

COMS21400 : Time Complexity

G. Fuchsbauer

Dept of Computer Science
University of Bristol,
Room 3.53, Merchant Venturers Building

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Outline

Big-O and small-o Notation

Time Complexity

The Class P

The Class NP

Reductions, NP-Completeness

BIG-O and small-o notation

Classify functions by their *asymptotic growth rate*

Let $f; g: \mathbb{N} \rightarrow \mathbb{R}^+$

▶ $f(n) = O(g(n))$ if

$$\exists c > 0 \exists n_0 \in \mathbb{N} \forall n \geq n_0 : f(n) \leq c \cdot g(n)$$

(“*For some positive c : $f(n) \leq c \cdot g(n)$ for all sufficiently large n ”)*

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▶ $f(n) = o(g(n))$ if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

that is, $\forall c > 0 \exists n_0 \in \mathbb{N} \forall n \geq n_0 : f(n) < c \cdot g(n)$

(“*For any* positive c : $f(n) < c \cdot g(n)$ for all sufficiently large n ”)

Examples 1

Polynomials. If f is a polynomial of degree k then

$$f(n) = O(n^k)$$

$$f(n) = o(n^{k+1}), \text{ but } f \text{ is not } o(n^k)$$

- ▶ In general: if $0 < k_1 < k_2$ then $n^{k_1} = o(n^{k_2})$

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Logarithms. [Recall: $a^x = y$ then $x = \log_a y$]
[Typically $a = 2$: $(\lfloor \log_2 n \rfloor + 1)$ is n 's length in binary]

- ▶ $\frac{(\log n)^k}{n^c} \xrightarrow{n \rightarrow \infty} 0$ for all $k; c > 0$

thus $\log n = o(n^k)$, for all $k > 0$, $n \log n = o(n^2)$, ...

Examples 2

Exponentials. Any exponential function “dominates” any polynomial:

$$\blacktriangleright \frac{n^k}{c^n} \xrightarrow{n \rightarrow \infty} 0 \quad \text{for all } k > 0; c > 1$$

thus $n^k = o(c^n)$, for any $c > 1$

$$\blacktriangleright \text{In general: if } c_1 < c_2 \text{ then } c_1^n = o(c_2^n)$$

Notation: $f(n) = 2^{O(g(n))}$ iff $\exists c > 0 \exists n_0 \in \mathbb{N} \forall n \geq n_0 : f(n) \leq 2^{c \cdot g(n)}$

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Time complexity for TMs

Definition. Let M be a TM which halts on every input. The **running time** or **time complexity** of M is $f : \mathbb{N} \rightarrow \mathbb{N}$, where $f(n)$ is the maximum number of steps that M uses on *any* input of length n .
 (“worst-case time”)

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Examples.

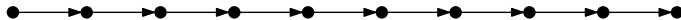
- ▶ $\text{TIME}(n)$ (linear time)
- ▶ $\text{P} := \bigcup_k \text{TIME}(n^k)$ (polynomial time)
- ▶ $\text{EXP} := \bigcup_k \text{TIME}(2^{n^k})$ (exponential time)

Gap: super-polynomial, sub-exponential ($\forall c > 1 : f(n) = o(c^n)$),
e.g.: $f(n) = n^{\log n}$.

Non-deterministic Turing machines

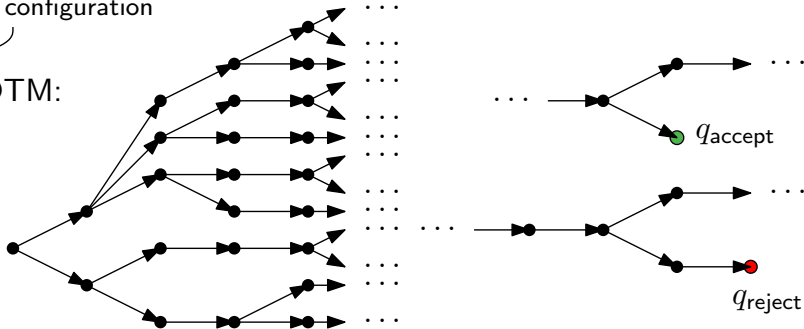
A node \bullet is a configuration

DTM:



Start configuration

NDTM:



Time complexity for NTMs

Definition. Let N be a **N**TM which is a decider. The **running time** of N is $f : \mathbb{N} \rightarrow \mathbb{N}$, where $f(n)$ is the maximum number of steps that N uses *on any branch of its computation on any* input of length n .

Theorem. (*Time-complexity of NTM simulation.*) Every $t(n)$ -time NTM N has an **equivalent** $2^{O(t(n))}$ -time TM M .

(equivalent: N and M decide the same language.)

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- ▶ P is **robust** (not affected by model of computation)
- ▶ P is a mathematical **model** of “realistically solvable” or “**tractable**” problems (Cobham’s thesis)
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Examples

- ▶ **FACTORING** $\stackrel{?}{\in}$ P
($\text{FACTORING} = \{(N; M) \mid N \text{ has an integer factor } 1 < k < M\}$)
Brute force: $O(2^{n/2})$. Best known algo: $2^{O(n^{1/3}(\log n)^{2/3})}$

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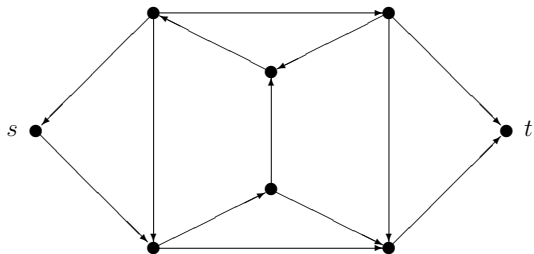
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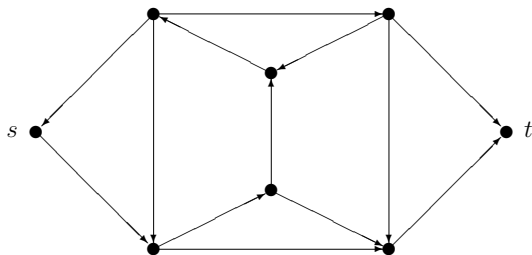
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- ▶ **PATH** \in P
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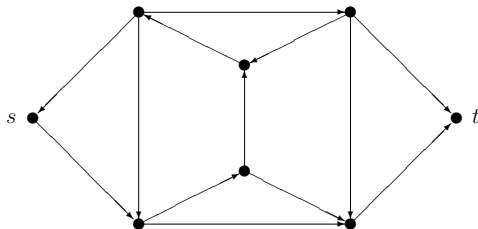


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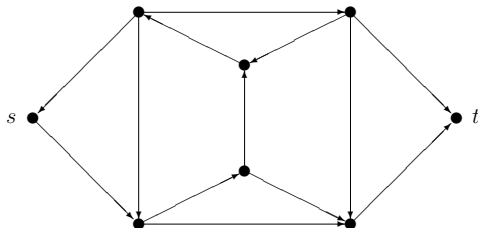
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- ▶ **Easy to check** that $(G; s; t) \in HAMPATH$ when given a path;
- ▶ **easy to check** that $(N; M) \in FACTORING$ when given a factor
- ...

Many computational problems

- ▶ can be solved by **brute-force**, testing exp. many *candidates*.
- ▶ **Verification** of desired property on a candidate is **easy**.

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The class NP

Polynomial-time verifiers

- ▶ A **verifier** for a language A is a TM V , s.t.

$$A = \{w \mid V \text{ accepts } (w; c) \text{ for some } c\} :$$

The string c is called a **certificate** or **proof** of membership in A .

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Definition. **NP** is the class of all **polynomially verifiable languages** i.e., all languages which have a polynomial-time verifier.

More on NP

P = class of languages that can be **decided** “quickly”

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Examples. $HAMPATH \in NP$

$COMPOSITES = \{x \mid x = pq, \text{ for integers } p; q > 1\} \in NP$

$PRIMES \in \text{co-NP}$

Actually: $PRIMES \in NP$ (not obvious)

$PRIMES \in P$ (shown in 2003)

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Theorem. A language is in NP iff it is decided by some polynomial-time NTM.

Corollary. $NP \subseteq EXP$ (by the theorem on Slide 9).

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“Can every problem whose solution is quickly verifiable be solved quickly?”

► Implications?

The SATisfiability problem

- ▶ **Boolean variables:** x take values 1 (TRUE) or 0 (FALSE)
- ▶ **Boolean operations:** AND ($x_1 \wedge x_2$), OR ($x_1 \vee x_2$), NOT (\bar{x})
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Theorem. (Cook-Levin)

$SAT \in P$ iff $P = NP$

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Theorem. If $A \leq_p B$ and $B \in P$ then $A \in P$.

NP-completeness

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Theorem. *(Cook-Levin, restated)* SAT is NP-complete.

3-SAT

- ▶ **Literal:** x or \bar{x}
- ▶ **Clause:** Disjunction of literals, e.g. $(x_1 \vee \bar{x}_2 \vee x_3)$
- ▶ is in **conjunctive normal form** if is a conjunction of clauses
- ▶ **3-CNF formula:** A CNF formula with all clauses having 3 literals, e.g. $(x_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge (x_2 \vee \bar{x}_5 \vee x_6) \wedge (x_3 \vee \bar{x}_6 \vee x_4)$.

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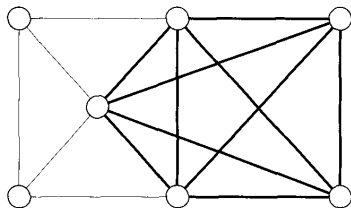
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Theorem. 3-SAT is NP-complete.

More NP-complete languages

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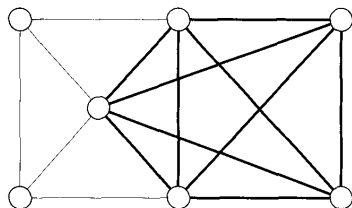


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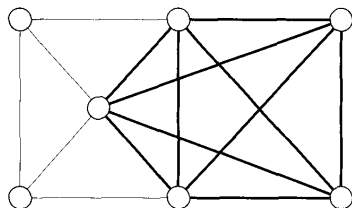
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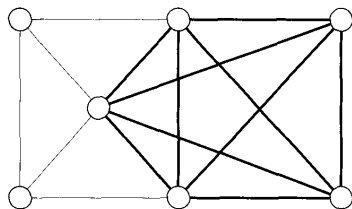


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▶ $SUBSET-SUM = \{\{x_1; \dots; x_k\} \mid$
for some $\{y_1; \dots; y_\ell\} \subseteq \{x_1; \dots; x_k\}$ we have: $\sum y_i = 0\}$