# Mixing Signals and Modes in Synchronous Data-flow Systems 

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## Designing Mixed Systems

Data dominated Systems: continuous and sampled systems, block-diagram formalisms, data-flow equations
$\hookrightarrow$ Simulation tools: Simulink, etc.
$\hookrightarrow$ Programming languages: SCADE/Lustre, Signal, etc.

Control dominated systems: transition systems, event-driven systems, Finite State Machine formalisms, signal emission and testing
$\hookrightarrow$ StateFlow, StateCharts
$\hookrightarrow$ SyncCharts, Argos, Esterel, etc.

## What about mixed systems?

- most systems are a mix of the two kinds: systems have "modes"
- each mode is a big control law, naturally described as data-flow equations
- a control part switching these modes and naturally described by a FSM


## Traditional Approaches: linking mechanisms

- two (or more) specific languages: one for data-flow and one for control-flow
- "linking" mechanism. A sequential system is more or less represented as a pair:
- a transition function $f: S \times I \rightarrow O \times S$
- an initial memory $M_{0}: S$
- agree on a common representation and add some glue code
- this is provided in most academic and industrial tools
- PtolemyII, Simulink + StateFlow, SCADE + Esterel Studio SSM, etc.

An example: the Cruise Control (SCADE V4.2)


## Observations

- automata can only appear at the leaves of the data-flow model
- forces the programmer to make decisions at the very beginning of the design (what is the good methodology?)
- the control structure is not explicit and hidden in boolean values: nothing indicate that modes are exclusive
- what is the semantics of the whole?
- code certification (to meet avionic constraints)?
- efficiency/simplicity of the code?
- how to exploit this information for program analysis and verification tools?

Can we provide a finer integration of both styles inside a unique language?

## Extending Synchronous Data-flow with Automata [EMSOFT05]

Basis

- Mode-Automata by Maraninchi \& Rémond [ESOP98, SCP03]
- SignalGTI (Rutten [EuroMicro95] and Lucid Synchrone V2 (Hamon \& Pouzet [PPDP00, SLAP04])


## Proposal

- extend a basic clocked calculus (SCADE/Lustre) with automata constructions
- base it on a translation semantics into well clocked programs; gives both the semantics and the compilation method

Two implementations

- Lucid Synchrone language and compiler
- ReLuC compiler of SCADE at Esterel-Technologies; the basis of SCADE V6 (released in summer 2007)

The Cruise Control with SCADE 6


## Semantic principles

- only one set of equations is executed during a reaction
- two kinds of transitions: Weak delayed ("until") or Strong ("unless")

- both can be "by history" (H* in UML) or not (if not, both the SSM and the data-flow in the target state are reseted
- at most one strong transition followed by a weak transition can be fired during a reaction
- at every instant:
- what is the current active state?
- execute the corresponding set of equations
- what is the next state?
- forbids arbitrary long state traversal, simplifies program analysis, better generated code


## New questions and extensions

A more direct semantics

- the translation semantics is good for compilation but...
- can we define a more "direct" semantics which expresses how the program reacts?
- we introduce a logical reaction semantics

Further extensions

- can we go further in closing the gap between synchronous data-flow and imperative formalisms?
- Parameterized State Machines: this provides a way to pass local information between two states without interfering with the rest of the code
- Valued Signals: these are events tagged with values as found in Esterel and provide an alternative to regular flows when programming control-dominated systems


## Parameterized State Machines

- it is often necessary to communicate values between two states upon taking a transition
- e.g., a setup state communicate initialization values to a run state

- can we provide a safe mechanism to communicate values between two states?
- without interfering with the rest of the automaton, i.e.,
- without relying on global shared variables (and imperative modifications) in states nor transitions?


## Parameterized states:

- states can be Parameterized by initial values which can be used in turn in the target automaton
- preserves all the properties of the basic automata


## A typical example

several modes of normal execution and a failure mode which needs some contextual information

```
let node controller in1 in2 = out where
    automaton
    | State1 ->
        do out = f (in1, in2)
        until (out > 10) then State2
        until (in2 = 0) then Fail_safe(1, 0)
    | State2 ->
        let rec x = 0 -> (pre x) + 1 in
        do out = g (in1,x)
        until (out > 1000) then Fail_safe(2, x)
    | Fail_safe(error_code, resume_after) ->
        let rec
            resume = resume_after -> (pre resume) - 1 in
            do out = if (error_code = 1) then 0
                else 1000
            until (resume <= 0) then State2
    end
```


## Parameterized states vs global modifications on transitions

## Is all that useful?

- expressiveness? every parameterized state machine can be programmed with regular state machines using global shared flows
- efficiency? depends on the program and code-generator (though parameters only need local memory and are not all alive at the same time)


## But this is bad!

- who is still using global shared variables to pass parameters to a function in a general-purpose language?
- passing this information through shared memory would mean having global shared variables to hold it
- they would receive meaningless values during normal execution and be set on the transition itself
- this breaks locality, modularity principles and is error-prone
- making sure that all such variables are set correctly before being use is not trivial


## Parameterized states

- we want the language to provides a safer way to pass local information
- complementary to global shared variables and do not replace them
- keep the communication between two states local without interfering with the rest of the automaton
- do not raise initialization problems
- reminiscent to continuation passing style (in functional programming)
- yet, we provide the same compilation techniques (and properties) as in the case of unparameterized state machines (initialization analysis, causality, type and clocks)


## Example (encoding Mealy machines)

- reduces the need to have equations on transitions
- adding equations on transitions is feasible but make the model awfully complicated


```
automaton
    | S(v) -> do o = v unless c1 then T1(o1)
    unless on then Tn(on)
end
```


## Valued Signals and Signal Pattern Matching

- in a control structure (e.g., automaton), every shared flow must have a value at every instant
- if an equation for x is missing, it keeps implicitly its last value (i.e., $\mathrm{x}=$ last x is added)
- how to talk about absent value? If x is not produced, we want it to be absent
- in imperative formalisms (e.g., Esterel), an event is present if it is explicitly emitted and considered absent otherwise
- can we provide a simple way to achieve the same in the context of data-flow programming?


## An example

A part of the Milner coffee machine...
let node vend drink cost $\mathrm{v}=(\mathrm{o}, \mathrm{o}$ ) where

```
match v >= cost with
            true ->
            do emit o1 = drink
            and o2 = v - cost
            done
        | false ->
            do o2 = v done
    end
```

- o2 is a regular flow which has a value in every branch
- o1 is only emitted when (v >= cost) and is supposed to be absent otherwise; we call it a signal


## Accessing the value of a valued signal

- the value of a signal is the one which is emitted during the reaction
- what is the value in case where no value is emitted?
- Esterel: keeps the last computed value (i.e., implicitly complement the value with a register)
emit $S\binom{$ P }{ + }
this may be unsafe and raise initialization problems: what is the value if it has never been emitted?
- need extra methodology development rules (e.g., guarding every access by a test for presence)
present A then ... emit $S(? A+1)$...
Propose a programming construct reminiscent to pattern matching and which forbid the access to a signal which is not emitted


## Signal pattern matching

- a pattern-matching construct testing the presence of valued signals and accessing their content
- a block structure and only present value can be accessed
let node sum x y = o where present
| $x(v) \& y(w)->$ do emit $o=v+w d o n e$
| x(v1) -> do emit o = v1 done
| y(v2) -> do emit o = v2 done
| _ -> do done
end


## The Recursive Buffer

```
type 'a option = None | Some of 'a
let node count n = ok where
    rec o = 0 -> (pre o + 1) mod n
    and ok = false -> o = 0
(* the 1-buffer with bypass *)
let node buffer1 push pop = o where
    rec last memo = None
    and match last memo with
        None ->
            do present
                    push(v) & pop() -> do emit o = v done
                    push(v) -> do memo = Some(v) done
                end done
        Some(v) ->
            do present
                push(w) -> do emit o = v and memo = Some(w) done
            | pop() -> do emit o = v and memo = None done
            end done
```

    end
    A $n$-buffer can be build by putting $n$ buffers of size one in parallel (* the recursive buffer *)
let rec node buffer n push pop $=0$ where
match n with
$0->$
do $o=$ push done
| n ->
let pusho = buffer1 push pop in
do
$o=$ buffer ( $n-1$ ) pusho pop done
end

## Signals vs clocked streams

- in control structures, an absent definition for x is implicitly completed with an equation $\mathrm{x}=$ last x
- this means that we need a memory to keep the value of last x
- signals are thus intrinsically more efficient: no memory is needed. x is absent if nothing defines x


## Is all that useful?

- signals already exist in synchronous data-flow: we have clocks!
- a signal is a flow which is present from time to time with a particular clock
- ask a lot for a compiler (and even the user).
- we need full dependent types here (the clock of x must keep the control information defining the instant where x is emitted)
- can we rely on more modest (but safe) mechanism while keeping the philosophy of the basic language?


## Signals as existential types

let node sum x y = o where
present

$$
\text { | } x(v) \& y(w)->\text { do emit } o=v+w \text { done }
$$

$$
\text { | x(v1) -> do emit } o=v 1 \text { done }
$$

$$
\text { | y(v2) -> do emit } 0=\text { v2 done }
$$

| _ -> do done
end

- 0 is partially defined and should have clock $c k$ on $(? x \wedge ? y) \vee ? x \vee ? y$ if $x$ and $y$ are themselves on clock $c k$
- giving it the existential type $\Sigma(c: c k) . c k$ on $c$, that is, "exists $c$ on clock $c k$ such that the result is on clock $c k$ on $c$ is a correct abstraction


## Signals as Existential Types

Clock type of a signal: a pair $c k$ sig $=\Sigma(c: c k) . c k$ on $c$ made of:

- a (hidden) boolean sequence $c$ which is itself on clock type $c k$
- a sequence sampled on $c$, that is, with clock type $c k$ on $c$

The flow is boxed with its presence information

- this is a restriction compared to what can provide a synchronous data-flow language equipped with a powerful clock calculus
- but this is the way Esterel valued signal are implemented
- mimics the constraints in Lustre to return the clock of a sampled stream

Clock verification (and inference) only need modest techniques

- box/unbox mechanisms of a Milner type system + extension by Laufer \& Odersky for abstract data-types

$$
H \vdash e: c k \text { on } c
$$

$$
H \vdash \operatorname{emit} x=e:[x: c k \text { sig }]
$$

## Translation Semantics

- parameterized state machines and signals can be combined in an arbitrary way
- a translation semantics of the extension into a basic language


## Example

let node $\operatorname{sum}(a, b, r)=o$ where
automaton
| Await -> do unless $a(x) \& b(y)$ then Emit $(x+y)$
| Emit $(v)$-> do emit $o=v$ unless $r$ then Await

- a signal of type $t$ is represented by a pair of type bool $\times t$
- nil stands for any value with the right type (think of a local stack allocated variable
let node $\operatorname{sum}(a, b, r)=o$ where match pnextstate with

$$
\begin{aligned}
\text { | Await } \rightarrow> & \text { match }(a, b) \text { with } \\
& \text { | }((\operatorname{True}, x),(\text { True }, x)) \rightarrow \text { state }=\operatorname{Emit}(x+y) \\
& \text { | }->\text { state }=\text { Await }
\end{aligned}
$$

$\mid \operatorname{Emit}(v) \rightarrow$ match $r$ with

$$
\begin{aligned}
& \text { | true -> state = Await } \\
& \text { | false -> state = Emit }(v)
\end{aligned}
$$

and
match state with
| Await $->o=($ False, nil $)$ and nextstate $=$ Await
$\mid \operatorname{Emit}(v)->o=(T r u e, n i l)$ and nextstate $=\operatorname{Emit}(v)$
and
pnextstate $=$ Await $->$ pre nextstate

## Conclusion

## Automata and control structures

- an extension of a data-flow language with control structures
- various kinds of transitions, yet quite simple
- two semantics: a translation semantics and a logical semantics

Extensions: parameterised states and signals

- transmit local information between states
- signals as a light way to abstract the clock of a flow
- both features combine well
- light to implement in a translation-based compiler
- try it! (www.lri.fr/~pouzet/lucid-synchrone)

