

Rudimentary sub-typing. No comparison between refinements



In particular

$$[\eta] \not<: [\eta + 1]$$

No size inequalities

- Checking is decidable and simple (normalized polynomials)
- Inference is practical (although incomplete)

Second Order Array Combinators

val map : $\forall \iota \cdot \alpha \cdot \beta. (\alpha \rightarrow \beta) \rightarrow \langle \iota \rangle \rightarrow [\iota] \alpha \rightarrow [\iota] \beta$

val fold : $\forall \iota \cdot \alpha \cdot \beta. (\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \langle \iota \rangle \rightarrow \alpha \rightarrow [\iota] \beta \rightarrow \alpha$

val map2 : $\forall \iota \cdot \alpha \cdot \beta \cdot \gamma. (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \langle \iota \rangle \rightarrow [\iota] \alpha \rightarrow [\iota] \beta \rightarrow [\iota] \gamma$

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`let inc_array = $\lambda x. \text{map inc } <6> x$`

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`[[6]int → [6]int]`

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let inc_array = λx. map inc <_> x
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`fold (+) <_> 0 (map2 (*)) <_> u v)`

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[[6]int \rightarrow [6]int]

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Type erasable semantics?

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let repeat = λn:<τ>. λa. (λi:[τ]. a)
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`let repeat = $\lambda n:<\!\!\iota\!\!>. \lambda a. (\lambda i:[\iota]. a)$`

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let even =  
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Remark 1. Non type-erasable semantics

- Refinements³ $(\lambda x : [\eta]. e) 8 \rightsquigarrow e\{8 \triangleright [\eta]/x\}$ \Rightarrow stops reduction
- Size expressions $\langle \eta \rangle \rightsquigarrow \llbracket \eta \rrbracket_\rho$ \Rightarrow defines result

³ Cormac Flanagan. “Hybrid type checking”. (2006)

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Observational semantics does not depend on type variables

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`val reverse : $\forall \iota \cdot \alpha. [\iota]\alpha \rightarrow [\iota]\alpha$`

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Equivalent type schemes

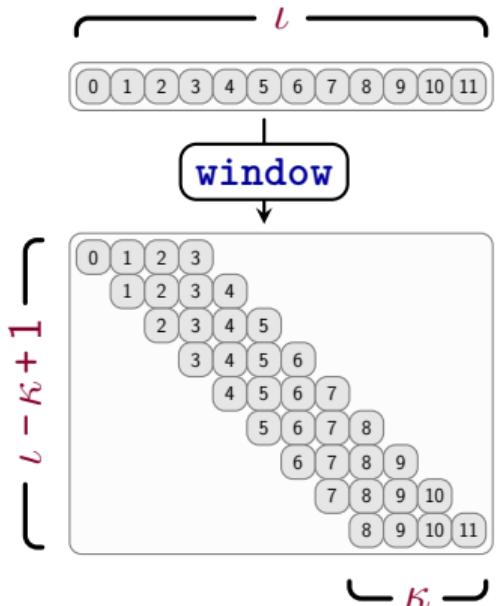
`val concat : $\forall \iota \cdot \kappa \cdot \alpha. [\iota]\alpha \rightarrow [\kappa - \iota]\alpha \rightarrow [\kappa]\alpha$`

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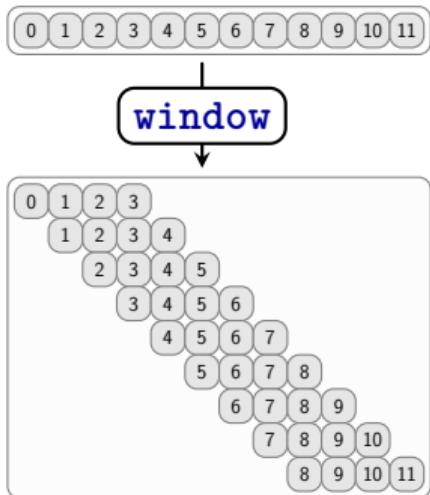


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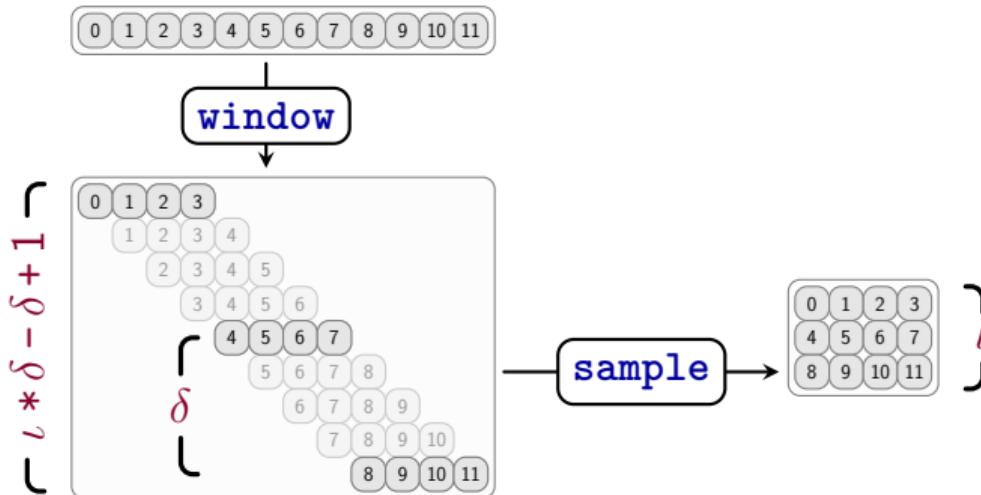
`val window : $\forall \ell \cdot \kappa \cdot \alpha. \langle \kappa \rangle \rightarrow [\ell] \alpha \rightarrow [\ell - \kappa + 1] [\kappa] \alpha$`

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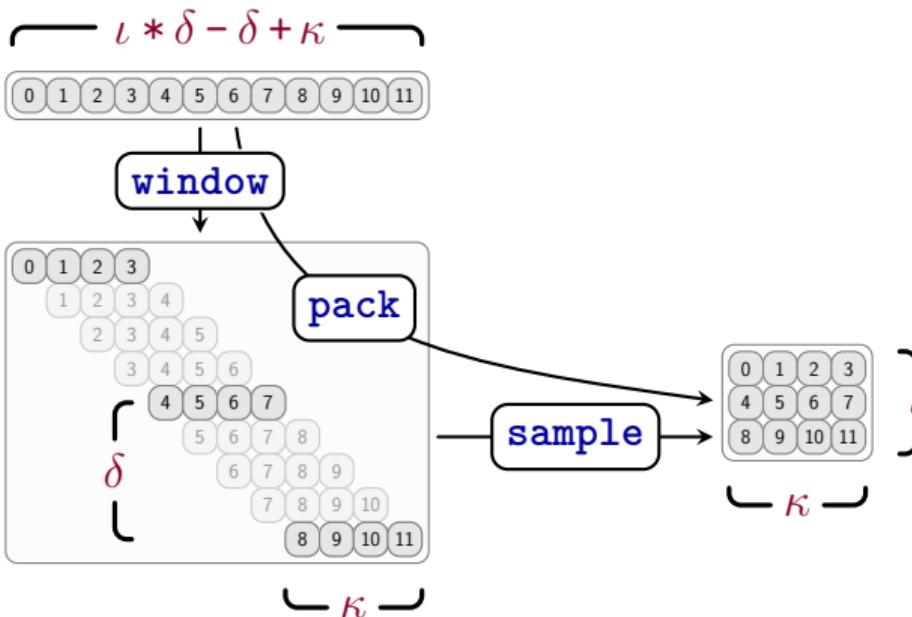
```
let convolution = λk. λi.
  map (dot <_> k) <_> (window <_> i)      [ ∀ι·κ. [κ]int → [ι + κ - 1]int → [ι]int ]
```

First Order Array Combinators



`val sample : $\forall \nu \cdot \delta \cdot \alpha. <\delta> \rightarrow [\nu * \delta - \delta + 1] \alpha \rightarrow [\nu] \alpha$`

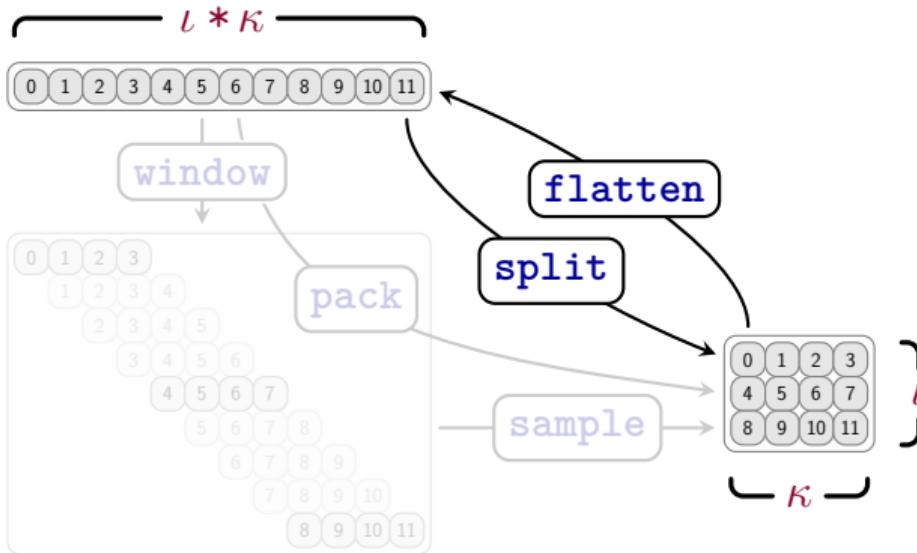
First Order Array Combinators



```
let pack = λs. λx.  
    sample s (window <_> x)
```

$$[\forall \iota \cdot \kappa \cdot \delta \cdot \alpha. \langle \delta \rangle \rightarrow [\iota * \delta - \delta + \kappa] \alpha \rightarrow [\iota] [\kappa] \alpha]$$

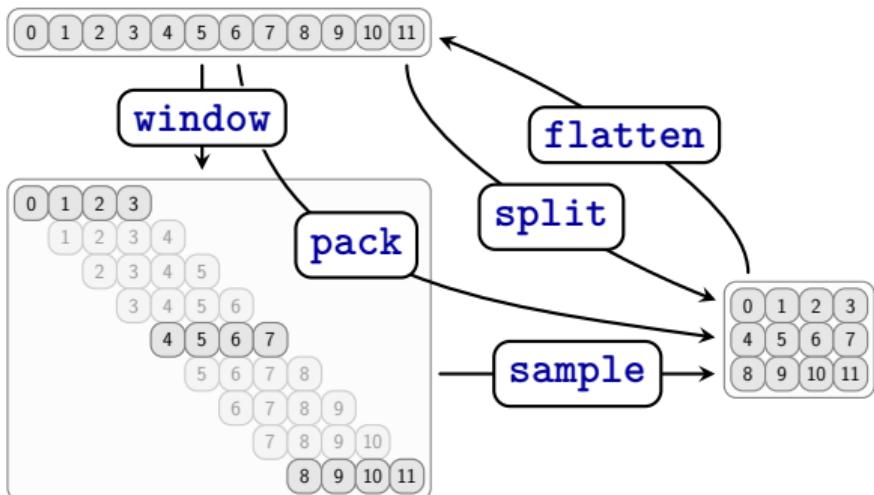
First Order Array Combinators



```

val split : ∀ $\iota \cdot \kappa \cdot \alpha$ . < $\kappa$ > → [ $\iota * \kappa$ ] $\alpha$  → [ $\iota$ ] [ $\kappa$ ] $\alpha$ 
val flatten : ∀ $\iota \cdot \kappa \cdot \alpha$ . < $\kappa$ > → [ $\iota$ ] [ $\kappa$ ] $\alpha$  → [ $\iota * \kappa$ ] $\alpha$ 
  
```

First Order Array Combinators



Principal types?

```
let mat : [__][__]int =  
    split <__> ( $\lambda i : [4]. 0$ )
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Principal types?

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let mat : [__][__]int =  
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```
let slope =  $\lambda f. \lambda i: [__]. \lambda j: [__].$   
   $(f i - f j) / (i - j)$ 
```

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Remark 2. No principal types

- Polynomial sizes constraints
- Simple polymorphism

$$\iota * \kappa - 4 = 0$$

Extra refinements add size constraints

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Remark 2. No principal types

- Polynomial sizes constraints
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$$\iota * \kappa - 4 = 0$$

Extra refinements add size constraints

Constrained polymorphism⁴

⇒ delayed size resolution

$$\forall \iota \cdot \kappa \cdot \alpha \mid [\iota], [\kappa] <: \alpha. (\alpha \rightarrow \text{int}) \rightarrow [\iota] \rightarrow [\kappa] \rightarrow \text{int}$$

⁴ John C. Mitchell. "Coercion and Type Inference". (1984)



Agenda

1. A sized type system
2. Inference
3. Perspectives and conclusion

Inference

Purpose. Fill missing *redundant* information

 Non type-erasable semantics

 No principal types

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t^*

Untyped term

Inference

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 t^*

Untyped term

Typed term

Inference

Purpose. Fill missing *redundant* information



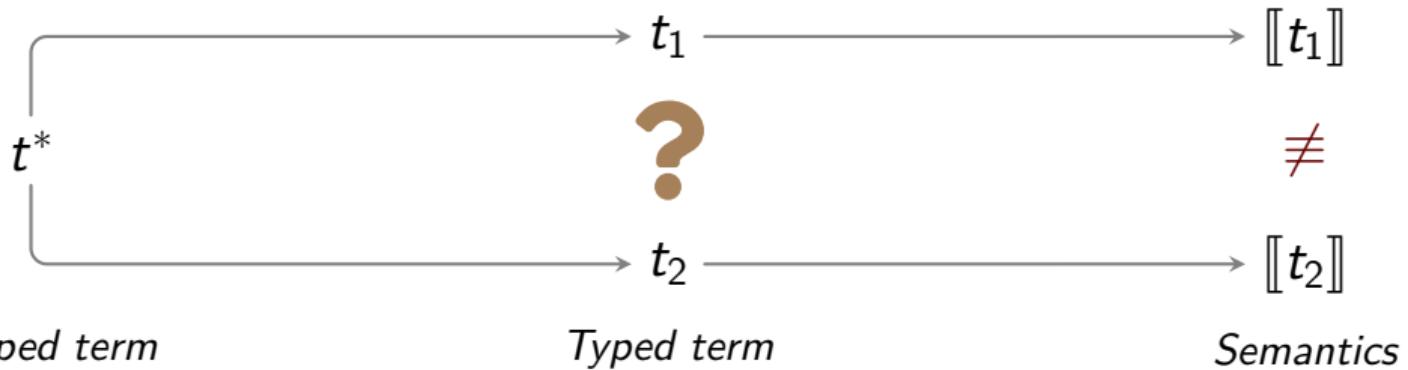
Untyped term

Typed term

Semantics

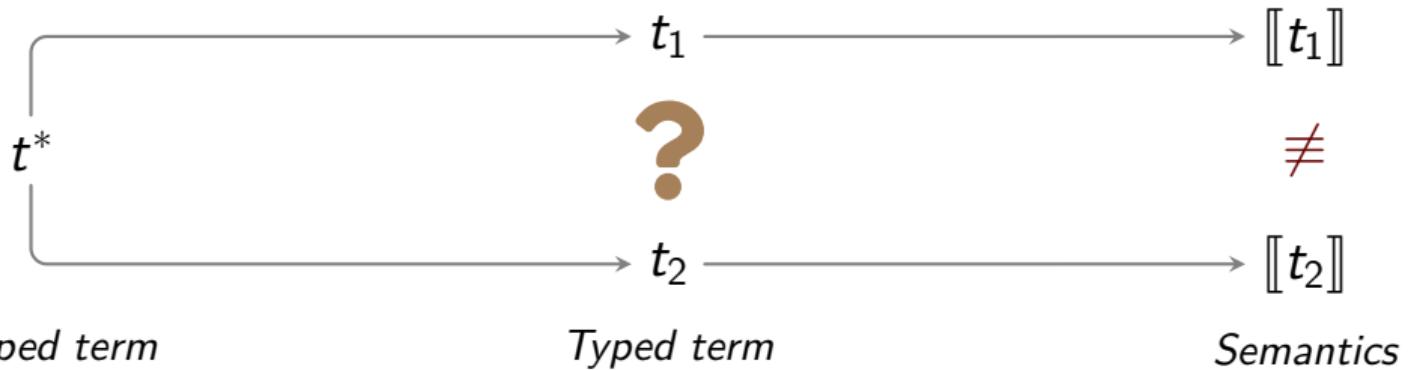
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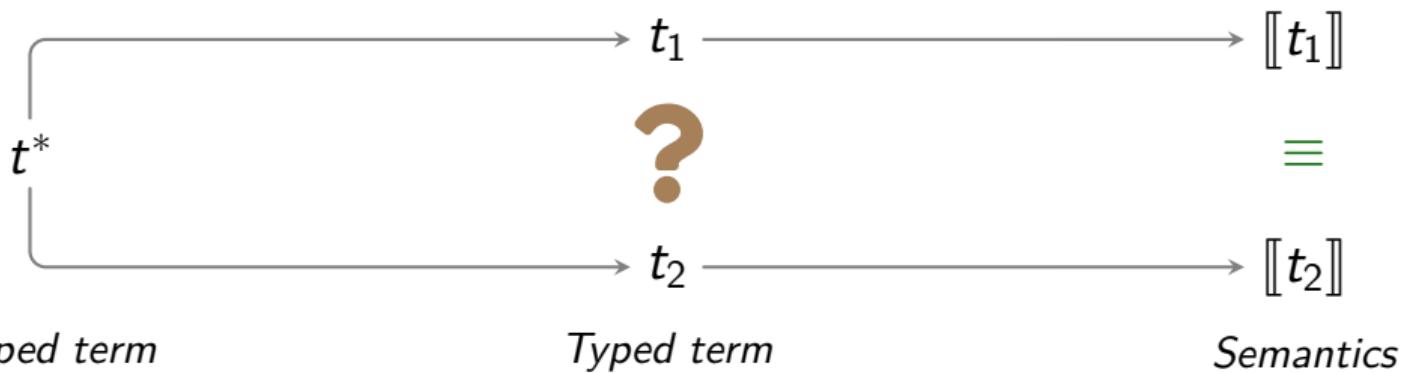
Inference

Purpose. Fill missing *redundant* information



Inference

Purpose. Fill missing *redundant* information, i.e. **without specializing terms**



No arbitrary size substitutions

Algorithm

One-pass resolution.

1. Collect sub-typing constraints: { $\tau_1 <: \tau_2$ }
2. At `let` declarations, solve them and generalize size and type variables

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Constraint solving. Build type & size substitution

*Type
unification*

*Refinement
propagation*

*Size
resolution*

*Refinement
saturation*

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let slope :  $\alpha$  =  
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2.

First order unification (Hindley-Milner)

$\tau = \tau$

Cons

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let slope : (int → int) → int → int → int =  
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Select needed refinements (regardless sizes)

$r <: r$

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let slope : ( $\alpha^+ \rightarrow \alpha^-$ )  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  int =  
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Algorithm

One-pass resolution.

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Simplify polynomial system (keeping all solutions)

$\eta = \eta$

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Propagate refinements if sizes match

$\tau <: \tau$

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Generalization. Variables must...

- ... not appear in any remaining constraints
- ... appear in the type of the declaration

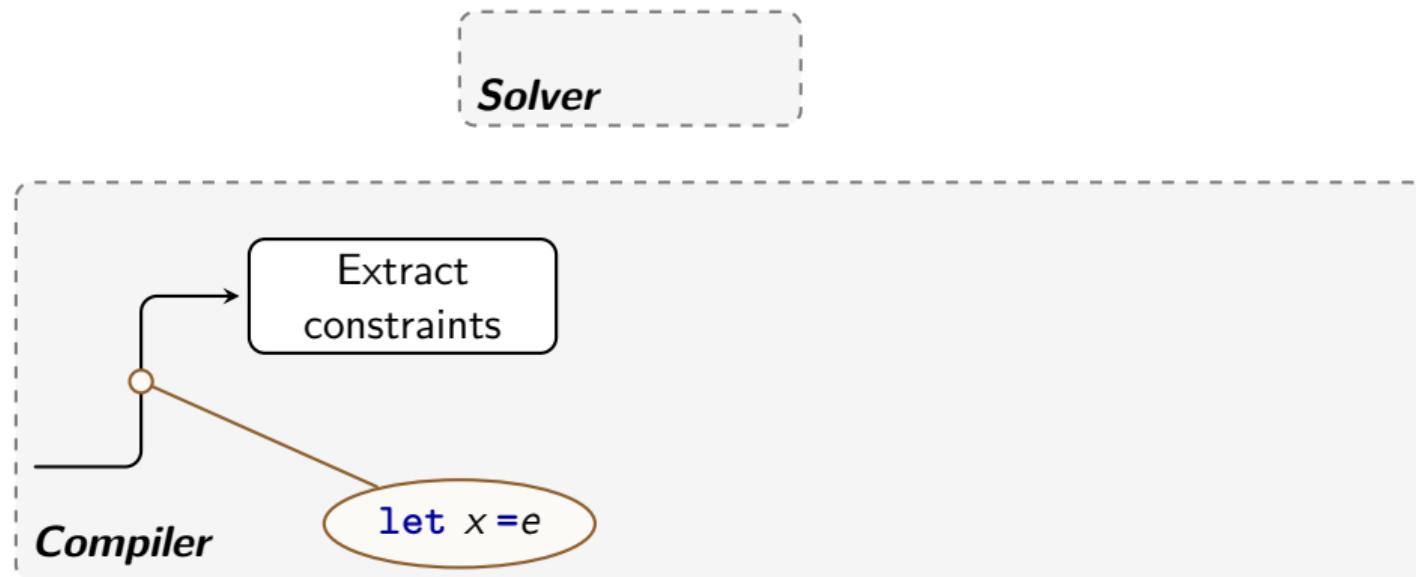
(simple polymorphism)
(implicit instantiation)

Using solvers

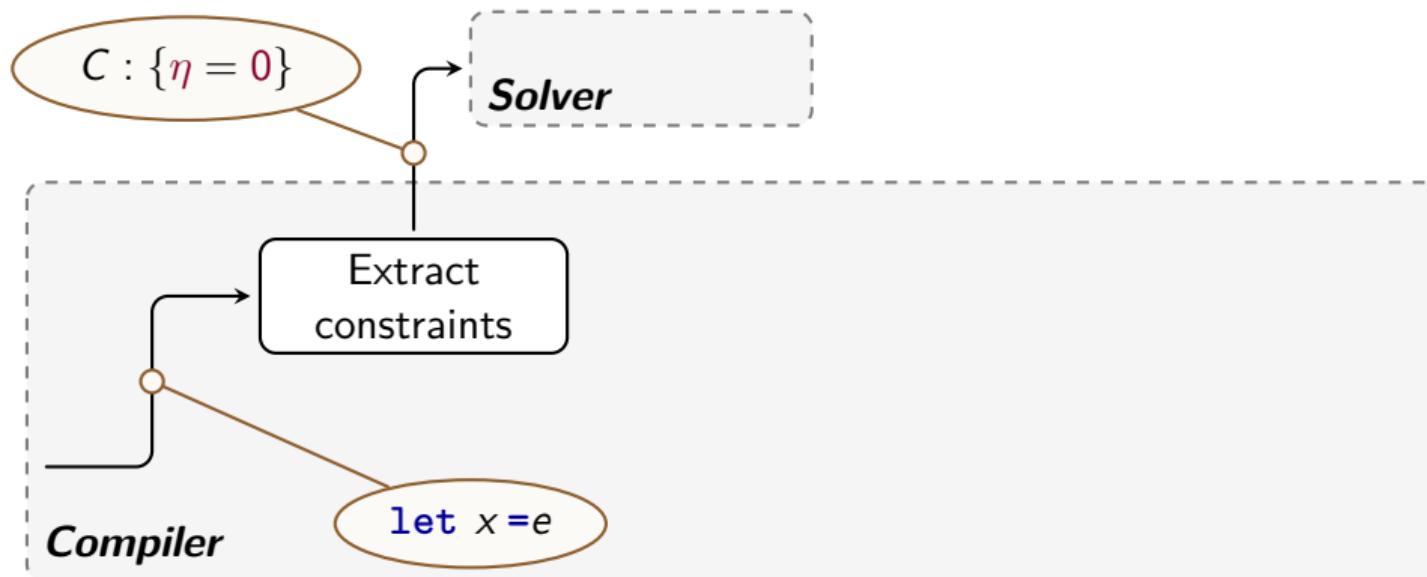
Solver

Compiler

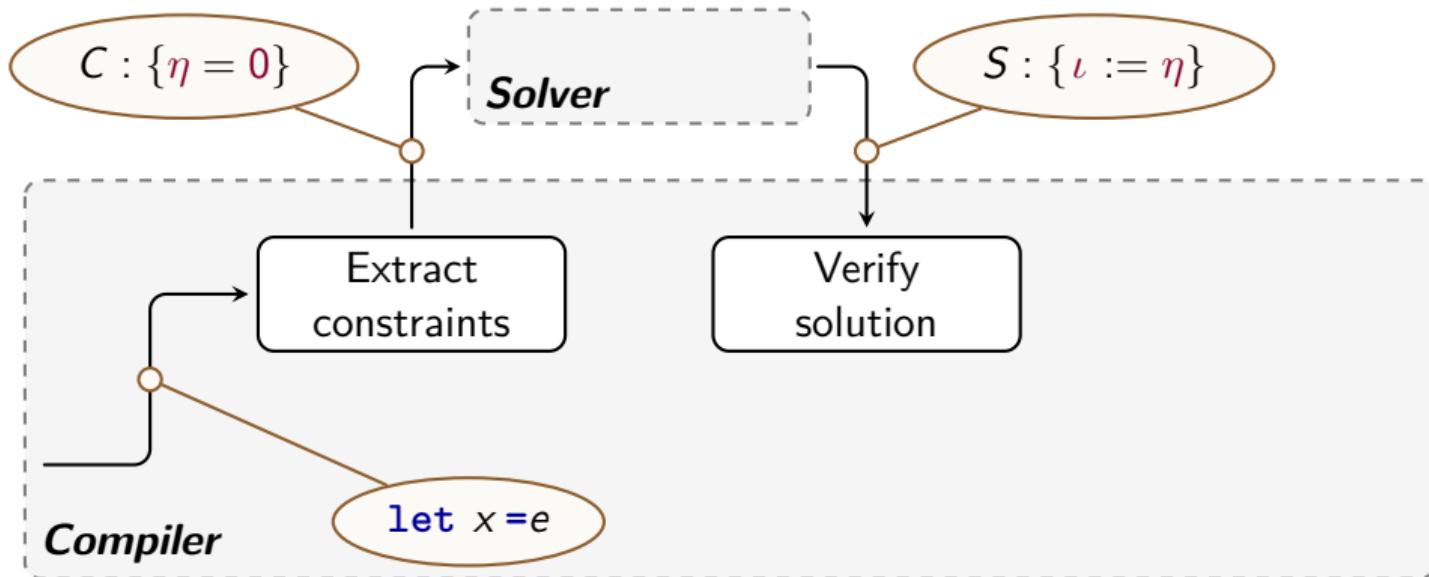
Using solvers



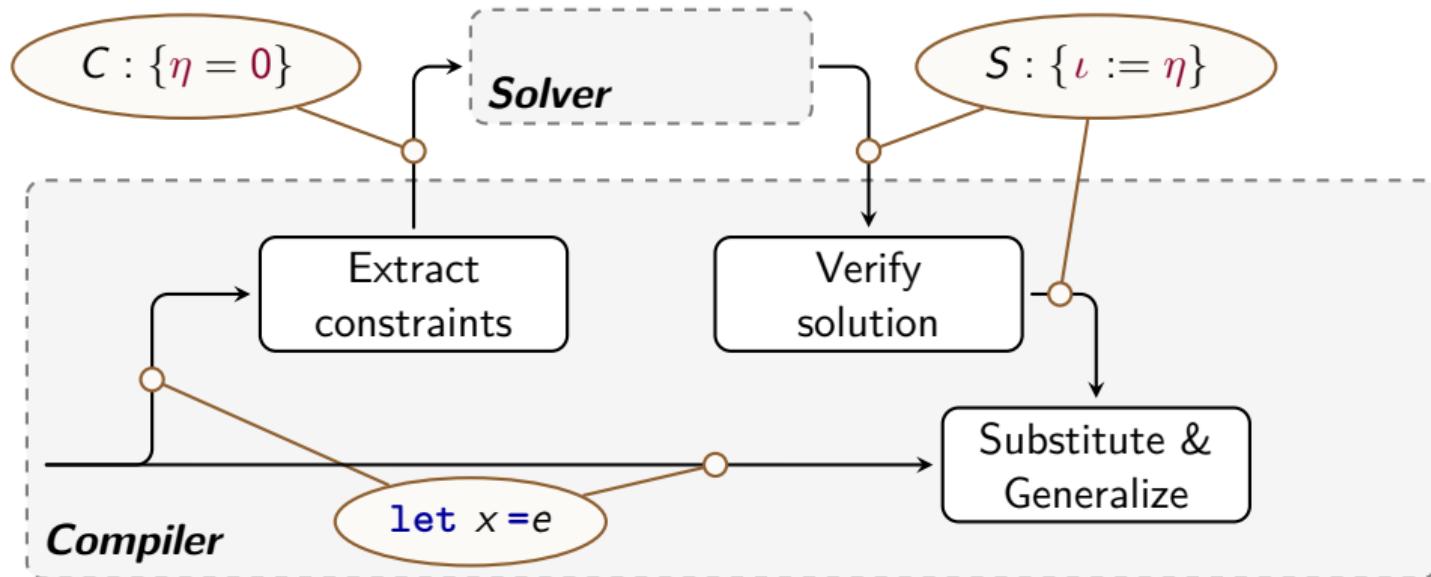
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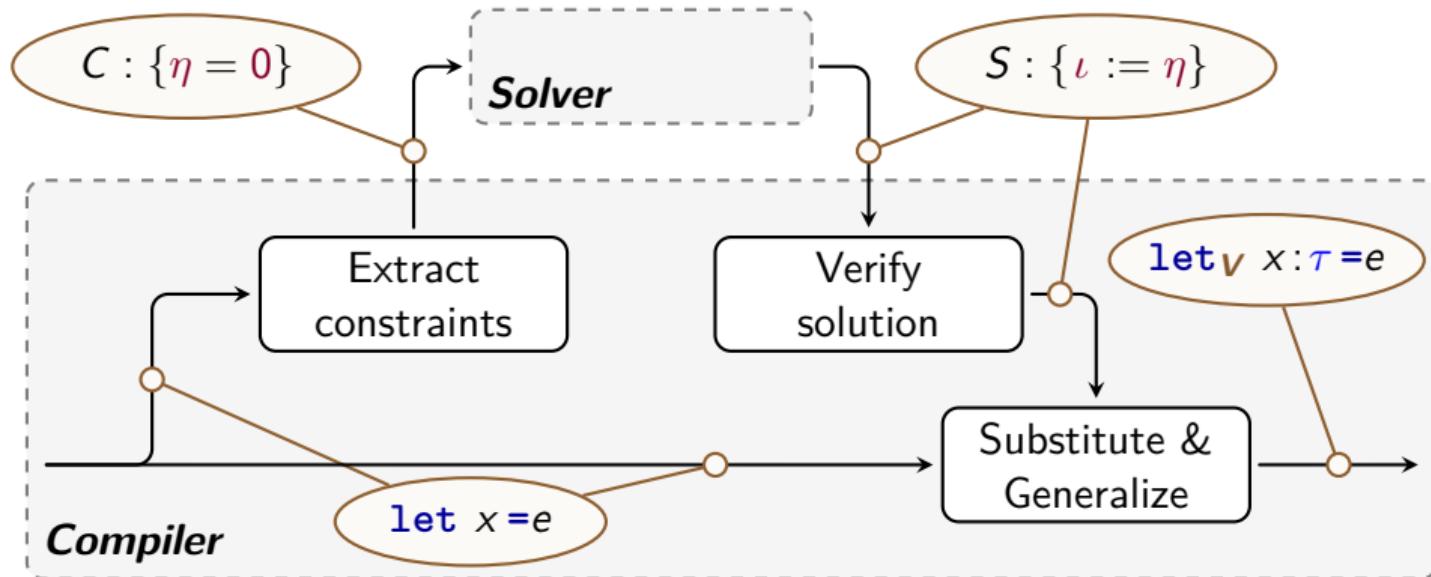
Using solvers



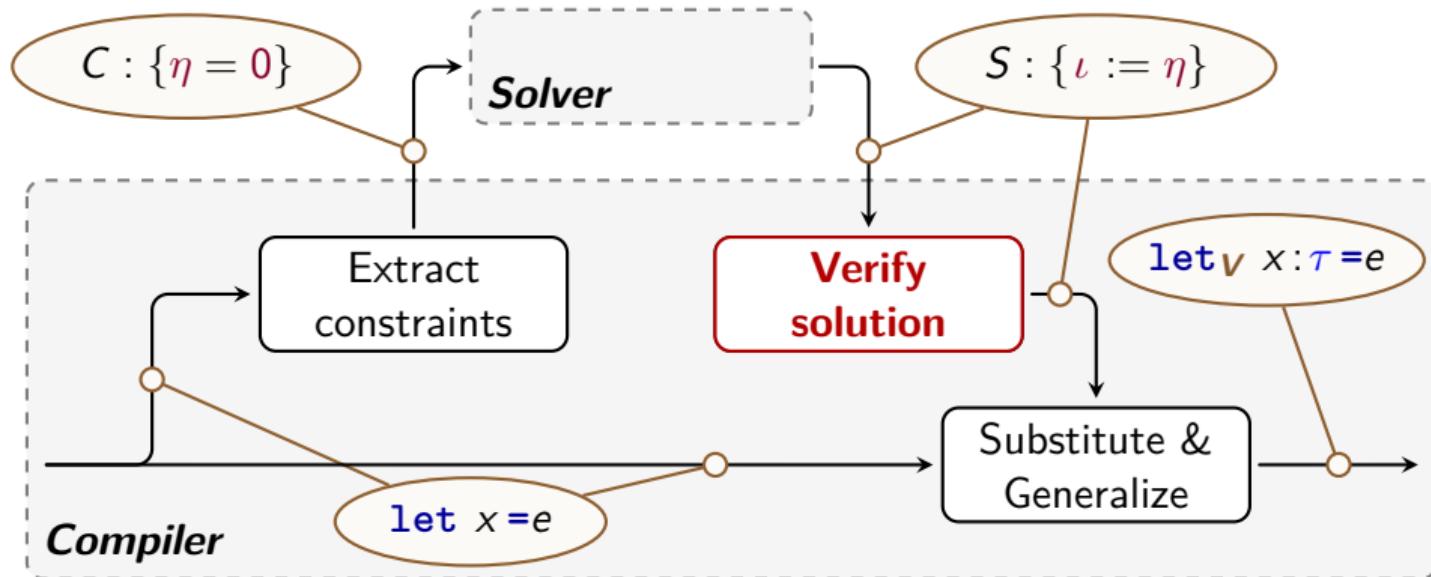
Using solvers



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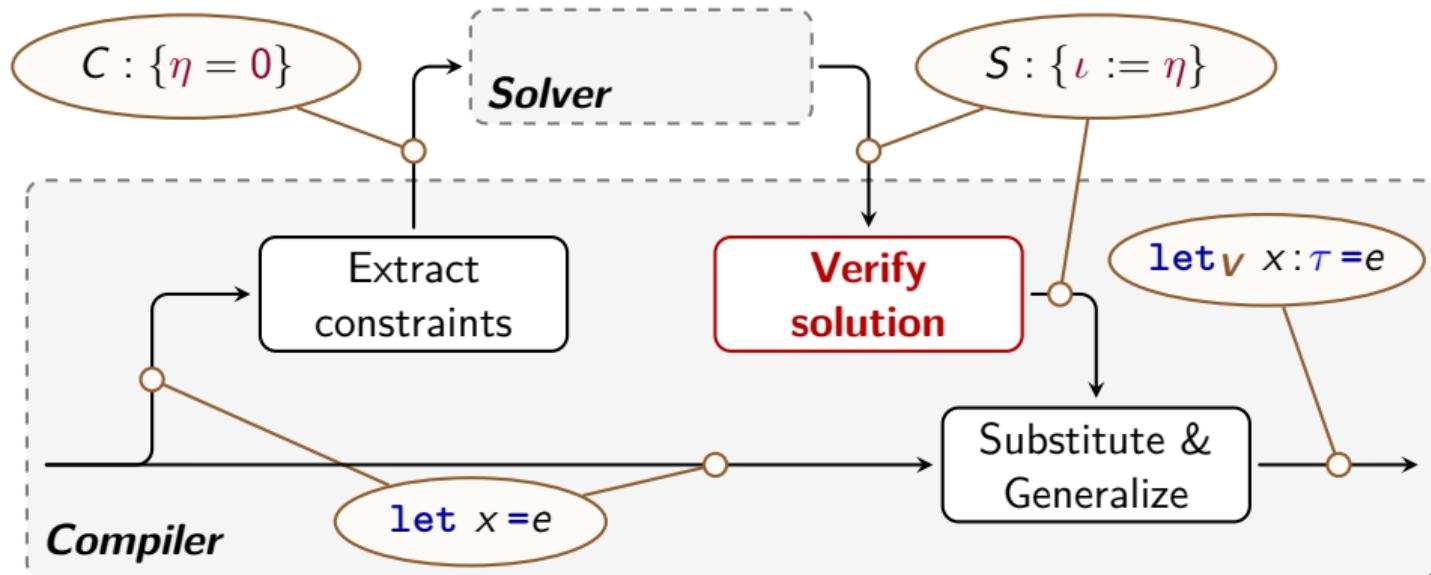
Solution checks

- Soundness

 $\vdash C\{S\}$ S solves C 

(Correction)

Using solvers



Solution checks

- *Soundness* $\vdash C\{S\}$ S solves C (Correction)
- *Completeness* $C \implies S$ S is necessary (Determinism)

Agenda

1. A sized type system
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3. Perspectives and conclusion

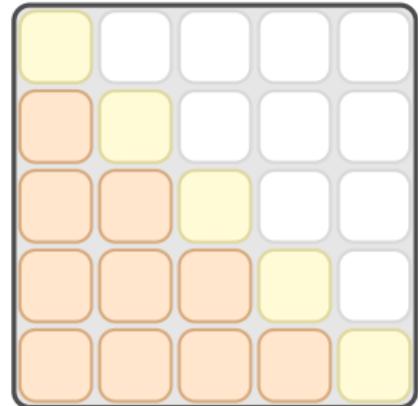
Locally abstract sizes (or types)

Locally abstract sizes (or types)

Local existential sizes.

Construct matrix lines by assembling arrays of varying size

Example: The Cholesky decomposition



Locally abstract sizes (or types)

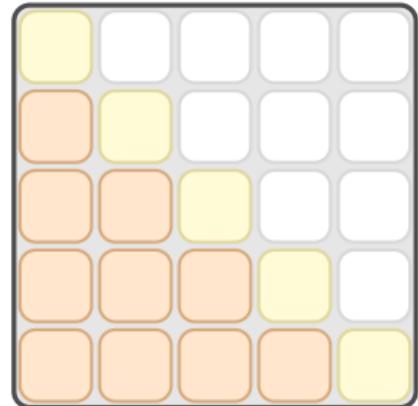
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Example: The Cholesky decomposition

First class polymorphism.⁵

Handle dynamically sized arrays with existential sizes.



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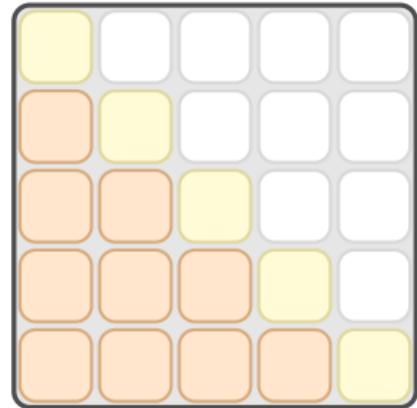
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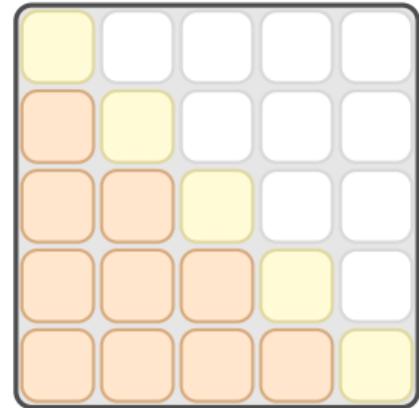
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$$\eta_1 * \kappa + \eta_2 = 0 \quad \stackrel{?}{\iff} \quad \begin{cases} \eta_1 = 0 \\ \eta_2 = 0 \end{cases}$$

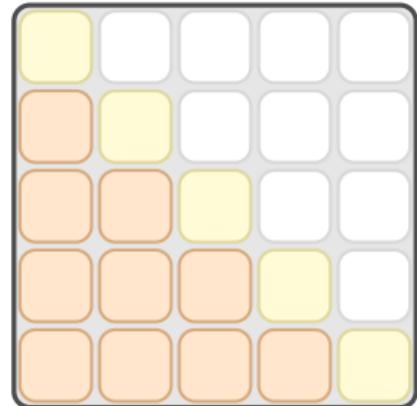
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Size resolution.

Polynomial decomposition

$$\left\{ \begin{array}{l} \eta_1 * \kappa + \eta_2 = 0 \\ \text{if } \eta_2 \text{ cannot capture } \kappa \end{array} \right. \quad \checkmark \quad \iff \quad \left\{ \begin{array}{l} \eta_1 = 0 \\ \eta_2 = 0 \end{array} \right.$$

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Take-away

A modest extension of ML

- A restricted form of sub-typing
- Polymorphism is extended to sizes
- Polynomial sizes: trade-off between expressiveness, verification and inference

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Compilation perspectives

- Unguarded dynamic array accesses mapi
- Improved memory placement concat (A,B)

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- Polynomial sizes: trade-off between expressiveness, verification and inference

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Thanks for your attention

Size checking?

Negative sizes. Type $[\eta]$ is empty if $\eta \leq 0$

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Primitives (SOACs)

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let C = concat A B
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$$\text{let } C = \text{concat } A B \quad \forall i, \ 0 \leq i < \ell + \kappa \implies C[i] = \begin{cases} A[i] & \text{if } 0 \leq i < \ell \\ A[i + \ell] & \text{if } \ell \leq i < \ell + \kappa \end{cases}$$

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```
let magic = λa.  
  flatten <-1> (map (λe. λ_ : [-1]. e) <_> a)
```

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Primitives (SOACs)

$$\text{let } C = \text{concat } A B \quad \forall i, \ 0 \leq i < \ell + \kappa \implies C[i] = \begin{cases} A[i] & \text{if } 0 \leq i < \ell \\ A[i + \ell] & \text{if } \ell \leq i < \ell + \kappa \end{cases}$$

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Add post-typing constraints. Check...

- ... at run-time (exceptions)
- ... at instantiation, when sizes get constant values
- ... at declaration, with advanced formal tools

Constraint solving

```
let slope :  $\alpha$  =  $\lambda f. \lambda i: \underline{\text{ }}. \lambda j: \underline{\text{ }}. (f\ i - f\ j) / (i - j)$ 
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1. Simple type unification

$$\tau = \tau$$

ML simple types (without refinements):

$$\tau ::= \alpha \mid \text{int} \mid \tau \rightarrow \tau$$

First order unification (Hindley-Milner)

Possible failures

✗ Incompatible types

$$\text{int} = \alpha \rightarrow \alpha$$

✗ Recursive substitution

$$\alpha = \alpha \rightarrow \alpha$$

Constraint solving

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let slope :  $\alpha$  =  $\lambda f. \lambda i: \underline{\quad}. \lambda j: \underline{\quad}. (f\ i - f\ j) / (i - j)$ 
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1. Simple type unification — $\tau = \tau$

α

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1. Simple type unification — $\tau = \tau$

$$\begin{aligned} & \alpha \\ (\text{int} \rightarrow \text{int}) \rightarrow \text{int} \rightarrow \text{int} \rightarrow \text{int} \end{aligned}$$

Constraint solving

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let slope :  $\alpha$  =  $\lambda f. \lambda i: [\underline{\quad}]. \lambda j: [\underline{\quad}]. (f\ i - f\ j) / (i - j)$ 
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1. Simplification

2. Refinement propagation

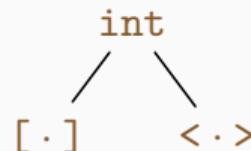
Select needed refinements (regardless sizes)

$r ::= x \mid \text{int} \mid <\cdot> \mid [\cdot]$

$x^- <: [\cdot] \rightarrow x^- := [\cdot]$

$\text{int} <: x^+ \rightarrow x^+ := \text{int}$

$r <: r$
 α
; $\rightarrow \text{int}$



Saturation is deferred after size resolution

Possible failures

✗ Incompatible refinements

$<\cdot> <: [\cdot]$

Constraint solving

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1. Simple type unification — $\tau = \tau$

$$\begin{array}{c} \alpha \\ (\text{int} \rightarrow \text{int}) \rightarrow \text{int} \rightarrow \text{int} \end{array}$$

2. Refinement propagation — $r <: r$

$$(\alpha_d^+ \rightarrow \alpha_c^-) \rightarrow \alpha_i^- \rightarrow \alpha_j^- \rightarrow \alpha_r^+$$

Constraint solving

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2. Refinement propagation — $r <: r$

$$\begin{array}{c} (\alpha_d^+ \rightarrow \alpha_c^-) \rightarrow \alpha_i^- \rightarrow \alpha_j^- \rightarrow \alpha_r^+ \\ (\alpha_d^+ \rightarrow \alpha_c^-) \rightarrow \underline{\text{ }} \rightarrow \underline{\text{ }} \rightarrow \text{int} \end{array}$$

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```

1. Simp

3. Size resolution

$$\eta = \eta$$

2. Refi

Isolated variable elimination:

$$\iota - \eta = 0 \rightarrow \iota := \eta \quad \text{where } \iota \notin FV(\eta)$$

More advanced strategy using *locally abstract variables*

Possible failures

✗ Incompatible sizes

$$6 = 0$$

α
; → int
 $\rightarrow \alpha_r^+$
| → int

Constraint solving

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let slope :  $\alpha$  =  $\lambda f. \lambda i: [\underline{\quad}]. \lambda j: [\underline{\quad}]. (f\ i - f\ j) / (i - j)$ 
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$$(\text{int} \rightarrow \text{int}) \rightarrow \text{int} \rightarrow \text{int} \rightarrow \text{int}$$

2. Refinement propagation — $r <: r$

$$\begin{aligned} (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow \alpha_i^- \rightarrow \alpha_j^- \rightarrow \alpha_r^+ \\ (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow [\underline{\quad}] \rightarrow [\underline{\quad}] \rightarrow \text{int} \end{aligned}$$

3. Size resolution — $\eta = \eta$

$$(\alpha_d^+ \rightarrow \alpha_c^-) \rightarrow [\underline{\quad}] \rightarrow [\underline{\quad}] \rightarrow \text{int}$$

Constraint solving

```
let slope :  $\alpha$  =  $\lambda f. \lambda i: \underline{[ ]}. \lambda j: \underline{[ ]}. (f\ i - f\ j) / (i - j)$ 
```

1. Simple type unification — $\tau = \tau$

$$(\text{int} \rightarrow \text{int}) \rightarrow \text{int} \rightarrow \text{int} \rightarrow \text{int}$$

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3. Size resolution — $\eta = \eta$

$$\begin{aligned} (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow [\iota] \rightarrow [\kappa] \rightarrow \text{int} \\ (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow [\iota] \rightarrow [\kappa] \rightarrow \text{int} \end{aligned}$$

Constraint solving

```
let slope :  $\alpha$  =  $\lambda f. \lambda i: [\underline{\quad}]. \lambda j: [\underline{\quad}]. (f\ i - f\ j) / (i - j)$ 
```

1. Simplify

4. Refinement saturation

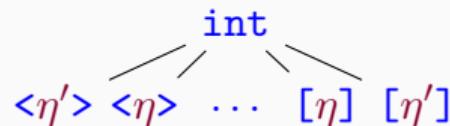
$$\tau <: \tau$$

2. Refine

$$\langle \eta \rangle <: \alpha^+ \rightarrow \alpha^+ := \langle \eta \rangle$$

3. Size

$$\left\{ \begin{array}{l} [\eta_1] <: \alpha^+ \\ [\eta_2] <: \alpha^+ \end{array} \right. \rightarrow \alpha^+ := \text{int}$$

$$\langle \eta' \rangle \langle \eta \rangle \dots [\eta] [\eta']$$


Possible failures

α
; → int
 $\rightarrow \alpha^+$
| → int
 $\rightarrow \text{int}$
 $\rightarrow \text{int}$

Constraint solving

```
let slope :  $\alpha$  =  $\lambda f. \lambda i: \underline{\text{ }}. \lambda j: \underline{\text{ }}. (f\ i - f\ j) / (i - j)$ 
```

1. Simple type unification — $\tau = \tau$

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$$(\text{int} \rightarrow \alpha_c^-) \rightarrow [\iota] \rightarrow [\kappa] \rightarrow \text{int}$$

Constraint solving

```
let slope :  $\alpha$  =  $\lambda f. \lambda i: \underline{\text{ }}. \lambda j: \underline{\text{ }}. (f\ i - f\ j) / (i - j)$ 
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Constraint solving

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$$\begin{aligned} (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow \alpha_i^- \rightarrow \alpha_j^- \rightarrow \alpha_r^+ \\ (\alpha_d^+ \rightarrow \alpha_c^-) &\rightarrow [\underline{_}] \rightarrow [\underline{_}] \rightarrow \text{int} \end{aligned}$$

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Constraint solving

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let slope :  $\alpha$  =  $\lambda f. \lambda i: [6]. \lambda j: [6]. (f\ i - f\ j) / (i - j)$ 
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$$\begin{aligned} ([6] \rightarrow \alpha_c^-) &\rightarrow [6] \rightarrow [6] \rightarrow \text{int} \\ ([6] \rightarrow \text{int}) &\rightarrow [6] \rightarrow [6] \rightarrow \text{int} \end{aligned}$$

Issue 1: Size-dependent Semantics

Non type-erasable semantics

Coerced substitutions

$$(\lambda x: [\eta]. e) 8 \rightsquigarrow e\{8 \triangleright [\eta]/x\}$$

Sizes may stop the reduction

Size expressions

$$\langle \eta \rangle \rightsquigarrow \llbracket \eta \rrbracket_\rho$$

Sizes define the reduction result

Observational semantics does not depend on type variables:

$$e\{\bar{\tau}_1/\bar{\alpha}\} \equiv e\{\bar{\tau}_2/\bar{\alpha}\}$$

where $\bar{\alpha} = FV(e)$

Intuition: Type variables...

- ... have no *computational content*
- ... may only impact the domain of definition

Issue 2: Principal types?

```
let slope = λf. λi:[_]. λj:[_]. (f i - f j) / (i - j)
```

1. Simple polymorphism

⇒ no principal types

Constrained polymorphism⁶

⇒ delayed size resolution

2. Polynomial size constraints:

$$\iota * \kappa - 4 = 0$$

⁶ John C. Mitchell. "Coercion and Type Inference". (1984)

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