# RSA hybrid encryption schemes 

Louis Granboulan*<br>École Normale Supérieure<br>Louis. Granboulan@ens.fr


#### Abstract

This document compares the two published RSA-based hybrid encryption schemes having linear reduction in their security proof: RSA-KEM with DEM1 and RSA-REACT. While the performance of RSA-REACT is worse than the performance of RSA-KEM+DEM1, a complete proof of its security has already been published. This is indeed an advantage, because we show that the security result for RSAKEM + DEM1 has a small hole. We provide here a complete proof ${ }^{1}$ of the security of RSA-KEM+DEM1. We also propose some changes to RSA-REACT to improve its efficiency without changing its security, and conclude that this new RSA-REACT is a generalisation of RSAKEM + DEM1, with at most the same security, and with possibly worse performance. Therefore we show that RSA-KEM + DEM1 should be preferred to RSAREACT.


## 1 Motivations

Building a secure asymmetric encryption scheme is one of the main goals of public key cryptography. There have been many proposals, some of them have been provided with proofs of security. The recent discoveries about the security of OAEP show that most proofs are subtle and need to be checked in details.
The numerous studies made on the RSA trapdoor one-way function and its good reputation in the industry makes it probably the most suited basis for building a secure asymmetric encryption scheme that could be widely disseminated as a standard.
This document makes an extensive comparison of RSA-REACT and RSAKEM + DEM1. It is part of the open evaluation of cryptographic primitives done by the NESSIE consortium.

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## 2 First assumptions

### 2.1 Exponent 3 RSA

Generic considerations showing that an exponent $e$ RSA problem can be solved if a proportion $1-1$ / $e$ of the input is known show that exponent 3 RSA should not be used if the padding can be insecure.
Moreover, extracting a cubic root is less likely to be equivalent to the factorisation than the generic RSA problem.
For these two reasons, we would not recommend a standard that does not allow greater public exponent than 3 .

### 2.2 Hybrid encryption

There exist schemes that allow to encrypt with RSA without the need of a symmetric cipher (OAEP [2], OAEP+ [9] and SAEP+ [4]). They still need a symmetric primitive, based on a hash function, which is modelled as a random oracle. They have inefficient reductions in their security proofs if the public exponent is greater than 3 . They can only encrypt messages significantly smaller than the RSA modulus and the encrypted message has the length of the RSA modulus. ${ }^{2}$
We will focus on hybrid encryption. The main disadvantage of hybrid encryption is that the ciphertext length is bigger than for direct encryption. The great advantage is that the security proof is efficient even for large public exponents.
Two RSA-based schemes fulfill these requirements : RSA-KEM+DEM1 and RSA-REACT.

## 3 Description of RSA-KEM+DEM1 and RSA-REACT

The public key is an integer $n$ of unknown factorisation and a public exponent $e$. The private key is the exponent $d=e^{-1} \bmod \phi(n)$. Usually, $n=p q$ with $p$ and $q$ of similar size, but these schemes can be extended to the cases where $n$ is a product of three or more primes of similar size.

### 3.1 RSA-KEM+DEM1

This scheme is completely described in Shoup's ISO proposal [10]. Its parameters are two functions: $K D F:\{0 \ldots n-1\} \rightarrow\{0,1\}^{s+l}$ and $M A C$ :

[^1]$\{0,1\}^{l} \times\{0,1\}^{*} \rightarrow\{0,1\}^{k}$ and a symmetric encryption scheme $S K E=$ ( $S E_{K}, S D_{K}$ ) of keylength $s$. Usually $l=s$.
The function $K D F$ should be an entropy smoothing function and is modelled as a random oracle. The function MAC should be a one-time message authentication code.

## Encryption:

$\quad \operatorname{input}(m)$
$r \leftarrow$ random $\{0 \ldots n-1\}$
$\left(y, K \| K^{\prime}\right) \leftarrow\left(r^{e} \bmod n, K D F(r)\right)$
$c \leftarrow S E_{K}(m)$
$t \leftarrow M A C_{K^{\prime}}(c)$
$\quad \quad \operatorname{output}(y, c, t)$

## Decryption:

$$
\begin{aligned}
& \quad \operatorname{input}(y, c, t) \\
& r \leftarrow y^{d} \bmod n \\
& K \| K^{\prime} \leftarrow K D F(r) \\
& \text { reject if } t \neq M A C_{K^{\prime}}(c) \\
& m \leftarrow S D_{K}(c) \\
& \quad \quad \text { output }(m)
\end{aligned}
$$

### 3.2 RSA-REACT

This scheme is completely described in Okamoto and Pointcheval's papers $[7,8]$. Its parameters are two functions: $K D F:\{0 \ldots n-1\} \rightarrow\{0,1\}^{s}$ and $H:\{0 \ldots n-1\} \times\{0 \ldots n-1\} \times\{0,1\}^{*} \times\{0,1\}^{*} \rightarrow\{0,1\}^{h}$ and a symmetric encryption scheme $S K E=\left(S E_{K}, S D_{K}\right)$ of keylength $s$.
The function $K D F$ should be an entropy smoothing function and is modelled as a random oracle. The function $H$ should be an entropy smoothing collision resistant hash function and is modelled as a random oracle.

## Encryption:

```
    input \((m)\)
\(r \leftarrow_{\text {random }}\{0 \ldots n-1\}\)
\((y, K) \leftarrow\left(r^{e} \bmod n, K D F(r)\right)\)
\(c \leftarrow S E_{K}(m)\)
\(t \leftarrow H(r, y, m, c)\)
    output \((y, c, t)\)
```


## Decryption:

```
    input \((y, c, t)\)
\(r \leftarrow y^{d} \bmod n\)
\(K \leftarrow K D F(r)\)
\(m \leftarrow S D_{K}(c)\)
reject if \(t \neq H(r, y, m, c)\)
    output ( \(m\) )
```


## 4 Performance comparison

Performance comparison is meaningful only if the symmetric algorithm's sizes and the RSA modulus size have adequate relation. It is still an open problem to find a link between those two parameters ${ }^{3}$, but it is of no importance for our comparison: both techniques do the same computations

[^2]modulo $n$, and have similar requirements for the symmetric encryption scheme SKE.
RSA-REACT has the advantage that its $K D F$ function only outputs $s$ bits while $2 s$ bits are needed for RSA-KEM + DEM1. This is only a tiny advantage because the input of $K D F$ has fixed and short length, and there exist good hash functions with output 160 or 256 bits.
RSA-REACT has the disadvantage that the amount of data processed by its symmetric components is slightly above three times the message length, while RSA-KEM + DEM1 only processes twice the message length. For long messages (dozens of kilobytes), RSA-REACT is $50 \%$ slower than RSA-KEM+DEM1.
Both RSA-REACT and RSA-KEM + DEM1 can be used for stream processing of messages, but the input of the function $H$ in RSA-REACT needs to alternate fixed sized chunks of $m$ and $c$.
Also note that MAC are generally faster than hash.
Another (slight) advantage of RSA-KEM + DEM1 is that rejection of invalid messages need only the computation of $M A C$ and not $S D$.

The conclusion is that RSA-KEM+DEM1 is better than RSA-REACT from a performance point of view.

## 5 Security comparison

### 5.1 Security model

An attacker against an encryption scheme can be an inverter, a checker or a distinguisher.
An inverter's goal is, given a ciphertext, to obtain the corresponding plaintext. Its probability of success is taken for a random key and a random plaintext and measures the one-wayness of the scheme.
An checker's goal is, given a plaintext and a ciphertext, to find if the ciphertext encrypts the plaintext. Its probability of success is taken for a random bit (that determines if the ciphertext actually encrypts the plaintext), a random key, a random plaintext and (if the bit is 0 ) a random ciphertext. ${ }^{4}$

[^3]A distinguisher's goal is, given a ciphertext and two plaintexts, to find which one has been encrypted. The attacker can choose the pair of plaintexts, the probabilities of success are taken for a random key and a random bit that chooses between the two plaintexts. It measures the semantic security of the scheme. ${ }^{4}$

If the attacker has no access to other information that the ciphertext and eventually the public key, then it is a passive attack. If it has access to a decryption oracle, it is a chosen ciphertext attack. If it has access to an encryption oracle, it is a chosen plaintext attack. ${ }^{5}$

The goal of an attacker against a MAC is, given a plaintext and a tag for some key, to obtain at least another pair (plaintext, tag) for the same key. The attacker's power is limited to the proposal of $q_{M}$ (plaintext, tag) pairs. ${ }^{6}$

### 5.2 A unified formulation for proofs of security

Let a scheme have two components X and Y . The proof of security considers an attacker $\mathcal{A}$ against the scheme that runs in time $t$ and succeeds with probability $\varepsilon$. It builds an attacker $\mathcal{B}$ that succeeds if it breaks either component X or component Y. $\mathcal{B}$ runs in time $t^{\prime}$ and succeeds with probability $\varepsilon^{\prime}$.
Okamoto and Pointcheval $[7,8]$ then formulate this security result by saying that, for any $0<\nu<\varepsilon^{\prime}$, either there exists an attacker against X with success probability $\nu$, or an attacker against Y with success probability $\varepsilon^{\prime}-\nu$.

Shoup [10] formulates this security result by saying that $\varepsilon^{\prime} \leq \operatorname{Succ}\left(A_{1}\right)+$ $\operatorname{Succ}\left(A_{2}\right)$ where $A_{1}$ is an attacker against X and $A_{2}$ is an attacker against Y.

We use an intermediate but equivalent formulation: for any $S u c c_{X}$ and Succ $_{Y}$ such that $\varepsilon^{\prime} \geq$ Succ $_{X}+$ Succ $_{Y}$, then either there exists an attacker against X with success $S u c c_{X}$ or an attacker against Y with success Succ $_{Y}$.

[^4]
### 5.3 Claimed results

RSA-KEM + DEM1 The claimed security [10] can be rewritten as: suppose there exists a chosen ciphertext distinguisher running in time $t$ that attacks the hybrid public key encryption scheme with guessing advantage Guess $_{H y b}$ and at most $q_{D}$ and $q_{K D F}$ queries to the decryption oracle and to the function $K D F$ (modelled as random oracle). Let $n^{\prime}$ be a lower-
 $t^{\prime} \simeq t$ and Guess $_{H y b} \leq 2\left(\right.$ Succ $\left._{R S A}+\frac{q_{D}}{n^{\prime}}\right)+$ Guess $_{S K E}+$ Succ $_{M A C}\left(q_{D}\right) .{ }^{7}$ Then there either exists a passive inverter of RSA running in time $t^{\prime}$ with success $S u c c_{R S A}$, or a passive distinguisher against SKE running in time $t^{\prime}$ with guessing advantage Guess SKE or an attacker against MAC running in time $t^{\prime}$ with success probability $\operatorname{Succ}_{M A C}\left(q_{D}\right)$

RSA-REACT The claimed security $[7,8]$ can be rewritten as: suppose there exists a chosen ciphertext distinguisher running in time $t$ that attacks the hybrid public key encryption scheme with distinguishing advantage $D_{i s t_{H y b}}$ and at most $q_{D}, q_{K D F}$ and $q_{H}$ queries to the decryption oracle and to the functions $K D F$ and $H$ (modelled as random oracles). Then let $t^{\prime}, S u c c_{R S A}$ and Dist $_{\text {SKE }}$ such that $t^{\prime} \leq t+q_{K D F} T_{S K E}+\left(q_{H}+\right.$ $\left.q_{K D F}\right) T_{R S A e n c}$ and Dist $_{H y b} \leq 2\left({S u c c_{R S A}}+\frac{q_{D}}{2^{h}}\right)+$ Dist $_{S K E}$.
Then there either exists a passive inverter of RSA running in time $t^{\prime}$ with success $S u c c_{R S A}$, or a passive distinguisher against SKE running in time $t^{\prime}$ with distinguishing advantage Dist $_{\text {SKE }}$.

Comparison We can see that the claimed securities of both schemes are similar. There are still some differences.
If everything is written in terms of guessing advantage, then the security is:
RSA-REACT $\quad$ Guess $_{H y b} \leq$ Guess $_{S K E}+$ Succ $_{R S A}+\frac{q_{D}}{2^{h}}$
RSA-KEM+DEM1 Guess Hyb $\leq$ Guess $_{S K E}+2 \cdot$ Succ $_{R S A}+\frac{2 \cdot q_{D}}{n^{\prime}}+\operatorname{Succ}_{M A C}\left(q_{D}\right)$
Because $M A C$ is not modelled as a random oracle, any comparison of the claimed securities of those schemes is fallacious. Nevertheless, since $\operatorname{Succ}_{M A C}\left(q_{D}\right) \geq \frac{q_{D}}{2^{h}}$, the value $\frac{2 \cdot q_{D}}{n^{\prime}}$ cannot been seen as an advantage for RSA-KEM + DEM1. And the success probability of a RSA inverter has a factor of 2 for RSA-KEM + DEM1 security, which might be an advantage for RSA-REACT.

[^5]
### 5.4 Proof of security for RSA-REACT

The proof for the generic REACT construction can be found in [8] and is even valid if the underlying encryption scheme is randomised. We rewrite this proof here, specialised to RSA:

Outline of the proof. Suppose that there exists an attacker $\mathcal{A}$ against the semantic security of RSA-REACT, that runs in time $t$ with $q_{D}, q_{K D F}$ and $q_{H}$ queries to a decryption oracle, and the two hash functions. Then we build an attacker $\mathcal{B}$ running in time $t^{\prime}$ that either solves the RSA problem or attacks the semantic security of $S K E$.
Description of the attacker $\mathcal{B}$. The attacker $\mathcal{B}$ makes one call to the distinguisher $\mathcal{A}$ which sends a pair $\left(m_{0}, m_{1}\right)$ of plaintexts. Then $\mathcal{B}$ transmits this pair and receives a ciphertext $c=S E_{K}\left(m_{b}\right)$ for unknown and random values $b$ and $K$. Then $\mathcal{B}$ provides to $\mathcal{A}$ the ciphertext $(y, c, t)$ where $y$ has unknown $e$-th root and $t$ is random. $\mathcal{B}$ will either extract $r=y^{d}$ from the queries that $\mathcal{A}$ makes to the oracles, or find the value $b$. The attacker $\mathcal{B}$ needs to simulate all oracle answers until attacker $\mathcal{A}$ makes a query that allows to find $r$, or $\mathcal{A}$ returns a bit $\hat{b}$.
Either the attacker $\mathcal{B}$ outputs $\operatorname{RSA}(r)$ which means that he solved the RSA problem with answer $r$, or it outputs $\operatorname{SKE}(b)$ which means that he broke the semantic security of $S K E$ and the answer is $b$.
For all queries $r^{\prime}$ that $\mathcal{A}$ makes to $K D F$, the attacker $\mathcal{B}$ outputs $\operatorname{RSA}\left(r^{\prime}\right)$ if $\left(r^{\prime}\right)^{e} \stackrel{?}{=} y$. For all queries $\left(r^{\prime}, y^{\prime}, m^{\prime}, c^{\prime}\right)$ that $\mathcal{A}$ makes to $H$, the attacker $\mathcal{B}$ outputs $\operatorname{RSA}\left(r^{\prime}\right)$ if $\left(r^{\prime}\right)^{e} \stackrel{?}{=} y$. If $\mathcal{A}$ returns $\hat{b}$, then the attacker $\mathcal{B}$ outputs $\operatorname{SKE}(\hat{b})$.
Simulating the oracle calls. If an oracle query does not allow $\mathcal{B}$ to find $r$, then it must answer a valid value.

- Queries $r^{\prime}$ to $K D F$ are answered with a new random value $K^{\prime}$ if $r^{\prime}$ was not previously asked.
- Queries $\left(r^{\prime}, y^{\prime}, m^{\prime}, c^{\prime}\right)$ to $H$ are answered with a new random value $t^{\prime}$ if it was not previously asked.
- Queries $\left(y^{\prime}, c^{\prime}, t^{\prime}\right)$ to the decryption oracle are rejected, unless $t^{\prime}$ was an answer made to a query $\left(r_{i}, y_{i}, m_{i}, c_{i}\right)$ to $H$ and $\left(y^{\prime}, c^{\prime}\right)=$ $\left(y_{i}, c_{i}\right)$. For all queries such that $r_{i}$ was queried to $K D F$ with answer $K_{i}$, the attacker checks if $S E_{K_{i}}\left(m_{i}\right)=c_{i}$. In the positive case, $m_{i}$ is the decrypted value and is returned.
An invalid oracle answer only happens if a query to the decryption oracle is rejected while it should be accepted. This happens if $t^{\prime}$ is
valid but was not an answer to a query to $H$. This happens at most with probability $2^{-h}$ because $t^{\prime}$ is $h$ bits long.
Running time of $\mathcal{B}$. Each query to $K D F$ needs the computation of $\left(r^{\prime}\right)^{e}$. Each query to $H$ needs the computation of $\left(r^{\prime}\right)^{e}$. Each query to the decryption oracle may need the computation of $S E$. Therefore, the total time $t^{\prime} \leq t+\left(q_{K D F}+q_{H}\right) T_{R S A e n c}+\min \left(q_{K D F}, q_{D}\right) T_{S K E}$.
Success probability. The probability that there has been at least one invalid oracle answer is $\frac{q_{D}}{2^{h}}$. If $\mathcal{A}$ is given valid oracle answers and $\mathcal{A}$ succeeds, then $\mathcal{B}$ succeeds. Its success probability $\operatorname{Succ}(\mathcal{B}) \geq(1-$ $\left.\frac{q_{D}}{2^{h}}\right) \operatorname{Succ}(\mathcal{A}) \geq \operatorname{Succ}(\mathcal{A})-\frac{q_{D}}{2^{h}}$. This proves the inequality $\operatorname{Succ}_{S K E}+$ $S_{\text {Succ }}^{R S A}{ }^{2} S_{S u c c_{H y b}-\frac{q_{D}}{2^{h}} \text {, which is equivalent to the formulation of }}$ [7]: Dist $_{S K E}+2 \cdot\left(S_{u c c_{R S A}}+\frac{q_{D}}{2^{h}}\right) \geq$ Dist $_{H y b}$.


### 5.5 An improvement of RSA-REACT

We can improve RSA-REACT by minimizing the input of $H$. We can also withdraw $y$ from the input of $H$, because it can be recomputed. If $m$ is also not included in the input of $H$, this new scheme has similar efficiency to RSA-KEM + DEM1, and exactly the same security as RSA-REACT. ${ }^{8}$ The new scheme's parameters are two functions: KDF: $\{0 \ldots n-1\} \rightarrow$ $\{0,1\}^{s}$ and $H:\{0 \ldots n-1\} \times\{0,1\}^{*} \rightarrow\{0,1\}^{h}$ and a symmetric encryption scheme $S K E=\left(S E_{K}, S D_{K}\right)$ of keylength $s$.

## Encryption:

```
    input \((m)\)
\(r \leftarrow_{\text {random }}\{0 \ldots n-1\}\)
\((y, K) \leftarrow\left(r^{e} \bmod n, K D F(r)\right)\)
\(c \leftarrow S E_{K}(m)\)
\(t \leftarrow H(r, c)\)
    output \((y, c, t)\)
```


## Decryption:

$$
\begin{aligned}
& \quad \operatorname{input}(y, c, t) \\
& r \leftarrow y^{d} \bmod n \\
& K \leftarrow K D F(r) \\
& m \leftarrow S D_{K}(c) \\
& \text { reject if } t \neq H(r, c) \\
& \quad \text { output }(m)
\end{aligned}
$$

The proof of security of this scheme is essentially the proof of security of RSA-REACT. Only the oracle simulation needs to be adapted.

Simulating the oracle calls. If an oracle query does not allow $\mathcal{B}$ to find $r$, then it must answer a valid value.

- Queries $r^{\prime}$ to $K D F$ are answered with a new random value $K^{\prime}$ if $r^{\prime}$ was not previously asked.

[^6]- Queries $\left(r^{\prime}, c^{\prime}\right)$ to $H$ are answered with a new random value $t^{\prime}$ if it was not previously asked.
- Queries $\left(y^{\prime}, c^{\prime}, t^{\prime}\right)$ to the decryption oracle are rejected, unless $t^{\prime}$ was an answer made to a query ( $r_{i}, c_{i}$ ) to $H$ where $c^{\prime}=c_{i}$ and $y^{\prime}=r_{i}{ }^{e}$. For one query such that $r_{i}$ was queried to $K D F$ with answer $K_{i}$, the attacker computes and returns $S D_{K_{i}}\left(c_{i}\right)$.

Now we can notice that if we change the notations in RSA-KEM+DEM1 by splitting $K=K D F(r)$ and $K^{\prime}=K D F^{\prime}(r)$ and by setting $H(r, c)=$ $M A C_{K D F^{\prime}(r)}(c)$, then it is the above improved RSA-REACT.

### 5.6 The proof of security of the hybrid construction KEM+DEM

The proof of RSA-KEM + DEM1 in [10] is split in three parts: the construction of an hybrid scheme from some KEM and some DEM, the proof of security of DEM1, and the proof of security of RSA-KEM. The first two proofs are left to the reader and the explicit running time of the attackers is not included.
The (generic) security result for the hybrid construction in [10, p17] does not explicitely state that the choice of the DEM should be independent of the key of the KEM. We show below a counter-example where an insecure KEM + DEM is built from secure, but related, KEM and DEM.

## Definitions.

A DEM (Data Encapsulation Mechanism) is a symmetric scheme, that should be secure (for a random key) against a distinguisher having access to a decryption oracle for that key. Note that access to an encryption oracle is not required.
A KEM (Key Encapsulation Mechanism) is an asymmetric scheme that generates random pairs of plaintext-ciphertext, and that should be secure against a checker having access to a decryption oracle.

Hybrid construction. The private and public keys of the hybrid scheme are those of the KEM. The hybrid encryption of $m$ first calls the KEM to obtain a pair $(K, y)$, then encrypts $m$ with the DEM using $K$ to obtain $c$. The result is the pair $(y, c)$. The hybrid decryption of $(y, c)$ first calls the DEM to decrypt $y$ and obtain $K$, then decrypts $c$ with the DEM using $K$ to obtain $m$.

A counter-example for the generic hybrid construction. We show how to build an insecure hybrid encryption scheme from a secure KEM and a secure DEM. The trick is that the KEM and the DEM will be related in some way that will allow to break the hybrid construction.

KEM. Let $\left(E_{p k}, D_{s k}\right)$ be any bijective trapdoor one-way permutation of $\{0,1\}^{n}$ and $K D F_{0}$ be any one-way compression function from $\{0,1\}^{n}$ to $\{0,1\}^{h}$, with $h \ll n$. Let also $H:\{0,1\}^{h} \rightarrow\{0,1\}^{n-h}$ be some one-way function. Let $K D F$ be identical to $K D F_{0}$, with the exception that for any value $K$, we fix $K D F(K \| H(K))=K$. For this new key derivation function, it is easy to compute one of the preimages: $K D F^{-1}(K)=K \| H(K)$.
Suppose that the KEM is built as usual: a random $r$ is computed, the output is ( $K D F(r), E_{p k}(r)$ ), Decryption of this KEM computes $K D F \circ D_{s k}$. The attacker's advantage against this KEM is increased by the probability $2^{h-n}$ that a random $r$ is of the form $K \| H(K)$. Because the KEM based of $K D F_{0}$ and $\left(E_{p k}, D_{s k}\right)$ is secure and $h \ll n$, this KEM is secure.
DEM. Remember that the security of a DEM relies on the fact that the secret key $K$ is kept secret, and that the encryption function $D E M_{K}$ is secure against a distinguisher having access to a decryption oracle. Suppose that DEM is built such that the one-wayness of the mapping $K \rightarrow D E M_{K}$ relies on the one-wayness of $E_{p k} \circ K D F^{-1}$. More precisely, we begin with any secure DEM, and we change its definition for one point: for any key $K$, the encryption of $y_{0}=E_{p k} \circ$ $K D F^{-1}(K)$ is the value 0 .
This new DEM is exactly as secure as the previous one, because $E_{p k} \circ$ $K D F^{-1}$ is one-way.
Attack of the hybrid scheme. Then the hybrid scheme built from these schemes is not secure. An attacker of the hybrid scheme knows a ciphertext $(y, c)$ that encrypts one of $m_{0}, m_{1}$.
He begins by requesting $(y, 0)$ to the decryption oracle which answers $y_{0}=E_{p k} \circ K D F^{-1}(K)$. Then he requests $\left(y_{0}, c\right)$ to the decryption oracle, which will answer the solution $m_{b}$. This attack works because $K D F \circ D_{s k}(y)=K D F \circ D_{s k}\left(y_{0}\right)$.

A proof for the construction KEM+DEM. The theorem we prove is that, if the KEM is secure against a checker having access to a decryption oracle for the KEM and access to a decryption oracle for the

DEM, ${ }^{9}$ and if the DEM is secure against a distinguisher having access to a decryption oracle for the DEM and access to a decryption oracle for the KEM, ${ }^{10}$ then the resulting hybrid scheme is secure against a distinguisher under chosen ciphertext attack.

Outline of the proof. Suppose that there exists an attacker $\mathcal{A}$ against the semantic security of the hybrid scheme, that runs in time $t$ with $q_{D}$ queries to a decryption oracle. Then we build an attacker $\mathcal{B}$ running in time $t^{\prime}$ that will attack the semantic security of $D E M$.
Description of the attacker $\mathcal{B}$. The attacker $\mathcal{B}$ makes one call to the distinguisher $\mathcal{A}$ which sends a pair $\left(m_{0}, m_{1}\right)$ of plaintexts. Then $\mathcal{B}$ transmits this pair and receives a ciphertext $c=\operatorname{DEMenc} c_{K}\left(m_{b}\right)$ for unknown and random values $b$ and $K$. Then $\mathcal{B}$ provides to $\mathcal{A}$ the ciphertext $(y, c)$ where $y$ is random. The attacker $\mathcal{B}$ needs to simulate all oracle answers to $\mathcal{A}$, and he can make queries to two oracles that compute $-K E M \operatorname{dec}\left(y^{\prime}\right)$ if $y^{\prime} \neq y$ for the first oracle - $D E M d e c_{K}\left(c^{\prime}\right)$ if $c^{\prime} \neq c$ for the other one.
Simulating the oracle calls. When $\mathcal{A}$ queries $\left(y^{\prime}, c^{\prime}\right)$, if $y^{\prime} \neq y$ then $\mathcal{B}$ asks for $K^{\prime}=\operatorname{KEMdec}\left(y^{\prime}\right)$ and returns $m^{\prime}=D E M d e c_{K^{\prime}}\left(c^{\prime}\right)$. If $y^{\prime}=y$ then $c^{\prime} \neq c$ and $\mathcal{B}$ asks for $m^{\prime}=D E M d e c_{K}\left(c^{\prime}\right)$ and returns $m^{\prime}$.
Oracle answers for $y^{\prime}=y$ are invalid, because $y$ was randomly chosen independantly of $K$, but the probability that it is detected (i.e. the probaility that the fact that these answers are invalid influences the result of $\mathcal{A}$ ) is at most the best distinguishing advantage against KEM.
Running time of $\mathcal{B}$. Each query to the decryption oracle may need the computation of $D E M d e c$, and also needs one call to one of the oracles. Therefore, the total time $t^{\prime} \leq t+q_{D}\left(T_{D E M}+T_{\text {slowest oracle }}\right)$. Success probability. The probability that there has been at least one invalid oracle answer is $\operatorname{Dist}_{K E M}$. If $\mathcal{A}$ is given valid oracle answers and $\mathcal{A}$ succeeds, then $\mathcal{B}$ succeeds. We have $\operatorname{Succ}(\mathcal{B}) \geq(1-$ $\left.\operatorname{Dist}_{K E M}\right) \operatorname{Succ}(\mathcal{A}) \geq \operatorname{Succ}(\mathcal{A})-\operatorname{Dist}_{K E M}$. This proves the inequality

[^7]$S u c c_{D E M} \geq$ Succ $_{H y b}-$ Dist $_{K E M}$, which is equivalent to the formulation of [10, p17]: Guess ${ }_{\text {DEM }}+$ Dist $_{\text {KEM }} \geq$ Guess $_{\text {Hyb }}$.

### 5.7 Proof of security for DEM1

The straightforward construction of DEM1 is in [10, p19].
Suppose there exists a chosen ciphertext distinguisher against DEM1 running in time $t$ with guessing advantage Guess ${ }_{\text {DEM1 }}$ and at most $q_{D}$ queries to the decryption oracle. Let Guess DEM1 $\leq$ Guess $_{S K E}+$ $\operatorname{Succ}_{M A C}\left(q_{D}\right)$ and $t^{\prime} \leq T_{M A C}$. Then there exists a passive distinguisher against SKE running in time $t^{\prime}$ with guessing advantage Guess $S_{S K E}$ or an attacker against MAC running in time $t^{\prime}$ with success probability $\operatorname{Succ}_{M A C}\left(q_{D}\right)$

Outline of the proof. Suppose that there exists an attacker $\mathcal{A}$ against the semantic security of the DEM1, that runs in time $t$ with $q_{D}$ queries to a decryption oracle. Then we build an attacker $\mathcal{B}$ running in time $t^{\prime}$ that will attack the semantic security of SKE.
Description of the attacker $\mathcal{B}$. The attacker $\mathcal{B}$ makes one call to the distinguisher $\mathcal{A}$ which sends a pair ( $m_{0}, m_{1}$ ) of plaintexts. Then $\mathcal{B}$ transmits this pair and receives a ciphertext $c=\operatorname{SKEenc}_{K}\left(m_{b}\right)$ for unknown and random values $b$ and $K$. Then $\mathcal{B}$ computes a random $K^{\prime}$ and computes $t=M A C_{K^{\prime}}(c)$. He provides to $\mathcal{A}$ the ciphertext $(c, t)$. Simulating the oracle calls. $\mathcal{B}$ rejects all queries $\left(c^{\prime}, t^{\prime}\right)$ from $\mathcal{A}$. Running time of $\mathcal{B}$. The total time $t^{\prime} \leq t+T_{M A C}$.
Success probability. The probability that at least one oracle answer is invalid is bounded by $\operatorname{Succ}_{M A C}\left(q_{D}\right)$, the probability that a valid MAC can be forged. If $\mathcal{A}$ is given valid oracle answers and $\mathcal{A}$ succeeds, then $\mathcal{B}$ succeeds. We have $\operatorname{Succ}(\mathcal{B}) \geq\left(1-\operatorname{Succ}_{M_{A C}}\left(q_{D}\right)\right) \operatorname{Succ}(\mathcal{A}) \geq$ $\operatorname{Succ}(\mathcal{A})-\operatorname{Succ}_{M A C}\left(q_{D}\right)$. This proves that $\operatorname{Succ}_{S K E} \geq \operatorname{Succ}_{D E M 1}-$ $\operatorname{Succ}_{M A C}\left(q_{D}\right)$, which is equivalent to Guess $s_{D E M 1} \leq$ Guess $_{S K E}+$ $\operatorname{Succ}_{M A C}\left(q_{D}\right)$.

### 5.8 Proof of security for RSA-KEM+DEM1

RSA-KEM construction. The proof in [10, p52] is complete and shows that if Guess ${ }_{R S A-K E M} \leq \operatorname{Succ}_{R S A}+\frac{q_{D}}{n^{\prime}}$ and $t^{\prime} \leq t+q_{K D F} T_{R S A e n c}$, then a chosen ciphertext checker against RSA-KEM in time $t$ reduces to a passive RSA inverter in time $t^{\prime}$.

Merging all the proofs. We need to adapt the security proof for DEM1 to a proof that DEM1 is still secure when the attacker has access to a decryption oracle for RSA-KEM. Due to the fact that $K D F$ is modelled as a random oracle, a decryption oracle for RSA-KEM cannot help that attacker.

In conclusion, the proven security of RSA-KEM + DEM1 is identical to the claimed security. The running time of the passive RSA inverter is bounded by $t^{\prime} \leq t+q_{K D F} T_{R S A e n c}+q_{D} T_{S K E}+\left(q_{D}+1\right) T_{M A C}$.

## 6 Conclusion

An analysis of the security of RSA-KEM + DEM1 with modelling the function $(r, c) \mapsto M A C_{K D F^{\prime}(r)}(c)$ as a random oracle proves that its security is at least the same as RSA-REACT. Because of its additionnal security proof where $M A C$ is modelled as a MAC and because of its better performance, RSA-KEM + DEM1 should be preferred to RSA-REACT.

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    ${ }^{1}$ A complete proof of the general KEM + DEM construction can also been found in the full paper of Cramer and Shoup [5, 7], which was not published at the time of this writing.

[^1]:    ${ }^{2}$ For more information about proofs in the random oracle model and efficiency of reductions, see $[1,3]$.

[^2]:    ${ }^{3}$ Lentra and Verheul [6] estimates for equivalent key sizes in 2002 are: a 80-bits security is obtained with 1280 -bits RSA, and a 128 -bits security is obtained with 3333-bits RSA.
    Silverman [11] estimates cost equivalent sizes: a 80-bits security is obtained with 760 -bits RSA, and a 128 -bits security is obtained with 1620 -bits RSA.

[^3]:    ${ }^{4}$ The probability of success of a checker or a distinguisher is $S u c c=\operatorname{Pr}[\hat{b}=b]$. Because a random attacker has a probability of success of $\frac{1}{2}$, usually one considers the guessing advantage Guess $=\operatorname{Pr}[\hat{b}=b]-\frac{1}{2}$ or its double, the distinguishing advantage Dist $=\operatorname{Pr}[\hat{b}=1 \mid b=1]-\operatorname{Pr}[\hat{b}=1 \mid b=0]=2 \cdot \operatorname{Pr}[\hat{b}=b]-1$.

[^4]:    ${ }^{5}$ For an asymmetric encryption scheme the attacker can always encrypt without needing an encryption oracle. For a symmetric encryption scheme, access to an encryption oracle must be explicitely stated.
    ${ }^{6}$ If the MAC outputs $h$ bits, there is a minimal success probability, that of a random attacker: $\operatorname{Succ}_{M A C}\left(q_{M}\right)=\frac{q_{M}}{2^{h}}$.

[^5]:    ${ }^{7}$ In fact, $[10, \mathrm{p} 52]$ wrongly says $\frac{n \text { Bound }}{q_{D}}$, where it should be $\frac{q_{D}}{n B o u n d}$. This is probably a typo.

[^6]:    ${ }^{8}$ The inclusion of $y$ is needed for the generic REACT conversion, because the underlying asymetric encryption scheme may be randomised. The inclusion of $m$ is not needed, even for the generic REACT conversion.

[^7]:    ${ }^{9}$ This condition can easily be improved. Any checker against KEM has to find if a pair $(K, y)$ is valid. Therefore the checker knows the value of $K$ and a decryption oracle for DEM cannot help the attack of KEM.
    10 This condition is mandatory. The counter-example above is built on the lack of this security requirement. Note that a decryption oracle against the KEM can only help an attack of the DEM is the DEM is related to the (secret) key implied by that decryption oracle. Therefore the counter-example is representative of all possible counter-examples.

