Memory abstraction 1

MPRI — Cours 2.6 "Interprétation abstraite : application à la vérification et à l'analyse statique"

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Overview of the lecture

So far, we have shown numeric abstract domains

- non relational: intervals, congruences...
- relational: polyhedra, octagons, ellipsoids...
- How to deal with non purely numeric states ?
- How to reason about complex data-structures ?
- ⇒ a very broad topic, and two lectures:

This lecture

- overview memory models and memory properties
- abstraction of arrays
- abstraction of pointer structures and shape analysis

Next lecture: abstractions based on separation logic

Outline

- Memory models
 - Towards memory properties
 - Formalizing concrete memory states
 - Treatment of errors
 - Language semantics

Assumptions for the two lectures

Imperative programs viewed as transition systems:

- set of **control states**: L (program points)
- set of variables: X (all assumed globals)
- set of values: V (so far: V consists of integers (or floats) only)
- set of memory states: \mathbb{M} (so far: $\mathbb{M} = \mathbb{X} \to \mathbb{V}$)
- error state: ○
- states: S

$$\begin{array}{rcl} \mathbb{S} & = & \mathbb{L} \times \mathbb{M} \\ \mathbb{S}_{\Omega} & = & \mathbb{S} \uplus \{\Omega\} \end{array}$$

• transition relation:

$$(\to)\subseteq \mathbb{S}\times \mathbb{S}_\Omega$$

Abstraction of sets of states

- abstract domain D[#]
- concretization $\gamma: (\mathbb{D}^{\sharp}, \sqsubseteq^{\sharp}) \longrightarrow (\mathcal{P}(\mathbb{S}), \subseteq)$

Assumptions: syntax of programs

We start from the same language syntax and will extend I-values:

```
1 ::= I-valules
pointers, array dereference...
e ::= expressions
s ::= statements
 while(e){s} (loop)
```

Assumptions: semantics of programs

We assume classical definitions for:

- I-values: $[1]: \mathbb{M} \to \mathbb{X}$
- ullet expressions: $\llbracket \mathtt{e} \rrbracket : \mathbb{M} \to \mathbb{V}$
- programs and statements:
 - we assume a label before each statement
 - ▶ each statement defines a set of transition (→)

In this course, we rely on the usual reachable states semantics

Reachable states semantics

The reachable states are computed as $[S]_{\mathcal{R}} = \mathbf{lfp}F$ where

$$\begin{array}{cccc} F: & \mathcal{P}(\mathbb{S}) & \longrightarrow & \mathcal{P}(\mathbb{S}) \\ & X & \longmapsto & \mathbb{S}_{\mathcal{I}} \cup \{s \in \mathbb{S} \mid \exists s' \in X, \ s' \to s\} \end{array}$$

and $\mathbb{S}_{\mathcal{T}}$ denotes the set of initial states.

Assumptions: general form of the abstraction

We assume an abstraction for sets of memory states:

- memory abstract domain $\mathbb{D}_{\text{mem}}^{\sharp}$
- concretization function $\gamma_{\text{mem}}: \mathbb{D}_{\text{mem}}^{\sharp} \to \mathcal{P}(\mathbb{M})$

Reachable states abstraction

We construct $\mathbb{D}^{\sharp} = \mathbb{L} \to \mathbb{D}_{\text{mem}}^{\sharp}$ and:

$$\begin{array}{ccc} \gamma: & \mathbb{D}^{\sharp} & \longrightarrow & \mathcal{P}(\mathbb{S}) \\ & X^{\sharp} & \longmapsto & \{(\ell, m) \in \mathbb{S} \mid m \in \gamma_{\mathrm{mem}}(X^{\sharp}(\ell))\} \end{array}$$

The whole question is how do we choose $\mathbb{D}^{\sharp}_{mem}, \gamma_{mem}...$

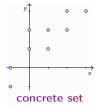
- previous lectures: \mathbb{X} is fixed and finite and, \mathbb{V} is scalars (integers or floats), thus, $\mathbb{M} \equiv \mathbb{V}^n$
- today: we will extend the language thus, also need to extend $\mathbb{D}_{mem}^{\sharp}, \gamma_{mem}$

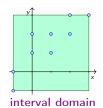
Abstraction of purely numeric memory states

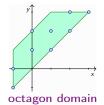
Purely numeric case

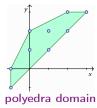
- V is a set of values of the same kind
- e.g., integers (\mathbb{Z}), machine integers ($\mathbb{Z} \cap [-2^{63}, 2^{63} 1]$)...
- If the set of variables is fixed, we can use any abstraction for \mathbb{V}^N

Example: N = 2, $X = \{x, y\}$









Heterogeneous memory states

In real life languages, there are many kinds of values:

- scalars (integers of various sizes, boolean, floating-point values)...
- pointers, arrays...

Heterogeneous memory states and non relational abstraction

- types t_0, t_1, \ldots and values $\mathbb{V} = \mathbb{V}_{t_0} \uplus \mathbb{V}_{t_1} \uplus \ldots$
- finitely many variables; each has a fixed type: $\mathbb{X} = \mathbb{X}_{t_0} \uplus \mathbb{X}_{t_1} \uplus \dots$
- memory states: $\mathbb{M} = \mathbb{X}_{t_0} \to \mathbb{V}_{t_0} \times \mathbb{X}_{t_1} \to \mathbb{V}_{t_1} \dots$

Principle: compose abstractions for sets of memory states of each type

Non relational abstraction of heterogeneous memory states

- $\mathbb{M} \equiv \mathbb{M}_0 \times \mathbb{M}_1 \times \dots$ where $\mathbb{M}_i = \mathbb{X}_i \to \mathbb{V}_i$
- Concretization function (case with two types)

$$\gamma_{\mathrm{nr}}: \ \mathcal{P}(\mathbb{M}_0) imes \mathcal{P}(\mathbb{M}_1) \ \longrightarrow \ \mathcal{P}(\mathbb{M}) \ (m_0^\sharp, m_1^\sharp) \ \longmapsto \ \{(m_0, m_1) \mid orall i, \ m_i \in m_i^\sharp\}$$

Common structures (non exhaustive list)

- Structures, records, tuples: sequences of cells accessed with fields
- Arrays: similar to structures; indexes are integers in [0, n-1]
- Pointers: numeric values corresponding to the address of a memory cell
- Strings and buffers: blocks with a sequence of elements and a terminating element (e.g., 0x0)
- Closures (functional languages):
 pointer to function code and (partial) list of arguments)

To describe memories, the definition $\mathbb{M} = \mathbb{X} \to \mathbb{V}$ is too restrictive

Generally, non relational, heterogeneous abstraction cannot handle many such structures all at once: relations are needed!

Specific properties to verify

Memory safety

Absence of memory errors (crashes, or undefined behaviors)

Pointer errors:

• Dereference of a null pointer / of an invalid pointer

Access errors:

Out of bounds array access, buffer overruns (often used for attacks)

Invariance properties

Data should not become corrupted (values or structures...)

Examples:

- Preservation of structures, e.g., lists should remain connected
- Preservation of invariants, e.g., of balanced trees

Properties to verify: examples

A program closing a list of file descriptors

```
//l points to a list
c = 1;
while (c \neq NULL)
  close(c \rightarrow FD);
  c = c \rightarrow next:
```

Correctness properties

- memory safety
- 1 is supposed to store all file descriptors at all times will its structure be preserved? yes, no breakage of a next link
- closure of all the descriptors

Examples of structure preservation properties

- Algorithms manipulating trees, lists...
- Libraries of algorithms on balanced trees
- Not guaranteed by the language! e.g., the balancing of Maps in the OCaml standard library was incorrect for years (performance bug)

A more realistic model

No one-to-one relation betwee memory cells and program variables

- a variable may correspond to several cells (structures...)
- dynamically allocated cells correspond to no variable at all...

Environment + Heap

- Addresses are values: $\mathbb{V}_{\mathrm{addr}} \subset \mathbb{V}$
- Environments $e \in \mathbb{E}$ map variables into their addresses
- **Heaps** $(h \in \mathbb{H})$ map addresses into values

$$\mathbb{E} = \mathbb{X} \to \mathbb{V}_{\text{addr}}
\mathbb{H} = \mathbb{V}_{\text{addr}} \to \mathbb{V}$$

h is actually only a partial function

• Memory states (or memories): $\mathbb{M} = \mathbb{E} \times \mathbb{H}$

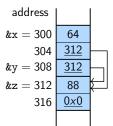
Note: Avoid confusion between heap (function from addresses to values) and dynamic allocation space (often referred to as "heap")

Example of a concrete memory state (variables)

- x and z are two list elements containing values 64 and 88, and where the former points to the latter
- y stores a pointer to z

Memory layout

(pointer values underlined)



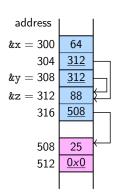
$$\begin{array}{cccc} e: & \mathbf{x} & \mapsto & 300 \\ & \mathbf{y} & \mapsto & 308 \\ & \mathbf{z} & \mapsto & 312 \end{array}$$

$$hat{h}: 300 → 64$$
 $304 → 312$
 $308 → 312$
 $312 → 88$
 $316 → 0$

Example of a concrete memory state (variables + dyn. cell)

- same configuration
- + z points to a dynamically allocated list element (in purple)

Memory layout



$$\begin{array}{cccc} e: & \mathbf{x} & \mapsto & 300 \\ & \mathbf{y} & \mapsto & 308 \\ & \mathbf{z} & \mapsto & 312 \end{array}$$

Extending the semantic domains

Some slight modifications to the semantics of the initial language:

- ullet Values are addresses: $\mathbb{V}_{\mathrm{addr}} \subseteq \mathbb{V}$
- \bullet L-values evaluate into addresses: $[\![1]\!]:\mathbb{M}\to\mathbb{V}_{\mathrm{addr}}$

$$[x](e,h) = e(x)$$

• Semantics of expressions $[e]: \mathbb{M} \to \mathbb{V}$, mostly unchanged

$$[1](e,h) = h([1](e,h))$$

• Semantics of assignment $l_0: 1 := e; l_1: \ldots$

$$(l_0, e, h_0) \longrightarrow (l_1, e, h_1)$$

where

$$h_1 = h_0[[1](e, h_0) \leftarrow [e](e, h_0)$$

Realistic definitions of memory states

Our model is still not very accurate for most languages

- Memory cells do not all have the same size
- Memory management algorithms usually do not treat cells one by one, e.g., malloc returns a pointer to a block applying free to that pointer will dispose the whole block

Other refined models

- Partition of the memory in blocks with a base address and a size
- Partition of blocks into cells with a size
- Description of fields with an offset
- Description of pointer values with a base address and an offset...

For a **very formal** description of such concrete memory states: see **CompCert** project source files (Cog formalization)

Language semantics: program crash

In an abnormal situation, we assume that the program will crash

- advantage: very clear semantics
- disadvantage (for the compiler designer): dynamic checks are required

Error state

- Ω denotes an error configuration
- Ω is a **blocking**: $(\rightarrow) \subseteq \mathbb{S} \times (\{\Omega\} \uplus \mathbb{S})$

OCaml:

- out-of-bound array access:
 - Exception: Invalid_argument "index out of bounds".
- no notion of a null pointer

Java:

• exception in case of out-of-bound array access, null dereference: java.lang.ArrayIndexOutOfBoundsException

Language semantics: undefined behaviors

Alternate choice: leave behavior of the program unspecified when an abnormal situation is encountered

- advantage: easy implementation (often architecture driven)
- disadvantage: unintuitive semantics, errors hard to reproduce different compilers may make different choices... or in fact, make no choice at all (= let the program evaluate even when performing invalid actions)

Modeling of undefined behavior

- Very hard to capture what a program operation may modify
- Abnormal situation at (ℓ_0, m_0) such that $\forall m_1 \in \mathbb{M}, (\ell_0, m_0) \to (\ell_1, m_1)$
- In C:

array out-of-bound accesses and dangling pointer dereferences lead to undefined behavior (and potentially, memory corruption) whereas a null-pointer dereference always result into a crash

Composite objects

How are contiguous blocks of information organized?

Java objects, OCaml struct types

- sets of fields
- each field has a type
- no assumption on physical storage, no pointer arithmetics

C composite structures and unions

- physical mapping defined by the norm
- each field has a specified size and a specified alignment
- union types / casts: implementations may allow several views

Pointers and records / structures / objects

Many languages provide **pointers** or **references** and allow to manipulate addresses, but with different levels of expressiveness

What kind of objects can be referred to by a pointer?

Pointers only to records / structures / objects

- Java: only pointers to objects
- OCaml: only pointers to records, structures...

Pointers to fields

```
• C: pointers to any valid cell...
```

int *
$$y = \&(x \cdot b);$$

Pointer arithmetics

What kind of operations can be performed on a pointer?

Classical pointer operations

- Pointer dereference:
 - *p returns the contents of the cell of address p
- "Address of" operator: &x returns the address of variable x
- Can be analyzed with a rather coarse pointer model e.g., symbolic base + symbolic field

Arithmetics on pointers, requiring a more precise model

- Addition of a numeric constant:
 - p + n: address contained in p + n times the size of the type of p Interaction with pointer casts...
- Pointer subtraction: returns a numeric offset.

Manual memory management

Allocation of unbounded memory space

- How are new memory blocks created by the program ?
- How do old memory blocks get freed?

OCaml memory management

- implicit allocation when declaring a new object
- garbage collection: purely automatic process, that frees unreachable blocks

C memory management

- manual allocation: malloc operation returns a pointer to a new block
- manual de-allocation: free operation (block base address)

Manual memory management is not safe:

- memory leaks: growing unreachable memory region; memory exhaustion
- dangling pointers if freeing a block that is still referred to

Summary on the memory model

Language dependent items

- Clear error cases or undefined behaviors for analysis, a semantics with clear error cases is preferable
- Composite objects: structure fully exposed or not
- Pointers to object fields: allowed or not
- Pointer arithmetic: allowed or not
 i.e., are pointer values symbolic values or numeric values
- Memory management: automatic or manual

In this course, we start with a simple model, and study specific features one by one and in isolation from the others

Rest of the course

Structures for which we introduce abstractions:

- arrays
- pointers and dynamically allocated pointer structures

Abstract operations:

- post-condition for the reading of a cell defined by an I-value e.g., x = a[i] or x = *p
- post-condition for the writing of a heap cell e.g., a[i] = p or $p \rightarrow f = x$
- abstract join, that approximates unions of concrete states

Outline

- Abstraction of arrays
 - A micro language for manipulating arrays
 - Verifying safety of array operations
 - Abstraction of array contents
 - Abstraction of array properties

Programs: extension with arrays

Extension of the syntax:

Extension of the states:

• if x is an array variable, and corresponds to an array of length N, we have N cells corresponding to it, with addresses

$$\{e(x) + 0, e(x) + s, \dots, e(x) + (N-1) \cdot s\}$$

where s is the size of a base type value (8 bytes for a 64-bit int)

Extension of the semantics of expressions; case of an array cell read:

$$[\![\mathbf{x}[\mathbf{e}]]\!](e,h) = \begin{cases} e(\mathbf{x}) + i \cdot s & \text{if } [\![\mathbf{e}]\!](e,h) = i \in [0,N-1] \\ \Omega & \text{otherwise} \end{cases}$$

Example: a bubble sort program

```
// a is an integer array of length n
bools:
do{
    s = false:
    for(int i = 0; i < n - 1; i = i + 1){
         if(a[i] < a[i+1])
              swap(a, i, i + 1);
              s = true:
} while(s);
```

Properties to verify by static analysis

- Safety property: the program will not crash (no index out of bound)
- **3** Contents property: if the values in the array are in [0, 100] before, they are also in that range after
- **3 Global array property:** at the end, the array is sorted

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Expressing correctness of array operations

Analysis goal: prove memory safety

Prove the absence of runtime error due to array reads / writes, i.e., that no Ω will ever arise

Safety verification:

- At label \(\ell\), the analysis computes a local abstraction of the set of reachable memory states $\Phi^{\sharp}(l)$
- If a statement at label ℓ performs array read or write operation x[e], where xis an array of length n, the analysis simply needs to establish $\forall m \in \gamma_{\text{mem}}(\Phi^{\sharp}(\ell)), [e](m) \in [0, n-1]$
- In many cases, this can be done with an interval abstraction ... but not always (exercise: when would it not be enough?)

For now, we ignore the array contents (exercise: when does this fail?)

Verifying correctness of array operations

Case where intervals are enough:

```
//x array of length 40
int i = 0:
while (i < 40)
    printf("%d;",x[i]);
    i = i + 1:
```

- interval analysis establishes that $i \in [0; 39]$ at the loop head
- this allows the verification of the code

Case where intervals cannot represent precise enough invariants:

```
//x array of length 40
int i, j;
if(0 \le i \&\& i < j)
    if(i < 41)
         printf("%d;",x[i]);
```

- in the concrete, $i \in [0,39]$ at the array access point
- to establish this in the abstract, after the first test, relation i < j need be represented
- e.g., octagon abstract domain

This is still basic static analysis of numeric programs...

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Elementwise abstraction

Goal of the analysis: abstract contents

Infer invariants about the contents of the array

- e.g., that the values in the array are in a given range
- \bullet e.g., in order to verify the safety of $\mathtt{x}[\mathtt{y}[\mathtt{i}+\mathtt{j}]+\mathtt{k}]$ or $\mathtt{y}=\mathtt{n}/\mathtt{x}[\mathtt{i}]$

Assumption:

- One array t, of known, fixed length n (element size s)
- Scalar variables x_0, x_1, \dots, x_{m-1}

Elementwise abstraction

- Each concrete cell is mapped into one abstract cell
- \mathbb{D}^{\sharp} should simply be an **abstraction of** $\mathcal{P}(\mathbb{V}^{m+n})$ (relational or not)

Abstract and concrete memory cell addresses:

$$\mathbb{C}^{\sharp} = \mathbb{V}_{\mathrm{addr}} = \{\&\mathbf{x}_0, \dots, \&\mathbf{x}_{m-1}\} \cup \{\&ar{\mathbf{t}}, \&ar{\mathbf{t}} + 1 \cdot s, \dots, \&ar{\mathbf{t}} + (n-1) \cdot s\}$$

Elementwise abstraction example

We consider the following **set of concrete states**:

The **elementwise abstraction** produces the following vectors:

$$(1,0,1,0)$$
 $(4,2,5,1)$ $(8,5,8,3)$ $(7,3,6,2)$

After applying the interval abstraction, we get:

This is **precise** but **costly** if arrays are big.

Also we need to know statically the length of arrays.

Post-condition for an assignment: example 1

Assignment
$$t[0] = 6$$
 Pre-condition: $t : [0,1] [1,2]$

concrete pre-condition:

effect of the assignment in the concrete and post-condition:

Thus, we obtain the **abstract post-condition**:

This analysis step is precise, but what if the index is not known so precisely?

Assignment t[i] = 6 Pre-condition: $i \in [0,1] \land t : [0,0] \mid [8,8]$

- concrete pre-condition:
- t: 0 8
- effect of the assignment in the concrete and post-condition:

$$\begin{array}{c} \begin{array}{c} \begin{array}{c} \\ \\ \\ \\ \end{array} \end{array} \begin{array}{c} \begin{array}{c} \\ \\ \end{array} \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\$$

Thus, we obtain the abstract post-condition:

While the operation is precise, the abstraction cannot express t[0] < t[1]

This analysis step looks quite coarse, but it is actually fine here: each cell may get the new value or keep the old one...

Two kinds of abstract updates

Strong updates

- One modified concrete cell abstracted by one, precisely known abstract cell
- The effect of the update is computed precisely by the analysis

Strong updates are the most favorable case, as new information is computed precisely, and known information is not lost (example 1)

Weak updates

- The modified concrete cell cannot be mapped into a well identified abstract cell
- The resulting abstract information is obtained by joining the new value and the old information

In the example we have just seen, the weak update loses no information...

Array smashing abstraction: abstraction into one cell

The elementwise abstraction is **too costly**:

- high number of abstract cells if the arrays are big
- will not work if the size of arrays is not known statically

Alternative: use fewer abstract cells, e.g., a single cell

Assumption: m scalar variables, one array \bar{t} of length n

Array smashing

- ullet All cells of the array are mapped into one abstract cell $ar{t}$
- Concrete cells:

$$\mathbb{V}_{\mathrm{addr}} = \{ \& \mathsf{x}_0, \dots, \& \mathsf{x}_{m-1} \} \cup \{ \& \bar{\mathsf{t}}, \& \bar{\mathsf{t}} + 1 \cdot \mathsf{s}, \dots, \& \bar{\mathsf{t}} + (n-1) \cdot \mathsf{s} \}$$

- Abstract cells: $\mathbb{C}^{\sharp} = \{\&x_0, \dots, \&x_{m-1}\} \cup \{\&\overline{\mathsf{t}}\}$
- \mathbb{D}^{\sharp} should simply be an abstraction of $\mathcal{P}(\mathbb{V}^{m+1})$

This also works if the size of the array is not known statically: int n = ...; int t[n];

Array smashing abstraction

Definition

- Abstract domain $\mathcal{P}(\mathbb{C}^{\sharp} \to \mathcal{P}(\mathbb{V}))$
- Abstraction function:

$$lpha_{
m smash}(H) = \left\{egin{array}{ll} \& \mathtt{x}_i & \mapsto & \{\mathit{h}(\mathtt{x}_i)\} \ \& \mathtt{ar{t}} & \mapsto & \{\mathit{h}(\&\mathtt{t}+0), \dots, \mathit{h}(\&\mathtt{t}+\mathit{n}-1)\} \end{array} \middle| \mathit{h} \in H
ight\}$$

Example, with no variable and an array of length 2:

Set of concrete states H.

$$\left\{ \begin{array}{l} \mathtt{t}[0] \hspace{0.2cm} \mapsto \hspace{0.2cm} 0 \\ \mathtt{t}[1] \hspace{0.2cm} \mapsto \hspace{0.2cm} 10 \end{array} \right\}, \quad \left\{ \begin{array}{l} \mathtt{t}[0] \hspace{0.2cm} \mapsto \hspace{0.2cm} 2 \\ \mathtt{t}[1] \hspace{0.2cm} \mapsto \hspace{0.2cm} 11 \end{array} \right\}, \quad \left\{ \begin{array}{l} \mathtt{t}[0] \hspace{0.2cm} \mapsto \hspace{0.2cm} 1 \\ \mathtt{t}[1] \hspace{0.2cm} \mapsto \hspace{0.2cm} 12 \end{array} \right\}$$

- Smashing abstraction produces {{0,10},{2,11},{1,12}}
- After non relational abstraction, we obtain $\&\bar{t}\mapsto\{0,1,2,10,11,12\}$

Array smashing abstraction example

We consider the following set of concrete states:

The **smashing abstraction** produces the following vectors:

$$\begin{array}{ll} (\{1\},\{0,1,0\}) & \quad \ \ \, (\{4\},\{2,5,1\}) \\ (\{8\},\{5,8,3\}) & \quad \ \ \, (\{7\},\{3,6,2\}) \\ \end{array}$$

After non relational abstraction:

&i
$$\longmapsto$$
 {1,4,8,7} & \bar{t} \longmapsto {0,1,2,3,5,6,8}

After applying the **interval abstraction**, we get: ([1,8],[0,8])

A general view on elementwise / smashing

Assumptions:

- concrete cells V_{addr}
- abstract cells C[‡]

Cells abstraction/mapping

We define the **cell abstraction function by**:

$$\phi_{\mathbb{A}}: \mathbb{V}_{\mathrm{addr}} \longrightarrow \mathbb{C}^{\sharp}$$

i.e., given a specific abstract cell, what concrete cell does it denote?

Elementwise:

- $\mathbb{V}_{addr} = \mathbb{C}^{\sharp}$
- $\phi_{\mathbb{A}}$ is the identity

Smashing:

- V_{addr} ⊂ C[‡]
- $\phi_{\mathbb{A}}$ is not injective

Let us now see what it changes to the analysis...

Post-condition for an assignment: example

Assignment t[0] = 6

Pre-condition:

 $\mathtt{t}: \quad \forall i, \ \mathtt{t}[i]: [0,0]$

• concrete pre-condition:

• effect of the assignment in the concrete and post-condition:

Thus, we obtain the abstract post-condition:

$$\mathsf{t}: \boxed{\forall i, \, \mathsf{t}[i] : [0, 6]}$$

Consequence:

the analysis of t[0] = 6; t[1] = 6; will also produce

This is a another case of weak-update, resulting in significant precision loss after two assignments

Weak-updates

Weak updates

- The modified concrete cell cannot be mapped into a well identified abstract cell
- The resulting abstract information is obtained by joining the new value and the old information

To summarize:

abstraction	$t[0] = \dots$	$t[[a,b]] = \dots$
element-wise	strong update	weak update
smashing	weak update	weak update

- relatively to the abstraction, a weak update may be precise (as in the examples)
- however, successions of weak updates will prevent from inferring invariants such as correctness of initialization

```
//x uninitialized array of length n int i=0; while (i < n) { x[i] = 0; i = i + 1; }
```

Elementwise abstraction:

- initially $\forall i, m^{\sharp}(\&t + i \cdot s) = \top$
- if loop unrolled completely, at the end, $\forall i, \ m^{\sharp}(\&t+i\cdot s)=[0,0]$
- weak updates, if the loop is not unrolled; then, at the end
 ∀i, m[#](&t + i · s) = ⊤

Smashing abstraction:

- initially $m^{\sharp}(\bar{\mathtt{t}}) = \top$
- weak updates at each step (whatever the unrolling that is performed); at the end: m[#](t̄) = ⊤

- Weak updates may cause a serious loss of precision
- Workaround ahead: more complex array abstractions may help

Other forms of array smashing

- Smashing does not have to affect the whole array
- Efficient smashing strategies can be found

Segment smashing:

- abstraction of the array cells into $\{\bar{t}_0, \dots, \bar{t}_{k-1}\}$ where \bar{t}_i corresponds to a segment of the array
- useful when sub-segments have interesting properties
- issue: determine the segment by analysis

Modulo smashing:

- abstraction of the array cells into $\{\bar{t}_0, \dots, \bar{t}_{k-1}\}$ where \bar{t}_i corresponds to a repeating set of offsets $\{\&\bar{t} + k \cdot i \cdot s \mid k \cdot i < n\}$
- useful for arrays of structures
- issue: determine k by analysis

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 - Verifying safety of array operations
 - Abstraction of array contents
 - Abstraction of array properties

Example array properties

Goal of the analysis: precisely abstract contents

Discover non trivial properties of array regions

- Initialization to a constant (e.g., 0)
- Sortedness

Array initialization loop

```
// t integer array of length n
int i = 0:
while(i < n){
    t[i] = 0;
    i = i + 1;
```

Hand proof sketch:

- At iteration k, i = k and the segment $t[0], \dots t[k-1]$ is initialized
- At the loop exit, i = n and the whole array is initialized

To complete the proof, we need to express properties on segments of variable lengths

Array segment properties

```
Array initialization loop
 //t integer array of length n
  int i = 0:
  while(i < n){
      t[i] = 0;
      i = i + 1:
```

Concrete state after 6 iterations:

Corresponding abstract state:

```
\in [1, 10]
    zero_{\bar{t}}(0, i-1)
```

Array segment predicates

Definition

An array segment predicate is an abstract predicate that describes the contents of a contiguous series of cells in the array, such as:

- Initialization: $zero_t(i, j)$ iff t initialized to 0 between i and i
- Sortedness: sort_t(i, j) iff t sorted between i and j

Examples:

array satisfying zero_t(2, 6):

• array satisfying $sort_t(1,3)$ and $sort_t(6,8)$:

Composing sortedness predicates

As part of the proof, predicates need be composed

$$\begin{split} \mathbf{zero_t}(i,j) \wedge \mathbf{zero_{\bar{\mathfrak{t}}}}(j+1,k) & \Rightarrow & \mathbf{zero_t}(i,k) \\ \mathbf{t}[j] = 0 & \Rightarrow & \mathbf{zero_t}(j,j) \\ \mathbf{zero_t}(i,j) \wedge \mathbf{t}[j+1] = 0 & \Rightarrow & \mathbf{zero_t}(i,j+1) \\ \mathbf{sort_t}(i,j) \wedge \mathbf{sort_{\bar{\mathfrak{t}}}}(j+1,k) & \Rightarrow & \mathbf{sort_t}(i,k) \\ \mathbf{t}[j] \leq \mathbf{t}[j+1] \wedge \mathbf{sort_t}(i,j) \wedge \mathbf{sort_{\bar{\mathfrak{t}}}}(j+1,k) & \Rightarrow & \mathbf{sort_t}(i,k) \end{split}$$

• counter example for the fourth line: for [0; 3; 9; 2; 4; 8], we have:

$$\textbf{sort}_{\mathtt{t}}(0,2) \wedge \textbf{sort}_{\mathtt{t}}(3,5) \qquad \text{ but not } \qquad \textbf{sort}_{\mathtt{t}}(0,5)$$

Another sortedness predicate: $sort_t(i, j, min, max)$

$$B \leq C \wedge \mathsf{sort}_{\mathsf{t}}(i, j, A, B) \wedge \mathsf{sort}_{\bar{\mathsf{t}}}(j+1, k, C, D) \quad \Rightarrow \quad \mathsf{sort}_{\mathsf{t}}(i, k, A, D)$$

Analysis operators (for predicate **zero**)

Transfer function for assignment t[i] = e:

- Identify segments that may be modified
- If a single segment is impacted, and consists of more than one cell, split it
- 3 Do a strong update on segments of length one (after possible split)
- If several segments may be impacted, do a case analysis from step 2

For instance, for an array of length n:

$$\begin{split} \textbf{zero}_{\textbf{t}}(0,n-1) \wedge 0 \leq \textbf{i} < n & \overset{\textbf{t}[\textbf{i}]=?}{\rightarrow} & \textbf{zero}_{\textbf{t}}(0,\textbf{i}-1) \wedge \textbf{zero}_{\textbf{t}}(\textbf{i}+1,n-1) \\ & \top \wedge 0 \leq \textbf{i} < n & \overset{\textbf{t}[\textbf{i}]=0}{\rightarrow} & \textbf{zero}_{\textbf{t}}(\textbf{i},\textbf{i}) \end{split}$$

Abstract join operator: generalizes bounds

$$\begin{array}{l} (\top \wedge \mathtt{i} = 0 < \textit{n}) \ \sqcup^{\sharp} (\mathsf{zero}_{\mathtt{t}}(0,0) \wedge \mathtt{i} = 1 < \textit{n}) \\ = (\mathsf{zero}_{\mathtt{t}}(0,\mathtt{i} - 1) \wedge 0 \leq \mathtt{i} < \textit{n}) \end{array}$$

• this union introduces an empty initialized segment in the left hand side

```
//t integer array of length n > 0
int i = 0;
             t
while(i < n){
    t[i] = 0;
             t
    i = i + 1;
             t
```

```
//t integer array of length n > 0
int i = 0;
             t
                                                              [0, 0]
while(i < n){
    t[i] = 0;
             t
     i = i + 1;
             t
```

```
//t integer array of length n > 0
int i = 0;
             t
                                                                [0, 0]
while(i < n){
                                                                [0, 0]
     t[i] = 0;
             t
     i = i + 1;
             t
             t
```

```
//t integer array of length n > 0
int i = 0;
               t
                                                                       [0, 0]
while(i < n){
                                                                       [0, 0]
     t[i] = 0;
                    zero_{\bar{t}}(0,0)
                                                                       [0, 0]
     i = i + 1;
               t
               t
```

```
//t integer array of length n > 0
int i = 0;
                t
                                                                            [0, 0]
while(i < n){
                                                                            [0, 0]
      t[i] = 0;
                      zero_{\bar{t}}(0,0)
                                                                            [0, 0]
      i = i + 1;
                      zero_{\bar{t}}(0,0)
                                                                            [1, 1]
                t
```

```
//t integer array of length n > 0
int i = 0;
                    zero_{\bar{t}}(0, i-1)
                                                                               [0, 1]
while(i < n){
                                                                               [0, 0]
      t[i] = 0;
                       zero_{\bar{t}}(0,0)
                                                                               [0, 0]
      i = i + 1;
                       zero_{\bar{t}}(0,0)
                                                                               [1, 1]
                 t
```

```
//t integer array of length n > 0
int i = 0;
                        zero_{\bar{t}}(0, i-1)
                                                                                            [0, 1]
while(i < n){
                        \mathsf{zero}_{\bar{\mathsf{t}}}(0,\mathtt{i}-1)
                                                                                            [0, 1]
       t[i] = 0;
                           zero_{\bar{t}}(0,0)
                                                                                            [0, 0]
       i = i + 1;
                           zero_{\bar{t}}(0,0)
                                                                                            [1, 1]
                   t
```

```
//t integer array of length n > 0
int i = 0;
                        zero_{\bar{t}}(0, i-1)
                                                                                             [0, 1]
while(i < n){
                        \mathsf{zero}_{\bar{\mathsf{t}}}(0,\mathtt{i}-1)
                                                                                             [0, 1]
       t[i] = 0;
                             zero_{\bar{t}}(0, i)
                                                                                             [0, 1]
       i = i + 1;
                           zero_{\bar{t}}(0,0)
                                                                                             [1, 1]
                   t
```

```
//t integer array of length n > 0
int i = 0;
                        zero_{\bar{t}}(0, i-1)
                                                                                            [0, 1]
while(i < n){
                        \mathsf{zero}_{\bar{\mathsf{t}}}(0,\mathtt{i}-1)
                                                                                            [0, 1]
       t[i] = 0;
                             zero_{\bar{t}}(0, i)
                                                                                            [0, 1]
       i = i + 1;
                          zero_{\bar{t}}(0, i-1)
                                                                                            [1, 2]
                   t
```

```
//t integer array of length n > 0
int i = 0;
                          zero_{\bar{t}}(0, i-1)
                                                                                            [0, n]
while(i < n){
                        \mathsf{zero}_{\bar{\mathsf{t}}}(0,\mathtt{i}-1)
                                                                                            [0, 1]
       t[i] = 0;
                             zero_{\bar{t}}(0, i)
                                                                                            [0, 1]
       i = i + 1;
                          zero_{\bar{t}}(0, i-1)
                                                                                            [1, 2]
                   t
```

```
//t integer array of length n > 0
int i = 0;
                       zero_{\bar{t}}(0, i-1)
                                                                                 [0, n]
while(i < n){
                                                                                 [0, n-1]
                       zero_{\bar{t}}(0, i-1)
      t[i] = 0;
                         zero_{\bar{t}}(0, i)
                                                                                 [0, 1]
      i = i + 1;
                       zero_{\bar{t}}(0, i-1)
                                                                                 [1, 2]
                 t
```

```
//t integer array of length n > 0
int i = 0;
                      zero_{\bar{t}}(0, i-1)
                                                                                [0, n]
while(i < n){
                                                                                [0, n-1]
                      zero_{\bar{t}}(0, i-1)
      t[i] = 0;
                                                                                [0, n-1]
                         zero_{\bar{t}}(0, i)
      i = i + 1;
                      zero_{\bar{t}}(0, i-1)
                                                                                [1, 2]
                 t
```

```
//t integer array of length n > 0
int i = 0;
                      zero_{\bar{t}}(0, i-1)
                                                                                [0, n]
while(i < n){
                                                                                [0, n-1]
                      zero_{\bar{t}}(0, i-1)
      t[i] = 0;
                                                                                [0, n-1]
                         zero_{\bar{t}}(0, i)
      i = i + 1;
                      zero_{\bar{t}}(0, i-1)
                                                                                [1, n]
                 t
```

```
//t integer array of length n > 0
int i = 0;
                       zero_{\bar{t}}(0, i-1)
                                                                                   [0, n]
while(i < n){
                       zero_{\bar{t}}(0, i-1)
                                                                                   [0, n-1]
      t[i] = 0;
                          zero_{\bar{t}}(0, i)
                                                                                   [0, n-1]
       i = i + 1;
                       zero_{\bar{t}}(0, i-1)
                                                                                   [1, n]
                                   zero_{\bar{t}}(0, n-1)
                 t
                                                                                   [n, n]
```

Note: $\phi_{\mathbb{A}}$ varies during the analysis!

Partitioning of arrays

Array partitions

A partition of an array t of length n is a sequence $\mathcal{P} = \{e_0, \dots, e_k\}$ of symbolic expressions where

- e_i denotes the lower (resp., upper) bound of element i (resp. i-1) of the partition
- e_0 should be equal to 0 (and e_k to n)

Example:

set of four concrete states:

$$\begin{cases} & i = 1 \\ & i = 2 \end{cases} \begin{bmatrix} [0,4,1,2,3,5] \\ & [0,1,5,2,3,4] \end{cases} \qquad \begin{array}{c} i = 3 \\ & [2,2,4,5,1,8] \\ & i = 5 \end{array} \begin{bmatrix} [0,2,4,6,7,9] \\ & [0,2,4,6,7] \\ & [0,2,4,6,7] \\$$

- partition: $\{0, i + 1, 6\}$
- note that the array is always
 - sorted between 0 and i
 - sorted between i + 1 and 5

Abstraction based on array partitions

Segment and array abstraction

An array segmentation is given by a partition $\mathcal{P} = \{e_0, \dots, e_k\}$ and a set of abstract properties $\{P_0, \dots, P_{k-1}\}$.

Its concretization is the set of memory states m = (e, h) such that

$$\forall i, \ [\mathsf{t}[v], \mathsf{t}[v+1], \dots, \mathsf{t}[w-1]] \text{ satisfies } P_i, \ \text{where } \left\{ egin{array}{ll} v & = & \llbracket e_i \rrbracket(m) \\ w & = & \llbracket e_{i+1} \rrbracket(m) \end{array} \right.$$

- Partitions can be:
 - static, i.e., pre-computed by another analysis [HP'08]
 - dynamic, i.e., computed as part of the analysis [CCL'11] (more complex abstract domain structure with partitions and predicates)
- Example: array initialization

Outline

- Memory models
- 2 Abstraction of arrays
- 3 From pointer analysis to shape analysis based on three valued logic
 - Non relational pointer analyses
 - Three valued logic heap abstraction
 - Shape analysis with three-valued logic
- 4 Conclusion

Programs with pointers: syntax

Syntax extension: quite a few additional constructions

```
1 ::= I-valules
                     (x \in X)
          pointer dereference
    1 · f field read
e ::= expressions
                     "address of" operator
       ₽:7
s ::= statements
       x = malloc(c) allocation of c bytes
       free(x) deallocation of the block pointed to by x
```

We do not consider **pointer arithmetics here**

Programs with pointers: semantics

Case of I-values:

Case of expressions:

Case of statements:

- memory allocation $\mathbf{x} = \mathsf{malloc}(c)$: $(e, h) \to (e, h')$ where $h' = h[e(\mathbf{x}) \leftarrow k] \uplus \{k \mapsto v_k, k+1 \mapsto v_{k+1}, \dots, k+c-1 \mapsto v_{k+c-1}\}$ and $k, \dots, k+c-1$ are fresh and unused in h
- memory deallocation free(x): $(e, h) \rightarrow (e, h')$ where k = e(x) and $h = h' \uplus \{k \mapsto v_k, k+1 \mapsto v_{k+1}, \dots, k+c-1 \mapsto v_{k+c-1}\}$

Pointer non relational abstractions

We rely on the **non relational abstraction of heterogeneous states** that was introduced earlier, with a few changes:

- $\bullet \ \ \text{we let} \ \mathbb{V} = \mathbb{V}_{\mathrm{addr}} \uplus \mathbb{V}_{\mathrm{int}} \ \text{and} \ \mathbb{X} = \mathbb{X}_{\mathrm{addr}} \uplus \mathbb{X}_{\mathrm{int}}$
- concrete memory cells now include structure fields, and fields of dynamically allocated regions
- abstract cells \mathbb{C}^{\sharp} finitely summarize concrete cells
- we apply a non relational abstraction:

Non relational pointer abstraction

- ullet Set of pointer abstract values $\mathbb{D}_{\mathrm{ptr}}^{\sharp}$
- ullet Concretization $\gamma_{
 m ptr}:\mathbb{D}_{
 m ptr}^{\sharp} o\mathcal{P}(\mathbb{V}_{
 m addr})$ into pointer sets

We will see several instances of this kind of abstraction

Pointer non relational abstraction: null pointers

The dereference of a null pointer will cause a crash

To establish safety: compute which pointers may be null

Null pointer analysis

Abstract domain for addresses:

- $\gamma_{\rm ptr}(\perp) = \emptyset$
- $\gamma_{\rm ptr}(\top) = \mathbb{V}_{\rm addr}$
- $\gamma_{\text{ptr}} (\neq \text{NULL}) = \mathbb{V}_{\text{addr}} \setminus \{0\}$



- we may also use a lattice with a fourth element = NULL exercise: what do we gain using this lattice?
- very **lightweight**, can typically resolve rather trivial cases
- useful for C. but also for Java

Pointer non relational abstraction: dangling pointers

The dereferece of a null pointer will cause a crash

To establish safety: compute which pointers may be dangling

Null pointer analysis

Abstract domain for addresses:

- $\gamma_{\rm ptr}(\perp) = \emptyset$
- $\gamma_{\rm ptr}(\top) = \mathbb{V}_{\rm addr} \times \mathbb{H}$
- $\gamma_{\text{ptr}}(\mathsf{Not\ dangling}) = \{(v, h) \mid h \in \mathbb{H} \land v \in \mathcal{M} \}$ Dom(h)



- very lightweight, can typically resolve rather trivial cases
- useful for C, useless for Java (initialization requirement + GC)

Pointer non relational abstraction: points-to sets

Determine where a pointer may store a reference to

```
1: int x, y;

2: int * p;

3: y = 9;

4: p = &x;

5: *p = 0;
```

- what is the final value for x?
 0, since it is modified at line 5...
- what is the final value for y?9, since it is not modified at line 5...

Basic pointer abstraction

• We assume a set of **abstract memory locations** A[#] is fixed:

$$\mathbb{A}^{\sharp} = \{ \&x, \&y, \dots, \&t, a_0, a_1, \dots, a_N \}$$

- Concrete addresses are abstracted into \mathbb{A}^{\sharp} by $\phi_{\mathbb{A}} : \mathbb{A} \to \mathbb{A}^{\sharp} \uplus \{\top\}$
- A pointer value is abstracted by the abstraction of the addresses it may point to, *i.e.*, $\mathbb{D}_{\mathrm{ptr}}^{\sharp} = \mathcal{P}(\mathbb{A}^{\sharp})$ and $\gamma_{\mathrm{ptr}}(a^{\sharp}) = \{a \in \mathbb{A} \mid \phi_{\mathbb{A}}(a) = a^{\sharp}\}$
- example: p may point to {&x}

Points-to sets computation example

Example code:

```
1: int x, y;

2: int * p;

3: y = 9;

4: p = &x;

5: *p = 0;

6: ...
```

Abstract locations: {&x, &y, &p}

Analysis results:

	&x	&y	&p
1	Т	Т	Т
2	Т	Т	Т
3	T	T	T
4	T	[9, 9]	T
5	Т	[9, 9]	{&x}
6	[0, 0]	[9, 9]	{&x}

Points-to sets computation and imprecision

	&x	&y	&p
1	[-10, -5]	[5, 10]	Т
2	[-10, -5]	[5, 10]	Т
3	[-10, -5]	[5, 10]	Т
4	[-10, -5]	[5, 10]	{&x}
5	[-10, -5]	[5, 10]	Т
6	[-10, -5]	[5, 10]	{&y}
7	[-10, -5]	[5, 10]	{&x, &y}
8	[-10, 0]	[0, 10]	{&x, &y}

- What is the final range for x ?
- What is the final range for y?

Abstract locations: $\{&x,&y,&p\}$

Imprecise results

- The abstract information about both x and y are weakened
- The fact that $x \neq y$ is lost

Weak-updates

As in array analysis, we encounter:

Weak updates

abstract cell

The resulting obstract information is obtained by inining the new value and

The modified concrete cell cannot be mapped into a well identified

 The resulting abstract information is obtained by joining the new value and the old information

Effect in pointer analysis, in the case of an assignment:

- if the points-to set contains exactly one element, the analysis can perform a strong update
- if the points-to set may contain **more than one element**, the analysis needs to perform a **weak-update**

Pointer aliasing based on equivalence on access paths

Aliasing relation

Given m = (e, h), pointers p and q are **aliases** iff h(e(p)) = h(e(q))

Abstraction to infer pointer aliasing properties

• An access path describes a sequence of dereferences to resolve an l-value (i.e., an address); e.g.:

$$a := x \mid a \cdot f \mid *a$$

 An abstraction for aliasing is an over-approximation for equivalence relations over access paths

Examples of aliasing abstractions:

- set abstractions: map from access paths to their equivalence class (ex: $\{\{p_0, p_1, \&x\}, \{p_2, p_3\}, \ldots\}$)
- numerical relations, to describe aliasing among paths of the form $x(->n)^k$ (ex: $\{\{x(->n)^k, \&(x(->n)^{k+1}) \mid k \in \mathbb{N}\}\}$)

From pointer analysis to shape analysis based on three valued logic Non relational pointer analyses

Limitation of basic pointer analyses seen so far

Weak updates:

- imprecision in updates that spread out as soon as points-to set contain several elements
- impact client analyses severely (as for array analyses)

Unsatisfactory abstraction of unbounded memory:

- ullet common assumption that \mathbb{C}^{\sharp} be finite
- programs using dynamic allocations often perform unbounded numbers of malloc calls (e.g., allocation of a list)

Unable to express well structural invariants:

- for instance, that a structure should be a list, a tree...
- very indirect abstraction in numeric / path equivalence abstration

Shape abstraction:

We will use similar ideas as for array segment analyses

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An abstract representation of memory states: shape graphs

Goal of the static analysis

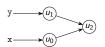
Infer structural invariants of programs using unbounded heap

Observation: representation of memory states by shape graphs

- Nodes (aka, atoms) denote variables, memory locations
 Edges denote properties of addresses / pointers, such as:
 - "field f of location u points to v"
 - "variable x is stored at location u"

Two alias pointers:

A list of length 2 or 3:



$$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)$$

$$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)^n \longrightarrow (u_3)^n \longrightarrow (u_3)^n$$

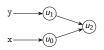
⇒ We need to over-approximate sets of shape graphs

Shape graphs and their representation

Description with predicates

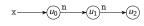
- Boolean encoding: nodes are atoms u_0, u_1, \ldots
- Predicates over atoms:
 - $\mathbf{x}(u)$: variable x contains the address of u
 - $\mathbf{n}(u, v)$: field of u points to v
- Truth values: traditionally noted 0 and 1 in the TVLA litterature

Two alias pointers:



	х	у	\mapsto	<i>u</i> ₀	u_1	<i>u</i> ₂
и ₀	1	0	и0	0	0	1
u_1	0	1	u_1	0	0	1
u_2	0	0	u_2	0	0	0

A list of length 2:



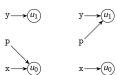
	х	$\cdot \mathtt{n} \mapsto$	u_0	u_1	<i>u</i> ₂
u_0	1	u_0	0	1	0
u_1	0	u_1	0	0	1
u_2	0	<i>u</i> ₂	0	0	0

Lists of arbitrary length? More on this later

Unknown value: three valued logic

How to abstract away some information?

i.e., how to abstract several graphs into one ? **Example**: pointer variable p alias with x or y



A boolean lattice

- Use predicate tables
- Add a ⊤ boolean value;
 (denoted to by ½ in TVLA papers)



- Graph representation: dotted edges
- Abstract graph:

$$\begin{array}{cccc}
y & & & \\
p & & & \\
x & & & & \\
\end{array}$$

Summary nodes

At this point, we cannot talk about **unbounded memory states** with **finitely many** nodes, since one node represents at most one memory cell

An idea

- Choose a node to represent several concrete nodes
- Similar to smashing

Definition: summary node

A summary node is an atom that may denote several concrete atoms

- ullet intuition: we are using a **non injective function** $\phi_{\mathbb{A}}:\mathbb{A}\longrightarrow\mathbb{A}^{\sharp}$
- representation: double circled nodes

Attempt at a summary graph:

$$x \longrightarrow (u_0)^n \longrightarrow (u_1)$$

$$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)$$

$$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)$$

$$x \longrightarrow u_0$$
 u_0 u_1 u_1

• Edges to u_1 are dotted

A few interesting predicates

We have already seen:

- x(u): variable x contains the address of u
- n(u, v): field of u points to v
- $\underline{\operatorname{sum}}(u)$: whether u is a summary node (convention: either 0 or $\frac{1}{2}$)

The properties of lists are not well-captured in

$$x \longrightarrow u_0$$
 u_0 u_1 u_1

We need to add more information, e.g., about connectedness

"Is shared"

 $\underline{\operatorname{sh}}(u)$ if and only if

$$\exists v_0, v_1, \begin{cases} v_0 \neq v_1 \\ \wedge n(v_0, u) \\ \wedge n(v_1, u) \end{cases}$$

Predicates defined by transitive closure

- Reachability: $\underline{\mathbf{r}}(u, v)$ if and only if $u = v \vee \exists u_0, \ \mathbf{n}(u, u_0) \wedge \mathbf{r}(u_0, v)$
- Acyclicity: <u>acy</u>(v) similar, with a negation

Three structures

Definition: 3-structures

A 3-structure is a tuple $(\mathcal{U}, \mathcal{P}, \phi)$:

- a set $\mathcal{U} = \{u_0, u_1, \dots, u_m\}$ of **atoms**
- a set $\mathcal{P} = \{p_0, p_1, \dots, p_n\}$ of **predicates** (we write k_i for the arity of predicate p_i)
- a **truth table** ϕ such that $\phi(p_i, u_{l_1}, \dots, u_{l_{k_i}})$ denotes the truth value of p_i for $u_{l_1}, \dots, u_{l_{k_i}}$

note: truth values are elements of the lattice $\{0,\frac{1}{2},1\}$

$$\mathbf{x} \longrightarrow (u_0)^{\underbrace{\mathbf{n}}} \qquad \begin{cases} \mathcal{U} = \{u_0, u_1\} \\ \mathcal{P} = \{\mathbf{x}(\cdot), \mathbf{n}(\cdot, \cdot), \underline{\operatorname{sum}}(\cdot)\} \end{cases}$$

	х	sum	n	и0	u_1
ио	1	0	и0	0	1
u_1	0	$\frac{1}{2}$	u ₁	0	0

In the following we build up an abstract domain of 3-structures

Xavier Rival (INRIA, ENS. CNRS)

Embedding

- How to compare two 3-structures?
- How to describe the concretization of 3-structures?

The embedding principle

Let $S_0 = (\mathcal{U}_0, \mathcal{P}, \phi_0)$ and $S_1 = (\mathcal{U}_1, \mathcal{P}, \phi_1)$ be two three structures, with the same sets of predicates. Let $f: \mathcal{U}_0 \to \mathcal{U}_1$, surjective.

We say that f embeds S_0 into S_1 iff

for all predicate
$$p \in \mathcal{P}$$
 or arity k , for all $u_{l_1}, \ldots, u_{l_{k_i}} \in \mathcal{U}_0$, $\phi_0(u_{l_1}, \ldots, u_{l_{k_i}}) \sqsubseteq \phi_1(f(u_{l_1}), \ldots, f(u_{l_{k_i}}))$

Then, we write $S_0 \sqsubseteq^f S_1$

- Note: we use the order \sqsubseteq of the lattice $\{0, \frac{1}{2}, 1\}$
- Intuition: embedding defines an abstract pre-order i.e., when $S_0 \sqsubseteq^f S_1$, any property that is satsfied by S_0 is also satisfied by S_1

Embedding examples

A few examples of the embedding relation:

$$x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \xrightarrow{n} \qquad \sqsubseteq^f \qquad x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \xrightarrow{n} u_3 \qquad \sqsubseteq^f \qquad x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \xrightarrow{n} u_3 \qquad \sqsubseteq^f \qquad x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \xrightarrow{n} u_3 \mapsto u_1; u_2 \mapsto u_1; u_3 \mapsto u_1$$

$$x \longrightarrow u_0 \xrightarrow{u_1 \xrightarrow{n} u_2} \qquad \sqsubseteq^f \qquad x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \xrightarrow{n} u_3 \mapsto u_1$$

$$x \longrightarrow u_0 \xrightarrow{u_1 \xrightarrow{n} u_2} \qquad \sqsubseteq^f \qquad x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \mapsto u_1$$

$$x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \mapsto u_1; u_2 \mapsto u_1$$

$$x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \mapsto u_1; u_2 \mapsto u_1$$

$$x \longrightarrow u_0 \xrightarrow{n} u_1 \xrightarrow{n} u_2 \mapsto u_1; u_2 \mapsto u_1$$

The last example shows summary nodes are not enough to capture just lists:

- Reachability would be necessary to constrain it be a list
- Alternatively: cells should not be shared

Two structures and concretization

A 2-structure defines a set of concrete memory states (e, h) obtained by mapping symbols to addresses, that are compatible with the predicates of the structure

Definition: 2-structure

A 3-structure $(\mathcal{U}, \mathcal{P}, \phi)$ is a **2-structure**, if and only if ϕ always returns in $\{0, 1\}$.

- Intuition: concrete memory states correspond to 2-structures
- This intuition lets us define the concretizations:

Concretization of 2-structures

Let m be a memory state, $S = (\mathcal{U}, \mathcal{P}, \phi)$ be a two structure and $\phi_{\mathbb{A}} : \mathbb{A} \to \mathcal{U}$. Then $m \in \gamma(S)$ if and only if m satisfies all predicate tables in ϕ , up to $\phi_{\mathbb{A}}$.

Concretization of 3-structures

If S is a 3-structure, then $\gamma(S) = \bigcup \{ \gamma(S') \mid S' \text{ 2-structure s.t. } \exists f, S' \sqsubseteq^f S \}$

Concretization examples

Without reachability:

where $f: u_0 \mapsto u_0$; $u_1 \mapsto u_1$; $u_2 \mapsto u_1$; $u_3 \mapsto u_1$

With reachability:

$$\mathbf{x} \longrightarrow \underbrace{(u_0)^n}_{\mathbf{1}} \longrightarrow \underbrace{(u_1)^n}_{\mathbf{1}} \longrightarrow \underbrace{(u_0)^n}_{\mathbf{1}} \longrightarrow \underbrace{\mathbf{r}}_{\mathbf{1}} \underbrace{(u_0,u_1)}_{\mathbf{1}}$$

where $f: u_0 \mapsto u_0$; $u_1 \mapsto u_1$; $u_2 \mapsto u_1$

Observation: we have resolved the representation of 3-structures

How to carry out static analysis using 3-structures?

- Concrete states correspond to 2-structures
- The **analysis** should track **3-structures**, thus the analysis and its soundness proof need to **rely on the embedding relation**

Embedding theorem

- Let $S_0 = (\mathcal{U}_0, \mathcal{P}, \phi_0)$ and $S_1 = (\mathcal{U}_1, \mathcal{P}, \phi_1)$ be two three structures, with the same sets of predicates
- Let $f:\mathcal{U}_0 o \mathcal{U}_1$, such that $\mathcal{S}_0 \sqsubseteq^f \mathcal{S}_1$
- Let Ψ be a logical formula, with variables in X
- Let $g:X o \mathcal{U}_0$ be an assignment for the variables of Ψ

Then,

$$\llbracket \Psi_{|g} \rrbracket(\mathcal{S}_0) \sqsubseteq \llbracket \Psi_{|f \circ g} \rrbracket(\mathcal{S}_1)$$

Intuition: this theorem ties the evaluation of conditions in the concrete and in the abstract in a general manner

Principle for the design of sound transfer functions

Transfer functions for static analysis

- Semantics of concrete statements encoded into boolean formulas
- Evaluation in the abstract is sound (embedding theorem)

Example: analysis of an assignment y := x

- let y' denote the *new* value of y
- 2 add the constraint y'(u) = x(u)(using the embedding theorem to prove soundness)
- rename y' into y

Advantages:

- abstract transfer functions derive directly from the concrete transfer functions (intuition: $\alpha \circ f \circ \gamma$...)
- the same solution works for weakest pre-conditions

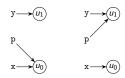
Disadvantage: precision will require some care, more on this later!

A powerset abstraction

- Do 3-structures allow for a sufficient level of precision ?
- How to over-approximate a set of 2-structures ?

```
int * x; int * y;...
int * p = NULL;
if(...){
    p = x;
}else{
    p = y;
}
printf("%d",*p);
*p = ...;
```

After the if statement: abstracting would be imprecise



Powerset abstraction

- In the following, we use disjunctive completion
 i.e., TVLA manipulates finite disjunctions of 3-structures
- How to ensure disjunctions will not grow infinite?
 the set of atoms is unbounded, so it is not necessarily true!

Canonical abstraction

Canonicalization principle

Let \mathcal{L} be a lattice, $\mathcal{L}' \subseteq \mathcal{L}$ be a finite sub-lattice and $can : \mathcal{L} \to \mathcal{L}'$:

- operator can is called canonicalization if and only if it defines an upper closure operator
- ullet then it defines a canonicalization operator can : $\mathcal{P}(\mathcal{L}) \to \mathcal{P}(\mathcal{L}')$:

$$\mathsf{can}(\mathcal{E}) = \{\mathsf{can}(x) \mid x \in \mathcal{E}\}$$

To make the powerset domain work, we simply need a can over 3-structures

A finite canonicalization function over 3-structures

- We assume there are n variables $x_1, ..., x_n$ Thus the number of unary predicates is finite
- Sub-lattice: structures with atoms distinguished by the values of the unary predicates (or abstraction predicates) x_1, \ldots, x_n

Xavier Rival (INRIA, ENS. CNRS)

Canonical abstraction

We assume the analysis relies on unary predicates for canonicalization. The analysis may as well choose another set of predicates than the unary predicates for the sub-lattice representation.

Canonical abstraction by truth blurring

- Identify nodes that have different abstraction predicates
- When several nodes have the same abstraction predicate introduce a summary node
- Compute new predicate values by doing a join over truth values

Elements n	ot merged:	Elements merged:	
		Lists of lengths 1, 2, 3:	Abstract into:
$y \longrightarrow u_1$	$y \longrightarrow u_1$	$x \longrightarrow (u_0)^n \longrightarrow (u_1)$	$x \longrightarrow u_0 \xrightarrow{n} u_1$
P	p	$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)$	<u>u_0</u> <u>n</u>
$x \longrightarrow u_0$	x → (u ₀)	$x \longrightarrow (u_0)^n \longrightarrow (u_1)^n \longrightarrow (u_2)^n \longrightarrow (u_3)$	\mathbf{x} $\mathbf{\bar{r}}(\mathbf{x})$

Assignment: a simple case

Statement
$$l_0 : y = y \rightarrow n$$
; $l_1 : ...$ **Pre-condition** S $x, y \rightarrow (u_0)^n \rightarrow (u_1)^n \rightarrow (u_2)$

Transfer function computation:

- ullet It should produce an over-approximation of $\{ extit{m}_1 \in \mathbb{M} \mid (\emph{l}_0, \emph{m}_0)
 ightarrow (\emph{l}_1, \emph{m}_1) \}$
- Encoding using "primed predicates" to denote predicates after the
 evaluation of the assignment, to evaluate them in the same structure (non
 primed variables are removed afterwards and primed variables renamed):

$$x'(u) = x(u)$$

 $y'(u) = \exists v, y(v) \land n(v, u)$
 $n'(u, v) = n(u, v)$

• Result: u_0 ⁿ u_1 ⁿ

This is exactly the expected result

• Let us try to resolve the update in the same way as before:

$$x'(u) = x(u)$$

 $y'(u) = \exists v, y(v) \land n(v, u)$
 $n'(u, v) = n(u, v)$

• We cannot resolve y':

$$\begin{cases} y'(u_0) = 0 \\ y'(u_1) = \frac{1}{2} \end{cases}$$

Imprecision: after the statement, y may point to anywhere in the list, save for the first element...

- The assignment transfer function cannot be computed immediately
- We need to refine the 3-structure first

Focus

Focusing on a formula

We assume a 3-structure S and a boolean formula f are given, we call a **focusing** S **on** f the generation of a set \hat{S} of 3-structures such that:

- f evaluates to 0 or 1 on all elements of \hat{S}
- precision was gained: $\forall \mathcal{S}' \in \hat{\mathcal{S}}, \ \mathcal{S}' \sqsubseteq \mathcal{S}$
- soundness is preserved: $\gamma(\mathcal{S}) = \bigcup \{\gamma(\mathcal{S}') \mid \mathcal{S}' \in \hat{\mathcal{S}}\}$
- Focusing algorithms are complex and tricky
- They nvolve splitting of summary nodes, solving of boolean constraints

Example: focusing on
$$y'(u) = \exists v, y(v) \\ \land n(v, u)$$

We obtain (we show y and y'):

 $(v_0)^n \rightarrow (v_1)^n \rightarrow (v_2)^n \rightarrow (v_3)^n \rightarrow (v_4)^n \rightarrow (v_2)^n \rightarrow (v_3)^n \rightarrow (v_4)^n \rightarrow$

Focus and coerce

Some of the 3-structures generated by focus are not precise





 u_1 is reachable from x, but there is no sequence of n fields: this structure has **empty concretization**

 u_0 has an n-field to u_1 so u_1 denotes a unique atom and cannot be a summary node

Coerce operation

It **enforces logical constraints** among predicates and discards 3-structures with an empty concretization

Result:

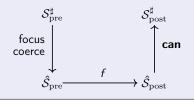


$$\underbrace{(u_0)^n}_{x,y} \underbrace{(u_1)^n}_{r(x)} \underbrace{(u_2)^n}_{r(x)}$$

Focus, transfer, abstract...

Computation of a transfer function

We consider a transfer function encoded into boolean formula f



Soundness proof steps:

- sound encoding of the semantics of program statements into formulas (typically, no loss of precision at this stage)
- focusing produces a refined over-approximation (disjunction)
- canonicalization over-approximates graphs (truth blurring)

A common picture in shape analysis

Outline

- Memory models
- Abstraction of arrays
- 3 From pointer analysis to shape analysis based on three valued logic
- 4 Conclusion

Summarization: one abstract cell, many concrete cells

Large / unbounded numbers of concrete cells need to be abstracted

- Array blocks may have large number of elements
- Dynamic memory allocation functions may be called an unbounded number of times

Summary abstract cell

A summary abstract cell describes several concrete cells.

A summary abstract variable describes several concrete values.

 Formalization based on a function mapping concrete cells into the abstract cells that represent them:

$$\phi_{\mathbb{A}}: \mathbb{A} \to \mathbb{A}^{\sharp}$$

• Analysis operations should reason on abstract states **up-to** $\phi_{\mathbb{A}}$

Updates: weak vs strong

Memory updates may cause important loss of precision

Several typical cases:

- update to a cell that cannot be determined precisely i.e., affecting an abstract cell among $A^{\sharp} \subseteq \mathbb{A}^{\sharp}$, where $|A^{\sharp}| > 1$
- ② update to a summary cell

In those cases, the abstract update joins previous values and new values

Weak updates

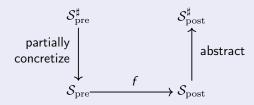
- The modified concrete cell cannot be mapped into a well identified abstract cell
- The resulting abstract information is obtained by joining the new value and the old information

Concretize partially, update, abstract

Summaries can be refined locally for better precision

- Array segment predicates can be split into predicates over smaller segments for abstract transfer functions
- The information over TVLA summary nodes can be refined using disjunctions for the computation of abstract post-conditions

A scheme to compute more precise post-conditions



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 Nicolas Halbwachs, Mathias Péron. In PLDI'08, pages 339-348, 2008.
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- [AD'94]: Interprocedural may alias analysis for pointers: beyond *k*-limiting. Alain Deutsch. In PLDI'94, pages 230–241, 1994.
- [SRW'99]: Parametric Shape Analysis via 3-Valued Logic.
 Shmuel Sagiv, Thomas W. Reps et Reinhard Wilhelm. In POPL'99, pages 105–118, 1999.

Assignment: formalization and paper reading

Formalization of the concretization of 2-structures:

- describe the concretization formula, assuming that we consider the predicates discussed in the course
- run it on the list abstraction example (from the 3-structure to a few select 2-structures, and down to memory states)

Reading:

Parametric Shape Analysis via 3-Valued Logic.

Shmuel Sagiv, Thomas W. Reps et Reinhard Wilhelm.

In POPL'99, pages 105-118, 1999.