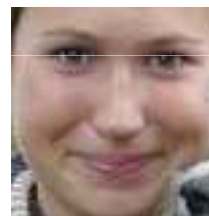


Face detection and recognition



Detection



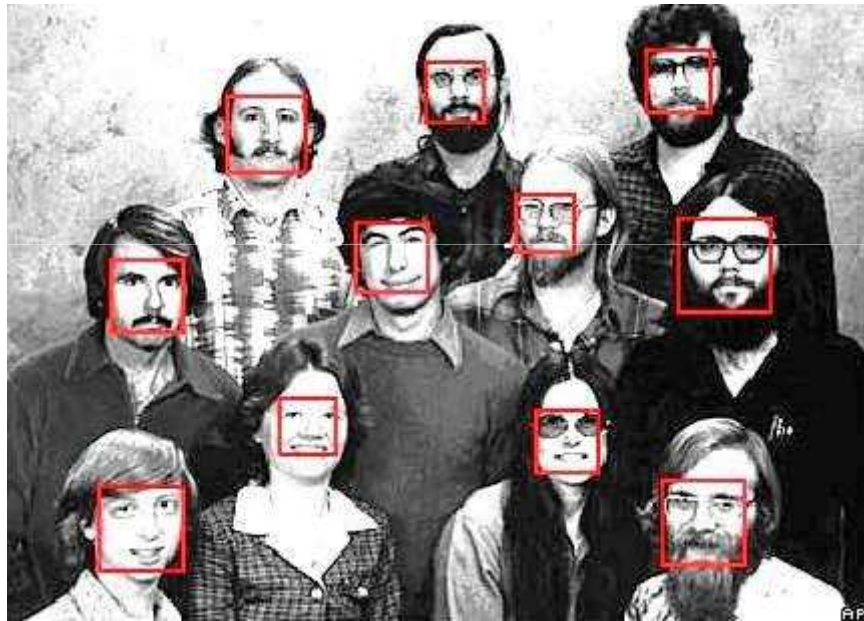
Recognition

“Sally”

Face detection & recognition

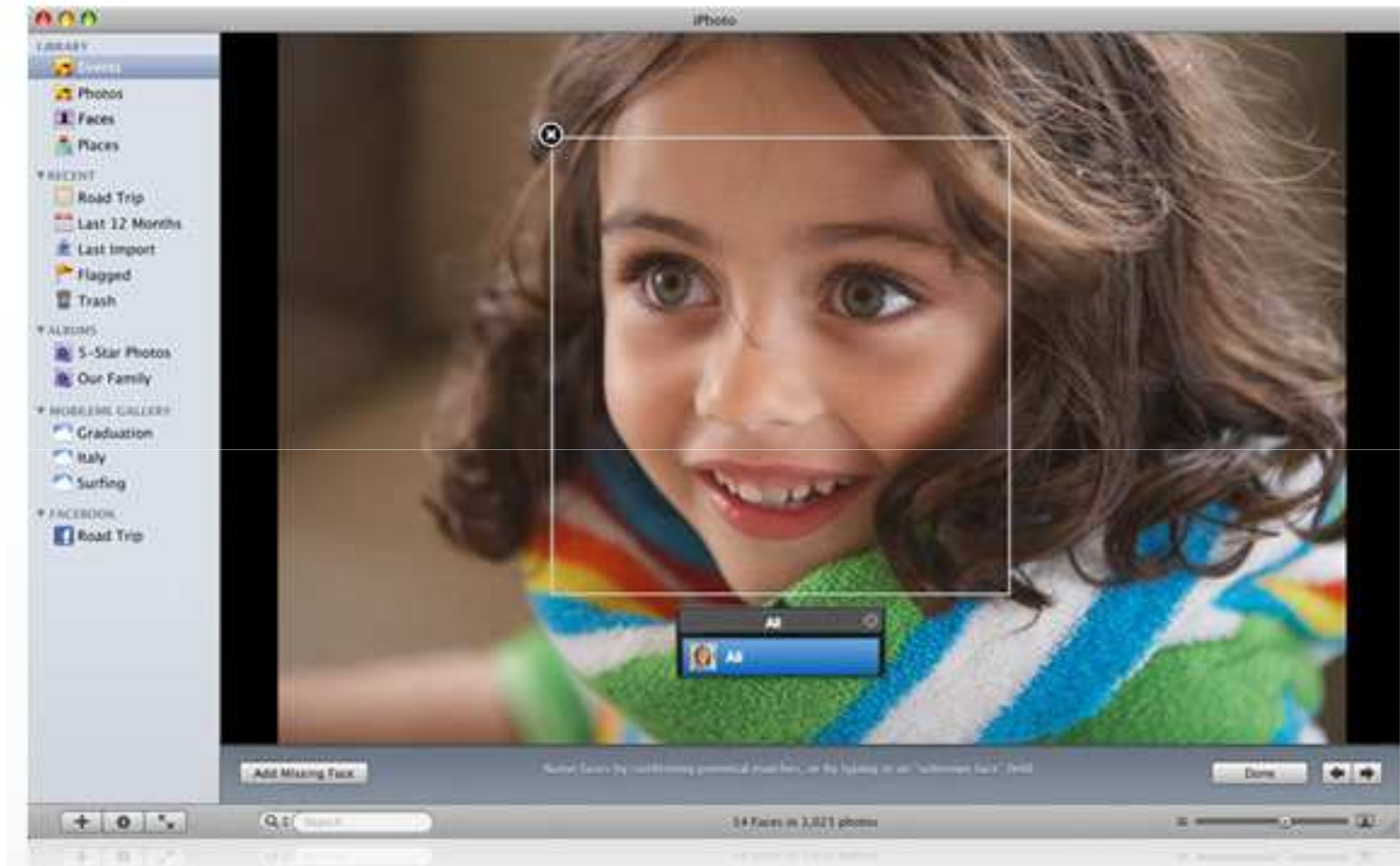
- Viola & Jones detector
 - Available in open CV
- Face recognition
 - Eigenfaces for face recognition
 - Metric learning identification

Face detection



Many slides adapted from P. Viola

Consumer application: iPhoto 2009



<http://www.apple.com/ilife/iphoto/>

Challenges of face detection

- Sliding window detector must evaluate tens of thousands of location/scale combinations
- Faces are rare: 0–10 per image
 - For computational efficiency, we should try to spend as little time as possible on the non-face windows
 - A megapixel image has $\sim 10^6$ pixels and a comparable number of candidate face locations
 - To avoid having a false positive in every image, our false positive rate has to be less than 10^{-6}

The Viola/Jones Face Detector

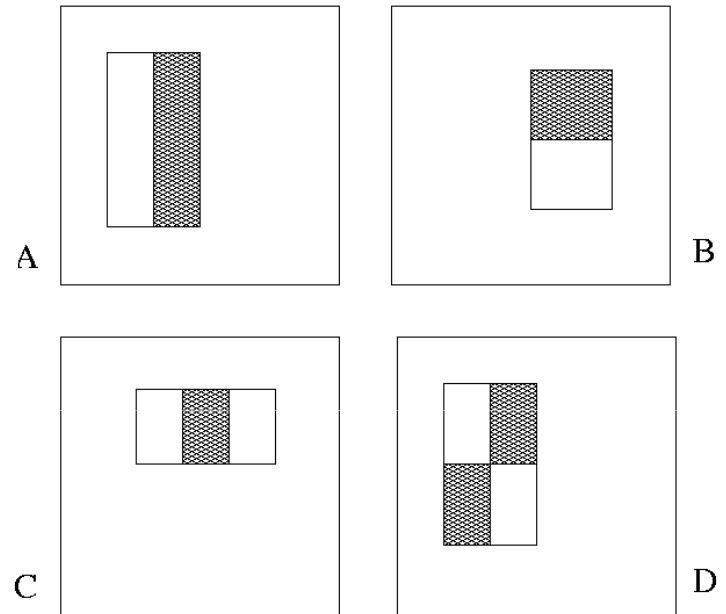
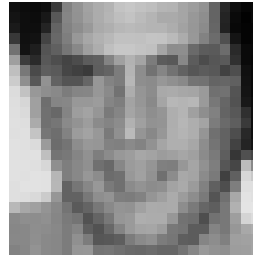
- A seminal approach to real-time object detection
- Training is slow, but detection is very fast
- Key ideas
 - *Integral images* for fast feature evaluation
 - *Boosting* for feature selection
 - *Attentional cascade* for fast rejection of non-face windows

P. Viola and M. Jones. [Rapid object detection using a boosted cascade of simple features.](#) CVPR 2001.

P. Viola and M. Jones. [Robust real-time face detection.](#) IJCV 57(2), 2004.

Image Features

“Rectangle filters”

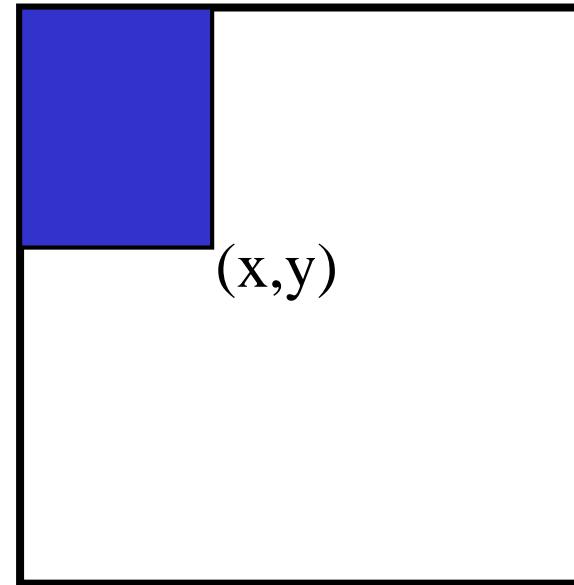


Value =

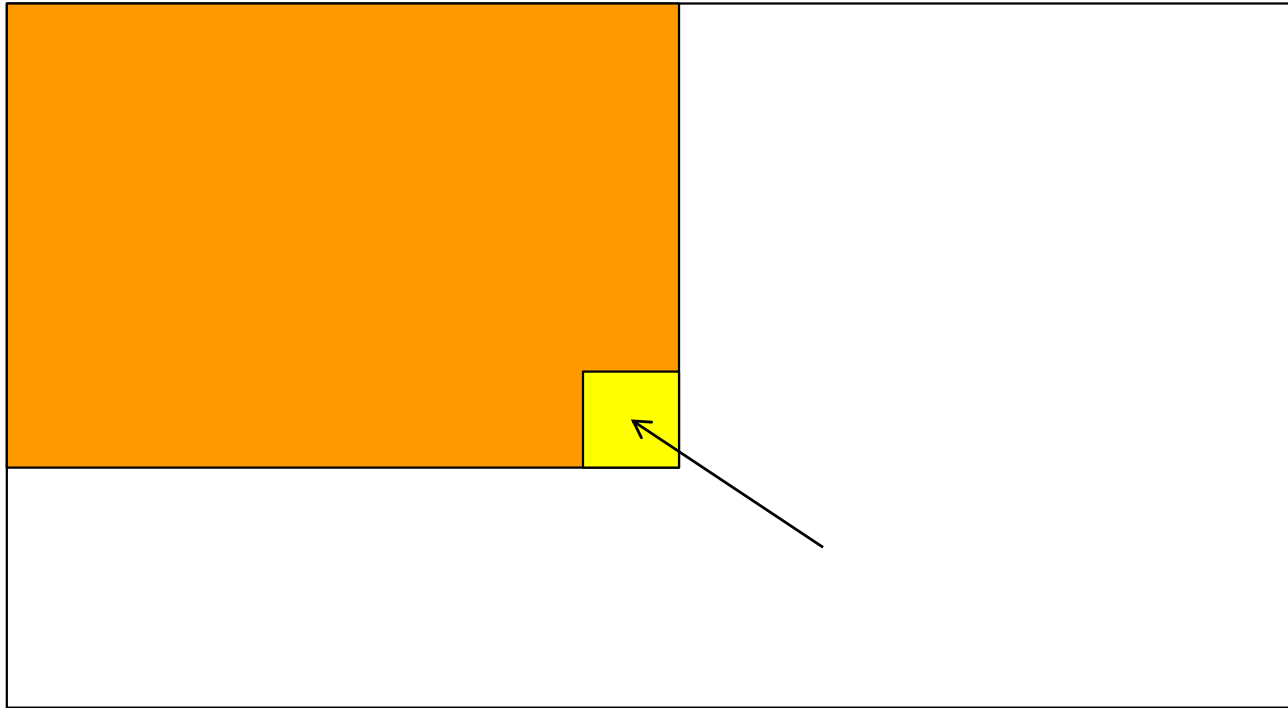
$$\sum (\text{pixels in white area}) - \sum (\text{pixels in black area})$$

Fast computation with integral images

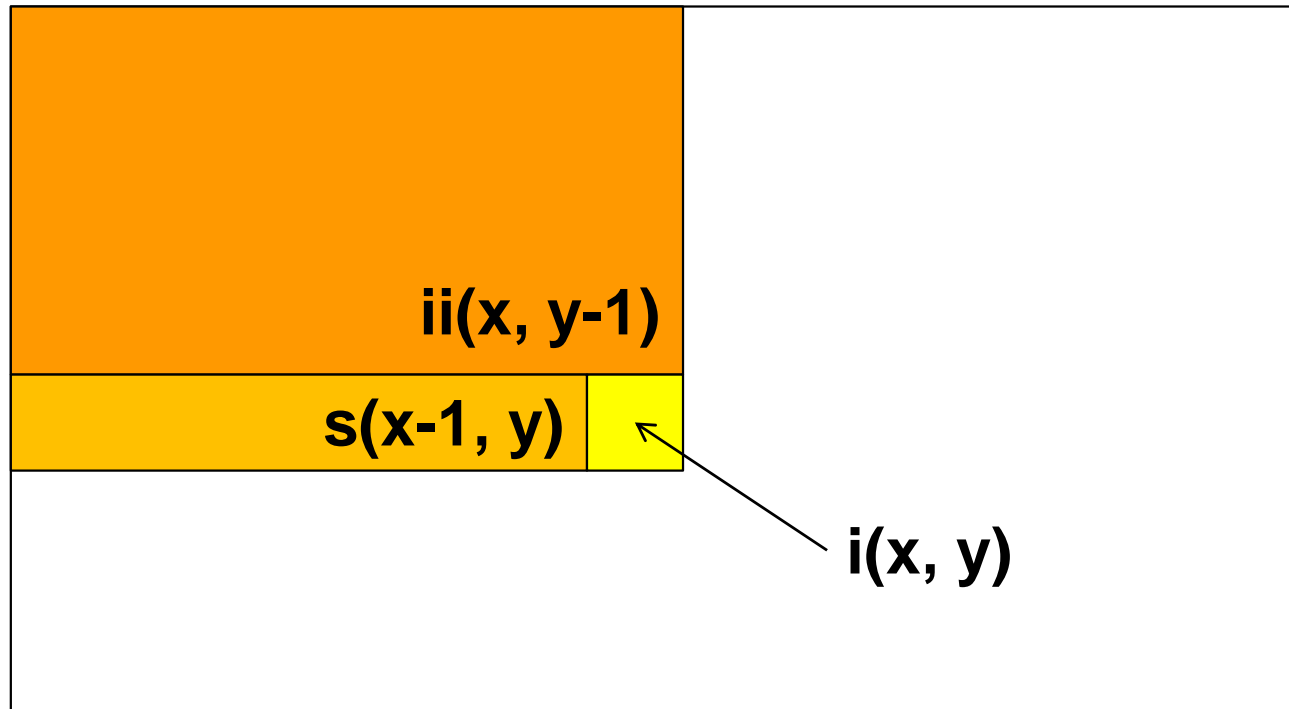
- The *integral image* computes a value at each pixel (x,y) that is the sum of the pixel values above and to the left of (x,y) , inclusive
- This can quickly be computed in one pass through the image



Computing the integral image



Computing the integral image



Cumulative row sum: $s(x, y) = s(x-1, y) + i(x, y)$

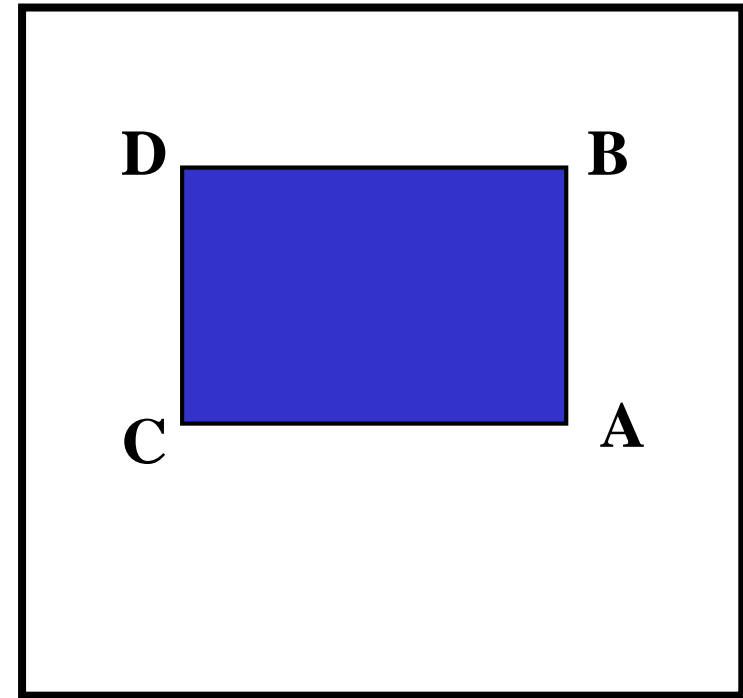
Integral image: $ii(x, y) = ii(x, y-1) + s(x, y)$

Computing sum within a rectangle

- Let A,B,C,D be the values of the integral image at the corners of a rectangle
- Then the sum of original image values within the rectangle can be computed as:

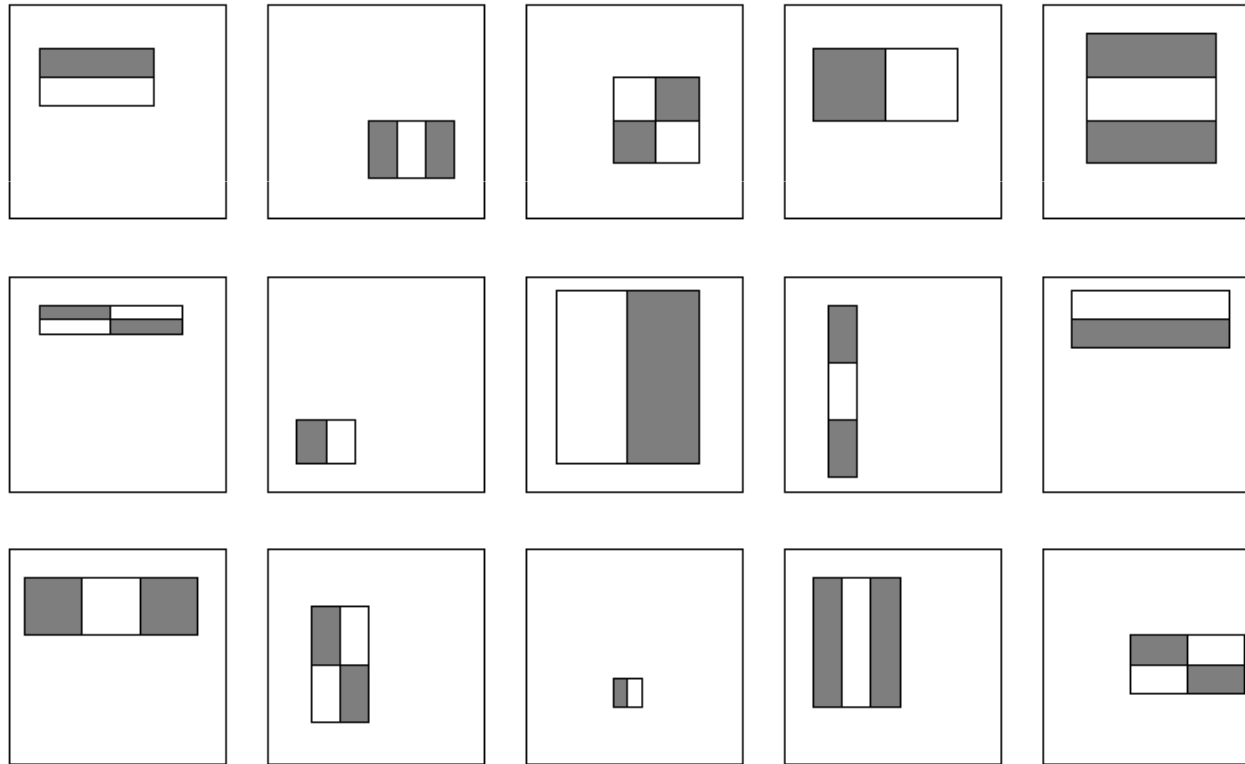
$$\text{sum} = A - B - C + D$$

- Only 3 additions are required for any size of rectangle!



Feature selection

- For a 24x24 detection region, the number of possible rectangle features is ~160,000!



Feature selection

- For a 24x24 detection region, the number of possible rectangle features is ~160,000!
- At test time, it is impractical to evaluate the entire feature set
- Can we create a good classifier using just a small subset of all possible features?
- How to select such a subset?

Boosting

- Boosting is a classification scheme that works by combining *weak learners* into a more accurate ensemble classifier
- Training consists of multiple *boosting rounds*
 - During each boosting round, we select a weak learner that does well on examples that were hard for the previous weak learners
 - “Hardness” is captured by weights attached to training examples

Y. Freund and R. Schapire, [A short introduction to boosting](#), *Journal of Japanese Society for Artificial Intelligence*, 14(5):771-780, September, 1999.

Training procedure

- Initially, weight each training example equally
- In each boosting round:
 - Find the weak learner that achieves the lowest *weighted* training error
 - Raise the weights of training examples misclassified by current weak learner
- Compute final classifier as linear combination of all weak learners (weight of each learner is directly proportional to its accuracy)
 - Exact formulas for re-weighting and combining weak learners depend on the particular boosting scheme (e.g., AdaBoost)

Boosting vs. SVM

- Advantages of boosting
 - Integrates classifier training with feature selection
 - Flexibility in the choice of weak learners, boosting scheme
 - Testing is very fast
- Disadvantages
 - Needs many training examples
 - Training is slow
 - Often doesn't work as well as SVM (especially for many-class problems)

Boosting for face detection

- Define weak learners based on rectangle features

$$h_t(x) = \begin{cases} 1 & \text{if } p_t f_t(x) > p_t \theta_t \\ 0 & \text{otherwise} \end{cases}$$

Diagram illustrating the weak learner function $h_t(x)$ based on rectangle features:

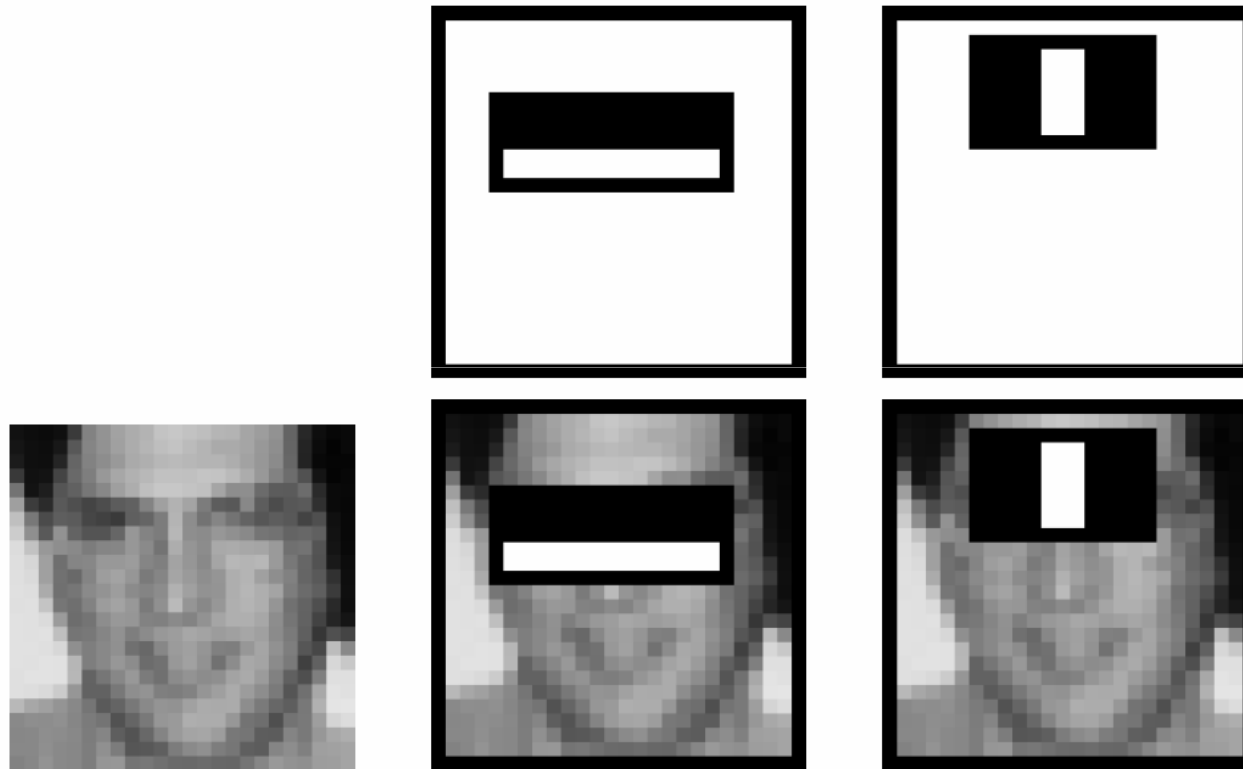
- $h_t(x)$: window
- $f_t(x)$: value of rectangle feature
- p_t : parity
- θ_t : threshold

Boosting for face detection

- Define weak learners based on rectangle features
- For each round of boosting:
 - Evaluate each rectangle filter on each example
 - Select best filter/threshold combination based on weighted training error
 - Reweight examples

Boosting for face detection

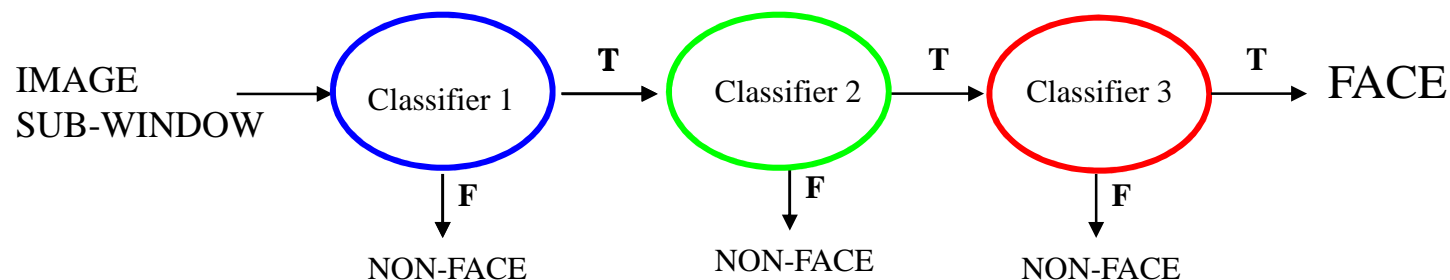
- First two features selected by boosting:



This feature combination can yield 100% detection rate and 50% false positive rate

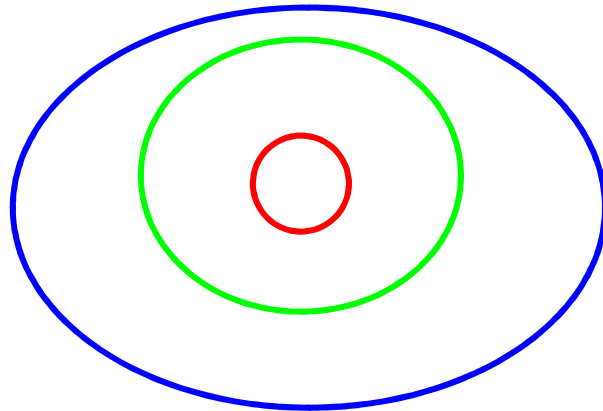
Attentional cascade

- We start with simple classifiers which reject many of the negative sub-windows while detecting almost all positive sub-windows
- Positive response from the first classifier triggers the evaluation of a second (more complex) classifier, and so on
- A negative outcome at any point leads to the immediate rejection of the sub-window

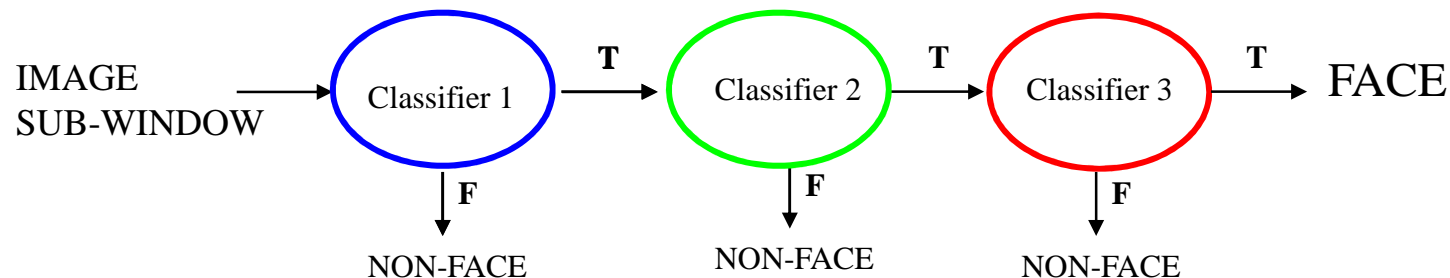
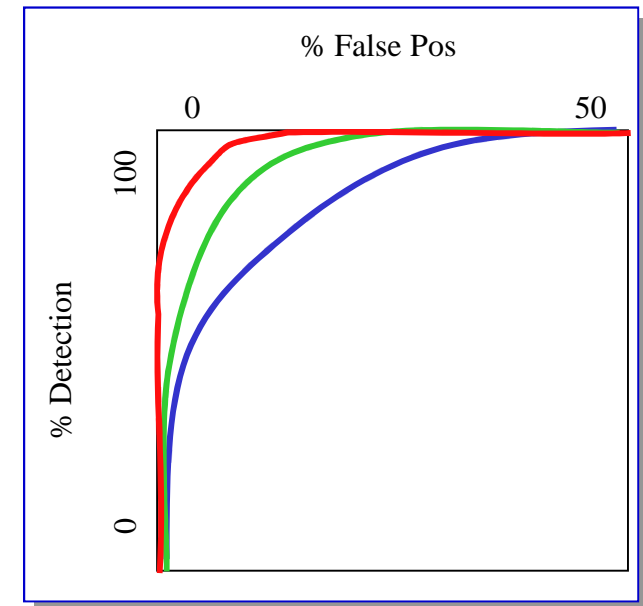


Attentional cascade

- Chain classifiers that are progressively more complex and have lower false positive rates:

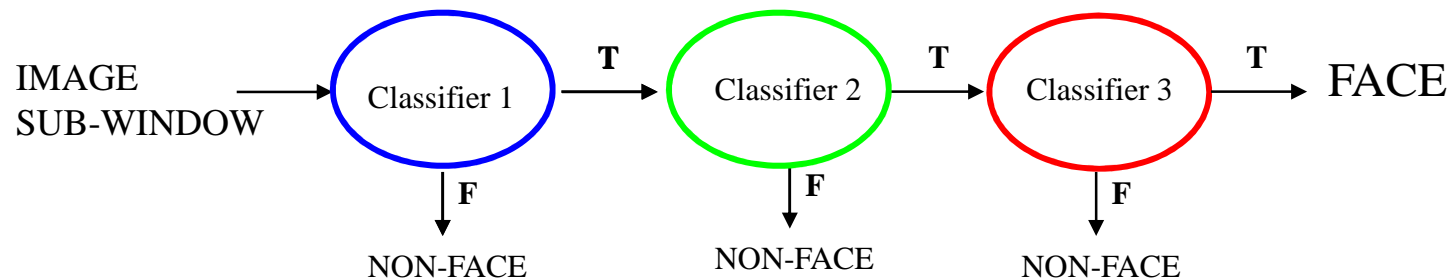


Receiver operating characteristic



Attentional cascade

- The detection rate and the false positive rate of the cascade are found by multiplying the respective rates of the individual stages
- A detection rate of 0.9 and a false positive rate on the order of 10^{-6} can be achieved by a 10-stage cascade if each stage has a detection rate of 0.99 ($0.99^{10} \approx 0.9$) and a false positive rate of about 0.30 ($0.3^{10} \approx 6 \times 10^{-6}$)



Training the cascade

- Set target detection and false positive rates for each stage
- Keep adding features to the current stage until its target rates have been met
 - Need to lower AdaBoost threshold to maximize detection (as opposed to minimizing total classification error)
 - Test on a *validation set*
- If the overall false positive rate is not low enough, then add another stage
- Use false positives from current stage as the negative training examples for the next stage

The implemented system

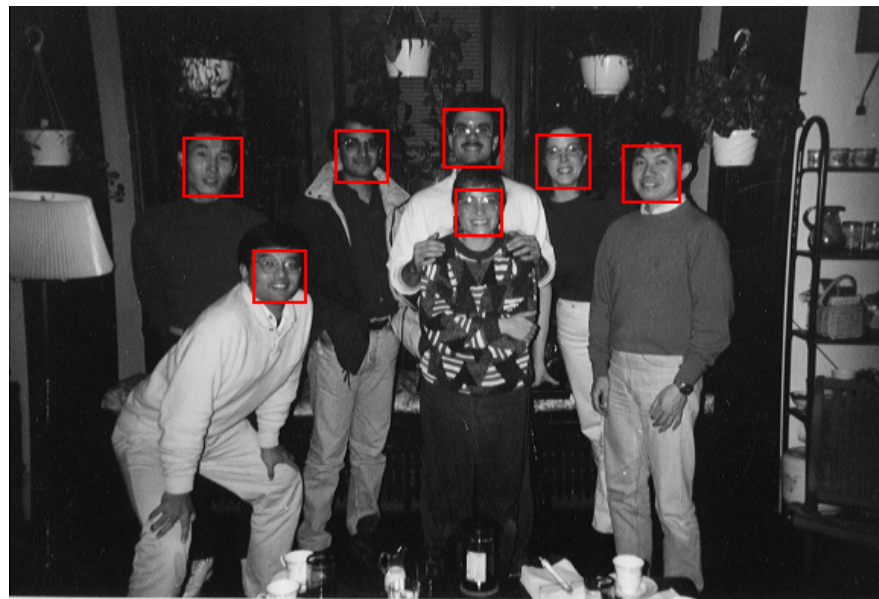
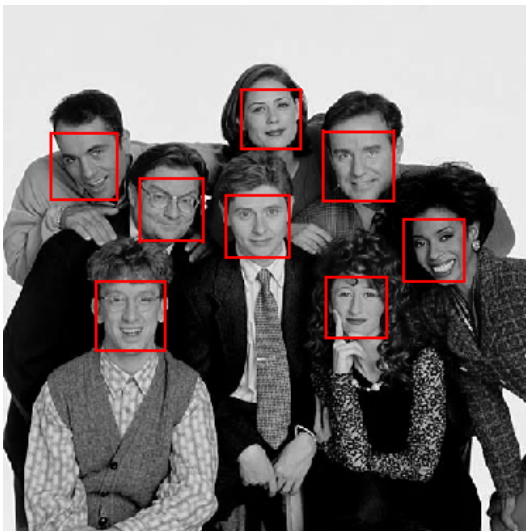
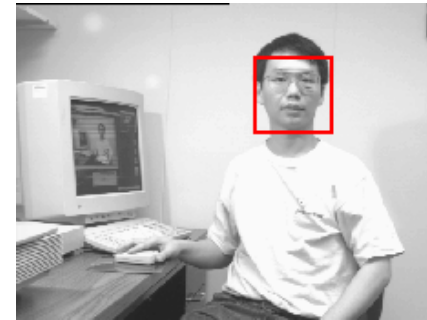
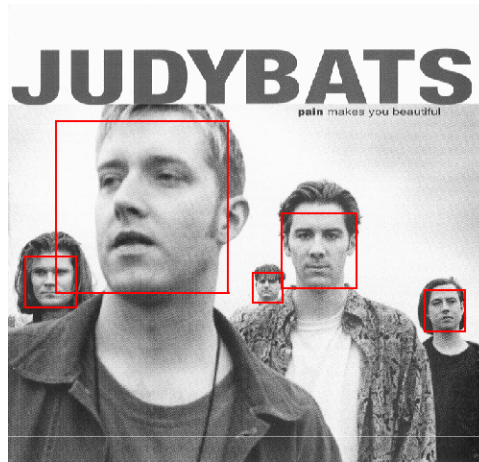
- Training Data
 - 5000 faces
 - All frontal, rescaled to 24x24 pixels
 - 300 million non-faces
 - 9500 non-face images
 - Faces are normalized
 - Scale, translation
- Many variations
 - Across individuals
 - Illumination
 - Pose



System performance

- Training time: “weeks” on 466 MHz Sun workstation
- 38 layers, total of 6061 features
- Average of 10 features evaluated per window on test set
- “On a 700 Mhz Pentium III processor, the face detector can process a 384 by 288 pixel image in about .067 seconds”

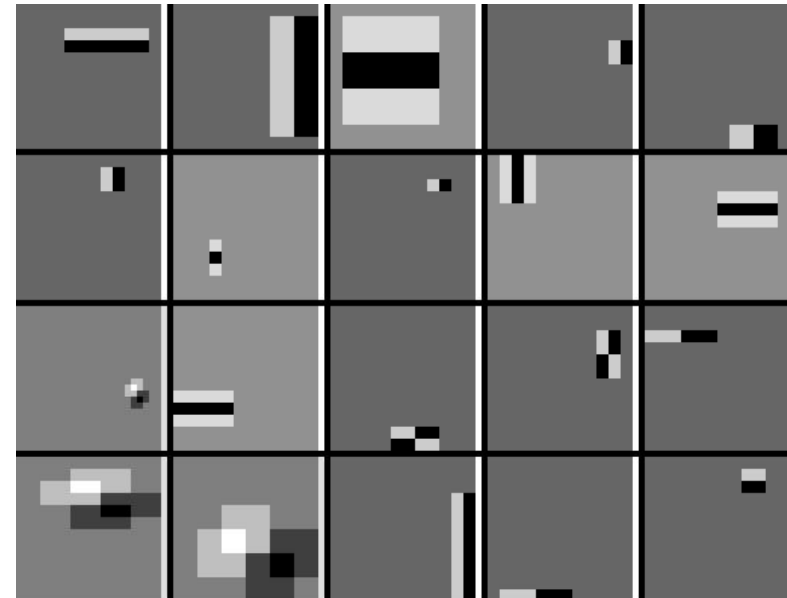
Output of Face Detector on Test Images



Profile Detection



Profile Features



Summary: Viola/Jones detector

- Rectangle features
- Integral images for fast computation
- Boosting for feature selection
- Attentional cascade for fast rejection of negative windows
- Available in open CV

Face detection & recognition

- Viola & Jones detector
- Face recognition
 - *Eigenfaces for face recognition*
 - Metric learning identification

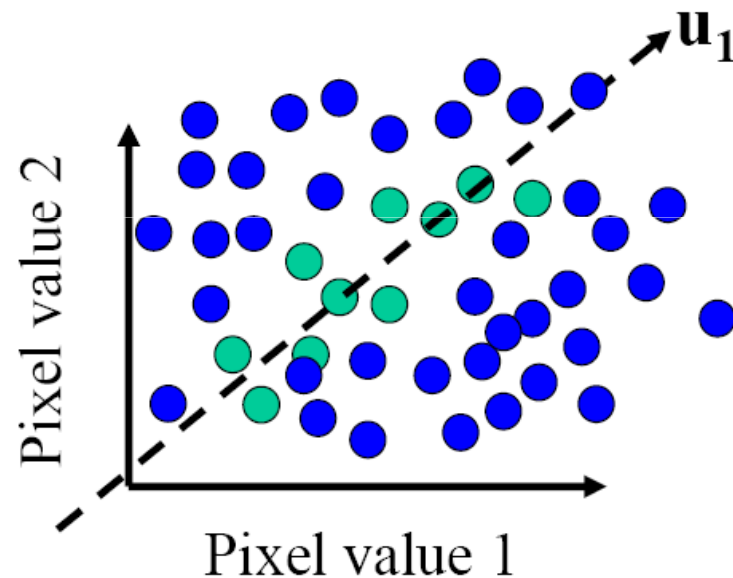
The space of all face images

- When viewed as vectors of pixel values, face images are extremely high-dimensional
 - 100x100 image = 10,000 dimensions
- However, relatively few 10,000-dimensional vectors correspond to valid face images
- We want to effectively model the subspace of face images



The space of all face images

- We want to construct a low-dimensional linear subspace that best explains the variation in the set of face images



- A face image
- A (non-face) image

Principal Component Analysis

- Given: N data points $\mathbf{x}_1, \dots, \mathbf{x}_N$ in \mathbb{R}^d
- We want to find a new set of features that are linear combinations of original ones:

$$u(\mathbf{x}_i) = \mathbf{u}^T(\mathbf{x}_i - \boldsymbol{\mu})$$

($\boldsymbol{\mu}$: mean of data points)

- What unit vector \mathbf{u} in \mathbb{R}^d captures the most variance of the data?

Principal component analysis

- The direction that captures the maximum covariance of the data is the eigenvector corresponding to the largest eigenvalue of the data covariance matrix
- Furthermore, the top k orthogonal directions that capture the most variance of the data are the k eigenvectors corresponding to the k largest eigenvalues

Eigenfaces: Key idea

- Assume that most face images lie on a low-dimensional subspace determined by the first k ($k < d$) directions of maximum variance
- Use PCA to determine the vectors or “eigenfaces” $\mathbf{u}_1, \dots, \mathbf{u}_k$ that span that subspace
- Represent all face images in the dataset as linear combinations of eigenfaces

Eigenfaces example

Training
images

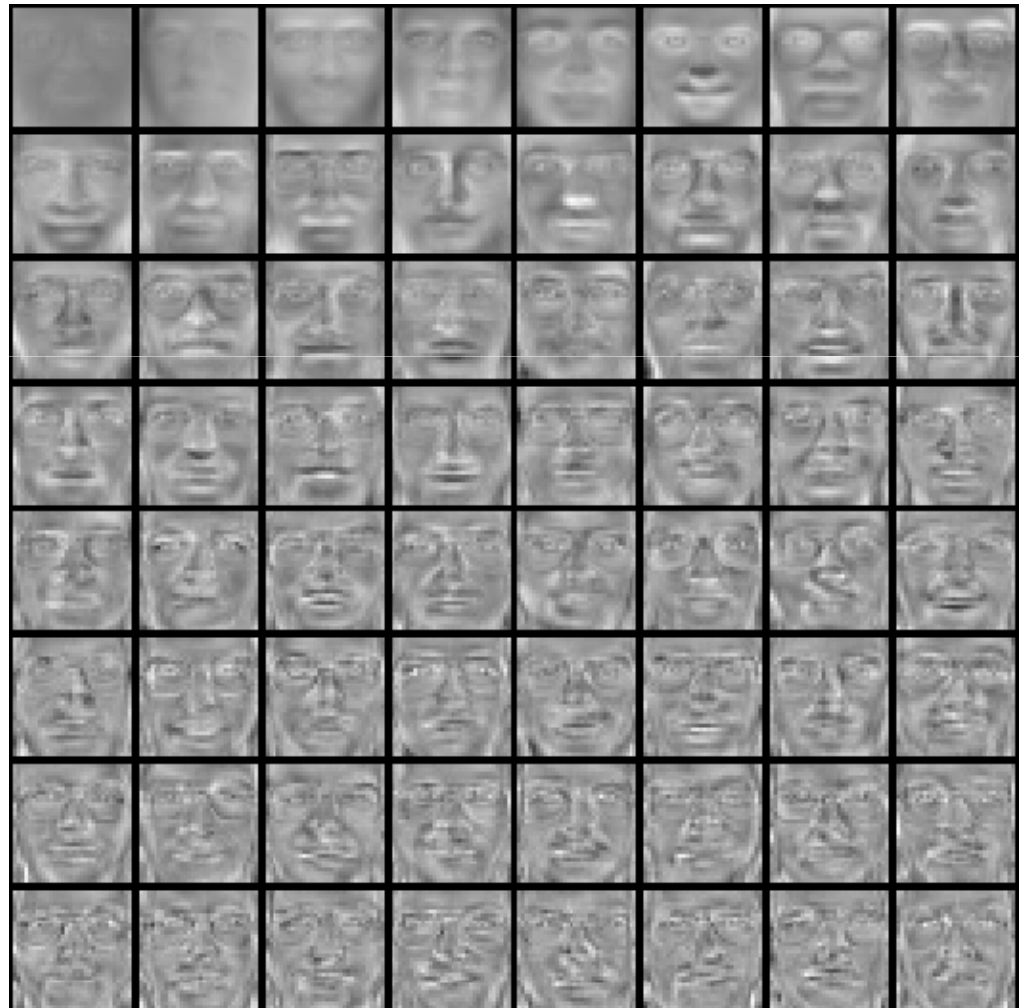
$\mathbf{x}_1, \dots, \mathbf{x}_N$



Eigenfaces example

Top eigenvectors: $\mathbf{u}_1, \dots, \mathbf{u}_k$

Mean: μ



Eigenfaces example

- Face \mathbf{x} in “face space” coordinates:



$$\begin{aligned}\mathbf{x} &\longrightarrow [\mathbf{u}_1^T (\mathbf{x} - \mu), \dots, \mathbf{u}_k^T (\mathbf{x} - \mu)] \\ &= w_1, \dots, w_k\end{aligned}$$

- Reconstruction:



=



+



$\hat{\mathbf{x}}$

=

μ

+

$w_1 \mathbf{u}_1 + w_2 \mathbf{u}_2 + w_3 \mathbf{u}_3 + w_4 \mathbf{u}_4 + \dots$

Recognition with eigenfaces

Process labeled training images:

- Find mean $\boldsymbol{\mu}$ and covariance matrix $\boldsymbol{\Sigma}$
- Find k principal components (eigenvectors of $\boldsymbol{\Sigma}$) $\mathbf{u}_1, \dots, \mathbf{u}_k$
- Project each training image \mathbf{x}_i onto subspace spanned by principal components:
$$(w_{i1}, \dots, w_{ik}) = (\mathbf{u}_1^T(\mathbf{x}_i - \boldsymbol{\mu}), \dots, \mathbf{u}_k^T(\mathbf{x}_i - \boldsymbol{\mu}))$$

Given novel image \mathbf{x} :

- Project onto subspace:
$$(w_1, \dots, w_k) = (\mathbf{u}_1^T(\mathbf{x} - \boldsymbol{\mu}), \dots, \mathbf{u}_k^T(\mathbf{x} - \boldsymbol{\mu}))$$
- Classify as closest training face in k -dimensional subspace

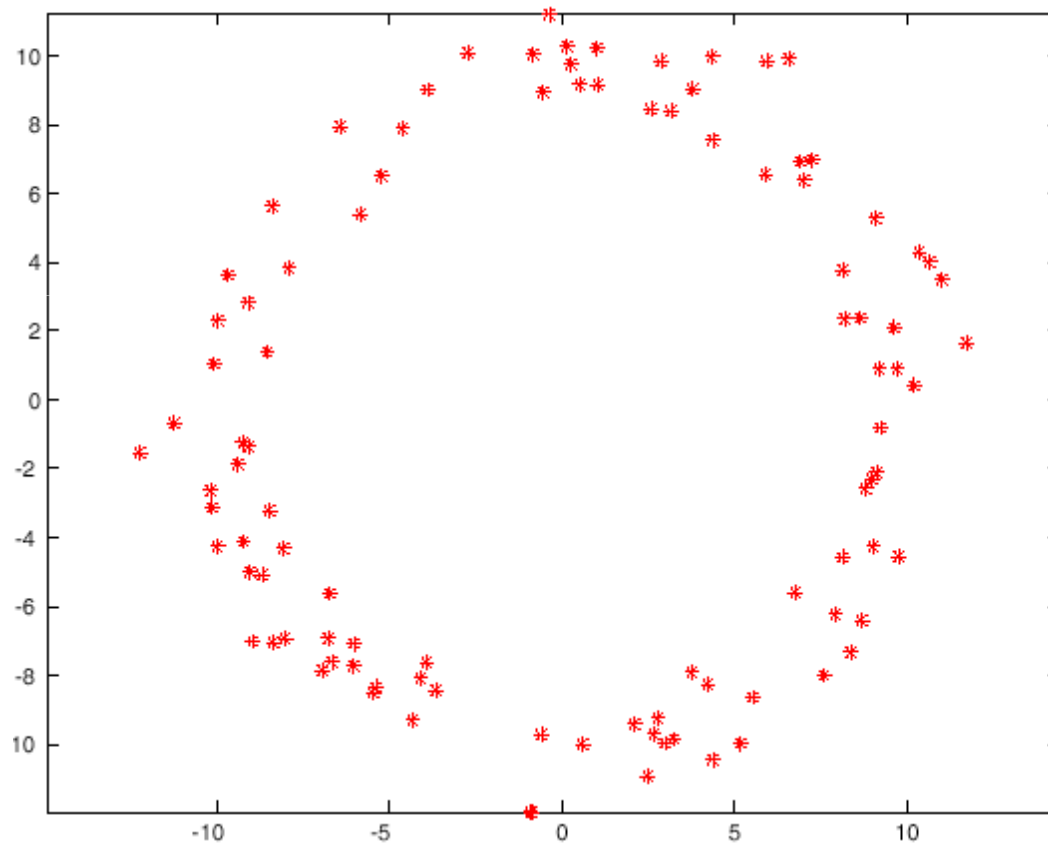
Limitations

- Global appearance method: not robust to misalignment, background variation



Limitations

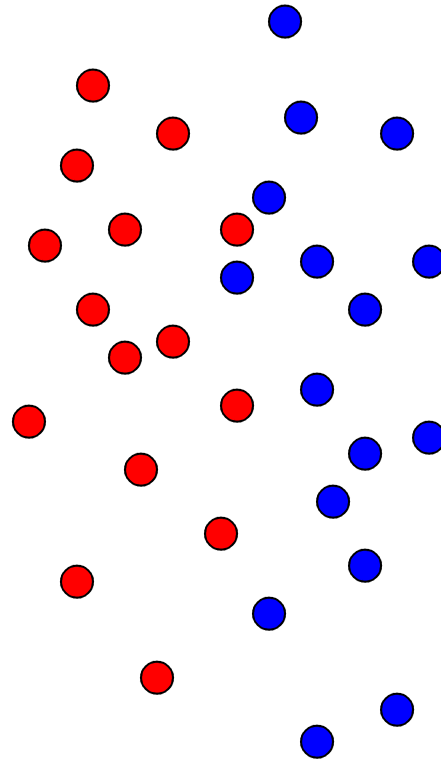
- PCA assumes that the data has a Gaussian distribution (mean μ , covariance matrix Σ)



The shape of this dataset is not well described by its principal components

Limitations

- The direction of maximum variance is not always good for classification



Face detection & recognition

- Viola & Jones detector
 - Available in open CV
- Face recognition
 - Eigenfaces for face recognition
 - *Metric learning for face identification*

Learning metrics for face identification

- Are these two faces of the same person?



- Challenges:
 - pose, scale, lighting, ...
 - expression, occlusion, hairstyle, ...
 - generalization to people not seen during training

Metric Learning

- **Most common form of learned metrics are Mahalanobis**

$$d_M(x, y) = (x - y)^T M (x - y)$$

- **M is a positive definite matrix**
- **Generalization of Euclidean metric (setting $M=I$)**
- **Corresponds to Euclidean metric after linear transformation of the data**

$$d_M(x, y) = (x - y)^T M (x - y) = (x - y)^T L^T L (x - y) = d_{L_2}(Lx, Ly)$$

Logistic Discriminant Metric Learning

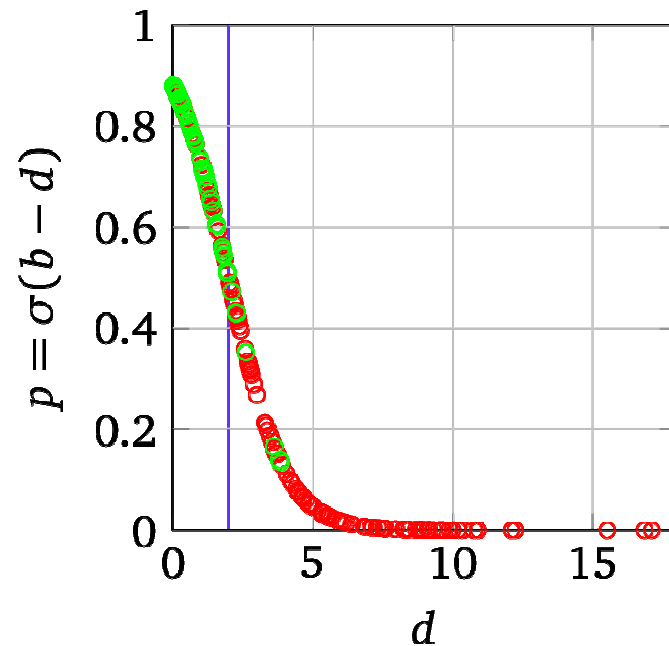
- Classify pairs of faces based on distance between descriptors

$$d_M(x, y) = (x - y)^T M (x - y)$$

- Use sigmoid to map distance to class probability

$$p(y_{ij} = +1) = \sigma(b - d_M(x_i, x_j))$$

$$\sigma(z) = (1 + \exp(-z))^{-1}$$



Logistic Discriminant Metric Learning

- Mahalanobis distance linear in elements of M

$$\begin{aligned}d_M(x, y) &= (x - y)^T M (x - y) \\ &= z^T M z = \sum_{i,j} z_i z_j M_{ij}\end{aligned}$$

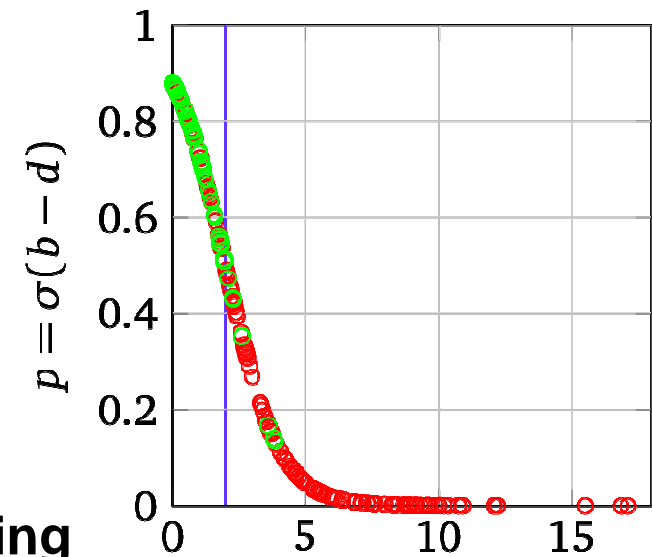
$$p(y_{ij} = +1) = \sigma(b - d_M(x_i, x_j))$$

- Linear logistic discriminant model

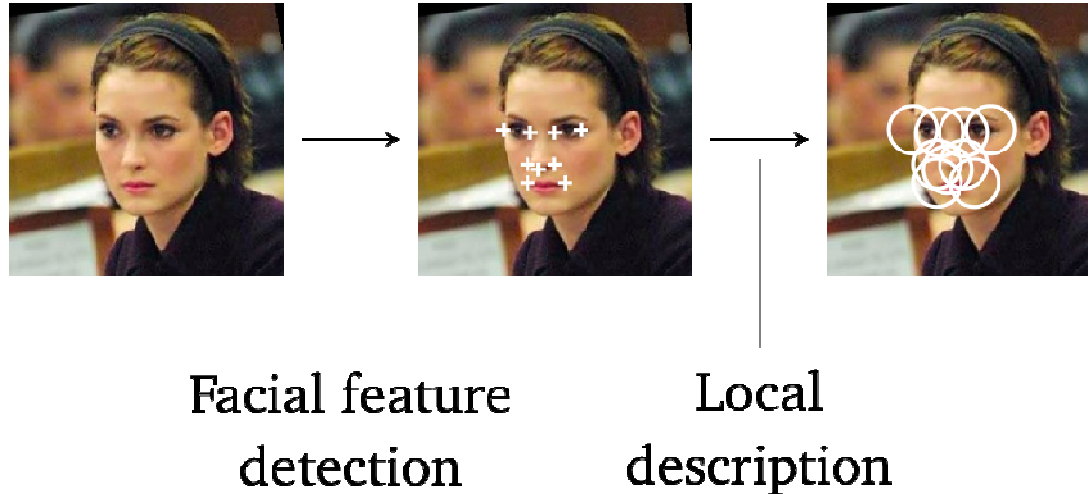
- Distance is linear in elements of M
- Learn maximum likelihood M and b

- Can use low-rank $M = L^T L$ to avoid overfitting

- Loses convexity of cost function, effective in practice^d



Feature extraction process



- **Detection of 9 facial features** [Everingham et al. 2006]
 - using both appearance and relative position
 - using the constellation mode
 - leads to some pose invariance
- **Each facial features described using SIFT descriptors**

Feature extraction process



- Detection of 9 facial features
- Each facial features described using SIFT descriptors at 3 scales
- Concatenate 3x9 SIFTs into a vector of dimensionality 3456

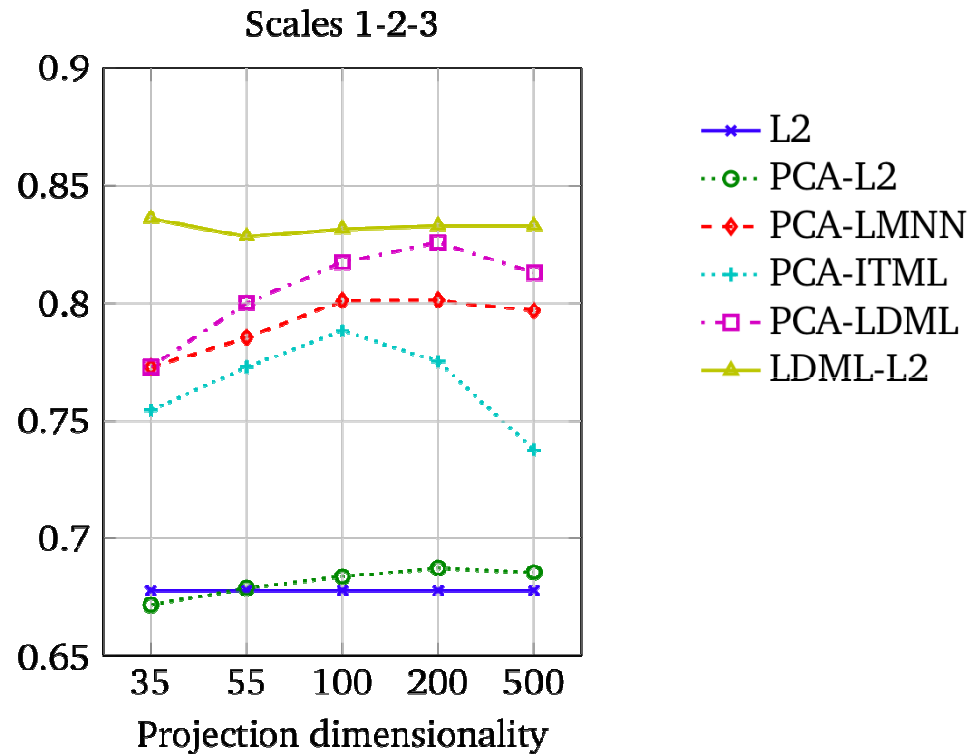
Labelled Faces in the Wild data set



- Contains 12.233 faces of 5749 different people (1680 appear twice or more)
- Realistic intra-person variability
- Detections from Viola & Jones detector, false detections removed
- Pairs used in test are of people not in the training set

Experimental Results

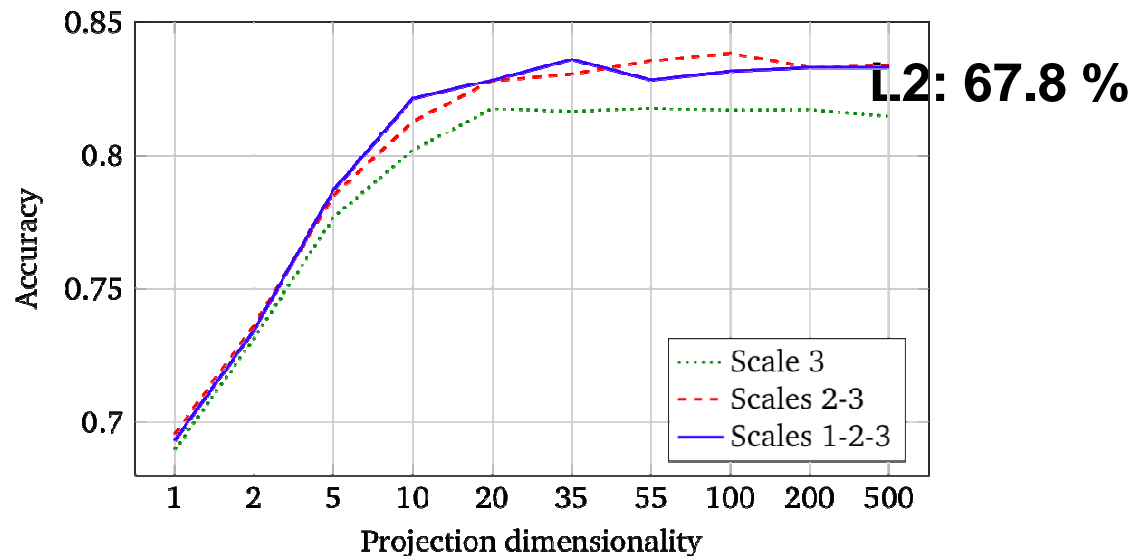
- Various metric learning algorithms on SIFT representation



- Significant increases in performance when learning the metric
- Low-rank metric needs less dimensions than PCA to learn good metric

Experimental Results

- Low-rank LDML metrics using various scales of SIFT descriptor

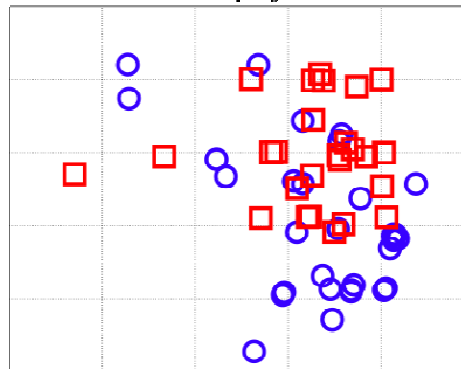


- Surprisingly good performance using very few dimensions
- 20 dimensional descriptor instead of 3456 dim. concatenated SIFT just from linear combinations of the SIFT histogram bins

Comparing projections of LDML and PCA

- Using PCA and LDML to find two dimensional projection of the faces of **Britney Spears** and **Jennifer Aniston**

2D PCA projection



2D LDML projection

