

Firewalls

Chapter 3

Network & Security

Gildas Avoine

SUMMARY OF CHAPTER 3

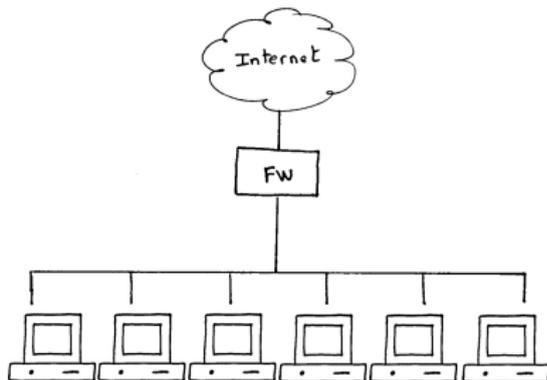
- Introduction
- Basic Principles
- Features
- Architectures
- Rules Organization
- Conclusion and References

INTRODUCTION

- Introduction
- Basic Principles
- Features
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- Conclusion and References

Firewalls for Dummies

- An original firewall prevents the **propagation of a fire**.
- Network firewalls must prevent the **propagation of an attack**, while allowing desired traffic.



- A firewall can be made of **one or several components**.
- Firewalls can be **software** or **hardware**.

Types of Firewalls

■ Software.

- Standard workstation with firewall software: Checkpoint, IPcop, IPtables (nftables).

■ Hardware.

- Specialized black box (that also contains software): Cisco PIX, Juniper, WatchGuard, SonicWall.



Software vs Hardware

- Software firewalls **inherit all vulnerabilities** of the OS on which they run.
- Software firewall **architectures are well known**, it is easier to exploit its vulnerabilities (eg. Buffer overflow).
- Software firewalls often have **better performance**: they benefit of rapid advances and low prices in PC hardware.

BASIC PRINCIPLES

- Introduction
- **Basic Principles**
- Features
- Architectures
- Rules Organization
- Conclusion and References

The Seven Principles

- Least privileges.
- Defense in depth.
- Choke point.
- Weakest link.
- Deny by default.
- User participation.
- Simplicity.

Principle: Least Privileges

- Every element of a system (user, software) must only have the **minimal rights** necessary to carry out its task.
- Examples:
 - Regular users must not be **administrators**.
 - Administrators must also use **regular user** accounts.
 - A Web server runs under a **non-privileged account** (Unix: nobody; Windows: IUSR).

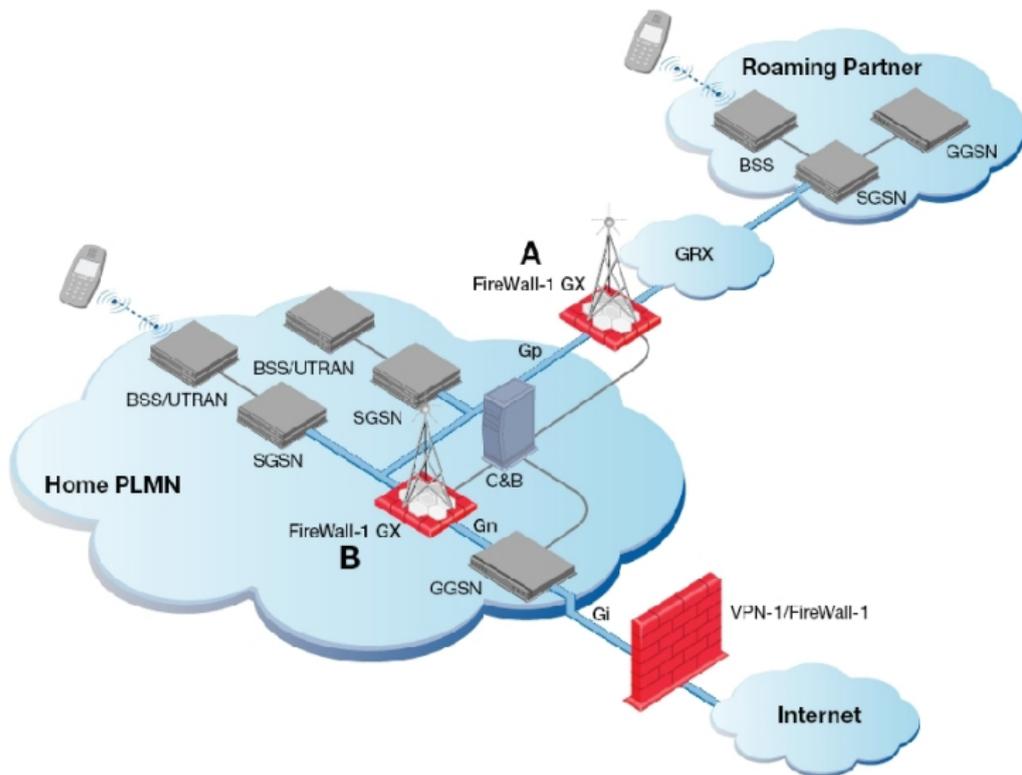
Principle: Defense in Depth

- **Several security measures** are better than a single one.
- **Example:**
 - Anti-virus software on mail servers **and** on desktops.
 - We **also secure** (configuration, patches) machines that are protected by a firewall.
 - Even if **FTP** connections are blocked by the firewall, workstations should not run FTP servers.

Principle: Choke Point

- It is easier to control security if all data has to go through a **single point**.
- Users should not be allowed to use clandestine **access points** (eg. modems, smartphones,...) to their machines.
- Interconnections with other companies must go **through the firewall**.

Principle: Choke Point



Principle: Weakest Link

- The firewall is only as secure as its **weakest link**.
- That is useless to spend money to protect a part of the FW if **other parts are not protected**.
- Example:
 - Useless to install expensive anti-virus software for HTTP traffic if you do not also install one for **SMTP** traffic

Principle: Deny by Default

- It is better to **prohibit all** that is not explicitly authorized than to **authorize all** that is not explicitly prohibited.
- We can **never know in advance all the threats** to which we will be exposed.
- If we make an error, **it is better to prohibit** something useful than enabling an attack.

Principle: User Participation

- A protection system is efficient **only if all users support it** (Thucydide).
- The goal of a firewall is to **authorize all that is useful** and at the same time **avoid dangers**.
- A system that is too **restrictive** pushes users to be **creative**.
- We must **understand the user's needs** and make sure that reasons for restrictions are well understood by them.

- Most security problems originate from **human error**.
- In a **simple system**:
 - The risk of error is smaller.
 - It is easier to verify its correct functioning.
 - Especially in evolving networks.
 - Especially with several administrators.

FEATURES

- Introduction
- Basic Principles
- **Features**
- Architectures
- Rules Organization
- Conclusion and References

- Filtering.
- Network Address Translation.
- Content Analysis.
- Authentication.
- Remote Network Access.

- Filtering helps limiting traffic to **useful services**. Can be based on multiple criteria:
 - IP addresses source or destination.
 - Protocols (TCP, UDP, ICMP, ...) and ports.
 - Flags and options (syn, ack, ICMP message type, ...)
- Filtering of source addresses prevents **IP spoofing**.
- Filtering of flags allows defining the **direction** in which connections can be established.

Filtering Rules (Organization)

- Filtering rules are specified in a **list**.
- FW **runs through the list until it finds a rule** that applies.
- FW executes the action specified by the rule and **moves on to the next packet**.
- We create a **last rule that prohibits all** that has not been authorized.

Filtering Rules: A Simple Example

	Src	Port	Dst	Port	Prot	Action
1	any	any	128.3.3.1	25	tcp	allow
2	128.3.3.1	25	any	any	tcp	allow
3	128.3.3.1	any	any	25	tcp	allow
4	any	25	128.3.3.1	any	tcp	allow
5	any	any	any	any	any	deny

- **Problem:** all ports of the server are accessible as long as the hacker chooses port 25 as source port.

Filtering Rules: Corrected Example

- Specification of the **ack** flag prevents the sending of **syn** packets and hence the establishment of connections.

	Src	Port	Dst	Port	Prot	Flag	Action
1	any	any	128.3.3.1	25	tcp	ACK=*	allow
2	128.3.3.1	25	any	any	tcp	ACK=1	allow
3	128.3.3.1	any	any	25	tcp	ACK=*	allow
4	any	25	128.3.3.1	any	tcp	ACK=1	allow
5	any	any	any	any	any	ACK=*	deny

- **Problem:** the attacker can still send **un-solicited ack** packets (scanning, denial of service).

- **Without memory** (stateless)
 - Does not remember already-seen packets.
- **With memory** (stateful)
 - Keeps a trace of packets that pass by.
 - Reconstructs each connection's state, or even certain protocols.

Stateful Firewalls: Filtering

- For each connection, the FW knows what the **next packet** should look like: flags, sequence numbers.
- Stateful **FW knows the established connections** and can automatically authorize returning traffic.
- FW can **eliminate packets** that do not fit in.

	Src	Port	Dst	Port	Prot	Action
1	any	any	128.3.3.1	25	tcp	allow
3	128.3.3.1	any	any	25	tcp	allow
5	any	any	any	any	any	deny

- **Simpler to configure**, hence less errors.
- May suffer from **denial of service** attacks.

Stateful Firewalls: Protection Against Syn-Flooding

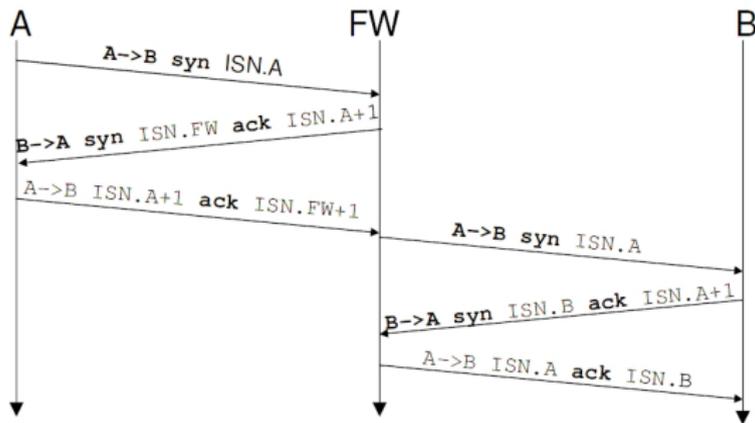
■ Simple.

- FW keeps track of all attempts to open a connection.
- If it judges that a connection stays half-open for too long, it sends a `rst` packet to the server.

■ Advanced.

- FW delays `syn` packets and generates a `syn-ack` packet in place of the server.
- Only when it receives an `ack` packet does it send the original `syn` packet to the server.

Stateful Firewalls: Protection Against Syn-Flooding



- FW must generate an **ISN** in place of the server.
- FW spends the rest of the connection **adjusting seq. numbers**.

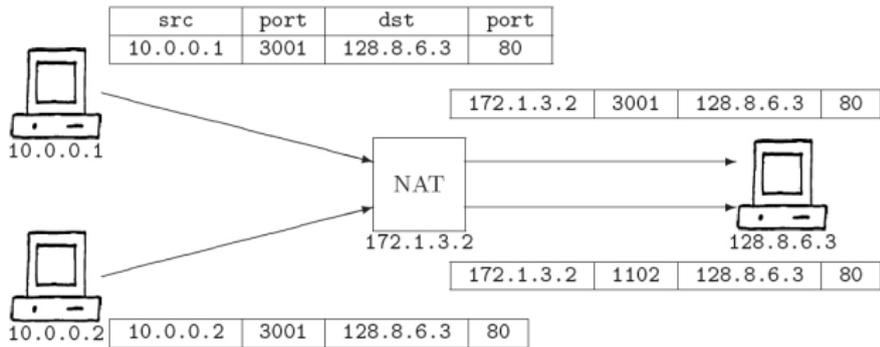
NAT: Network Address Translation

- Public IP addresses are **rare**.
- Instead of reserving 256 addresses for 100 workstations, we can hide those 100 workstations **behind a single address**.
- With regards to this, the IETF has reserved three address **ranges**:
 - 10.0.0.0 - 10.255.255.255
 - 172.16.0.0 - 172.31.255.255
 - 192.168.0.0 - 192.168.255.255

NAT: Basic Principle

- Use **private addresses** in the internal network and one/several public addresses to communicate with the Internet.
- When a packet leaves the internal network, we replace its **source address by a public address**.
- When a packet arrives from the Internet, we replace its **public destination by a private address**.
- We use a **translation table** to store the relations between internal and external addresses.
- Dynamic NAT **does not allow establishing incoming connections**.

NAT: Example of Dynamic



internal				external			
src	port	dst	port	src	port	dst	port
10.0.0.1	3001	128.8.6.3	80	172.1.3.2	3001	128.8.6.3	80
10.0.0.2	3001	128.8.6.3	80	172.1.3.2	1102	128.8.6.3	80

NAT: Properties of Dynamic NAT

- When two connections are differentiated only by their internal address we have a **collision**.
- Source port can be changed (**Port and Address Translation**).
- A **pool** of public addresses can be used.
- Dynamic NAT **does not allow establishing incoming connections**.
 - Peer to peer (eg. eMule).

Example of Dynamic NAT

ADVANCED SETUP

Index	Protocol	Local IP	Local Port	Pseudo IP	Pseudo Port	Peer IP	Peer Port
61	TCP	192.168.1.2	1606	81.242.186.64	1606	66.249.91.99	80
62	TCP	192.168.1.2	1467	81.242.186.64	1467	208.112.42.241	80
63	TCP	192.168.1.2	1597	81.242.186.64	1597	194.78.100.8	80
64	TCP	192.168.1.2	1598	81.242.186.64	1598	194.78.100.8	80
65	TCP	192.168.1.2	1580	81.242.186.64	1580	194.78.100.8	80
66	TCP	192.168.1.2	1649	81.242.186.64	1649	69.16.239.59	80
67	TCP	192.168.1.2	1581	81.242.186.64	1581	194.78.100.8	80
68	TCP	192.168.1.2	1601	81.242.186.64	1601	204.11.109.23	80
69	TCP	192.168.1.4	27063	81.242.186.64	55468	212.27.63.3	20
70	TCP	192.168.1.2	1585	81.242.186.64	1585	216.113.188.36	80
71	TCP	192.168.1.2	1586	81.242.186.64	1586	194.78.100.8	80
72	TCP	192.168.1.2	1587	81.242.186.64	1587	194.78.100.8	80
73	TCP	192.168.1.4	13589	81.242.186.64	13589	64.255.172.50	21
74	TCP	192.168.1.2	1963	81.242.186.64	1963	128.178.73.68	22
75	TCP	192.168.1.2	1910	81.242.186.64	1910	194.78.100.9	80
76	TCP	192.168.1.4	7906	81.242.186.64	7906	194.78.100.16	80
77	TCP	192.168.1.2	1912	81.242.186.64	1912	194.78.100.9	80
78	TCP	192.168.1.4	18551	81.242.186.64	18551	194.153.110.160	80
79	TCP	192.168.1.4	7907	81.242.186.64	7907	194.78.100.16	80
80	TCP	192.168.1.2	1920	81.242.186.64	1920	69.16.239.59	80

Page: 4/4

Find: checksum Next Previous Highlight all Match case

- To allow incoming connections, we have to define certain **static entries** in the translation table.
- Typically we create **one entry per protocol** (SMTP, HTTP,...).
- Different ports from the same external address can lead to **different internal addresses**.

Example of Static NAT

The screenshot shows a web browser window displaying the 'ADVANCED SETUP' page for a firewall configuration. The left sidebar contains a navigation menu with categories like ADSL Settings, Advanced Settings, STATUS, SYSTEM, WAN, HOME NETWORKING, WIRELESS, NAT, Address Mapping, Virtual Server, Special Application, NAT Mapping Table, ROUTE, FIREWALL, SNMP, UPnP, Telephone, and MAINTENANCE. The main content area is titled 'ADVANCED SETUP' and includes a 'Home' link and a 'Logout' button. Below the title, there is a section for 'For example:' with a list of examples: Port Ranges (e.g., 100-150), Multiple Ports (e.g., 25,110,80), and Combination (e.g., 25-100,80). The primary feature is a table for configuring Static NAT rules. The table has columns for 'No.', 'LAN IP Address', 'Protocol Type', 'LAN Port', 'Public Port', 'Enable', and two buttons: 'Add' and 'Clean'. The 'LAN IP Address' column is filled with '192.168.1.' followed by a dropdown menu. The 'LAN Port' column contains values like 48568, 15638, and 22. The 'Public Port' column contains values like 48568, 15638, and 22. The 'Enable' column has checkboxes, with the third row (No. 3) checked. The 'Add' and 'Clean' buttons are present for each row.

For example:

- Port Ranges: ex. 100-150
- Multiple Ports: ex. 25,110,80
- Combination: ex. 25-100,80

No.	LAN IP Address	Protocol Type	LAN Port	Public Port	Enable		
1	192.168.1.4	TCP	48568	48568	<input type="checkbox"/>	Add	Clean
2	192.168.1.4	UDP	15638	15638	<input type="checkbox"/>	Add	Clean
3	192.168.1.4	TCP	22	22	<input checked="" type="checkbox"/>	Add	Clean
4	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
5	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
6	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
7	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
8	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
9	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
10	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
11	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
12	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
13	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
14	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
15	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
16	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
17	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
18	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
19	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean
20	192.168.1.	TCP			<input type="checkbox"/>	Add	Clean

NAT: Issues and Limitations

- TCP and UDP **checksums**.
- Some protocols **do not support packet modifications**, eg. IPSec.
- Some protocols **exchange their IP addresses**.
 - With NAT, that is the private address that will be provided.
 - The protocol can manage that problem itself.
 - If FW knows the protocol, it can “patch” packets to replace the private address by public addresses.
 - Examples: FTP, RealAudio, Quake3, X windows, H.323

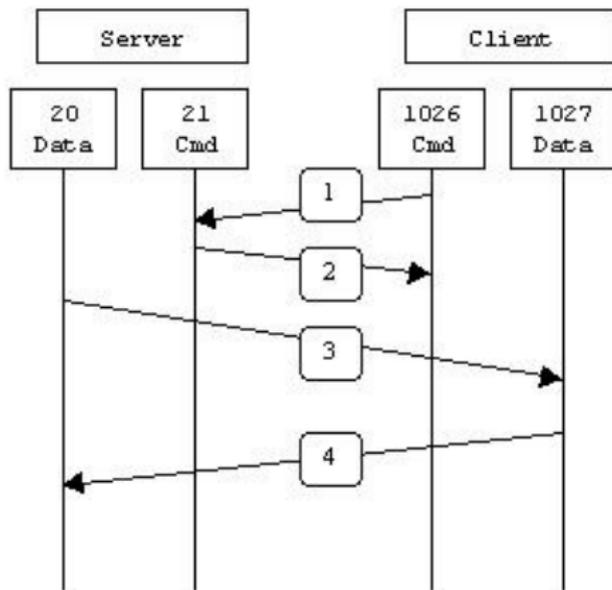
NAT Limitations: TCP Checksums

Source port				Address port				
Sequence number								
Acknowledgment number								
Data offset	Reserved	URG	ACK	PUSH	RESET	SYN	FIN	Windows
Checksum				Urgent pointer				
Options						Padding		
Data								

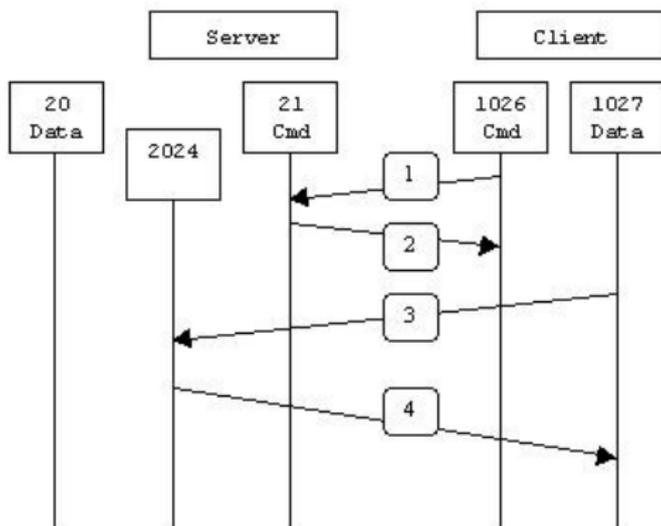
- FTP consists of 2 connections.
 - **Control** connection (port 21).
 - **Data** connection (port negotiated).

- Mode: Active vs Passive.
 - **Active**: Data connection initiated by server.
 - **Passive**: Data connection initiated by client.

FTP: Active Mode



FTP: Passive Mode



NAT: Advantages

- Less public addresses, limited costs.
- Easy to change access provider.
- Easy to re-organize the internal network.
- Automatic protection effect.
- Hides the internal network's structure.

- **RFC 3002:** “There was clear consensus that any IPv4-based model relying on traditional stateless NAT technology is to be strongly discouraged. NAT has several inherent faults, including breaking the Internet peer-to-peer communication model, breaking end-to-end security, and stifling deployment of new services. In addition, the state and performance implications of supporting 10’s to 100’s million users is cost and technologically prohibitive.”
- **RFC 3002:** “It was recommended that an effort be made to eliminate any requirement for NAT in an IPv6 Internet.”

- FW can analyze packets to **verify their format and content**.
 - Allows elimination of malformed packets (eg. ping of death).
 - Allows elimination of packets that do not correspond to the protocol's current state.
 - Allows elimination of packets with undesired content (eg. virus).
- FW can analyze an **application protocol**.
 - Allows prohibiting certain SMTP commands(`expn`, `vrfy`)

Authentication

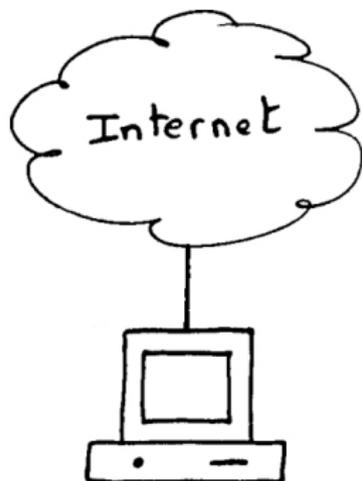
- FW can require an **authentication** letting a connection through.
- **Outbound**: allows limiting Internet access only to privileged users.
- **Inbound**: allows authorizing access to internal resources for employees on that are traveling.
- Authentication can be done based on a **local database** or by interaction with a **central database**.

- The FW allows **external users** to access the LAN.
- The external user establishes an encrypted (**tunnel**) with FW.
- The user finds himself just as if he was inside the LAN.
- The connection can be done via **Internet** or **modem**.

ARCHITECTURES

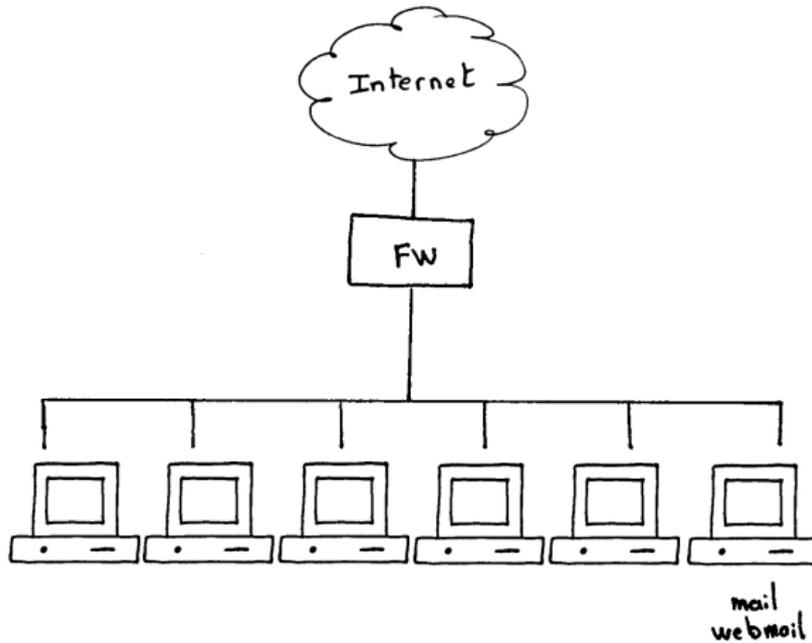
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- Personal Firewall.
- NAT + filtering.
- FW with demilitarized zone.
- Sandwiched demilitarized zone.



- The personal firewall initially **prohibits** all connections.
- At each alarm, the user can **authorize the application** to connect for that time only or for always.
- Allows **blocking** backdoors, spywares,...
- An ideal **complement** to an anti-virus for safe surfing.

NAT + Filtering



■ Configuration.

- Dynamic NAT for all internal machines.
- Static NAT for all accessible servers.
- Outbound filtering.
- Inbound filtering.

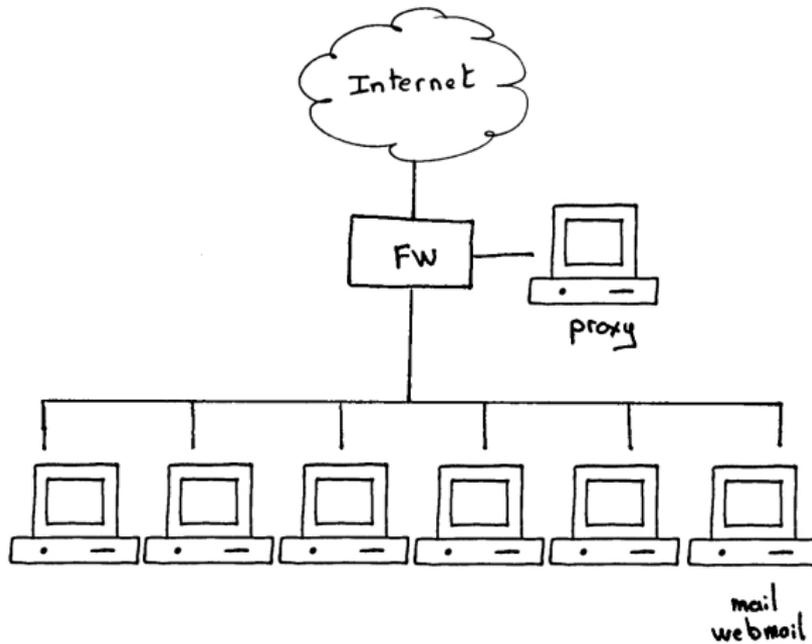
■ Limitations.

- No analysis of contents (virus) from Internet.
- Direct connections on internal servers (exploits, DoS).

■ Application.

- Low security.
- No large public Web server.

Demilitarized Zone (Simple case)

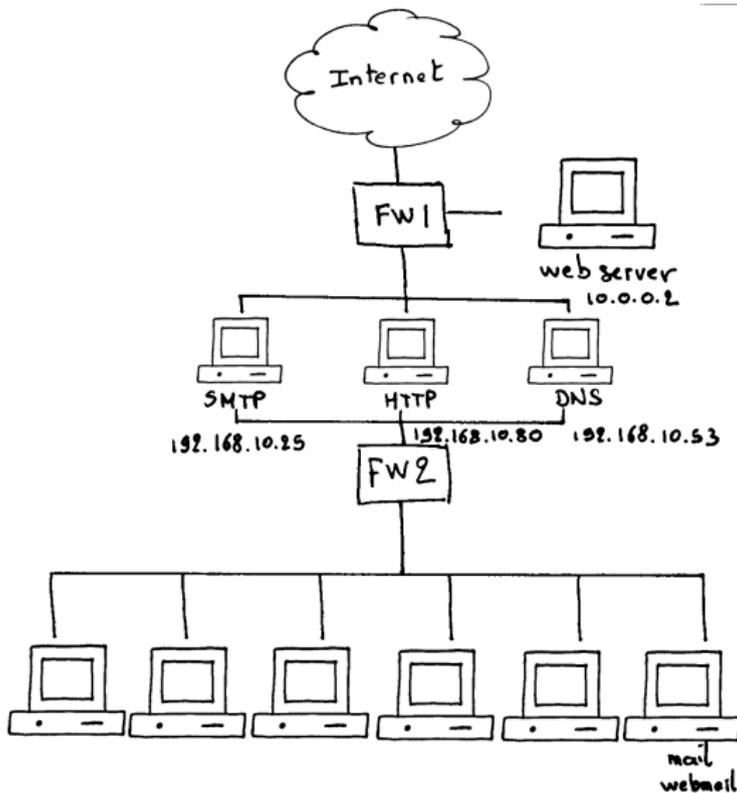


Demilitarized Zone (Simple case)

- The demilitarized zone (DMZ) is connected **neither to the Internet, nor to the internal network.**
- **Configuration.**
 - Internal machines can only connect to the proxy.
 - Only the proxy can connect to the Internet.
 - Outbound dynamic NAT.
 - Inbound static NAT toward the proxy.
 - Outbound filtering and inbound filtering.

- **Limitations** (of the example, not DMZ).
 - The firewall is a critical point.
 - All services pass through the same proxy, a vulnerability on a single service can give access to all traffic.
- **Application.**
 - Medium security needs.

Sandwiched DMZ



■ Configuration.

- Internal machines can only connect to the proxies (one protocol per proxy).
- Only proxies can connect to the Internet.
- No routing in proxies.
- Outbound dynamic NAT, inbound static.
- Outbound filtering and inbound filtering.

■ Applications.

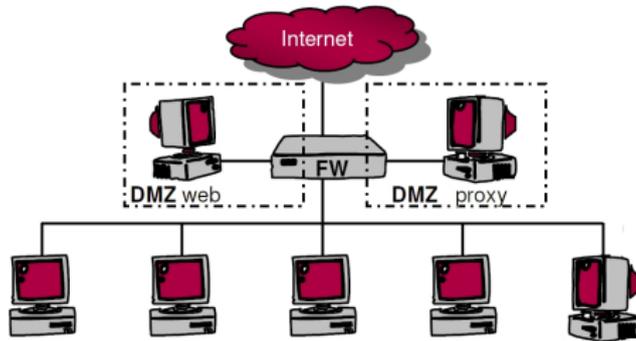
- High security needs.

RULES ORGANIZATION

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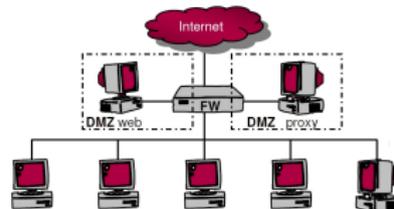
Organization of Filtering Rules: Example

- FW should allow external connections to go to **DMZ_web**.
- FW should allow internal connections to go to Internet, but through the **DMZ_proxy** only.



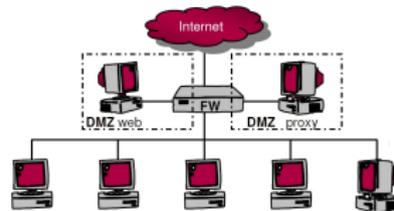
Organization: Example

	Src	Port	Dst	Port	Prot	Action
1	any	any	dmz_web	80	tcp	allow
2	internal	any	dmz_proxy	8080	tcp	allow
3	internal	any	any	any	any	denydeny
4	any	any	any	any	any	deny, log



Organization: Corrected Example

	Src	Port	Dst	Port	Prot	Action
1	internal	any	dmz_proxy	8080	tcp	allow
2	internal	any	any	any	any	denydeny
3	any	any	dmz_web	80	tcp	allow
4	any	any	any	any	any	deny, log



- The **order** in which rules are specified is important.
- Rules must be organized **systematically**.
- We define a security level for **each zone**.
- We group rules by zones in **descending order** of security level.
- Each groups consists of **four parts**.
 - Explicit authorizations for inbound traffic.
 - General prohibition for inbound traffic.
 - Explicit authorizations for outbound traffic.
 - General prohibition for outbound traffic.

Organization: Example (4 zones)

Zone	Rule	Src	Port	Dst	Port	Prot	Action
Zone 1	1	bob	any	alice	23	tcp	allow
	2	any	any	zone_1	any	any	deny
	3	alice	any	bob	22	tcp	allow
	4	zone_1	any	any	any	any	deny
Zone 2	5	authorized traffic entering zone 2					allow
	6	other traffic entering zone 2					deny
	7	authorized traffic leaving zone 2					allow
	8	other traffic leaving zone 2					deny
Zone 3	9	authorized traffic entering zone 3					allow
	10	other traffic entering zone 3					deny
	11	authorized traffic leaving zone 3					allow
	12	other traffic leaving zone 3					deny
	13	any	any	any	any	any	deny, log

Organization: Properties

- For each zone, it is **sufficient to declare the flow towards less secure zones**.
- The flow **towards more secure zones** cannot be influenced anymore: “any” refers to lower levels.
- A rule that **involved 2 zones** appears in the block related to the most secure zone.
- The block related to the **last zone is empty**.
- The last rule (any-any) must not be required. By activating **logs** on that rule we may detect possible errors.

CONCLUSION AND REFERENCES

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Example: Checkpoint

69	 Any	 zone4 - wwwsrv	 http  https  echo-request  traceroute  dest-unreach	 accept
70	 Any	 zone4 - ftpsrv	 ftp	 accept
71	 Any	 zone4-subnet1  zone4-subnet2  zone4-subnet3	 Any	 reject
72	 zone4-subnet1  zone4-subnet2	 Any	 icmp-services	 accept
73	 DNS primaire	 Any	 dns	 accept
74	 zone4-subnet1  zone4-subnet2  zone4-subnet3	 Any	 Any	 reject

Example: Sonic Wall <https://sonicwall.com>

The screenshot displays the SonicWall Administration web interface in a Mozilla Firefox browser. The page title is "SonicWall - Administration for sonicos-enhanced - Mozilla Firefox". The address bar shows the URL "https://sonicos-enhanced.demo.sonicwall.com/man.html". The interface includes a navigation menu on the left with categories like System, Network, SonicPoint, Firewall, and various services. The main content area is titled "Firewall > Access Rules > ALL > ALL" and shows a list of 15 access rules. A tooltip "Capture a window or desktop image" is visible over rule 3. The status bar at the bottom indicates "Status: Demonstration Mode - No changes allowed." and includes a search field with "checksum" and navigation buttons.

Firewall > Access Rules > ALL > ALL

Public Server Wizard Clear Status Restore Default ?

Access Rules (ALL > ALL) Items 1 to 24 (of 24)

View Style: All Rules Matrix Drop-down Boxes

#	Zone	Zone	Priority	Source	Destination	Service	Action	Users	Comment	Enable	Configure
1	LAN	LAN	1	Any	All XO Management IP	ZeoTelnet	Allow	All		<input checked="" type="checkbox"/>	
2	LAN	LAN	2	Any	All XO Management IP	Telnet	Allow	All		<input checked="" type="checkbox"/>	
3	LAN	LAN	3	Any	All XO Management IP	Ping	Allow	All		<input checked="" type="checkbox"/>	
4	LAN	LAN	4	Any	All XO Management IP	SSH Management	Allow	All		<input checked="" type="checkbox"/>	
5	LAN	LAN	5	Any	All XO Management IP	HTTPS Management	Allow	All		<input checked="" type="checkbox"/>	
6	LAN	LAN	6	Any	All XO Management IP	HTTP Management	Allow	All		<input checked="" type="checkbox"/>	
7	LAN	LAN	7	Any	Any	Any	Allow	All		<input checked="" type="checkbox"/>	
8	LAN	WAN	1	Any	Any	Any	Allow	All		<input checked="" type="checkbox"/>	
9	WAN	LAN	1	Any	Any	Any	Deny	All		<input checked="" type="checkbox"/>	
10	WAN	WAN	1	Any	All X1 Management IP	Ping	Allow	All		<input checked="" type="checkbox"/>	
11	WAN	WAN	2	Any	All X1 Management IP	HTTPS Management	Allow	All		<input checked="" type="checkbox"/>	
12	WAN	WAN	3	Any	All X1 Management IP	HTTP Management	Allow	All		<input checked="" type="checkbox"/>	
13	VPN	LAN	1	Any	All XO Management IP	SNMP	Allow	All		<input checked="" type="checkbox"/>	
14	VPN	LAN	2	Any	All XO Management IP	Ping	Allow	All		<input checked="" type="checkbox"/>	
15	VPN	LAN	3	Any	WAN RemoteAccess Networks	Any	Allow	All		<input type="checkbox"/>	

Status: Demonstration Mode - No changes allowed.

Find: checksum Next Previous Highlight all Match case

Done

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- FW is an **unavoidable security equipment**.
- FW must be **adapted** to the environment: a young driver will feel better with a Honda Civic than a Lamborghini.
- FW **does not protect well against**:
 - Internal attacks.
 - Attacks due to mobile equipment (Laptop, USB key, ...).
 - Naivety of users.