

GETCO'2000

**Occurrences Counting Analysis  
for the  $\pi$ -calculus**

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# Overview

1. Mobile systems
  - (a) What is it ?
  - (b)  $\pi$ -calculus
  - (c) Non-standard semantics
2. Approximate the collecting semantics
  - (a) Abstract interpretation
  - (b) Control flow analysis
  - (c) Occurrences counting analysis
  - (d) Examples
3. Trace-based analysis
  - (a) Dynamic partitioning
  - (b) Dead lock analysis
  - (c) Example

# Mobile systems

## Mobile system

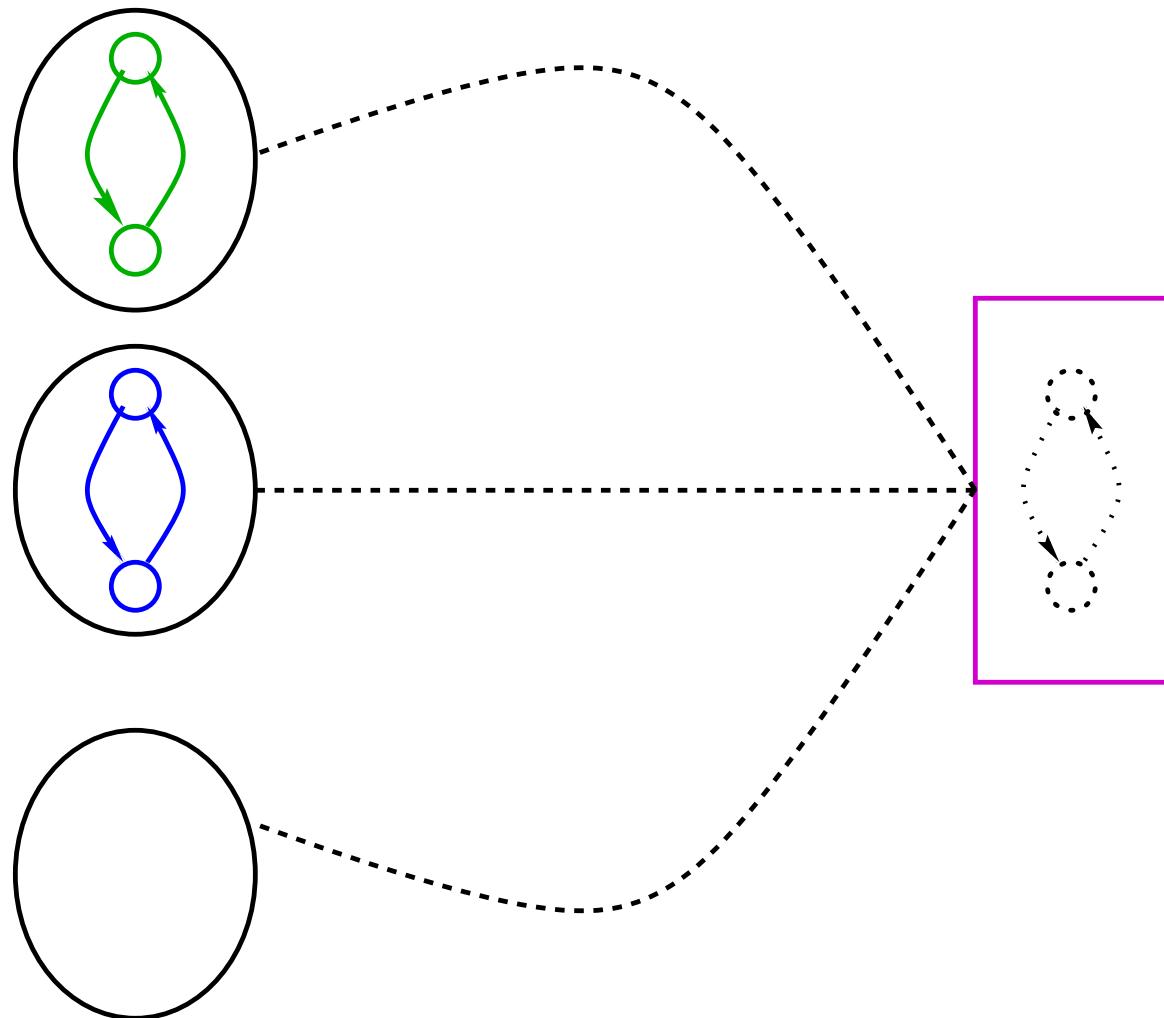
A pool of processes which interact via communications.

Communications allow to

- synchronize process computation;
- change structure of processes;
- create new communication links;
- create new processes.

**Topology of interaction may be unbounded !**

## Example: a server



## Objectives

We need a sound description of the multiset of the processes that occur inside computation sequences

- to prove that physical resources are not exhausted;
- to refine control flow analysis by detecting that some processes can never communicate,  
by detecting mutual exclusion;
- to provide a good criterion of partitioning,  
for dead lock analysis.

We propose a polynomial solution.

## $\pi$ -calculus : syntax

Let  $\text{Channel}$  be an infinite set of channel names, and  $\text{Label}$  an infinite set of labels,

$$\begin{aligned} P ::= & \text{action}.P \quad (\text{Action}) \\ | & (P \mid P) \quad (\text{Parallel composition}) \\ | & (P + P) \quad (\text{Non deterministic choice}) \\ | & \emptyset \quad (\text{End of a process}) \end{aligned}$$

$$\begin{aligned} \text{action} ::= & c!^i[x_1, \dots, x_n] \quad (\text{Message}) \\ | & c?^i[x_1, \dots, x_n] \quad (\text{Input guard}) \\ | & *c?^i[x_1, \dots, x_n] \quad (\text{Replication guard}) \\ | & (\nu x) \quad (\text{Channel creation}) \end{aligned}$$

where  $n \geq 0$ ,

$c, x_1, \dots, x_n, x, \in \text{Channel}$  and  $i \in \text{Label}$ .

$\nu$  and  $?$  are the only name binders. We denote by  $\mathcal{FN}(P)$  the set of free names in  $P$ , and by  $\mathcal{BN}(P)$  the set of bound names in  $P$ .

## Transition semantics

A reduction relation and a congruence relation give the semantics of the  $\pi$ -calculus:

- the reduction relation specifies the result of process computations:

$$\begin{array}{l} c?^i[\bar{y}]Q \mid c!^j[\bar{x}]P \xrightarrow{i,j} Q[\bar{y} \leftarrow \bar{x}] \mid P \\ *c?^i[\bar{y}]Q \mid c!^j[\bar{x}]P \xrightarrow{i,j} Q[\bar{y} \leftarrow \bar{x}] \mid *c?^i[\bar{y}]Q \mid P \\ P + Q \xrightarrow{\varepsilon} P \\ P + Q \xrightarrow{\varepsilon} Q \end{array}$$

- the congruence relation reveals redexs:
  - names renaming ( $\alpha$ -conversion),
  - structural modifications  
(Commutativity, associativity, and so on).

## Example: syntax

$$\mathcal{S} := (\nu \text{ port}) \\ (\text{Instance} \mid \text{port}!^5[] \mid \text{port}?^6[] \mid \text{port}!^7[])$$

where

$$\text{Instance} := * \text{port}?^0[] (\nu \text{ in})(\nu \text{ out})(\nu \text{ query}) \\ (\text{in}!^1[\text{query}] \\ \mid \text{in}?^2[\text{response}].(\text{out}!^3[\text{response}] \mid \text{port}!^4[]))$$

## Example: computation

$(\nu \text{ port})$

$(\text{Instance} \mid \text{port}!^5[] \mid \text{port}?^6[] \mid \text{port}?^7[])$

$\xrightarrow{(0,5)}$

$(\nu \text{ port})(\nu \text{ in}_1)(\nu \text{ out}_1)(\nu \text{ query}_1)$

$(\text{Instance} \mid \text{port}!^6[] \mid \text{port}!^7[]$

$\mid \text{in}_1!^1[\text{query}_1]$

$\mid \text{in}_1?^2[\text{response}].(\text{out}_1!^3[\text{response}] \mid \text{port}!^4[]))$

$\xrightarrow{(2,1)}$

$(\nu \text{ port})(\nu \text{ in}_1)(\nu \text{ out}_1)(\nu \text{ query}_1)$

$(\text{Instance} \mid \text{port}!^4[] \mid \text{port}!^6[] \mid \text{port}!^7[]$

$\mid \text{out}_1!^3[\text{query}_1])$

## Non-standard semantics

A refined semantics in where

- recursive instances of processes are identified with unambiguous markers;
- channel names are enriched with the marker of the process which has declared them.

### Example: non-standard configuration

$$(\nu \text{ port})(\nu \text{ in}_1)(\nu \text{ out}_1)(\nu \text{ query}_1) \\ (\text{Instance} \mid \text{port}!^4[] \mid \text{port}!^6[] \mid \text{port}!^7[] \\ \mid \text{out}_1!^3[\text{query}_1])$$

$$\left\{ \begin{array}{l} \left( 0, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 3, id, \left\{ \begin{array}{l} \text{out} \mapsto (\text{out}, id) \\ \text{response} \mapsto (\text{query}, id) \end{array} \right\} \right) \\ \left( 4, id, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 6, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 7, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \end{array} \right\}$$

## Marker allocation

Markers are binary trees:

- leaves are not labeled;
- nodes are labeled with a pair  $(i, j) \in \text{Label}^2$ .

They are recursively calculated when resources are fetched.

## Coherence

**Theorem:** Standard semantics and non-standard semantics are bisimilar.

The proof mainly relies on the consistence of marker allocation.

# Abstraction

# Abstract interpretation

$(\mathcal{C}, C_0, \rightarrow)$  is a transition system,

$$\begin{aligned} \mathcal{S} &= \{C \mid \exists i \in C_0, i \rightarrow^* C\} = \text{lfp}_\emptyset \mathbb{F} \\ \text{where } \mathbb{F} : X &\mapsto C_0 \cup \{C' \mid \exists C \in X, C \rightarrow C'\} \end{aligned}$$

- $(\wp(\mathcal{C}), \subseteq, \cup, \emptyset, \cap, \mathcal{C}) \xrightleftharpoons[\alpha]{\gamma} (\mathcal{D}^\sharp, \sqsubseteq, \sqcup, \perp, \sqcap, \top)$
- an abstract transition relation  $\rightsquigarrow$  on  $\mathcal{D}^\sharp$

Coherence hypothesis:

If  $C \in \gamma(C^\sharp)$  and  $C \xrightarrow{\lambda} \overline{C}$ , then there exists  $\overline{C}^\sharp$  such that  $C^\sharp \rightsquigarrow \overline{C}^\sharp$  and  $\overline{C} \in \gamma(\overline{C}^\sharp)$ .

$$\begin{array}{ccc} C & \xrightarrow{\lambda} & \overline{C} \\ \gamma \uparrow & & \gamma \uparrow \\ C^\sharp & \xrightarrow{\rightsquigarrow} & \overline{C}^\sharp \end{array}$$

$$\mathcal{S} \subseteq \bigcup_{n \in \mathbb{N}} \gamma(\mathbb{F}^{\sharp n}(\perp))$$

where  $\mathbb{F}^\sharp(C^\sharp) = \alpha(C_0) \sqcup C^\sharp \sqcup (\bigsqcup \{\overline{C}^\sharp \mid C^\sharp \rightsquigarrow \overline{C}^\sharp\})$

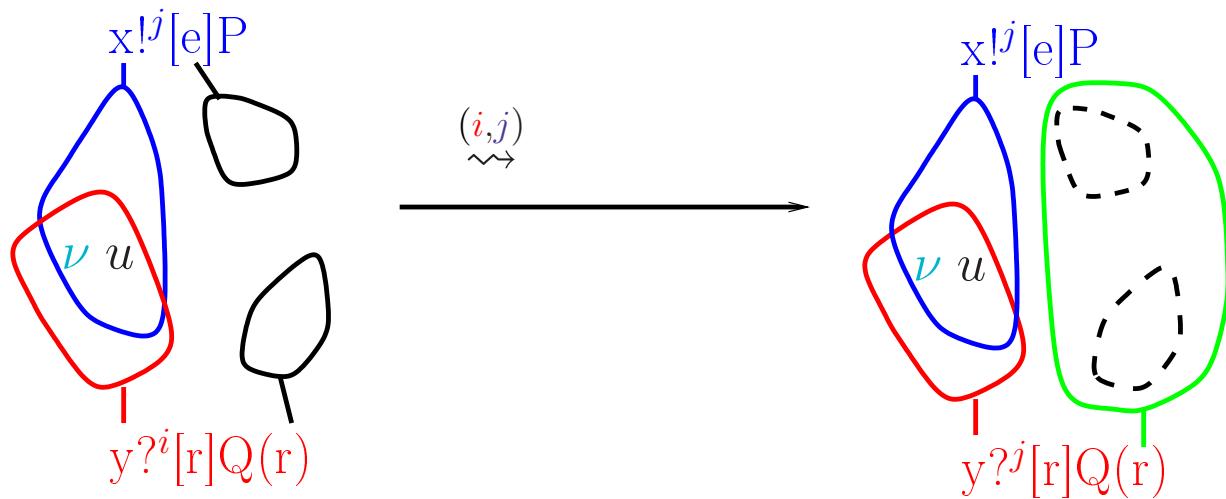
# Control flow analysis

A description of the communication topology.

$$\left\{ \begin{array}{l} \left( 0, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 3, id, \left\{ \begin{array}{l} out \mapsto (out, id) \\ response \mapsto (query, id) \end{array} \right\} \right) \\ \left( 4, id, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 6, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 7, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \end{array} \right\}$$

$\implies \{(port, port), (out, out), (response, query)\}$

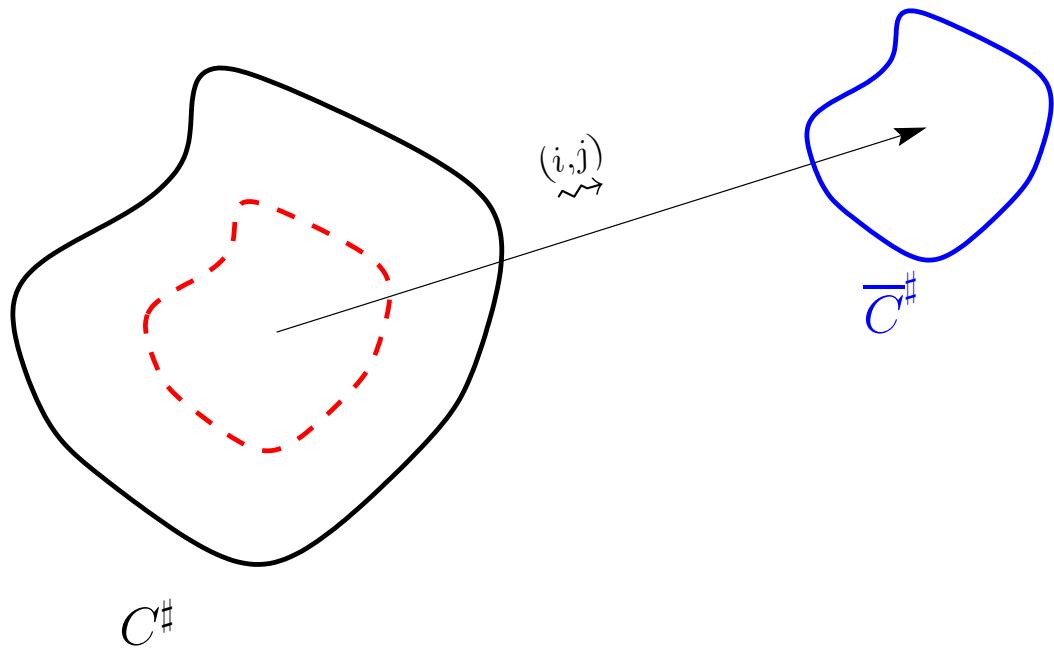
## Abstract transition



# Occurrences counting analysis

$$\left\{ \begin{array}{l} \left( 0, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 3, id, \left\{ \begin{array}{l} out \mapsto (out, id) \\ response \mapsto (query, id) \end{array} \right\} \right) \\ \left( 4, id, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 6, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \\ \left( 7, \varepsilon, \left\{ \text{port} \mapsto (\text{port}, \varepsilon) \right\} \right) \end{array} \right\}$$

Abstract transition



## Abstract domains

We design a domain for representing numerical constraints between

- number of occurrences of processes  $\sharp(i)$ ;
- number of performed transitions  $\sharp(i,j)$ .

We use the product of

- a non-relational domain:  
     $\Rightarrow$  the interval lattice;
- a relational domain:  
     $\Rightarrow$  the lattice of affine relationships.

## Interval narrowing

An exact reduction is exponential.

We use:

- Gaus reduction:

$$\begin{cases} x + y + z = 1 \\ x + y + t = 2 \end{cases} \implies \begin{cases} x + y + z = 1 \\ t - z = 1 \end{cases}$$

- Interval propagation:

$$\begin{cases} x + y + z = 3 \\ x \in [|0; \infty|[ \\ y \in [|0; \infty|[ \\ z \in [|0; \infty|[ \end{cases} \implies \begin{cases} x + y + z = 3 \\ x \in [|0; 3|] \\ y \in [|0; \infty|[ \\ z \in [|0; \infty|[ \end{cases}$$

- Redundancy introduction:

$$\begin{cases} x + y - z = 3 \\ x \in [|1; 2|[ \end{cases} \implies \begin{cases} x + y - z = 3 \\ y - z \in [|1; 2|] \\ x \in [|1; 2|] \end{cases}$$

to get a polynomial approximated reduction.

## Example: non-exhaustion of resources

$\mathcal{S} := (\nu \text{ port})$

(Instance | port!<sup>5</sup>[] | port!<sup>6</sup>[] | port!<sup>7</sup>[])

where

Instance := \*port?<sup>0</sup>[]( $\nu$  in)( $\nu$  out)( $\nu$  query)

(in!<sup>1</sup>[query]

| in?<sup>2</sup>[response].(out!<sup>3</sup> [response] | port!<sup>4</sup>[]))

$$\left\{ \begin{array}{l} \sharp(0) = 1 \\ \sharp(3) \in [|0; \infty|[ \\ \sharp(i) \in [|0; 3|], \forall i \in \{1; 2; 4\} \\ \sharp(i) \in [|0; 1|], \forall i \in [|5; 7|] \\ \sharp(1) + \sharp(4) + \sharp(5) + \sharp(6) + \sharp(7) = 3 \\ \sharp(1) = \sharp(2) \end{array} \right.$$

## Example: exhaustion of resources

$\mathcal{S} := (\nu \text{ port})$   
 $(\text{Instance} \mid \text{port}!^5[] \mid \text{port}!^6[] \mid \text{port}!^7[])$

where

$\text{Instance} := * \text{port}?^0[] (\nu \text{ in})(\nu \text{ out})(\nu \text{ query})$   
 $(\text{in}!^1[\text{query}] \mid \text{in}?^2[\text{response}].\text{out}!^3[\text{response}] \mid \text{port}!^4[])$

$$\left\{ \begin{array}{l} \#(0) = 1 \\ \#(i) \in [|0; \infty|], \forall i \in \{1; 2; 3; 4\} \\ \#i \in [|0; 1|], \forall i \in \{5; 6; 7\} \\ \#(1) + \#(3) = \sum_{i \in \{4, 5, 6, 7\}} \#(0, i) \end{array} \right.$$

## Example: mutual exclusion

```
A := *a?1[x](x!2[a] + c?3[u]d!4[u])
B := *b?5[x](x!6[b] + c!7[e] )
C := a!8[b]
P := A | B | C
```

⇒ We detect that processes 3 and 7 never communicate.

since the following system:

$$\begin{cases} \#(2) + \#(3) + \#(6) + \#(7) + \#(8) = 1 \\ \#(3) \in [|1; \infty[ \\ \#(7) \in [|1; \infty[ \end{cases}$$

has no solution in  $\mathbb{N}^+$ .

# Trace-based analysis

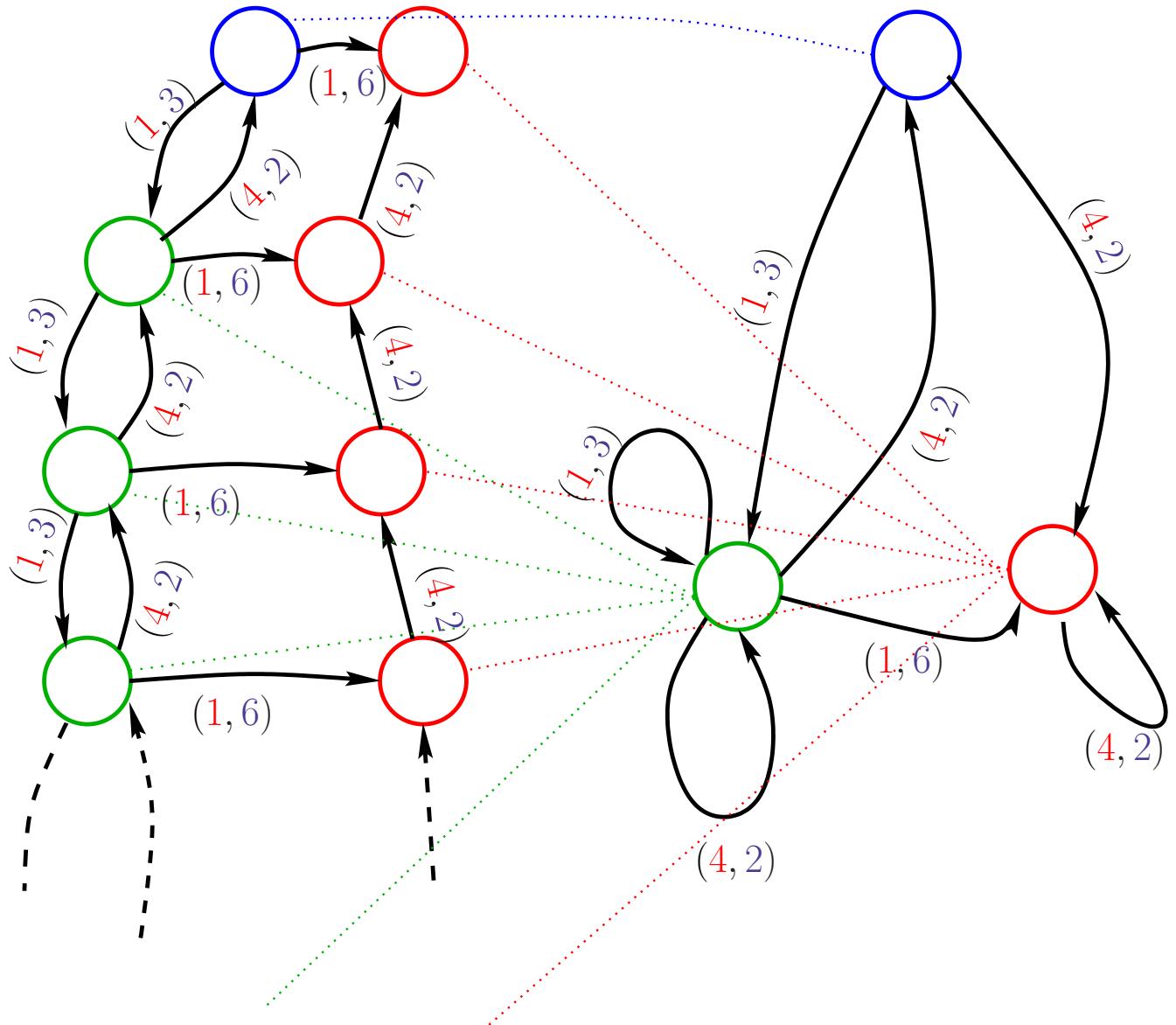
## Main idea

We want to approximate the set of the configurations by which no infinite computation sequence can pass.

We propose to

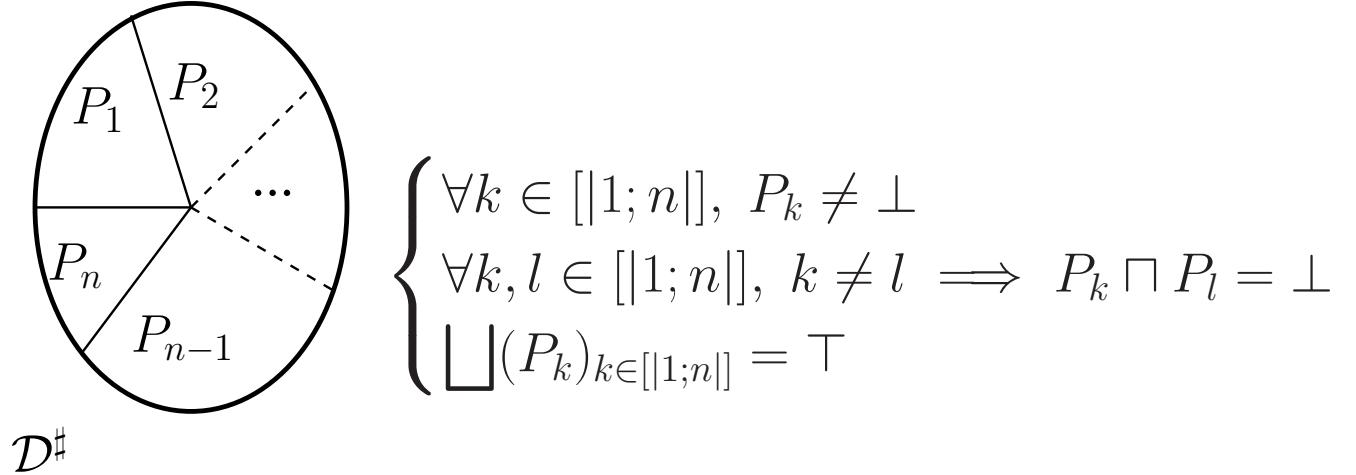
1. abstract the *trace semantics* of a mobile system;
2. for each configuration,
  - approximate the set of the transitions that may occur inside a computation sequence which stems from this configuration;
  - detect and prove whether this set defines a well-founded relation.

# Quotient



# Partitioning

We finitely partition  $\mathcal{D}^\sharp$



by using our **occurrences counting analysis**.

We iteratively construct both

- a transition system over  $(P_i)$ ,
- a representation function  $f : [|1; n|] \rightarrow \mathcal{D}^\sharp$ :

If  $P_k \sqcap f(P_k) \xrightarrow{(i,j)} \overline{C}^\sharp$  with  $\overline{C}^\sharp \sqcap P_l \neq \perp$

then  $\left\{ \begin{array}{l} f(P_l) \leftarrow f(P_l) \sqcup (\overline{C}^\sharp \sqcap P_l) \\ \text{the transition } P_k \xrightarrow{(i,j)} P_l \text{ is added} \end{array} \right.$

## Proof of termination

How to check that transition systems are well-founded?

Abstracting environments away,  
transition rules look like **chemical reactions**.

$A|B \rightarrow A_1|A_2|\dots|B_1|B_2|\dots$  (communication)

$C|D \rightarrow C_1|C_2|\dots|D_1|D_2|\dots$  (resource fetching)

We decompose each transition in two half-transitions:

communication

resource fetching

$A \rightarrow A_1|A_2|\dots$

$C \rightarrow C$

$B \rightarrow B_1|B_2|\dots$

$D \rightarrow C_1|C_2|\dots|D_1|D_2|\dots$

Then we check if the following relation is well-founded:

communication

resource fetching

$A > A_1, A > A_2, \dots$

$D > C_1, D > C_2, \dots$

$B > B_1, B > B_2, \dots$

$D > D_1, D > D_2, \dots$

## Example: a stack

$$\begin{aligned} \mathcal{S} := & (\nu \text{ push})(\nu \text{ pop}) \\ & ((\ast \text{push?}^1[])(\text{pop!}^2[] \mid \text{push!}^3[])) \\ & \mid \ast \text{pop?}^4[] \\ & \mid \ast \text{push?}^5[] \\ & \mid \text{push!}^6[]) \end{aligned}$$

$$\left\{ \begin{array}{l} \pi(1) = 1, \quad \pi(2) \in [|0; +\infty|[, \quad \pi(3) \in [|0; 1|], \\ \pi(4) = 1, \quad \pi(5) = 1, \quad \pi(6) \in [|0; 1|], \\ \underline{\pi}(1, 6) \in [|0; 1|], \quad \underline{\pi}(5, 3) \in [|0; 1|], \quad \underline{\pi}(5, 6) \in [|0; 1|], \\ \underline{\pi}(1, 3) \in [|0; \infty|[, \quad \underline{\pi}(4, 2) \in [|0; \infty|[. \end{array} \right.$$

The analysis has proved that the computations of our system are bound to terminate as soon as a communication (5, 3) or a communication (5, 6) is performed.

## Conclusion

- Our framework allows to infer a sound uniform description of mobile systems in the  $\pi$ -calculus.
- It has succeeded in proving:
  - non-exhaustion, in a polynomial time;
  - mutual exclusion, in a polynomial time;
  - some dead locks, in an exponential time.

## Future Works

- Refining our initial partitioning;
- investigating other approximated algorithms;
- designing a modular analysis;
- including administrative sites (*mobile ambients*).  
    ➡ To analyze big programs.

