

# TD: Approximation Algorithms

Algorithmique et Programmation

Nicolas Schabanel

nicolas.schabanel@cnrs.fr

Pierre Senellart

pierre.senellart@ens.fr

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The goal of this exercise session is to get familiar with techniques to construct approximation algorithms and to analyze approximation ratios.

## 1 Randomized Approximation of Max $k$ -Cut

A  $k$ -cut of an undirected graph  $G = (V, E)$  is a partition of the vertices into  $k$  disjoint sets  $V_1, \dots, V_k$  such that  $V = V_1 \cup \dots \cup V_k$ . For two disjoint sets of vertices  $A, B \subseteq V$ , we denote by  $E(A, B) := \{\{u, v\} \in E \mid u \in A \wedge v \in B\}$  the set of edges between  $A$  and  $B$ . We define the *size* of the  $k$ -cut as  $\text{size}(V_1, \dots, V_k) = \sum_{i < j} |E(V_i, V_j)|$ . The Max  $k$ -Cut problem consists in finding a  $k$ -cut of *maximum* size.

- 1a. Propose a simple *randomized* linear-time  $(1 - 1/k)$ -approximation algorithm  $A$  for Max  $k$ -Cut, i.e., an algorithm that makes random choices and such that

$$\mathbb{E}[\text{size}(A(G))] \geq (1 - 1/k) \text{OPT}(G)$$

for every graph  $G$ , where  $A(G)$  and  $\text{OPT}(G)$  denote respectively the  $k$ -cut output by  $A$  and the size of an optimal  $k$ -cut of  $G$ .

Give a proof for the approximation ratio.

- 1b. Exhibit a family of graphs for which the ratio  $\frac{\mathbb{E}[\text{size}(A(G))]}{\text{OPT}(G)}$  is exactly  $1 - 1/k$ .

## 2 Coloring 3-Colorable Graphs

Consider the following problem: Given an undirected graph  $G = (V, E)$ , color its vertices with the minimum number of colors so that the two endpoints of each edge receive distinct colors.

- 2a. Give a greedy algorithm for coloring  $G$  with  $\Delta + 1$  colors, where  $\Delta$  is the maximum degree of a vertex in  $G$ . What is the complexity of this algorithm?

2b. Give an algorithm for coloring a 3-colorable graph with  $O(\sqrt{n})$  colors, where  $n$  is the number of vertices in the graph.

*Hint: Prove that for any vertex  $v$ , the induced subgraph on its neighbors is bipartite. How many colors do you need to color a vertex of degree  $> \sqrt{n}$  and its neighborhood? How many colors do you need to color all the vertices with degree  $\leq \sqrt{n}$ ?*

### 3 Set Cover

Consider a set  $X$  of  $n$  elements and a family  $\mathcal{S} = \{S_1, \dots, S_m\}$  of  $m$  subsets of  $X$  such that  $\bigcup_{S \in \mathcal{S}} S = X$ . The Set Cover problem is to find a subset  $\mathcal{S}'$  of  $\mathcal{S}$  of minimal cardinality such that  $X = \bigcup_{S_i \in \mathcal{S}'} S_i$ .

3a. Propose a greedy algorithm for Set Cover. What is its complexity?

3b. Without loss of generality, we assume that the greedy algorithm chooses, in order, the sets  $S_1, \dots, S_p$ . For  $x \in X$ , let  $c_x := \frac{1}{|S_i \setminus (S_1 \cup \dots \cup S_{i-1})|}$  where  $i = \min\{1 \leq j \leq p \mid x \in S_j\}$ . Intuitively, we consider that each time the greedy algorithm adds a set  $S_i$  to its cover, it adds a cost of 1 and distributes this cost uniformly as a cost  $c_x$  for every  $x \in X$  that was not yet covered.

Fix a set  $S \in \mathcal{S}$ . Let:

$$\begin{aligned} u_0 &= |S| \\ u_1 &= |S \setminus S_1| \\ &\dots \\ u_i &= |S \setminus (S_1 \cup \dots \cup S_i)| \\ &\dots \end{aligned}$$

Let  $k$  be the minimum index such that  $u_k = 0$ . We denote the harmonic series as  $H(i) := \sum_{j=1}^i \frac{1}{j}$ . By using the  $u_i$ 's, show that  $\sum_{x \in S} c_x \leq H(|S|)$ .

3c. Show that the greedy algorithm is a  $H(\max_{S_i \in \mathcal{S}} |S_i|)$ -approximation algorithm for Set Cover.

3d. Deduce the existence of a polynomial-time  $O(\log n)$ -approximation algorithm for Set Cover.

### 4 Metric $k$ -Center

Consider the Metric  $k$ -Center problem: Let  $G = (V, E)$  be a complete undirected graph with  $n$  vertices and  $m = \frac{n(n-1)}{2}$  edges, and edge costs  $w$  satisfying the triangle inequality. Given  $k$  a positive integer, let us define for each vertex  $v \in V$  and each vertex set  $S \subseteq V$ ,  $\text{connect}(v, S)$  to be the cost of the cheapest edge from  $v$  to a vertex in  $S$  ( $\text{connect}(v, S) = 0$  if  $v \in S$ ). The goal is to find a set  $S \subseteq V$ , with  $|S| = k$ , so as to minimize  $\max_v \{\text{connect}(v, S)\}$ ,

that is to say minimizing the maximum distance from any vertex to  $S$ . Let  $\text{OPT}$  denote the optimum cost of the  $k$ -center.

Note that if the cost of the optimal solution is  $t$ , then one need only consider edges with weight  $\leq t$ . To exploit this idea, we sort the edges in non-decreasing cost order:  $w(e_1) \leq \dots \leq w(e_m)$ . We then introduce for  $1 \leq i \leq m$  the graph  $G_i = (V, E_i)$  where  $E_i = \{e_1, \dots, e_i\}$ .

We say that a set of vertices  $D \subseteq V$  is a *dominant* of a graph  $H = (V, F)$  if for all  $u \in V$ ,  $u \notin D \Rightarrow (\exists v \in D) \{u, v\} \in F$ . We denote by  $\text{dom}(H)$  the minimum size of a dominant set in  $H$ :  $\text{dom}(H) := \min\{|D| \text{ such that } D \text{ is a dominant set of } H\}$ .

4a. Let  $i^* := \min\{i \mid \text{dom}(G_i) \leq k\}$ . Show that  $\text{OPT} = w(e_{i^*})$ .

4b. Given a graph  $H = (V, F)$ , the *square graph*  $H^2$  of  $H$  is the graph that contains an edge  $\{u, v\}$  for all pair of vertices  $u$  and  $v$  connected by a path of at most 2 edges in  $H$ . We say that a set of vertices  $I \subseteq V$  is *independent* if for all  $u, v \in I$ ,  $\{u, v\} \notin F$ . An independent set  $I$  is said *maximal* if for all  $u \in V \setminus I$ ,  $I \cup \{u\}$  is not independent.

Propose an efficient algorithm to compute a maximal independent set of a graph  $H$ . What is its complexity?

4c. Independent set will allow us to compute a lower bound on  $\text{OPT}$  as follows: Show that for all graph  $H$  and all independent set  $I$  in  $H^2$ ,  $|I| \leq \text{dom}(H)$ .

*Hint: What is the square of a star graph?*

4d. Let us now consider Algorithm 1.

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**Algorithm 1** A 2-approximation for Metric  $k$ -Center

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- 1: Compute  $G_1^2, G_2^2, \dots, G_m^2$
  - 2: Compute a maximal independent set  $I_i$  in each  $G_i^2$ .
  - 3:  $j \leftarrow \min\{i \mid |I_i| \leq k\}$ .
  - 4: Output  $I_j$ .
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What is its complexity?

4e. Show that Algorithm 1 is a 2-approximation for Metric  $k$ -Center.

4f. Exhibit a family of tight instances.